

# Concepts for Experiments at Future Colliders I

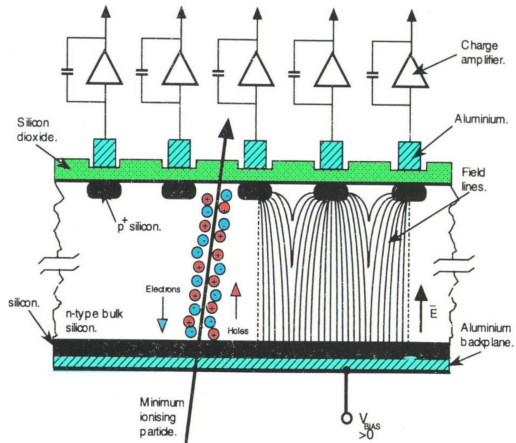
PD Dr. Oliver Kortner

15.12.2024

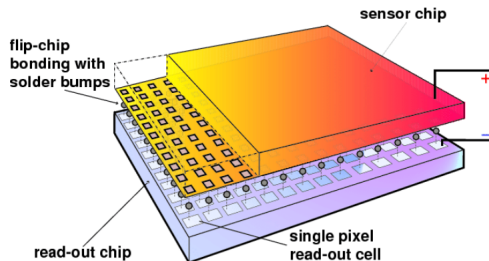
# Recapitulation of the previous lecture

## Strip and pixel sensors

### Silicon strip sensors



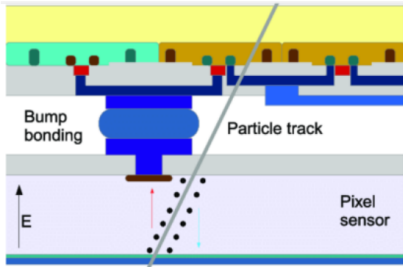
### Silicon pixel sensors



# Recapitulation of the previous lecture

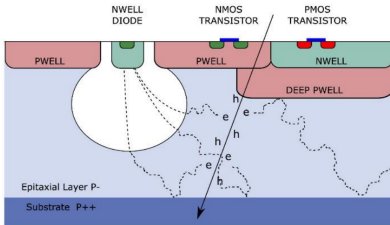
## Monolithic sensors

### Hybrid sensor



- Sensor and amplifier chip are two separate devices.
- Concept successfully used at the (HL)-LHC: required resolution, speed, granularity, radiation hardness.
- Disadvantage for the FCC-ee where radiation hardness is not an issue: a lot of extra material due to the amplifier chip

### Monolithic sensor



- Sensor and amplifier combined in one device.
- Main advantage: reduction of material.

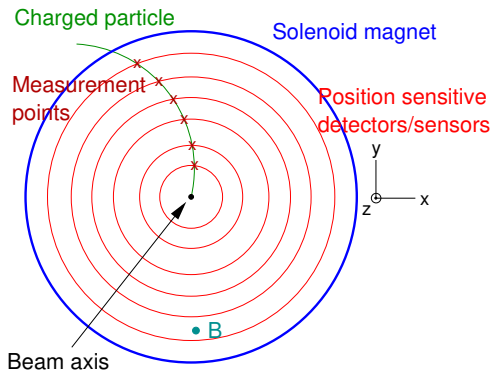
# Recapitulation of the previous lecture

## Functions of an inner detector

### Tasks

- Measurement of the charge  $q$  and the momentum  $\vec{p}$  of a charge particle.
- Measurement of the particle's origin/vertex.

### Basic structure of an inner detector



### Parameters of the reconstructed track

- Sign of the curvature  $\rightarrow \text{sgn}(q)$ .
- Size of the curvature  $\rightarrow p$ .
- Direction close to the beam axis  $\rightarrow \vec{p}/p$ .
- Distance of the track from the beam axis  $\rightarrow$  Vertex of the particle.

