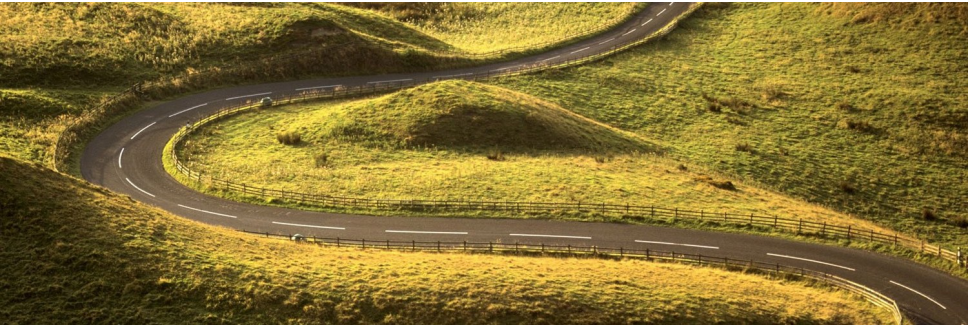


A LOCAL TRACKING ALGORITHM FOR THE CENTRAL DRIFT CHAMBER OF BELLE II.

F2F Meeting - Prag



Oliver Frost

Deutsches Elektronen-Synchrotron

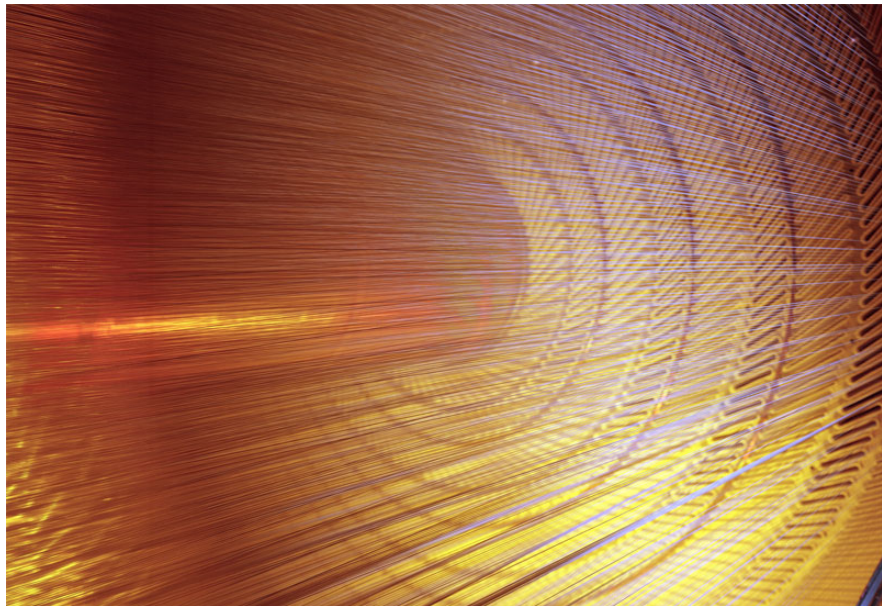
13th December 2013



- > Detector
- > Tracking finding - problem statement
- > Local approach
- > Generic algorithms
- > Concrete Realization
- > Fast fitting
- > Further work

- > **Detector**
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Central drift chamber



