

# A/E PSD for the GERDA experiment



## Outline:

- Neutrinoless double beta decay
- The GERDA experiment
- Introduction to some GERDA Backgrounds
- PSD for GERDA Phase-I BEGes
- Outlook & Summary

**Heng-Ye Liao**

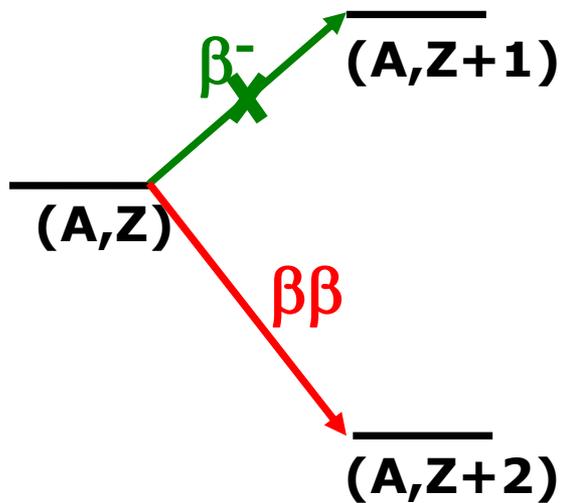
**for the GERDA collaboration**

**Max-Planck-Institut für Physik**

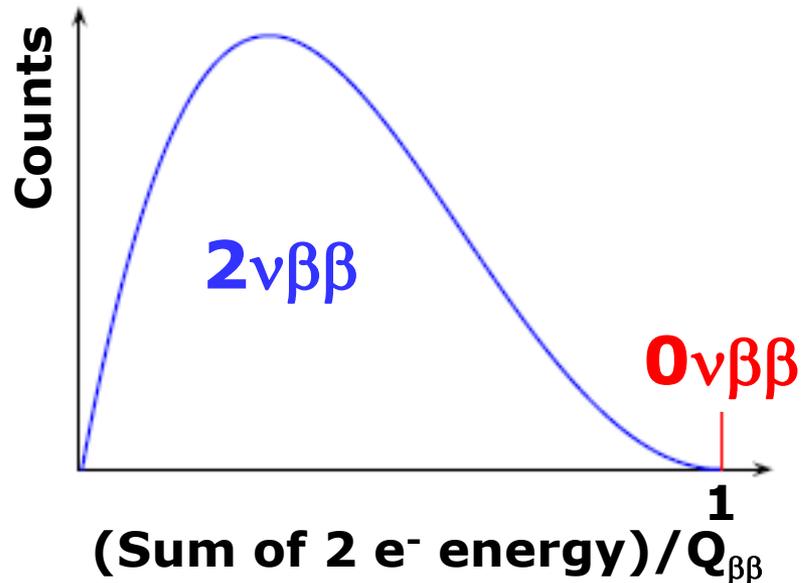
**IMPRS @ Föhringer Ring 6, Munich,  
17/01/2014**



# Neutrinoless Double Beta Decay



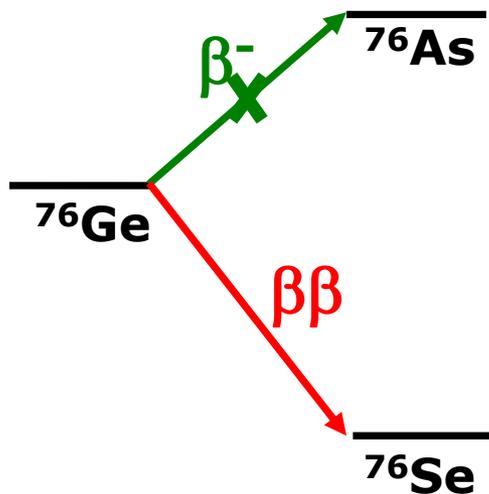
- Single  $\beta$  decay is not allowed for some isotopes, only  $\beta\beta$  decay
- $2\nu\beta\beta$  decay:  
 $(A, Z) \rightarrow (A, Z+2) + 2e^- + 2\bar{\nu}$   
**SM allowed & observed**
- $0\nu\beta\beta$  decay: ( $\nu = \bar{\nu}$ )  
 $(A, Z) \rightarrow (A, Z+2) + 2e^-$   
**if  $\nu$  is Majorana particle**



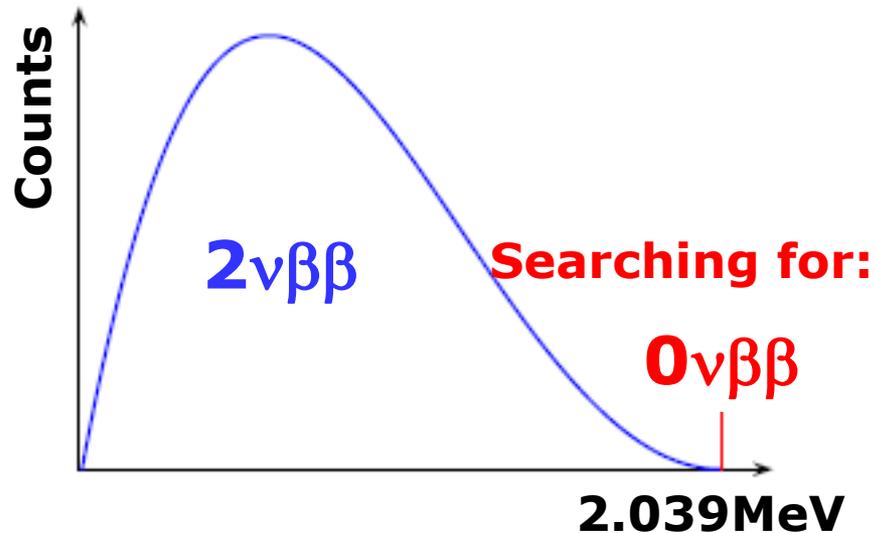
Study of  $0\nu\beta\beta$  can:

- Discover lepton number violation
- Determine nature of  $\nu$  (Majorana or Dirac)
- Give information on absolute  $\nu$  mass  
 $\rightarrow$  Mass hierarchy of  $\nu$

# Neutrinoless Double Beta Decay



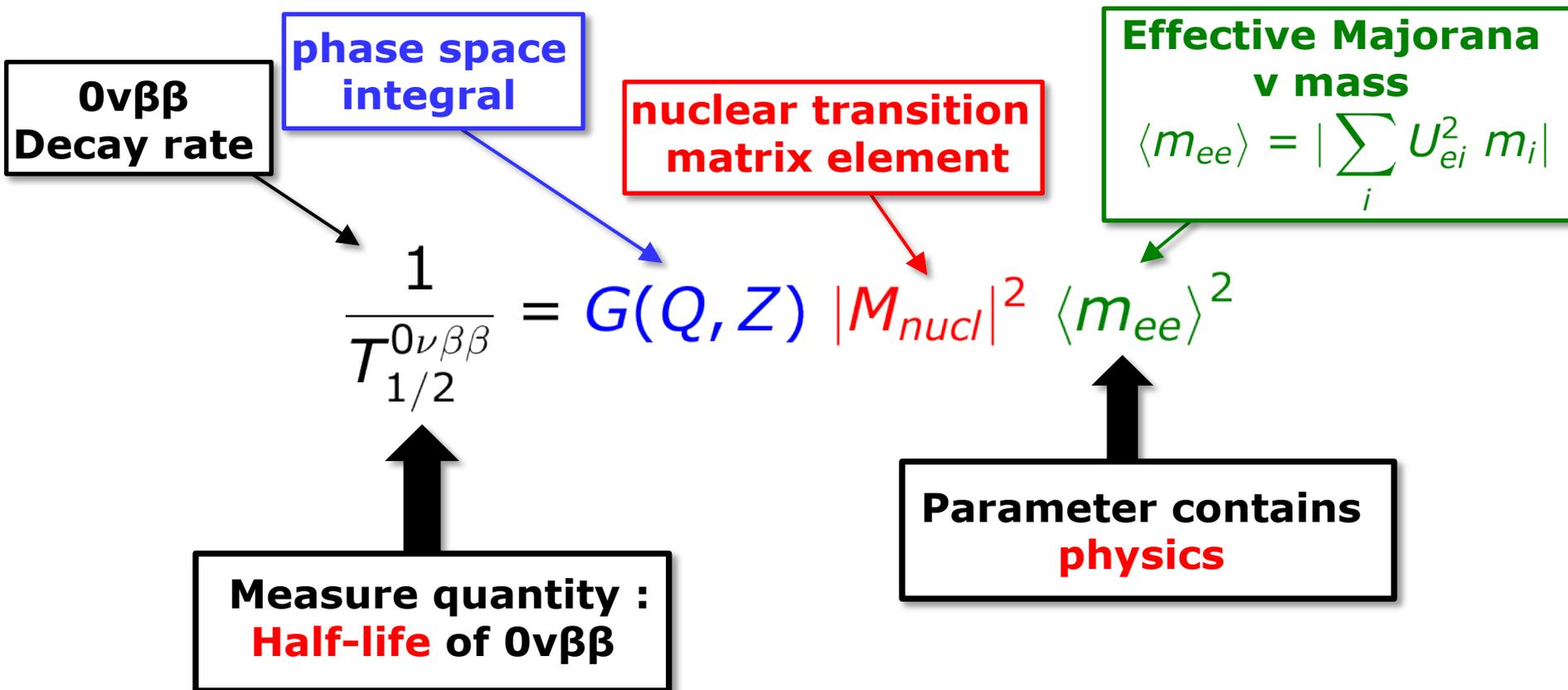
- Single  $\beta$  decay is not allowed for some isotopes, only  $\beta\beta$  decay
- $2\nu\beta\beta$  decay:  
 $^{76}\text{Ge} \rightarrow ^{76}\text{Se} + 2e^- + 2\bar{\nu}$   
**SM allowed & observed**
- $0\nu\beta\beta$  decay: ( $\nu = \bar{\nu}$ )  
 $^{76}\text{Ge} \rightarrow ^{76}\text{Se} + 2e^-$   
**if  $\nu$  is Majorana particle**



⇒ Use detector made of  $\beta\beta$  emitting material:  
**HP  $^{76}\text{Ge}$  detector**

⇒ Experimental signature:  
**(1) A sharp peak at 2.039 MeV**  
**(2) Single Site Events**

# Experimental Observable of $0\nu\beta\beta$ Decay



➡ **One measurement, lots of information**

# Experimental Challenges

- Experiments always have **backgrounds** that can mimic the signal
- To avoid backgrounds:
  - Compact shielding design
  - Radio pure materials close to the detector  
Typical activities  $\sim \mu\text{Bq/kg}$   
→ careful choice of materials + screening tests  
+ Minimizing the support structure
  - Go underground to reduce cosmic backgrounds (cosmogenic activation on detector materials, muons)
- Establish techniques able to distinguish signals from backgrounds  
→ Use **intelligent detectors**

# Experimental Challenges

- Experiments always have **backgrounds** that can mimic the signal
- To avoid backgrounds:
  - Compact shielding design
  - Radio pure materials close to the **Warning:**  
**Typical activities  $\sim \mu\text{Bq/kg}$**   
 **$^{40}\text{K} \sim 10^{-2} \text{ Bq/kg}$**   
→ careful choice of materials + screening tests  
+ Minimizing the support structure
  - Go underground to reduce cosmic backgrounds (cosmogenic activation on detector materials, muons)
- Establish techniques able to distinguish signals from backgrounds  
→ Use **intelligent detectors**



# Experimental Challenges

- Experimental challenges
  - To reduce background
    - Commerical Ge detector
    - GERDA Ge detector
- careful choice of materials + screening tests + **Minimizing the support structure**
- Go underground to reduce cosmic backgrounds (cosmogenic activation on detector materials, muons)
  - Establish techniques able to distinguish signals from backgrounds
    - Use **intelligent detectors**



Background

sign  
close to the  
Bq/kg



to mimic

# Experimental Challenges

There are  $\sim 35$  candidates in nature, however ...

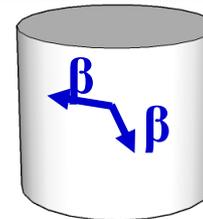
detection eff.  $\rightarrow$   $\epsilon$ 
enrichment fraction  $\rightarrow$   $a$ 
 $MT$ : exposure (kg·yr)  $\rightarrow$   $MT$ 
energy resolution  $\rightarrow$   $\Delta E$

$$T_{1/2}^{0\nu\beta\beta} \propto \epsilon a \sqrt{\frac{MT}{B \Delta E}}$$

background index (Cts/day·kg·keV)  $\rightarrow$   $B$

● Why HP  $^{76}\text{Ge}$  detector ?