# Recapitulation of the previous lecture

## Momentum resolution of an inner detector

- Recapitulation:

$$\frac{\delta\left(\frac{q}{p}\right)}{q/p} = \frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Multiple scattering}} \oplus \frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Spatial resolution}} .$$

- $\frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Multiple scattering}}$  independent of  $\frac{q}{p}$ .  $\frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Spatial resolution}} \propto \frac{p}{|q|}$ .

# Recapitulation of the previous lecture

## Momentum resolution of an inner detector

- Recapitulation:

$$\frac{\delta\left(\frac{q}{p}\right)}{q/p} = \frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Multiple scattering}} \oplus \frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Spatial resolution}}$$

- $\frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Multiple scattering}}$  independent of  $\frac{q}{p}$ .  $\frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Spatial resolution}} \propto \frac{p}{|q|}$ .

- Estimation of  $\frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Spatial resolution}}$ :

$$\frac{\delta\left(\frac{q}{p}\right)}{q/p} \Bigg|_{\text{Spatial resolution}} \approx \frac{\sigma 2\sqrt{5}}{BL^2\sqrt{n}} \cdot \frac{p}{|q|};$$

$\sigma$ : Spatial resolution of a single measurement plane.

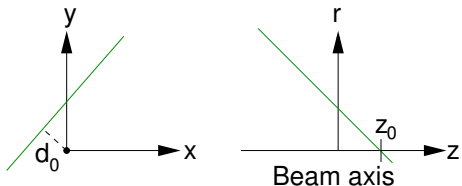
$B$ : Magnetic field strength in the inner detector.

$L$ : Radius of the inner detector.

$n$ : Number of (equidistant) measurement planes.

# Recapitulation of the previous lecture

## Impact parameter



## Nomenclature

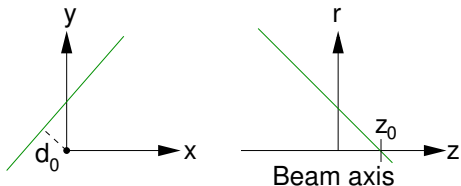
- $d_0$ : Transverse impact parameter.
- $z_0$ : Longitudinal impact parameter.

## Conventions

$d_0$  and  $z_0$  are expressed either relative to the average collision point or relative to the primary vertex.

# Recapitulation of the previous lecture

## Impact parameter



## Nomenclature

- $d_0$ : Transverse impact parameter.
- $z_0$ : Longitudinal impact parameter.

## Conventions

$d_0$  and  $z_0$  are expressed either relative to the average collision point or relative to the primary vertex.

## Requirements for the innermost detector plane for the $d_0$ - and $z_0$ measurements

- Simplifying assumptions
  - Consider  $z_0$  measurement.
  - Tracks are straight close to  $(0,0,0)$ .
  - Two detector planes at  $r_1$  and  $r_2$  with spatial resolutions  $\sigma_1$  and  $\sigma_2$ .
- $z_0$  resolution

$$\sigma_{z_0} = \frac{\sqrt{r_2^2 \sigma_1^2 + r_1^2 \sigma_2^2}}{|r_2 - r_1|} \oplus \sigma_{Multiple\ scattering}.$$

⇒ Thin layers close to the beam axis with high momentum resolution to maximize  $\sigma_{z_0}$ .



# Recapitulation of the previous lecture

## Basic parameters of the HL-LHC and the FCC

### Centre-of-mass energy and luminosity

Collider	$\sqrt{s}$ [TeV]	$\mathcal{L}_{max}$ [ $\text{cm}^{-2}\text{s}^{-1}$ ]	$\int \mathcal{L} dt$ [ $\text{ab}^{-1}$ ]
HL-LHC	14	$7,5 \cdot 10^{34}$	3
FCC, phase 1	100	$5 \cdot 10^{34}$	2,5
FCC, phase 2	100	$30 \cdot 10^{34}$	15

### Scenarios

- HL-LHC: 2026 bis 2036.
- FCC, phase 1: 10 years of operation.
- FCC, phase 2: 15 years of operation.

### Number $N_{pile-up}$ of inelastic $pp$ collisions per bunch crossing

- HL-LHC: 140 (bunch crossings every 25 ns).
- FCC, phase 1:
  - 170 (bunch crossings every 25 ns).
- FCC, phase 2, 2 scenarios:
  - 1020 (bunch crossings every 25 ns).
  - 204 (bunch crossings every 5 ns).

# Recapitulation of the previous lecture

## Minimum-bias events at the FCC and the HL-LHC

Radiation levels in the detectors depend on the structure of minimum-bias events (simplified: "inelastic  $pp$  collisions without a hard scatter).

### Cross section of inelastic $pp$ collisions

- $\approx 80$  mb at  $\sqrt{s}=14$  TeV.
- $\approx 100$  mb at  $\sqrt{s}=100$  TeV, hence 25% larger than at the HL-LHC.

### Charged particle multiplicity per rapidity unit

- $\approx 5,4$  at  $\sqrt{s}=14$  TeV.
- $\approx 8$  at  $\sqrt{s}=100$  TeV, hence 1.5 times larger than at the HL-LHC.

### Average particle momentum

- $\approx 0.6$  GeV at  $\sqrt{s}=14$  TeV.
- $\approx 0.8$  GeV at  $\sqrt{s}=100$  TeV, hence 1.3 times larger than at the HL-LHC.

Minimum-bias events at the FCC are very similar to those at the LHC.

⇒ Operation conditions in phase 1 of the FCC very similar to the operation conditions at the HL-LHC.

## Radiation levels in the inner detector

Inner detector: Radiation levels in the first pixel detector layer ( $r = 3.7$  cm)

	HL-LHC ( $3 \text{ ab}^{-1}$ )	FCC, phase 1	FCC, phase II
1 MeV-neq flux [ $\text{cm}^{-2}$ ]	$1.5 \cdot 10^{16}$	$3 \cdot 10^{16}$	$3 \cdot 10^{17}$
Dose [MGy]	4.8	9	90

⇒ Semiconductor detector for the HL-LHC are also suitable for the first phase of the FCC. Development of more radiation hard detector necessary for the second phase of the FCC.

## Radiation damage of silicon detectors

The huge fluxes of charged and neutral particles in the inner detector cause damages of the semiconductor detectors.

### Two mechanisms

- Damage of the surface and boundary surfaces of semiconductor detectors and of the read-out chips by ionizing radiation. As the ionization is a resersible process in a semiconductor, no permant damages of the crystal.
- Scattering off the atoms of the crystal lattice can cause atom displacements and other damages of the crystal lattice.

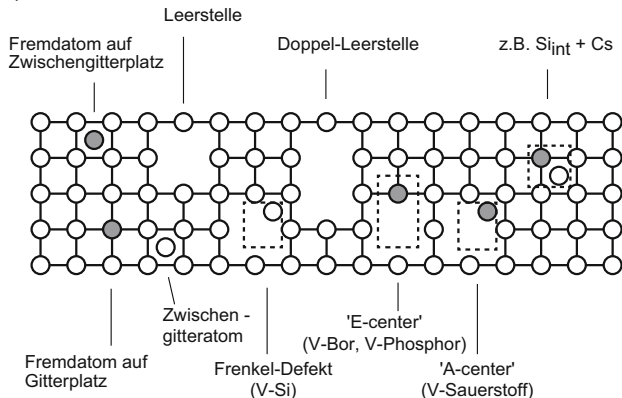
### Convention

The damage of the substrate by scattering off the atoms is expressed as the damage of neutrons with 1 MeV energy.

# Recapitulation of the previous lecture

## Damages of the substrate

- Lattice atoms can be displaced by collisions with the radiation background leading to empty places and atoms on inter-lattice points as primary point defects.



- Most of the primary defects are instable and disappear by recombination.
- Due to their mobility primary point defects can build stable defect complexes with impurity atoms.