- High detection efficiency
- Very good energy resolution ( $\sim 0.2\%$  in ROI)
- Intrinsically pure



(source=detector)

● Why enrichment ?



=



1  $^{76}\text{Ge}$  diode

11x  $^{\text{nat}}\text{Ge}$  diodes

# The GERDA Experiment

- **GER**manium **D**etector **A**rray
- Search for  $0\nu\beta\beta$  decay in  $^{76}\text{Ge}$   
@  $Q_{\beta\beta} = 2.039 \text{ MeV}$
- Location: Hall A, LNGS
- Overburden: 3500 m.w.e

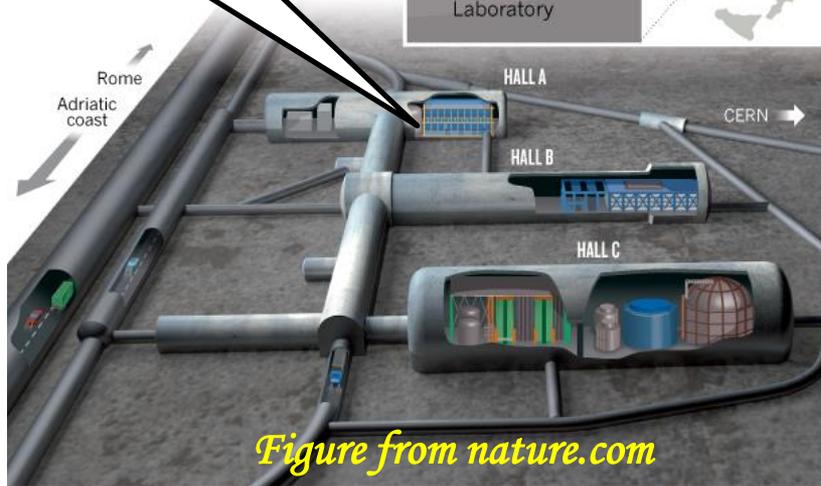
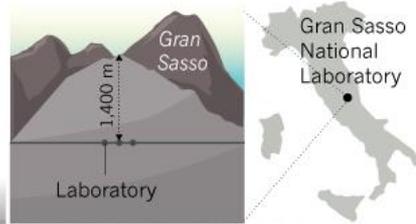
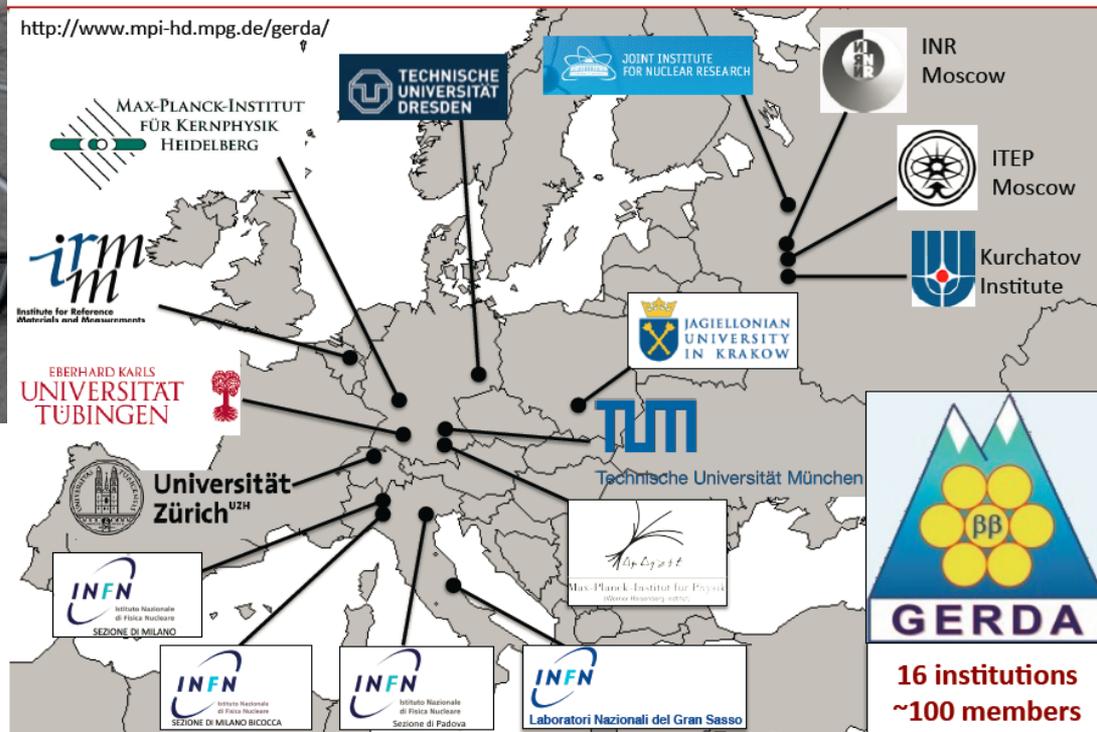


Figure from nature.com

## The GERDA Collaboration



# The GERDA Experiment

## • Previous results for $^{76}\text{Ge}$ $0\nu\beta\beta$ decay:

- **limit:**  $T_{1/2}^{0\nu\beta\beta} > 1.9 \cdot 10^{25}\text{yr}$  @ 90% C.L. from HDM and IGEX  
[EPJ. A12 (2001)147-154]
- **claim:**  $T_{1/2}^{0\nu\beta\beta} > 1.2 \cdot 10^{25}\text{yr}$  Klapdor-Kleingrothaus et al.,  
[PL B586 (2004) 198]

## • Phase-I:

- Data taking: Nov. 2011 to Jun 2013, exposure: 21.6 kg·yr
- Detector:
  - 8  $^{\text{enr}}$ coax detectors(17.7 kg) from HDM & IGEX
  - 5  $^{\text{enr}}$ BEGe Phase-II detectors (3.6 kg) (started in May 2012)
  - 1 non-enriched coaxial detector (3.0 kg)
- BI:  $\sim 10^{-2}$  Cts/(keV·kg·yr)
- **Physics result:**  $T_{1/2}^{0\nu\beta\beta} > 2.1 \cdot 10^{25}\text{yr}$  @ 90%C.L. [PRL 111 (2013) 122503]  
 $T_{1/2}^{0\nu\beta\beta} > 3.0 \cdot 10^{25}\text{yr}$  in combine with HDM & IGEX results
- **Phase-I successfully completed, Klapdor claim strongly disfavored**

## • Phase-II:

- Detector: +20 kg  $^{\text{enr}}$ BEGe detectors
- Design goal: BI= $10^{-3}$  Cts/(keV·kg·yr) + exposure: 100 kg·yr
- Expected sensitivity:  $\sim 10^{26}$  yr