## Consequences of substrate damages

Conduction band

Donor (-)

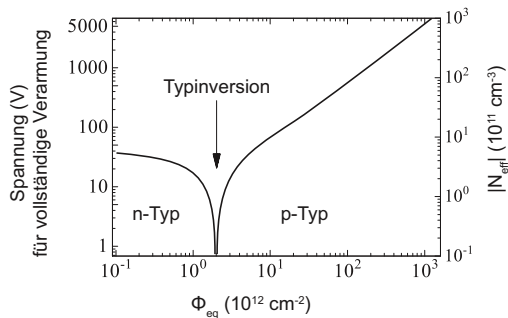
Akceptor (-)

Valence band

## Creation of acceptor and donor centres

- Charged defects which act as acceptor or Donor centres.
- ⇒ Modification of the effective doping concentration.
- ⇒ Modification of the depletion region and the depletion voltage. Type inversion is possible.

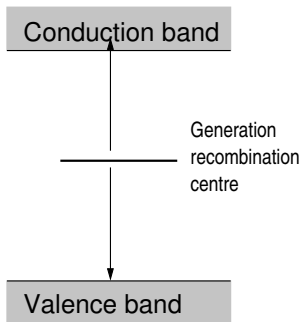
## Type inversion by acceptor and donor centres



Type inversion already after few years of operation at the LHC.

⇒ The value of the depletion voltage changes with time. After a long operation of the detectors, only partial depletion possible leading to loss of signal.

## Consequences of substrate damages



### Creation of generation recombination centres

- Impurity levels in the middle of the band gap act as generation and recombination centres.
  - generation centres increase the leakage current.
- ⇒ Increased detector noise and detector temperature.
- Danger of destroying the detector by a chain reaction of a leakage current induced temperature increase and a temperature induced increase of the leakage current.

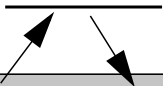


## Consequences of substrate damages

Conduction band



Valence band



## Creation of trapping centres

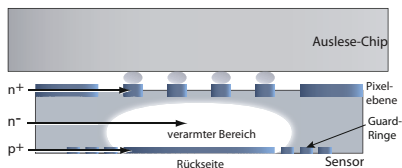
- Trapping of electrons and hole in impurity levels.
- ⇒ Reduced life time and mean free path of the charge carriers.
- ⇒ Signal loss if the trapping takes longer than the creation of the signal.

# Recapitulation of the previous lecture

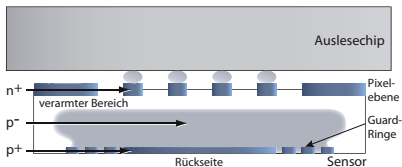
## Measures to increase the radation hardness

### Cooling of the sensors

- Damages of the substrate are temperature dependent.
- ⇒ Damages can be cured by heating up the crystal. But too long heating can convert harmless impurities to harmful impurities.
- ⇒ Second process can be suppressed by operating the sensors at low temperatures  $\sim -10^{\circ}\text{C}$ .



(a)  $n^-$ -Substratdotierung vor der Bestrahlung.



(b)  $p^-$ -Substratdotierung nach der Bestrahlung.

### $n^+$ -on- $n^-$ or $n^+$ -on- $p$ sensors •

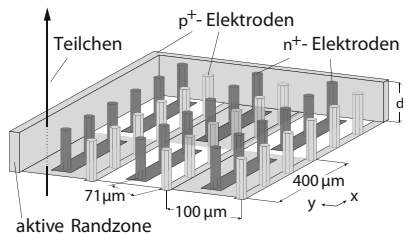
After type inversion complete depletion no longer possible.

- ⇒  $n^+$ -on- $n^-$  or  $n^+$ -on- $p$  sensors to have the  $n^+$ p layer on the side of the read-out electrode.

## Measures to increase the radation hardness

### Enrichment of the silicon substrate with oxygen

- Suppression or prevention of type inversion by enrichment of the silicon substrate with oxygen.



### Thin sensors or 3-D pixel sensors

- Goal: Reduction of drift paths and acceleration of the charge collection to oppose trapping effects.
- Two possibilities: Thin planar sensors or 3D pixel sensors with column electrodes.

- The charge measured at the electrodes of a semiconductor detector, denoted as  $Q$ , is the induced charge generated by the movement of liberated charge carriers ( $q$ ).
- The calculation of the induced charge involves the use of the Shockley-Ramo theorem, which we will not derive today.
- Today, we present a simplified derivation:
  - Work done by the electric field on  $q$ :  $qE(x)dx$ .
  - Change in the electric field energy in a capacitor:  $\frac{Q}{C}dQ = U dQ$ .
  - Due to conservation of energy,  $qE(x)dx = U dQ$ .
  - In a plate capacitor with plate spacing  $D$ ,  $E = \frac{U}{D}$ , leading to the equation

$$dQ = \frac{q}{D}dx$$

for the infinitesimal induced charge  $dQ$ .

## Temporal evolution of the induced charge

- Average velocity of electrons and holes:

$$v_{e/h} = q_{e/h} E \tau_{e/h},$$

where  $\tau$  is the mean time between two collisions of charge carriers in the lattice.

- Electric field in a pn junction from a p-substrate with an n<sup>+</sup>-doped side:

$$E = -\frac{eN_A}{\epsilon}x.$$

⇒

$$v_{e/h} = \frac{dx_{e/h}}{dt} = \pm \frac{e^2 N_A}{\epsilon} x_{e/h} = C_{e/h} x_{e/h},$$

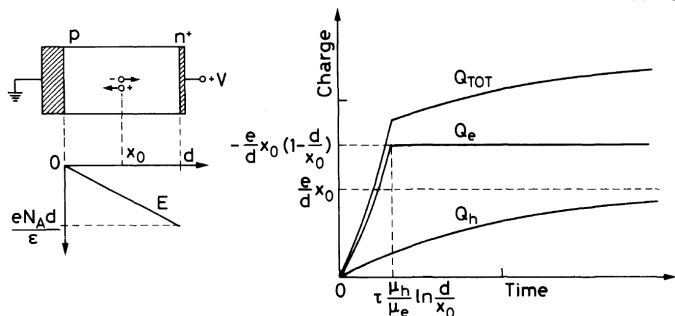
hence

$$x_{e/h}(t) = x_0 e^{C_{e/h} t}.$$

## Temporal Evolution of the Induced Charge

$$\bullet Q_{e/h}(t) = \int_0^t \frac{q_{e/h}}{D} v(t') dt' = \frac{q_{e/h}}{D} x_0 (e^{C_{e/h} t} - 1).$$

Leo 1994



- $C_e > 0, q_e < 0.$
- $C_h < 0, q_h > 0.$

Fig. 10.10. Signal pulse shape due to a single electron-hole pair in an np junction

# Structure of a hadron collider experiment

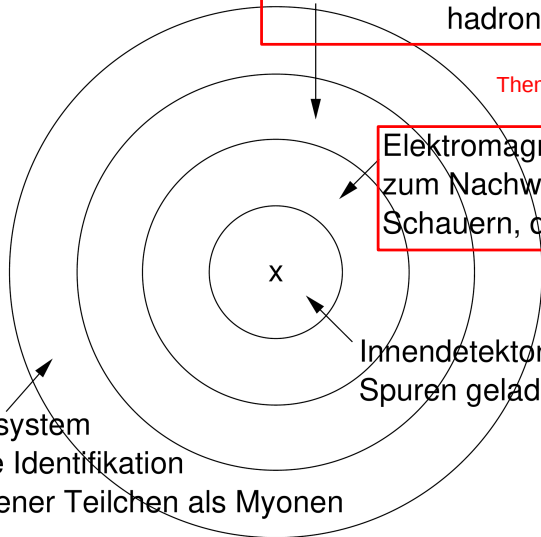
Hadronkalorimeter zum Nachweis  
hadronischer Schauer