# The GERDA Experiment

**3+1 string arms**

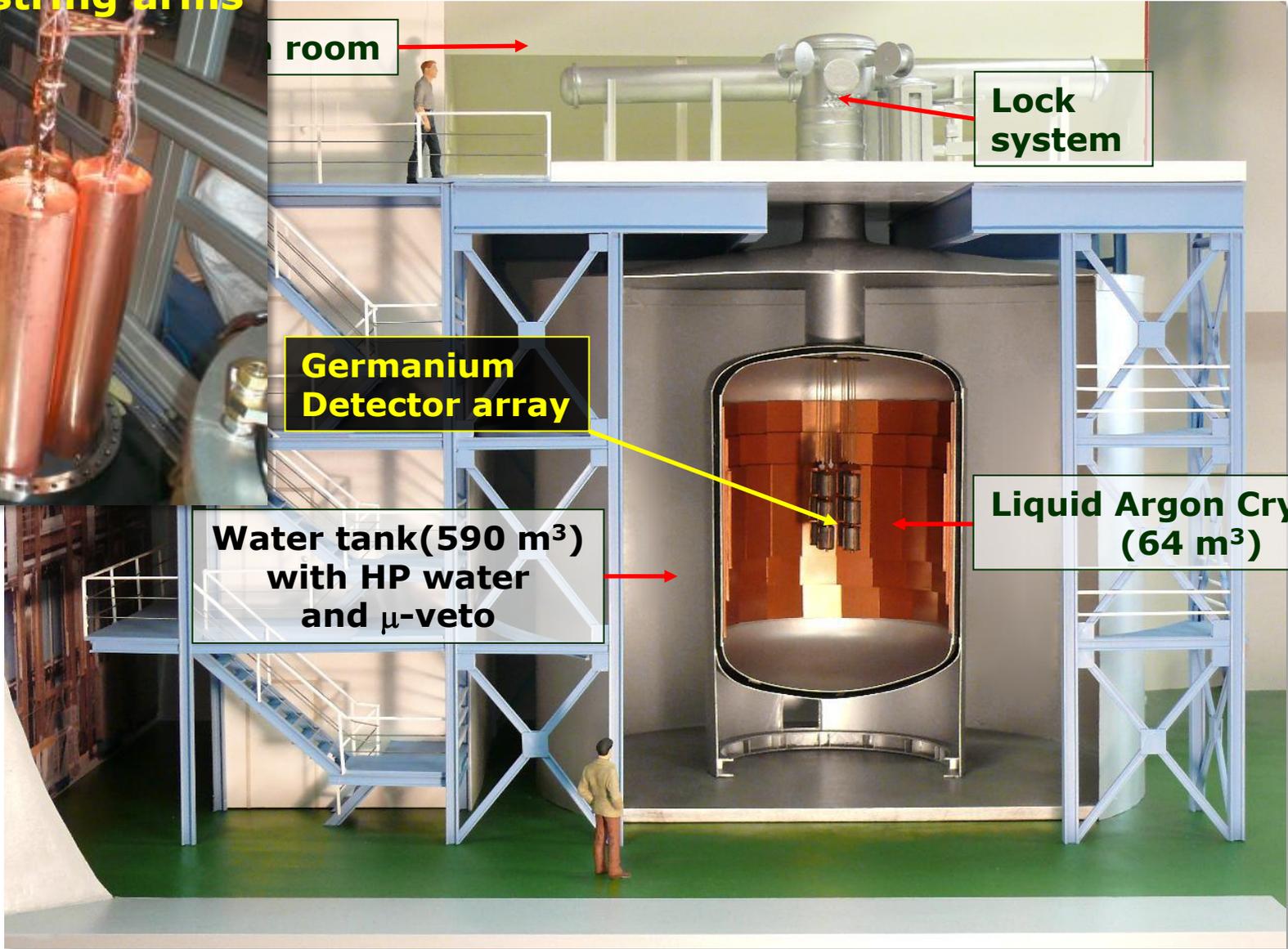
**room**

**Lock system**

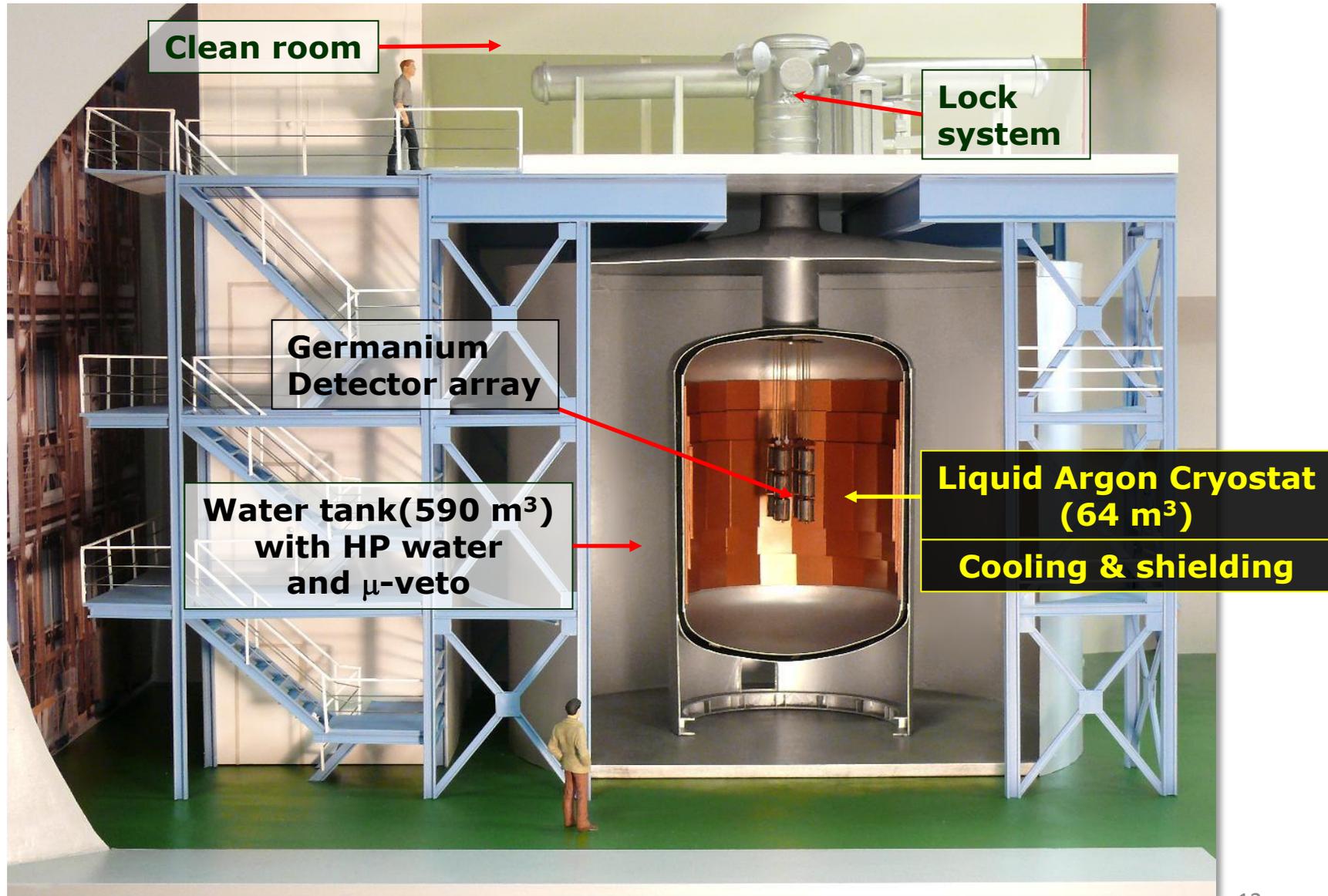
**Germanium Detector array**

**Water tank (590 m<sup>3</sup>)  
with HP water  
and  $\mu$ -veto**

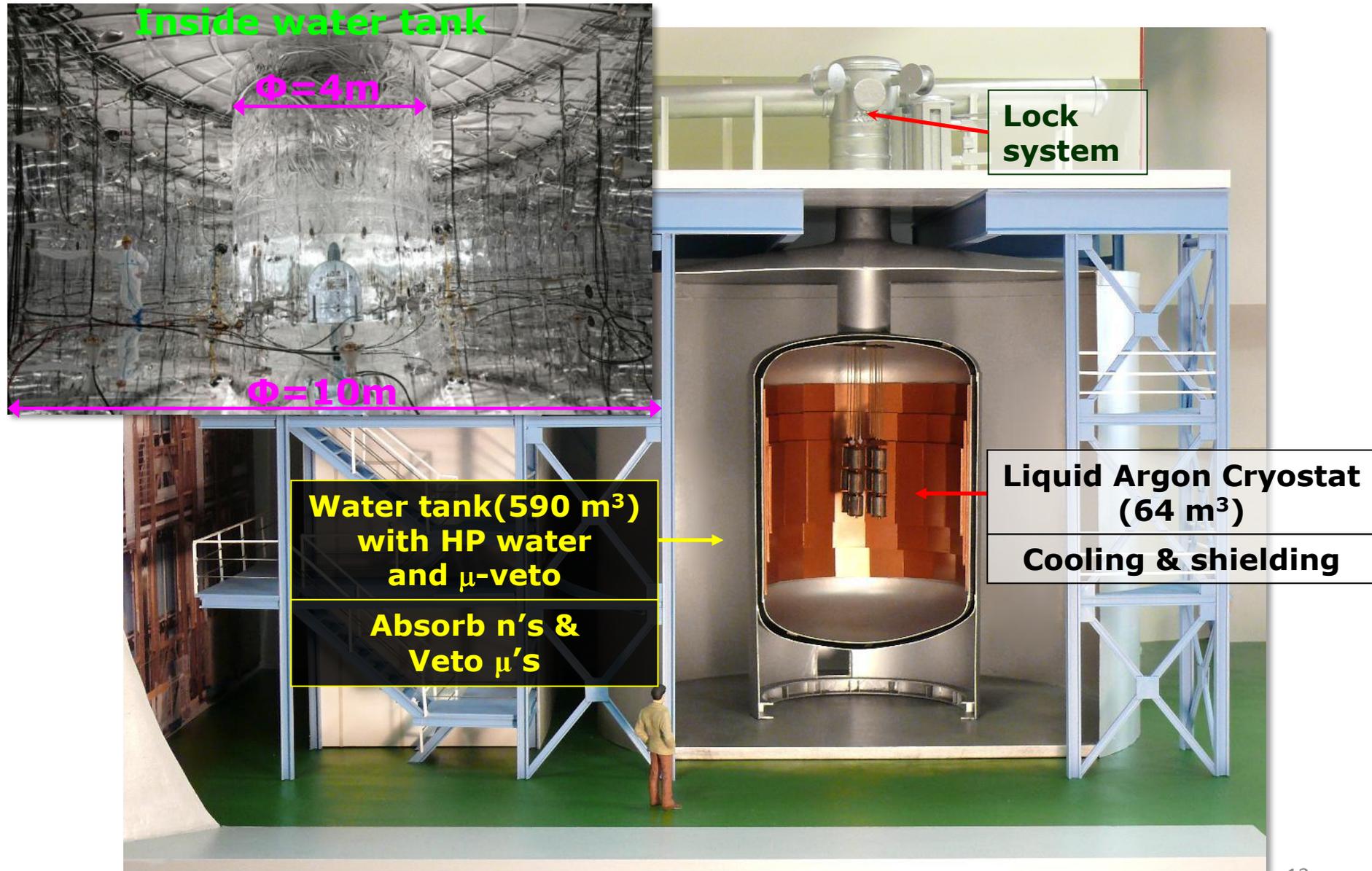
**Liquid Argon Cryostat  
(64 m<sup>3</sup>)**



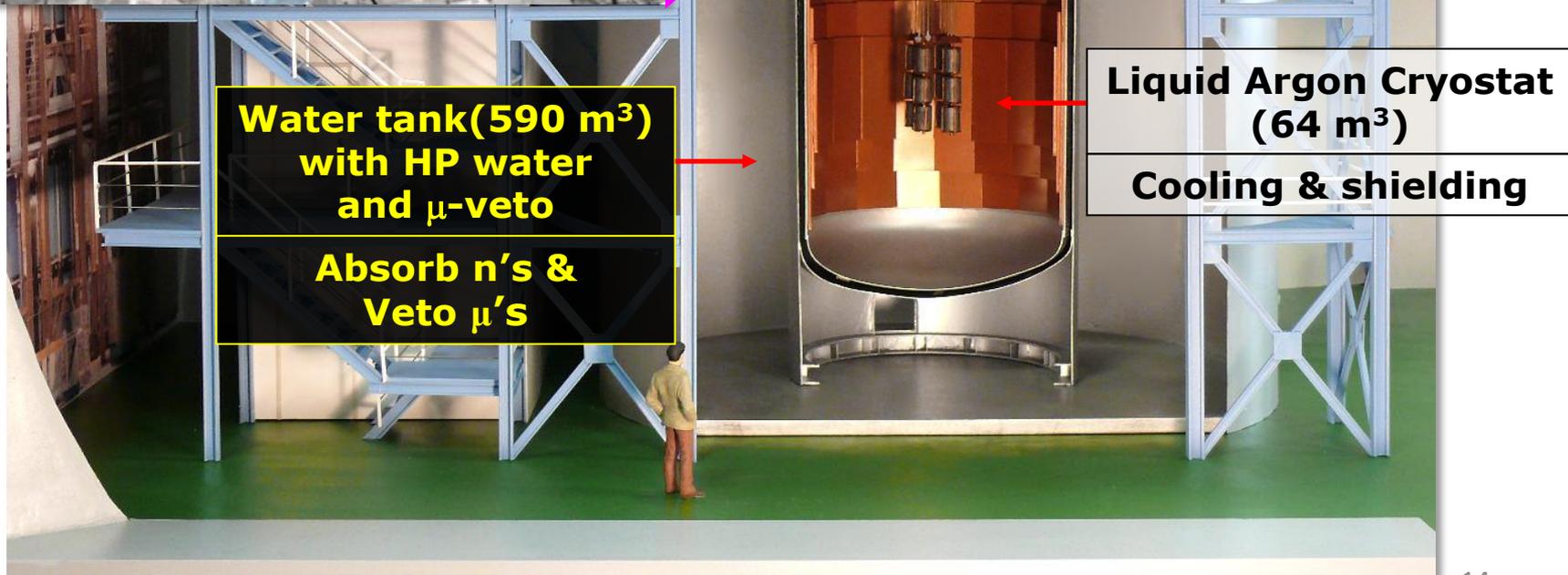
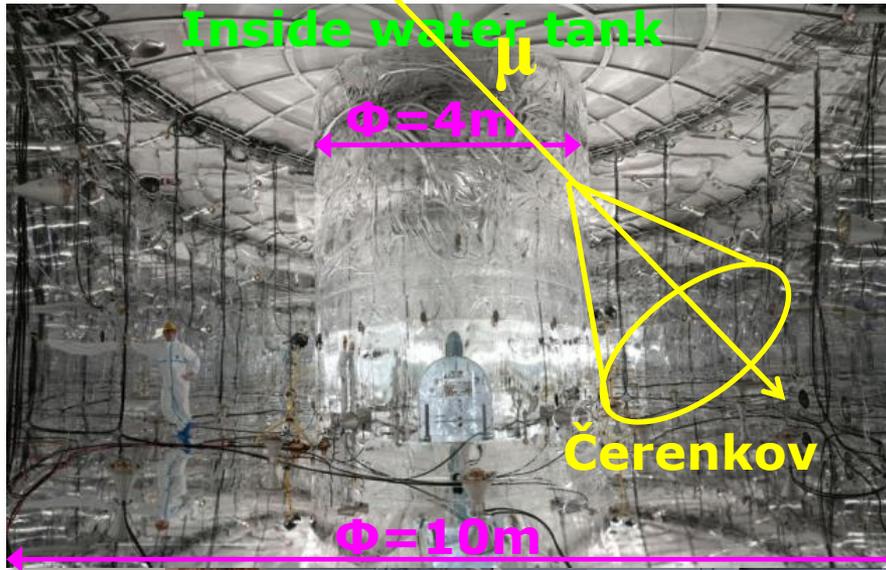
# The GERDA Experiment