Thema dieser und der nächsten Vorlesung

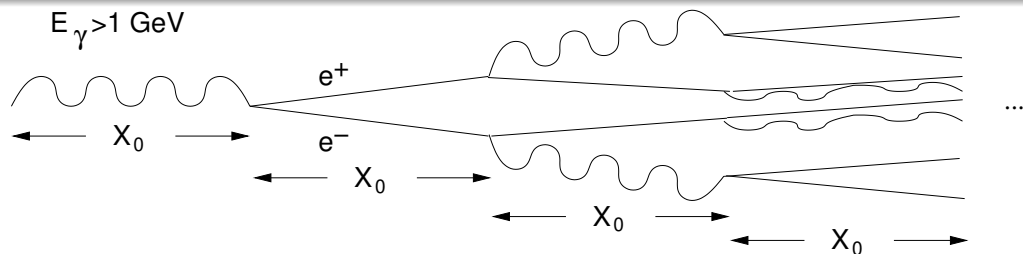
Elektromagnetisches Kalorimeter  
zum Nachweis von elektromagnetischen  
Schauern, die von  $e^{\pm}$  und  $\gamma$  stammen

Innendetektor zur Messung der  
Spuren geladener Teilchen ✓

Myonsystem  
für die Identifikation  
geladener Teilchen als Myonen



# Electron photon showers



- After a distance  $n \cdot X_0$ :  $2^n$  particles with energy  $E_n \approx \frac{E_\gamma}{2^n}$ .
- End of the cascade (shower), if  $E_n = E_k$ :  $n = \frac{\ln \frac{E_\gamma}{E_k}}{\ln 2}$ .
- Shower length:  $n \cdot X_0 = X_0 \cdot \frac{\ln \frac{E_\gamma}{E_k}}{\ln 2}$ .

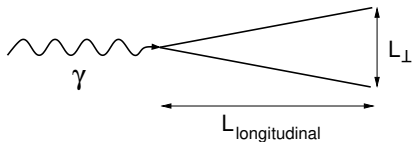
## Example

- $E_\gamma = 100 \text{ GeV}$ .
  - Material: iron, d.h.  $X_0 \approx 2 \text{ cm}$ ,  $E_k \approx 20 \text{ MeV}$ .
- $\Rightarrow n = 12$ , d.h.  $\sim 4000$  particles.  
Shower length:  $L_{longitudinal} \approx 24 \text{ cm}$ .



# Transverse size of an electron photon shower

The full treatment with massive electrons and positrons leads to the following result.

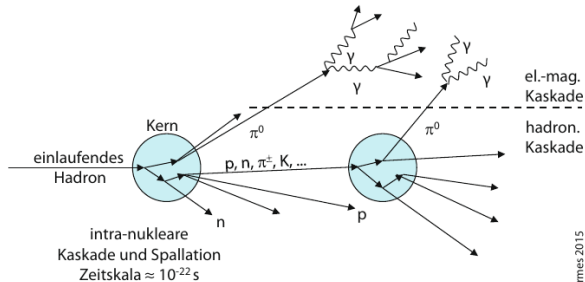


$$L_{\perp} \approx 4R_M = 4X_0 \frac{21,2 \text{ MeV}}{E_k}$$

$R_M$ : Molière radius

- The transverse size of the shower  $L_{\perp}$  is independent of  $E_{\gamma/e^{\pm}}$ .
- $L_{T,Fe} = 4 \cdot 1,8 \text{ cm} \cdot \frac{21,2\text{MeV}}{30,2\text{MeV}} \approx 5 \text{ cm}$ .
- Characteristic for electromagnetic showers: small transverse size which is independent of  $E_{\gamma,e^{\pm}}$ .
- The number of generated particles is a measure for  $E_{\gamma,e^{\pm}}$  and proportional to  $E_{\gamma,e^{\pm}}$ .

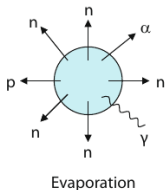
## Hochenergie-Kaskade



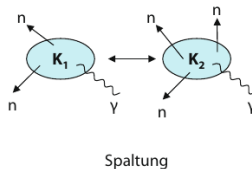
Kolanoski, Wermes 2015

## Deaktivierung des Kerns

Zeitskala  $\geq 10^{-18}$  s



oder



Similar behaviour like electromagnetic showers:

- Shower length proportional to  $\lambda_A \approx 35 \text{ g cm}^{-2} \frac{A^{1/3}}{\rho} \gg X_0$ .
- Transverse size independent of the energy of the primary hadron:  $\lambda_A$ .
- But much stronger variations of the shower size than in case of electromagnetic showers.

# Shower components and shower fluctuations

Contributions to the energy  $E_{dep}$  deposited in a block of material

$$E_{dep} = (f_{em} + \underbrace{f_{ion} + f_n + f_\gamma + f_B}_{=:f_h})E_{dep}$$

$\underbrace{\hspace{10em}}_{=1 \text{ per definitionem}}$

- $f_{em}$ . Fraction of the energy deposited by photons from  $\pi^0$  decays. As neutral pions are created again and again,  $f_{em}$  increases with the particle multiplicity in the cascade, hence with the energy of the incoming hadron.
- $f_{ion}$ . Fraction of the energy deposited by a charged shower particle by ionization.
- $f_n$ . Fraction of the energy deposited by neutrons via elastic scattering or nuclear reactions.
- $f_\gamma$ . Fraction of the energy deposited by photons which are created in nuclear reactions.  $E_\gamma \sim \text{keV} \dots \text{MeV} \Rightarrow$  energy transfer by Compton scattering or photoelectric effect. This contribution can occur with a large delay  $\gtrsim \mu\text{s}$ .
- $f_B$ . The binding energy which is required to break up a nucleus is not measured and does not contribute to the calorimeter signal. One has a similar situation with neutrinos which are usually take into account in  $f_B$ .

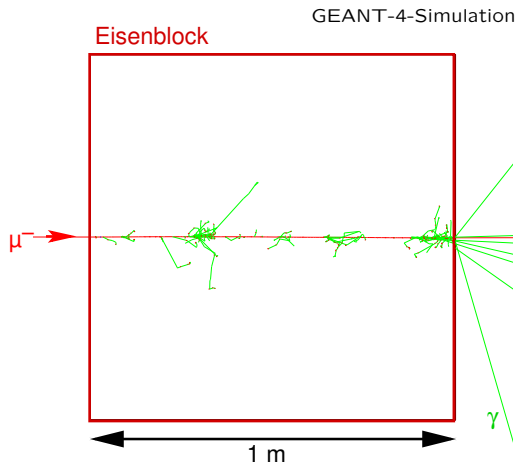
Contribution of the energy  $E_{dep}$  deposited to a block of matter

$$E_{dep} = (f_{em} + \underbrace{f_{ion} + f_n + f_\gamma + f_B}_{=: f_h}) E_{dep}$$

$\underbrace{\hspace{10em}}_{=1 \text{ per definitionem}}$

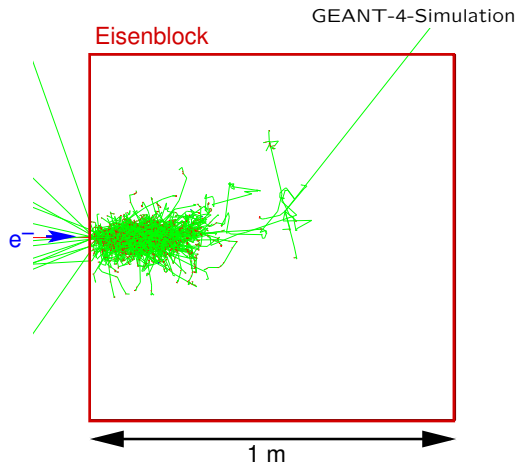
- $f_{em}$  varies strongly in a hadronic shower between 0 and 1 if no or only neutral pions are generated in the first interactions.
- The composition of the hadron component is independent of the type and energy of the incoming hadron.