# The GERDA Experiment

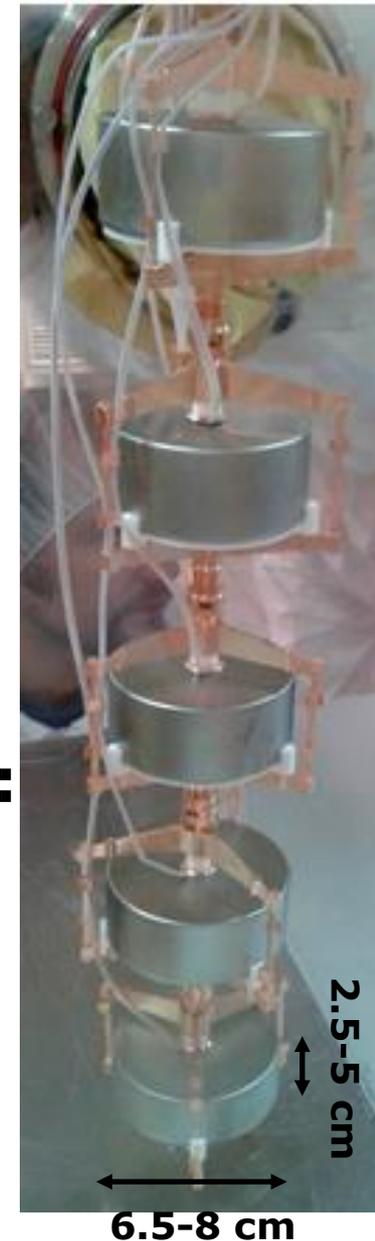


# The GERDA Experiment

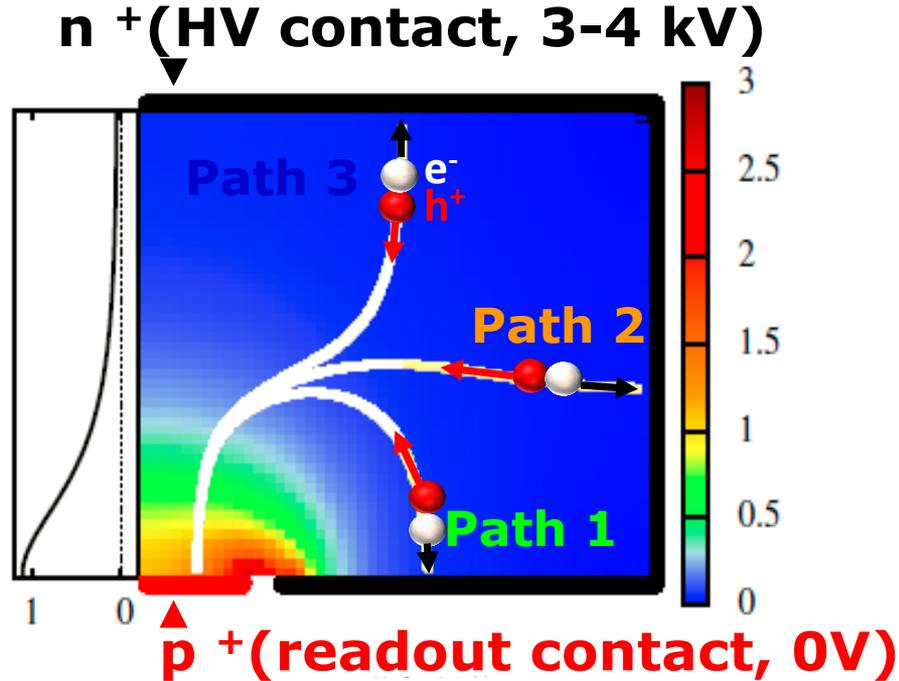


# GERDA Phase-I BEGe Detectors

- **Broad Energy Germanium Detectors**
- **Advantages of BEGe detectors:**
  - ✓ **Low capacity → low noise**
  - ✓ **Very good energy resolution**
    - @ 2.6 MeV:
      - $\Delta E_{\text{coaxial}} \sim 4.5 \text{ keV}$
      - $\Delta E_{\text{BEGe}} \sim 3 \text{ keV}$
  - ✓ **Powerful PSD to reject backgrounds:**
    - **A/E parameter**
- **Total exposure for BEGe detectors:**
  - 2.4 kg·yr**



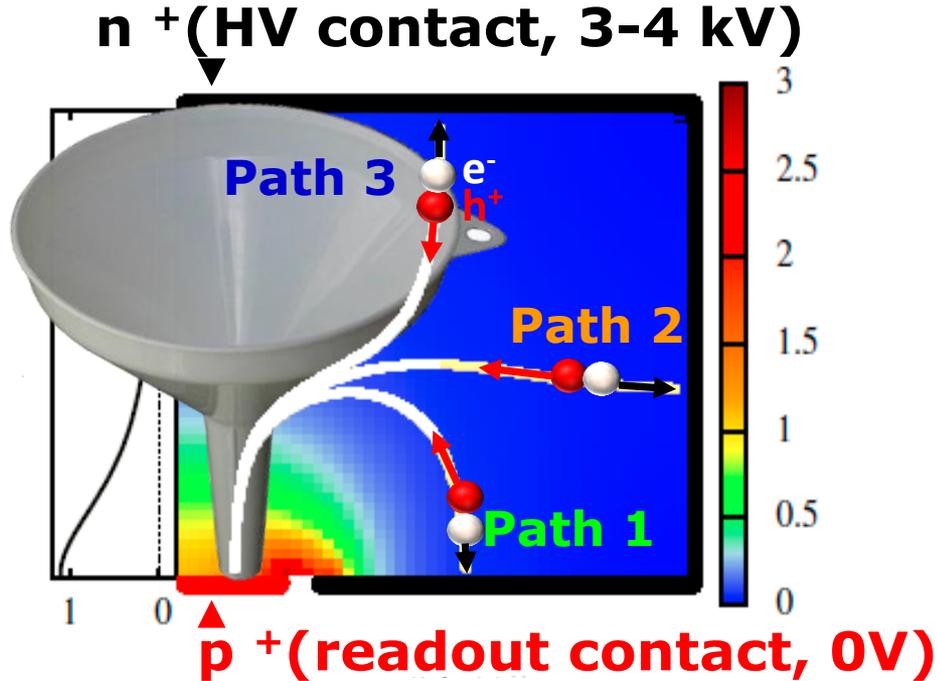
# Pulse Shape Properties of BEGes



## Properties of E-field of BEGe:

- h<sup>+</sup>s are collected toward the readout electrode in the same path
- Different interaction positions

# Pulse Shape Properties of BEGes



## Properties of E-field of BEGe:

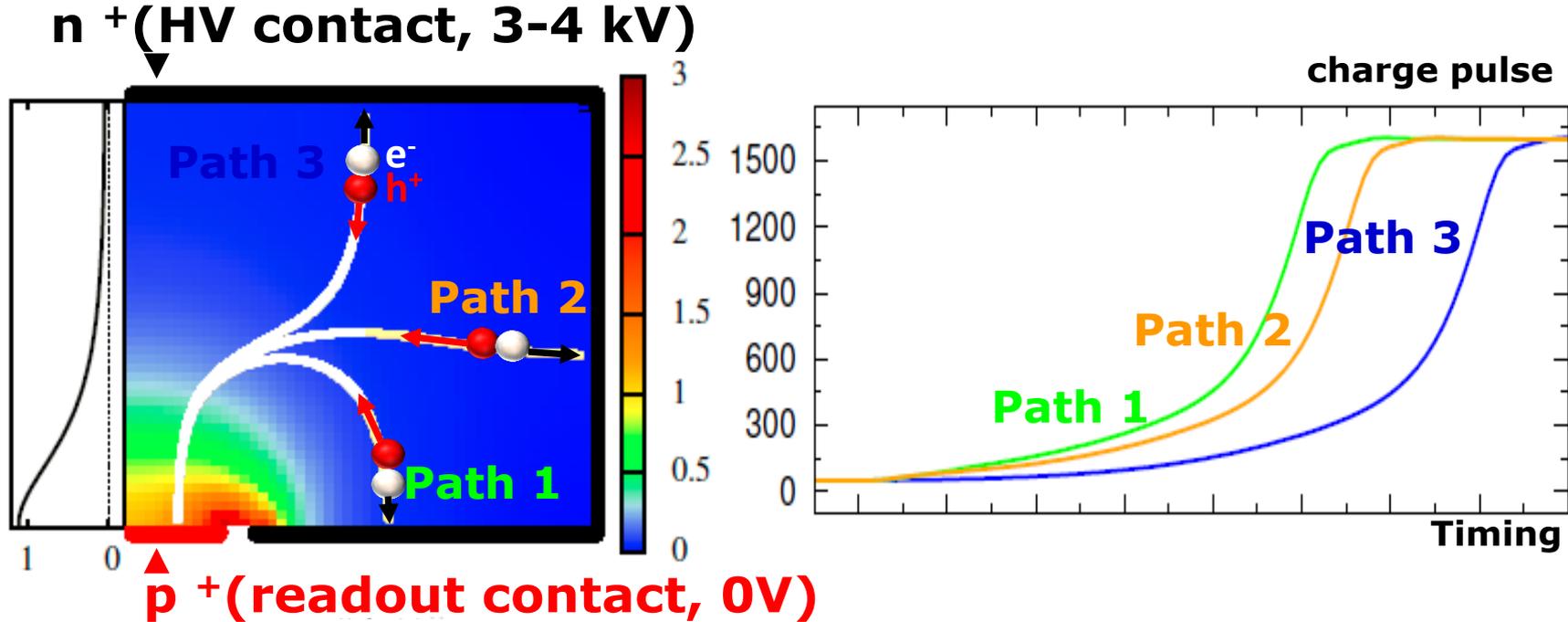
- $h^+$ s are collected toward the readout electrode in the same path



“Funneling effect”

- Different interaction positions

# Pulse Shape Properties of BEGes



## Properties of E-field of BEGes:

- $h^+$ s are collected toward the readout electrode in the same path



“Funneling effect”

- Different interaction positions



the same pulse height

Ramo-Shockley theorem:

$$Q = -q \cdot \phi$$

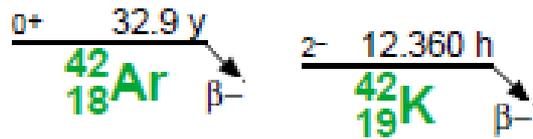
Charge Signal

charge W. potential

# $^{42}\text{K}$ Background in GERDA

- $^{42}\text{Ar}$ : Isotope of Ar created by cosmic-ray activation

- **Decay chain:**



$Q_{\beta^- 600}$

$Q_{\beta^-} 3525.4 \text{ keV}$



- $^{42}\text{K}$  ions get attracted by detector HV
- **GERDA Phase I approach:**  
Installation of **mini-shroud**  
→ Keep ions away from detectors



# $\alpha$ -induced events in GERDA

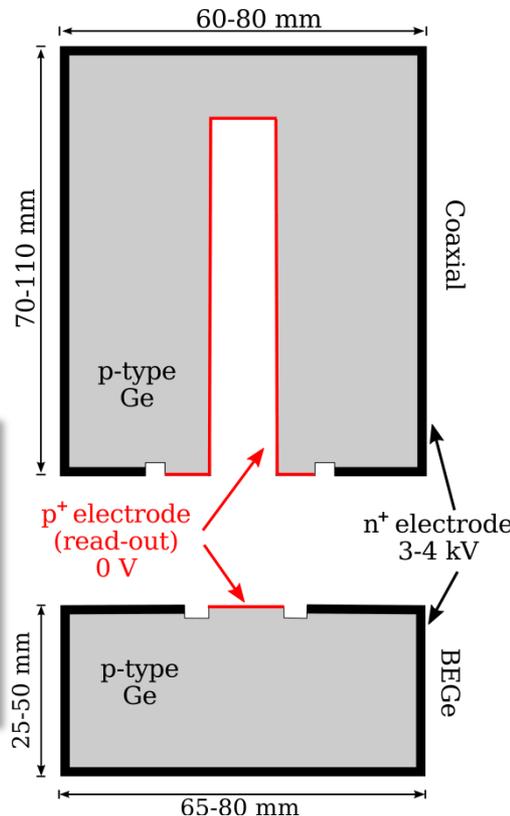
- Range of  $\alpha$  particles (4 MeV-9 MeV):  
34  $\mu\text{m}$  - 113  $\mu\text{m}$  in Lar  
14  $\mu\text{m}$  - 41  $\mu\text{m}$  in Ge
- Thickness of surface is different for  $p^+$  &  $n^+$  contacts.

$p^+(B) < 1 \mu\text{m}$

$n^+(Li) \sim 2 \text{ mm}$  for coax

$n^+(Li) \sim 1 \text{ mm}$  for BEGe

$\alpha$  contributes to bkg. only when the decays **on the  $p^+$  surface** or in Lar very close ( $< 100 \mu\text{m}$ ) to  $p^+$  surface



Ra-226 ( $E_\alpha = 4.8 \text{ MeV}$ ,  
 $T_{1/2} = 1600 \text{ y}$ )

Rn-222 ( $E_\alpha = 5.5 \text{ MeV}$ ,  
 $T_{1/2} = 3.8 \text{ d}$ )

Po-218 ( $E_\alpha = 6.0 \text{ MeV}$ ,  
 $T_{1/2} = 183 \text{ s}$ )

Pb-214 ( $T_{1/2} = 0.45 \text{ h}$ )

Bi-214 ( $T_{1/2} = 0.33 \text{ h}$ )

Po-214 ( $E_\alpha = 7.7 \text{ MeV}$ ,  
 $T_{1/2} = 164 \mu\text{s}$ )

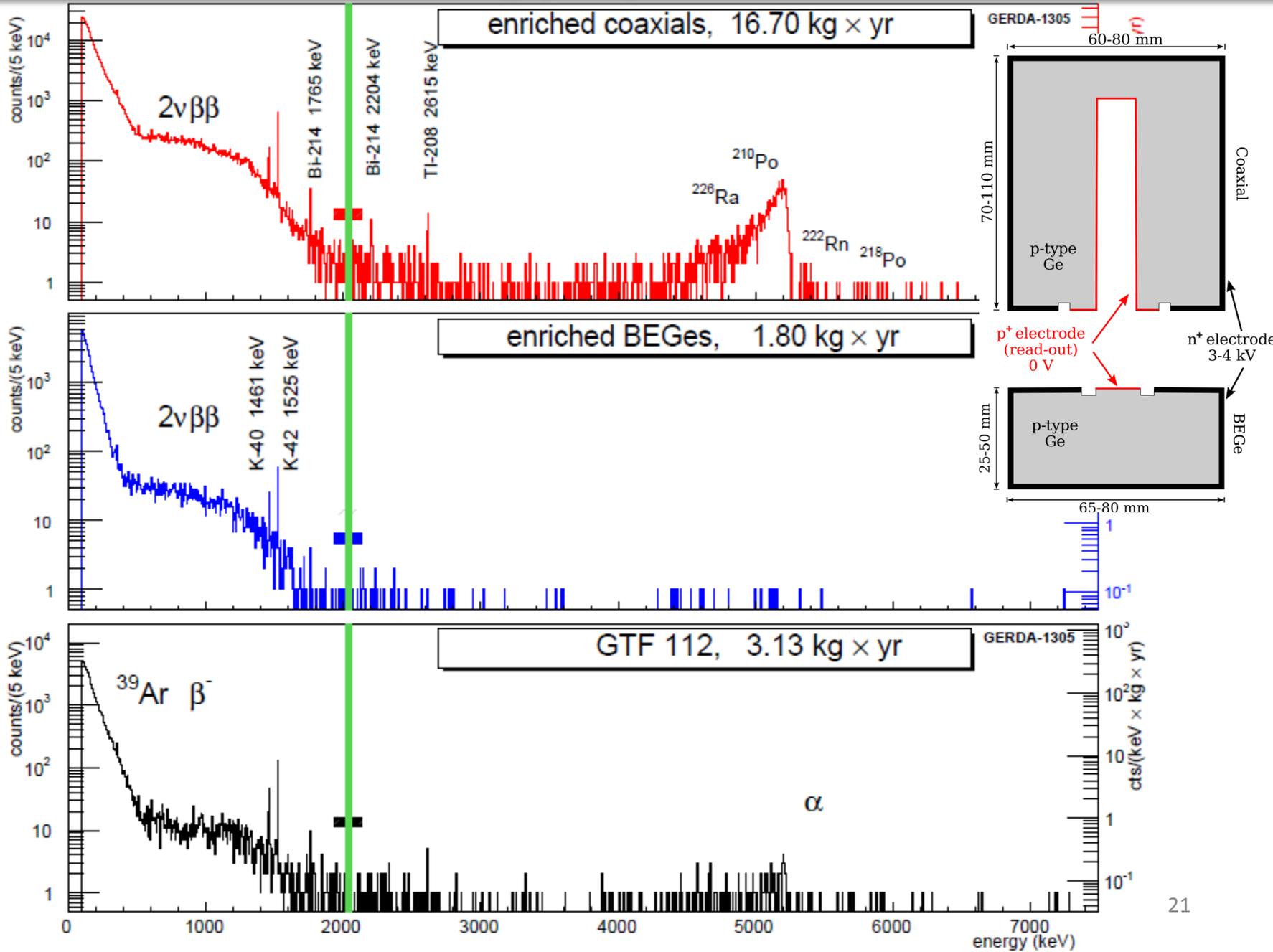
Pb-210 ( $T_{1/2} = 22.3 \text{ y}$ )

Bi-210 ( $T_{1/2} = 5.01 \text{ d}$ )

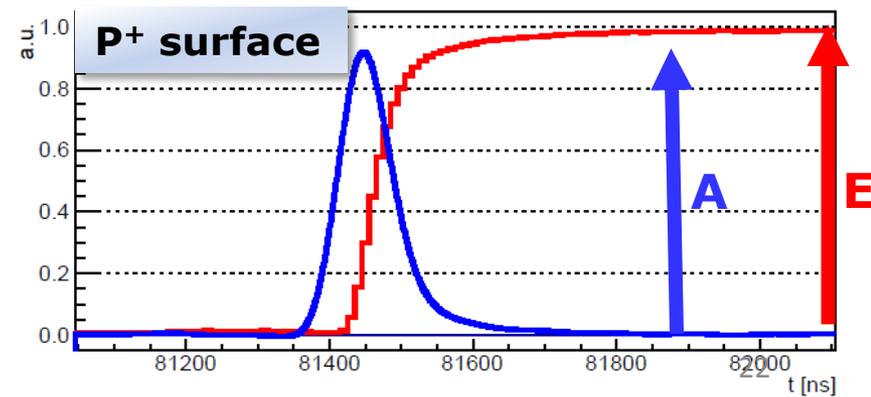
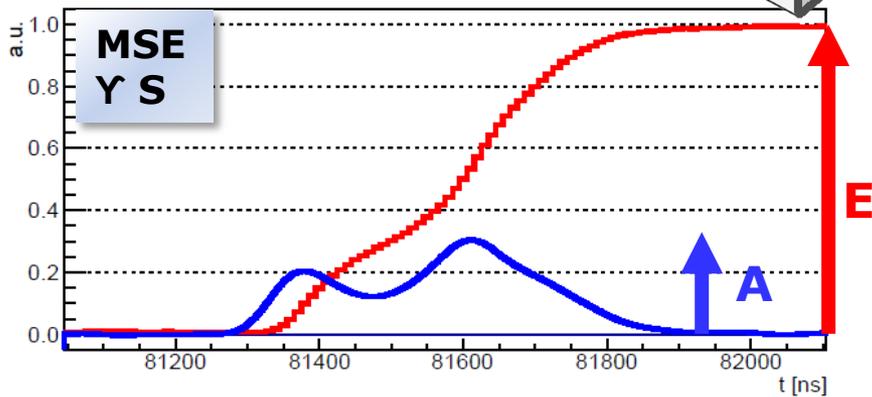
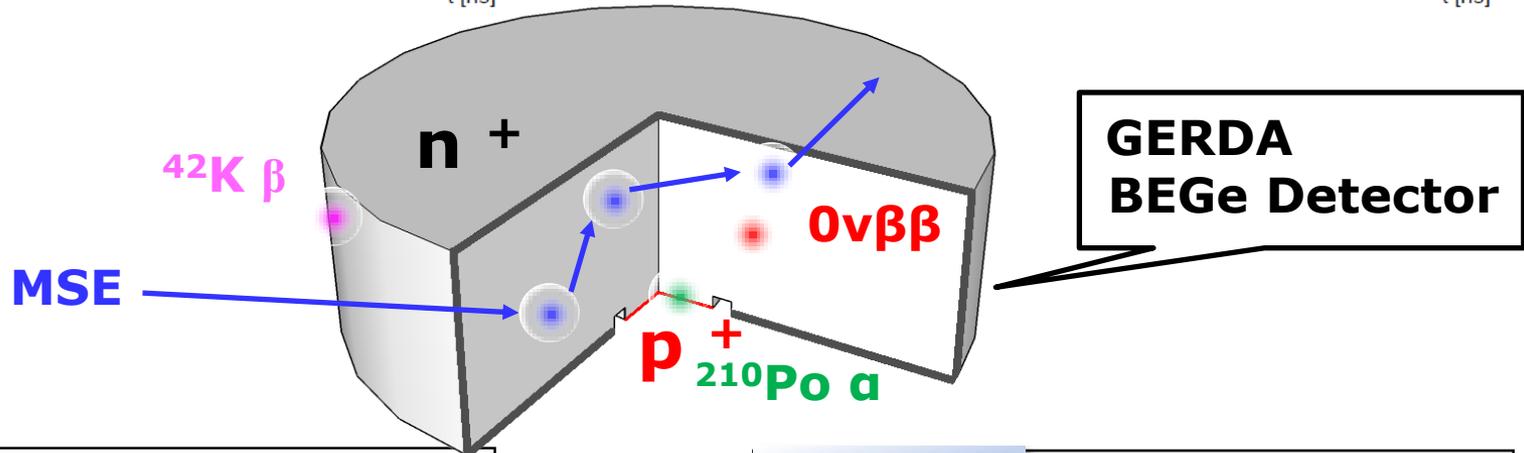
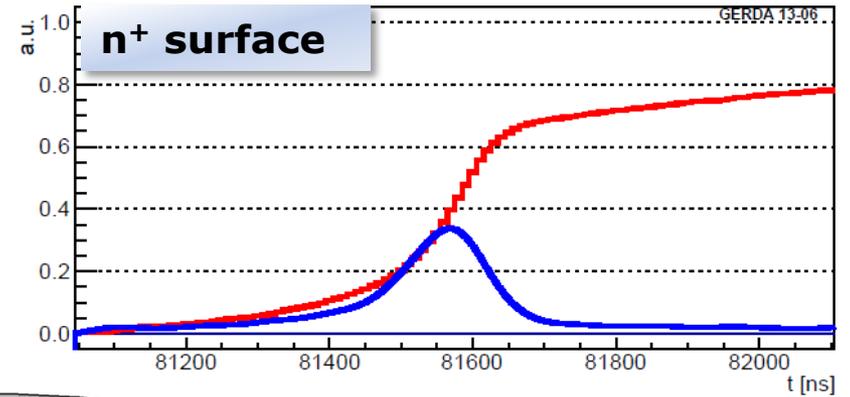
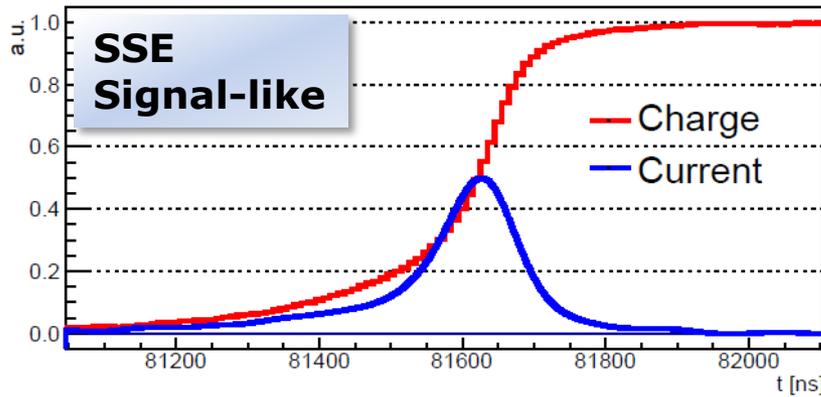
Po-210 ( $E_\alpha = 5.3 \text{ MeV}$ ,  
 $T_{1/2} = 138.4 \text{ d}$ )

Pb-206 (stable)

# Energy spectra



# A/E Pulse Shape Discrimination Method

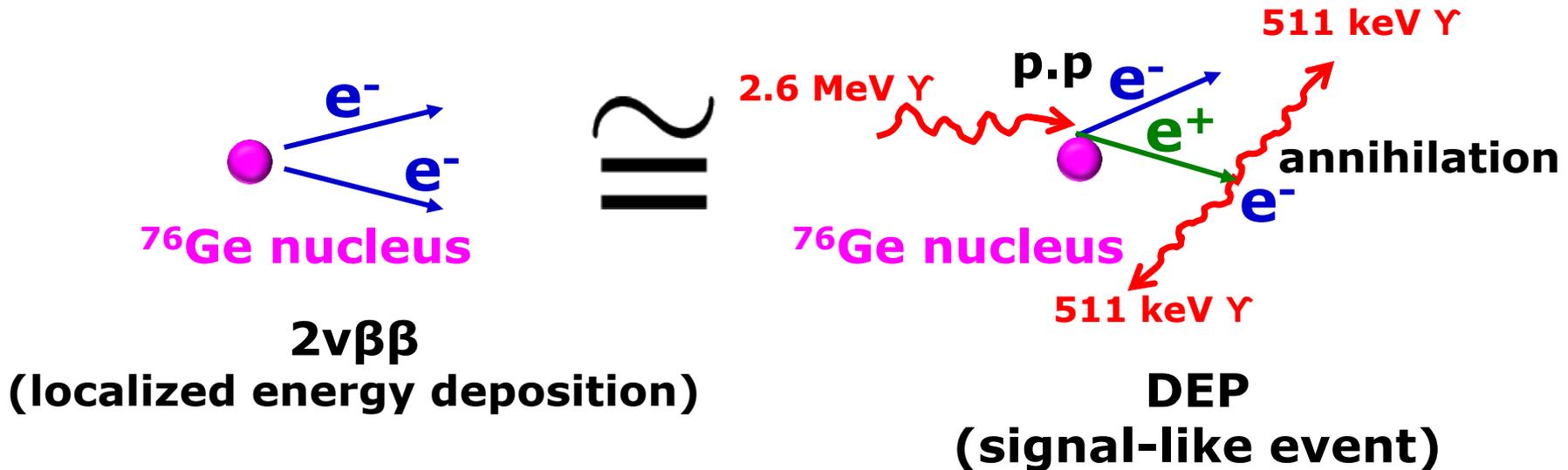


# BEGe Pulse Shape Discrimination

## BEGe PSD:

- Use **A/E** parameter
- Develop PSD method with  $^{228}\text{Th}$  calibration data  $\Rightarrow$  apply it on physics data
- **D**ouble **E**scape **P**eak events from 2.6 MeV  $\gamma$  of  $^{228}\text{Th}$  spectrum are SSEs  $\Rightarrow$  Proxy of  $0\nu\beta\beta$