# Passage of muons through matter



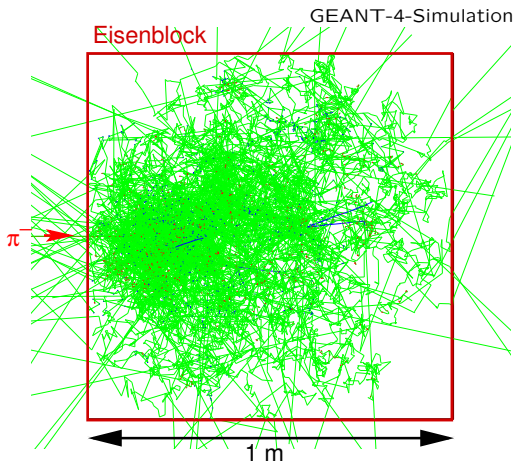
- Muon energy: 10 GeV.
  - Muons: only electroweak interaction.
  - Muons are heavy particles.
- ⇒ Small energy loss by excitation and ionization of iron atoms.
- ⇒ Muon passes the entry iron block.

# Passage of electrons through matter



- Electron energy: 10 GeV.
- Electrons: only electroweak interaction.
- ⇒ Large energy loss mainly by bremsstrahlung.
- ⇒ Evolution of an electron photon shower.
- ⇒ The electrons is stopped in the iron block.

# Passage of pions through matter



- Pion energy: 10 GeV.
  - Charged pions: electroweak and strong interaction.
  - Charged pions are heavy charged particles.
- ⇒ Small energy loss by excitation and ionization of iron atoms.
- ⇒ But development of a hadron shower.
- ⇒ As the strong interaction is short range, the shower almost completely fill the iron block.
- ⇒ The block is just thick enough to stop the pion.

## Nomenclature

Passive medium: Material in which the shower develops.

Aktive medium: Material in which the electronically detectable signals of the shower particles are created.

## Two types of calorimeters

- Homogeneous calorimeters, in which the active material also serves as passive material.
- Inhomogeneous calorimeters or sampling calorimeters with alternating layers of active and passive materials.

Hadron calorimeters are always sampling calorimeters in order to limit their size. There are homogeneous and inhomogeneous electromagnetic calorimeters.



- Electromagnetic calorimeters are segmented longitudinally in order to be able to measure the longitudinal shower shape. This allows for the discrimination of showers initiated by electrons from showers initiated by pions which are longer than electron showers.
- In hadron calorimeters, the longitudinal segmentation is important for the discrimination of the different shower components.

## Tailcatcher

This is a longitudinal extension of a calorimeter for the rough measurement of the shower tails to minimize detection losses.

## Presampler

This is used in front of an electromagnetic calorimeter to identify if a shower initiated by a photon started before the calorimeter.

## Lateral structure

The lateral segmentation has to be chosen small enough to separate neighbouring showers. So the segmentation is given by the Molière radius for electromagnetic calorimeters and by the nuclear interaction length for hadron calorimeters.

## Energy resolution

- The energy measurement in a calorimeter consist of the detection of the shower particles. The measured energy is proportional to the number of detected shower particles  $N$  leading to  $\frac{\delta E}{E} = \frac{\delta N}{N} = \frac{1}{\sqrt{N}}$ .
- In a real calorimeter contributions to the energy resolution from detector noise and mechanical and electronic non-uniformities must be taken into account:

$$\frac{\delta E}{E} = \frac{a}{\sqrt{E}} \oplus \underbrace{\frac{b}{E}}_{El. \ noise} \oplus \underbrace{c}_{Non-uniformities}$$

## Linearity

Not only  $\frac{\delta E}{E}$  is important, but also that the measured signal depends linearly on  $E$ .