## Event topology

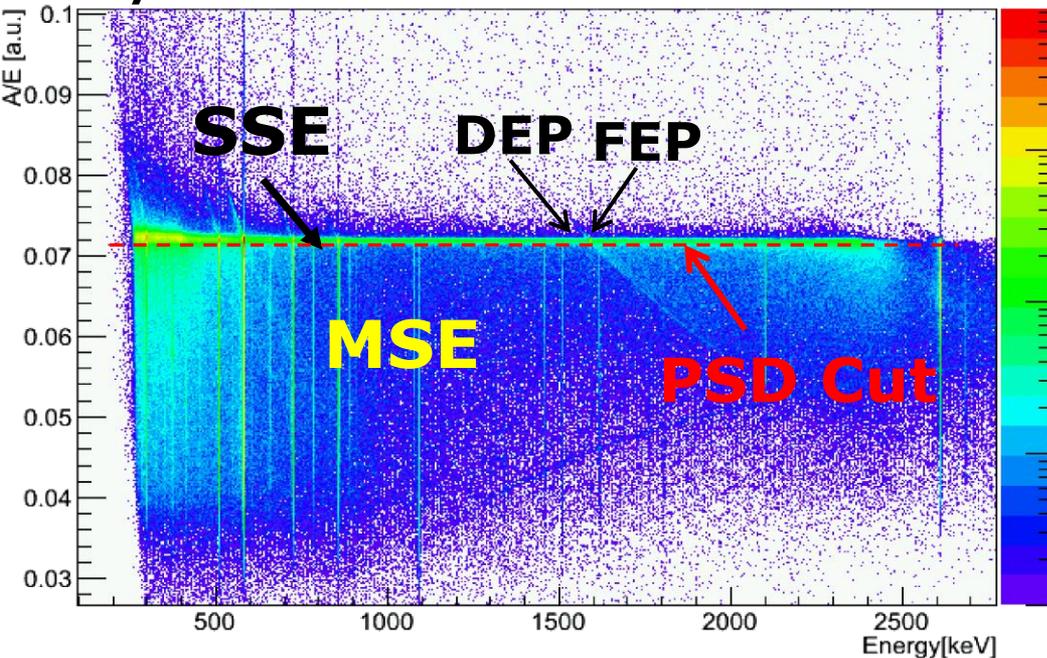


# BEGe Pulse Shape Discrimination

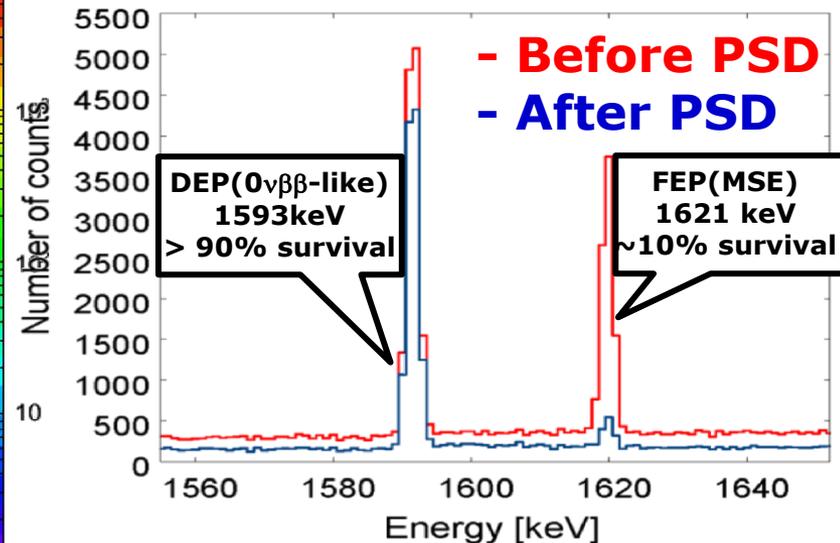
## BEGe PSD:

- Use **A/E** parameter
- Develop PSD method with  $^{228}\text{Th}$  calibration data  $\rightarrow$  apply it on physics data
- **Double Escape Peak** events from 2.6 MeV  $\gamma$  of  $^{228}\text{Th}$  spectrum are SSEs  $\rightarrow$  Proxy of  $0\nu\beta\beta$

A/E Distribution with  $^{228}\text{Th}$  source

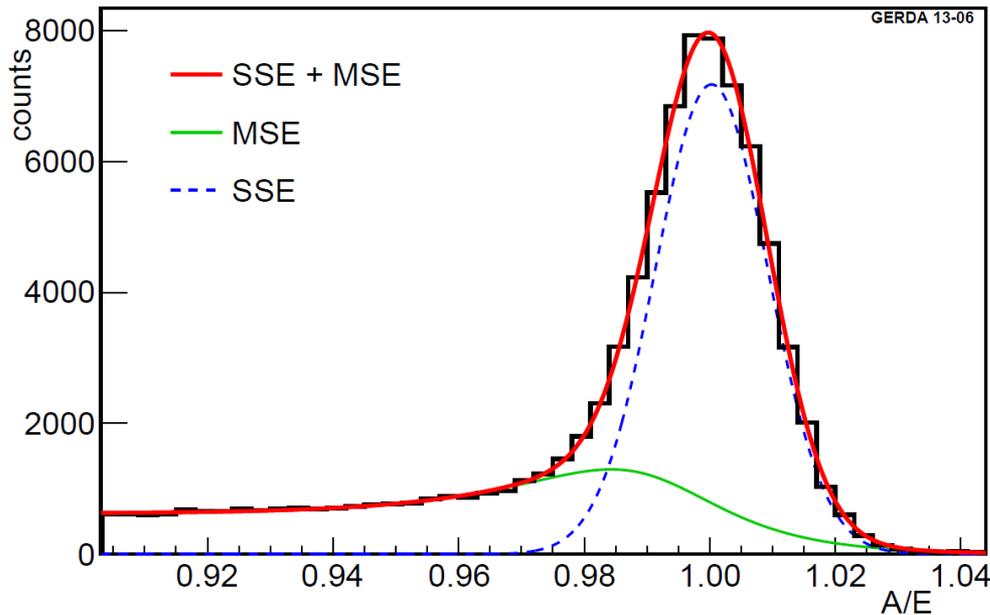
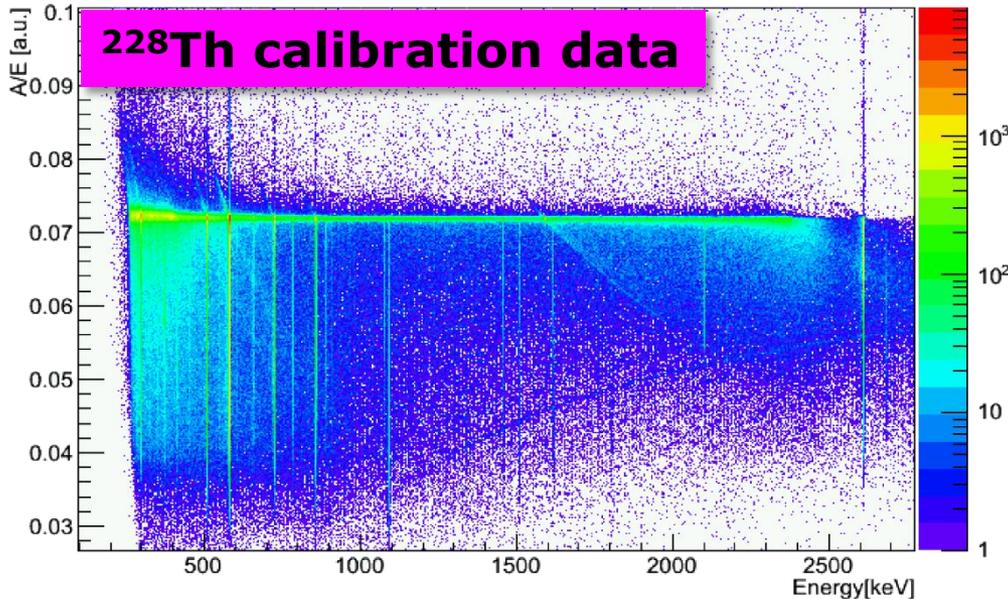


Energy spectrum with  $^{228}\text{Th}$  source



Dušan Budjaš et al, JINST 4 P10007, 2009

# A/E Modeling : Distributions



## A/E Distribution:

- Decompose to:  
func. of SSE + MSE
- SSE: Gaussian

$$s(A/E) = \frac{\alpha}{\sigma\sqrt{2\pi}} e^{-\frac{(E-\mu_{A/E})^2}{2\sigma^2_{A/E}}}$$

- MSE:

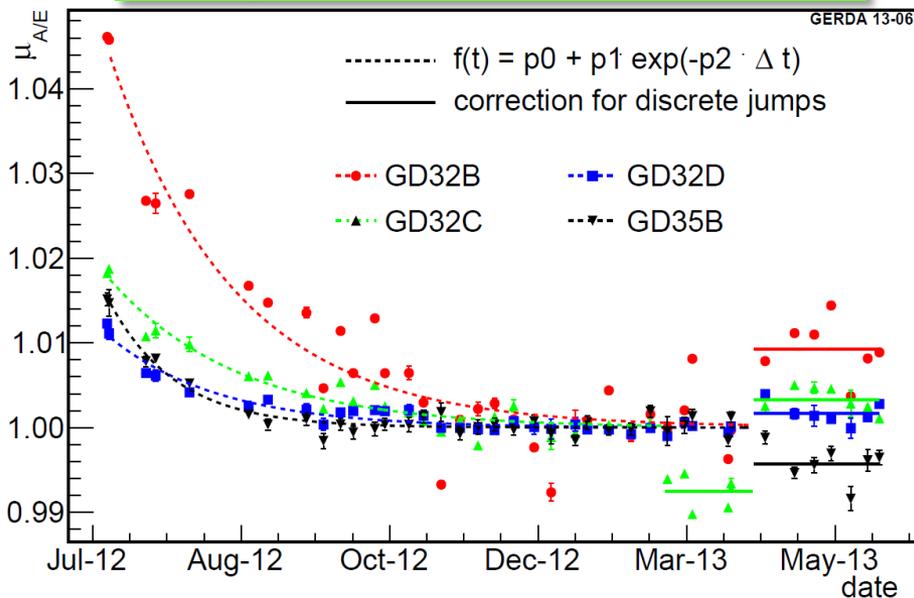
$$m(A/E) = \beta \cdot \frac{e^{\eta(E-\nu)} + \lambda}{e^{-\frac{(E-\nu)}{\zeta}} + 1}$$

# A/E PSD: Time Dependence Correction

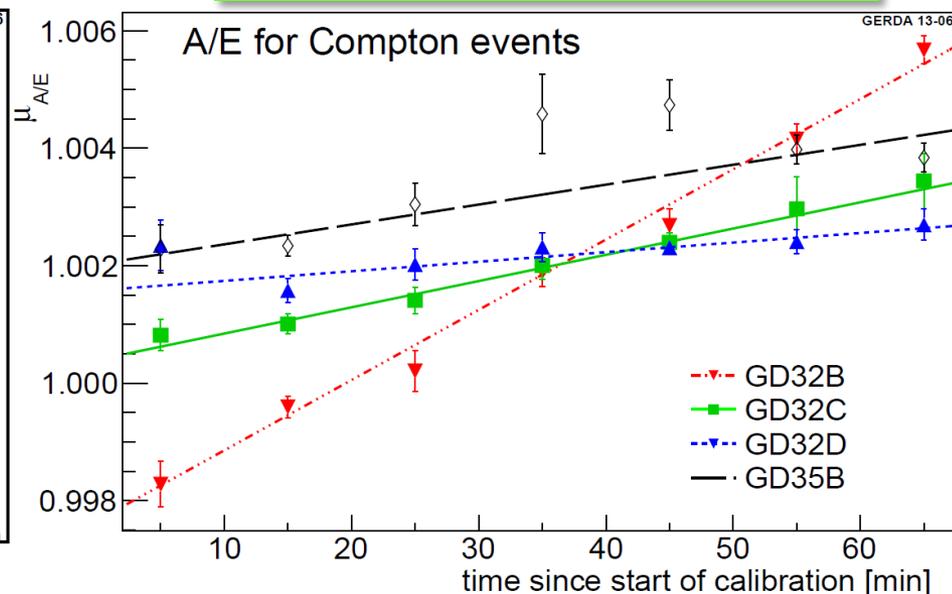
## A/E PSD:

- Sensitive to **A** performance
- Calibration using  $^{228}\text{Th}$  external source for every one/two weeks  
⇒ Monitor PSD stability over time
- Optimization of PSD/Global PSD cut:  
⇒ Normalization schemes are investigated

### Long term drift correction



### First 70 min correction

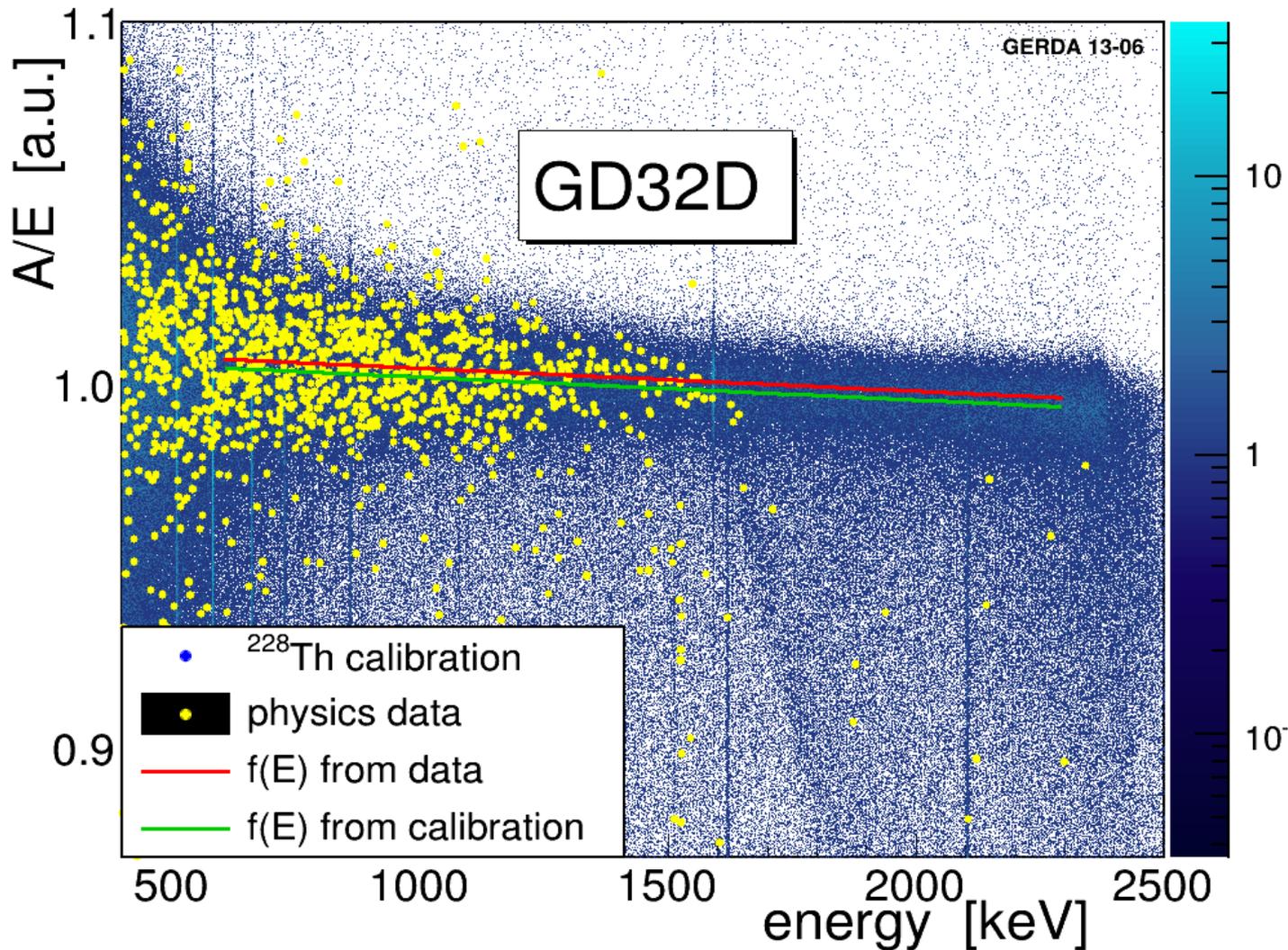


**$^{228}\text{Th}$  calibration data**

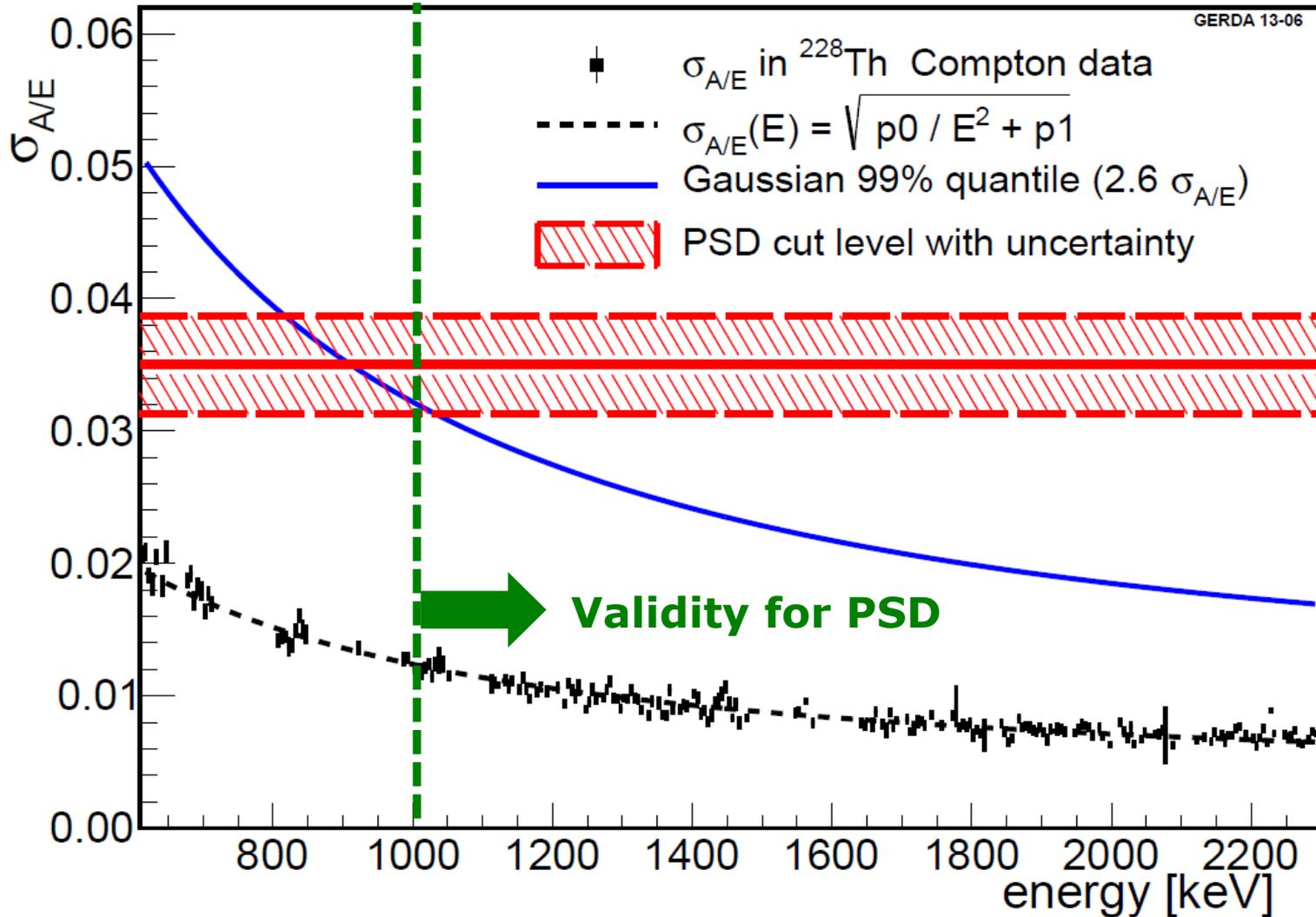
# A/E PSD: Energy Dependence Normalization

Energy dependence corr.

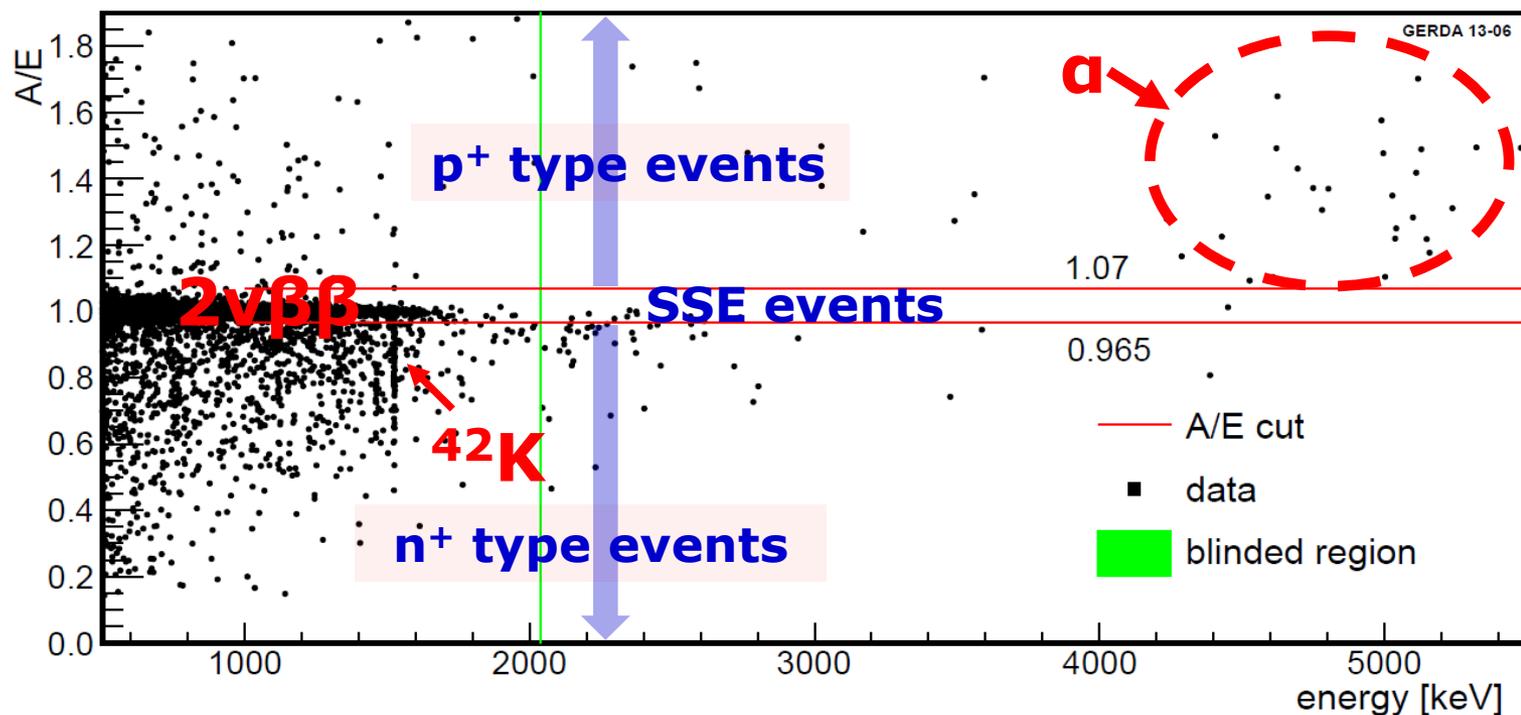
A/E w.r.t DEP peak norm.



# Global PSD for the GERDA Phase-I BEGe



# PSD for the GERDA Phase-I BEGe



region	low $A/E$ cut $A/E < 0.965$	high $A/E$ cut $A/E > 1.07$	surviving fraction $0.965 < A/E < 1.07$
<b><math>^{228}\text{Th}</math> calibration</b>			
DEP 1592.5 keV	$0.054 \pm 0.003$	$0.015 \pm 0.001$	$0.931 \pm 0.003$
FEP 1620.7 keV	$0.771 \pm 0.008$	$0.009 \pm 0.002$	$0.220 \pm 0.008$
SEP 2103.5 keV	$0.825 \pm 0.005$	$0.011 \pm 0.001$	$0.165 \pm 0.005$
<b>physics data</b>			
FEP 1524.7 keV	$0.69 \pm 0.05$	$0.027 \pm 0.015$	$0.29 \pm 0.05$
1000 - 1450 keV	$0.230 \pm 0.011$	$0.022 \pm 0.004$	$0.748 \pm 0.011$
1839 - 2239 keV	30/40	3/40	$7/40 = 0.175$
> 4 MeV ( $\alpha$ at $p+$ )	1/35	33/35	$1/35 = 0.028$

Proxy of  $0\nu\beta\beta$

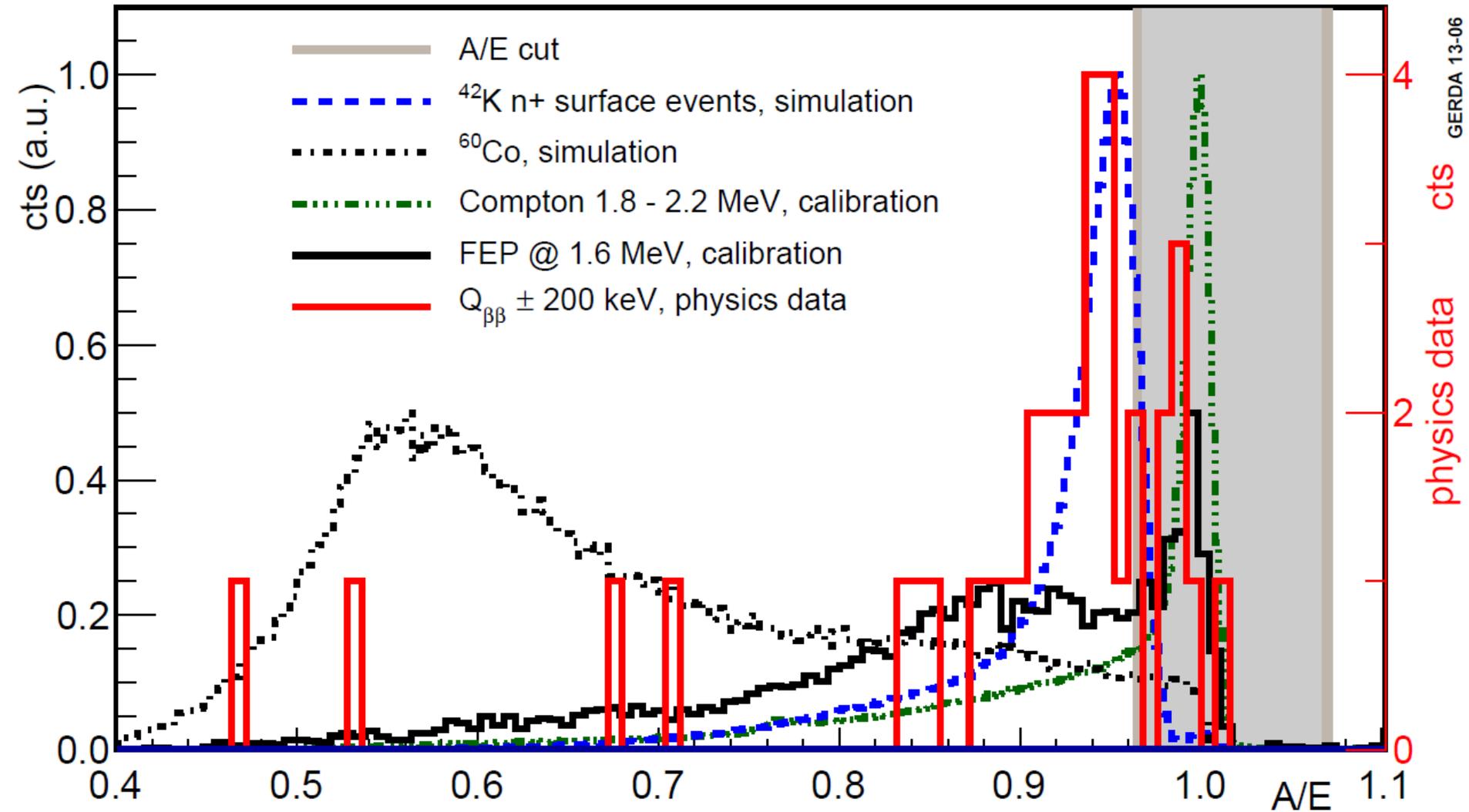
MSE

$42\text{K}$

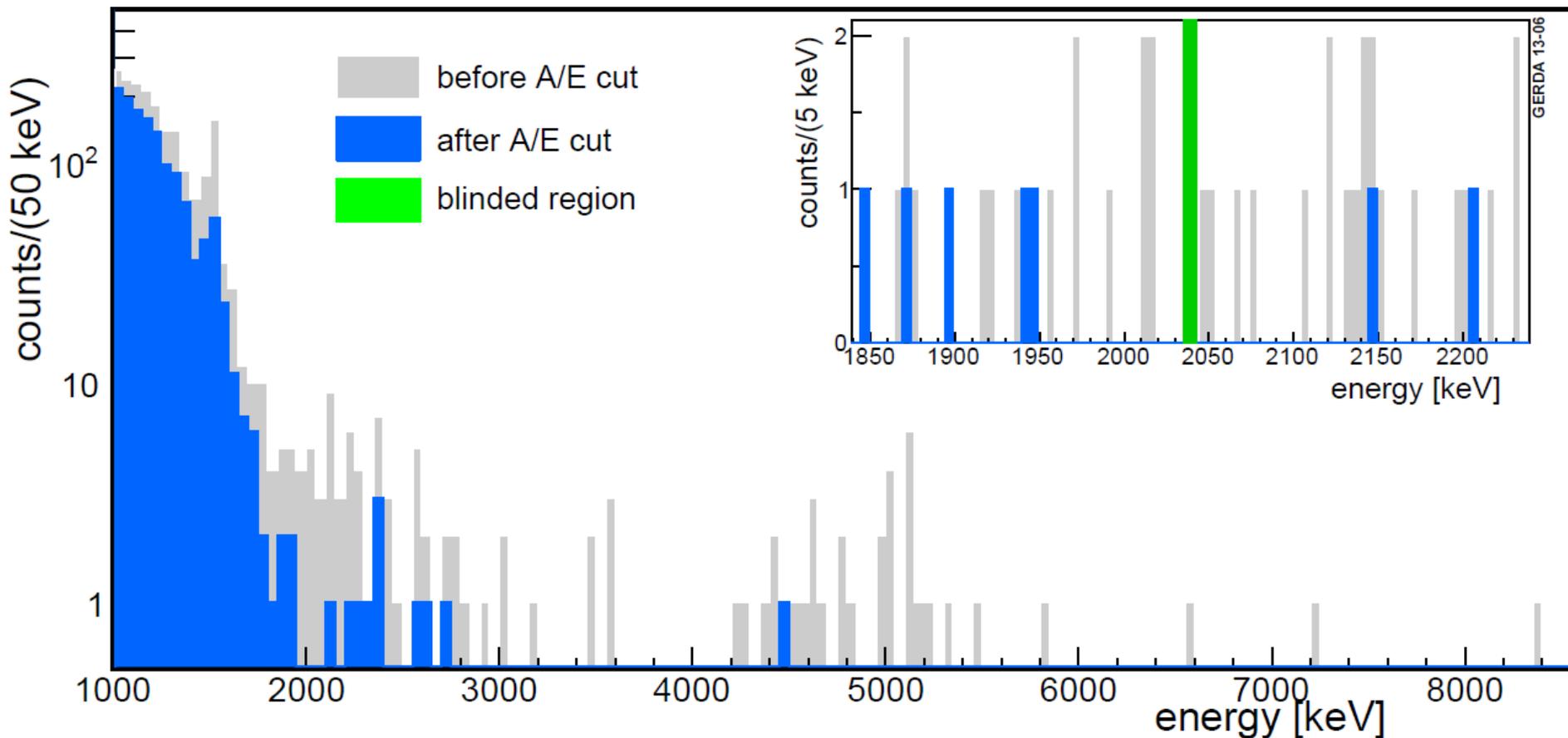
ROI

$\alpha$

# A/E: Background

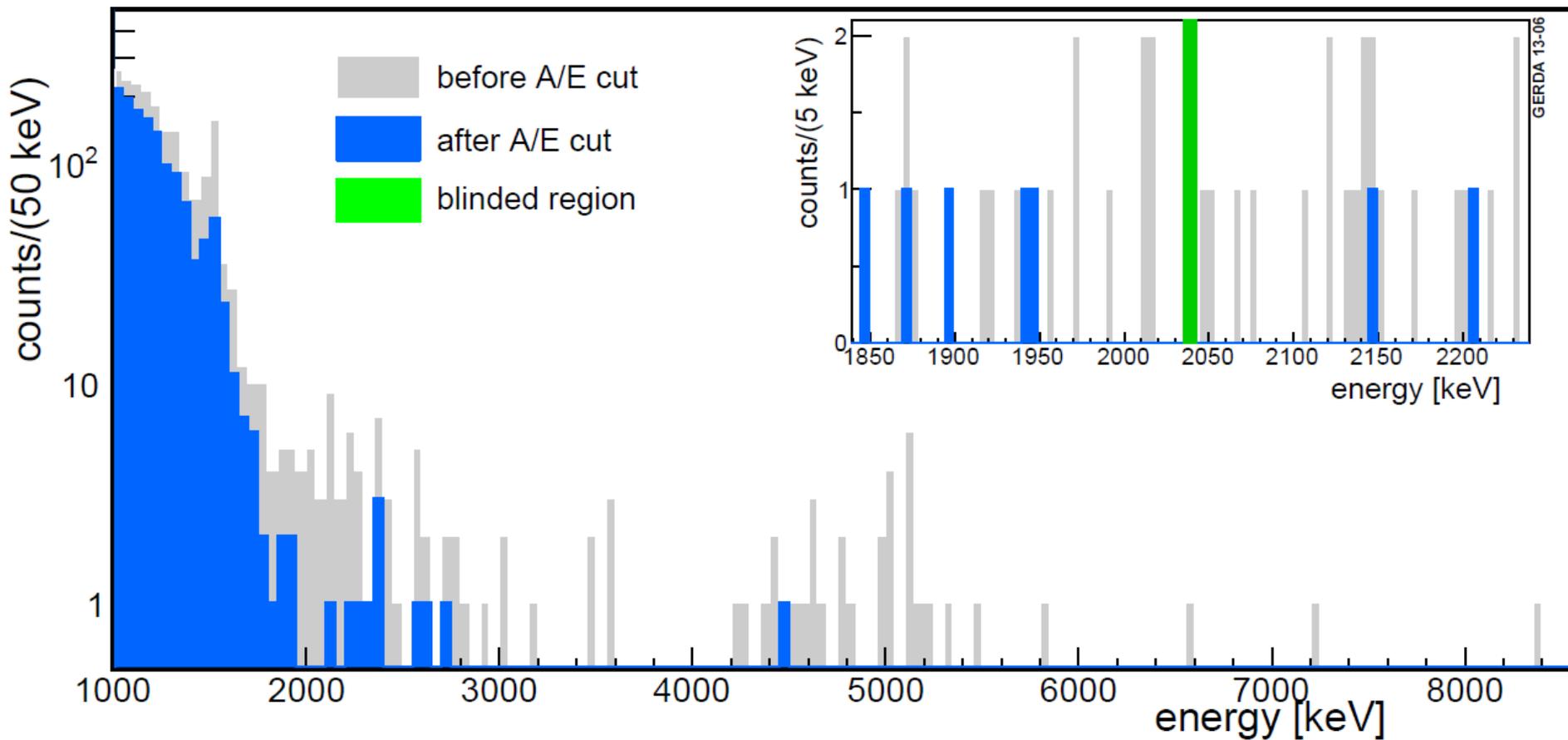


# Global PSD for the GERDA Phase-I



- **BI in ROI :**  
**Before/After PSD: 0.036/ 0.007 Cts/(kg·yr·keV)**  
**Suppression factor: > 80% of bkg events**  
**Signal efficiency: (92 ± 2) %**

# Global PSD for the GERDA Phase-I



- After unblinding:  
**0/1 event after/before PSD cut**

# Outlook & Summary

- **Physics result for GERDA phase I:**  
 $T_{1/2}^{0\nu\beta\beta} > 2.1 \cdot 10^{25} \text{ yr} \quad @ \quad 90\% \text{ C.L.}$
- **A/E PSD of BEGes demonstrates powerful SSE/MSE pulse shape recognition efficiency**
- **Physics result for GERDA phase I BEGes:**  
**0/1 event after/before PSD cut with 92% efficiency**
- **GERDA phase I successfully completed & decommissioned**
- **GERDA phase II will go beyond:**  
**Increase total detector mass & lower background index**