Detector

Tracking finding - problem statement

Local approach

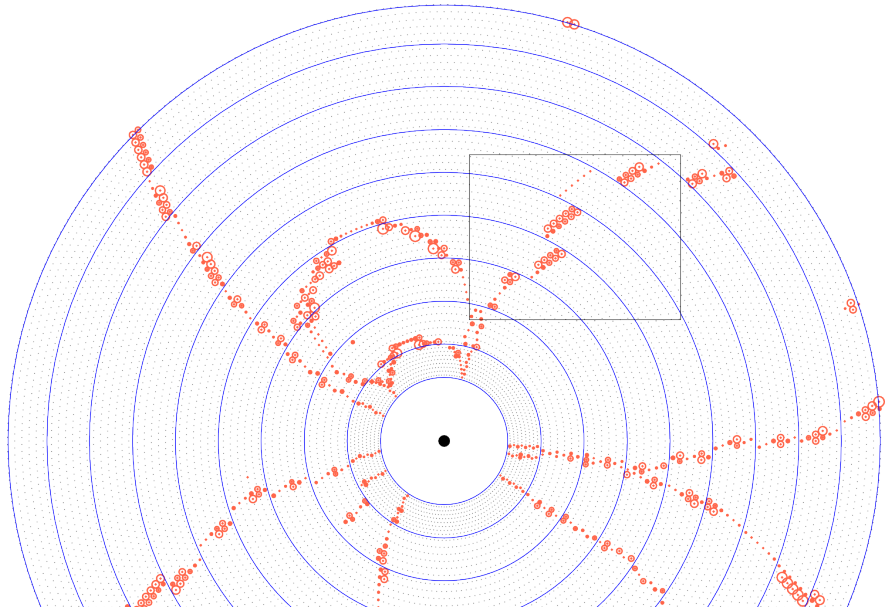
Generic algorithms

Concrete Realization

Fast fitting

Further work

Typical event xy projection



Detector

Tracking finding - problem statement

Local approach

Generic algorithms

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Fast fitting

Further work

Typical event xy projection - close up

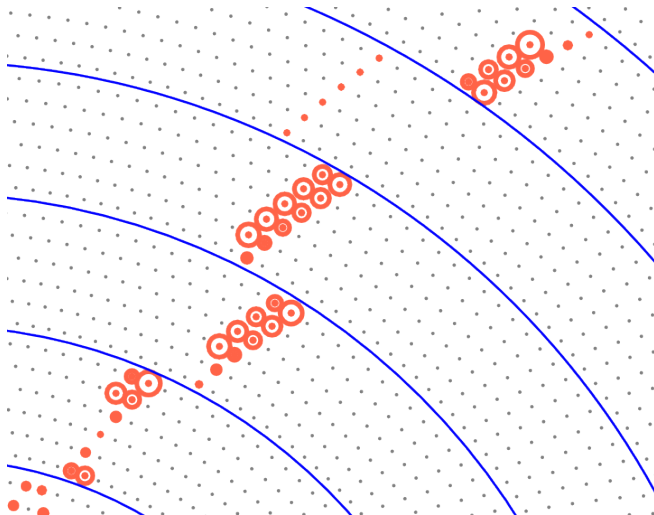


Figure: Typical $B\bar{B}$ event - every circle marks a hit



Figure: Axial layer

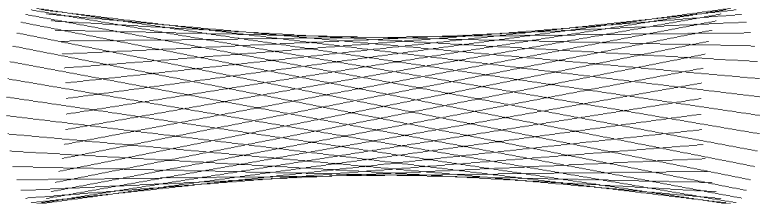


Figure: Stereo layer for z position resolution

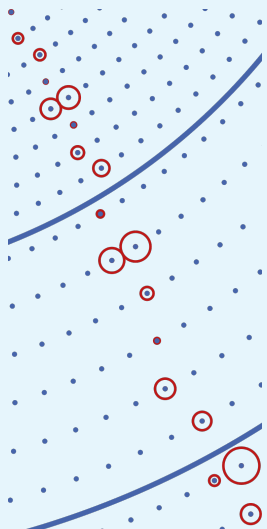
Structure

- > 14336 sensitiv wires
- > layerwise, hexagonal neighborhoods
- > 56 layers in 9 superlayers
- > superlayers alternating axial - stereo - axial - ...

Input of tracking / variables of the hits

- > Projected xy wire positions
- > Skewness of wires (axial - stereo)
- > Drift time / drift circle radii according to known drift velocity function
- > (Energy deposition)

Close up



- > Detector
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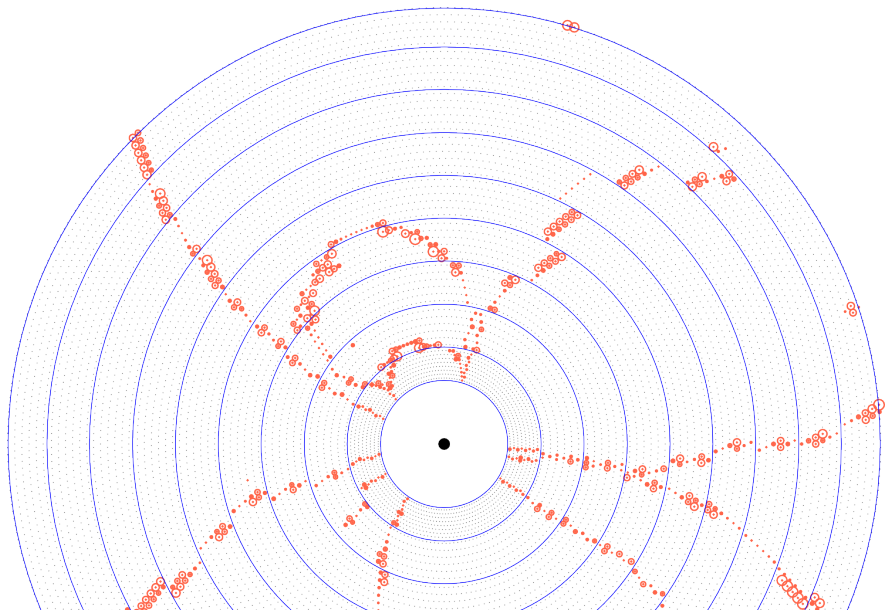
Goals

- > Group measurements / hits by the particle that caused them.
- > Sort hits in the order of occurrence.
- > Provide initial parameters for track fit.

Non-goal

- > Accurate track fitting → Kalmanfilter or Genfit

Turn this ...



Detector

Tracking finding - problem statement

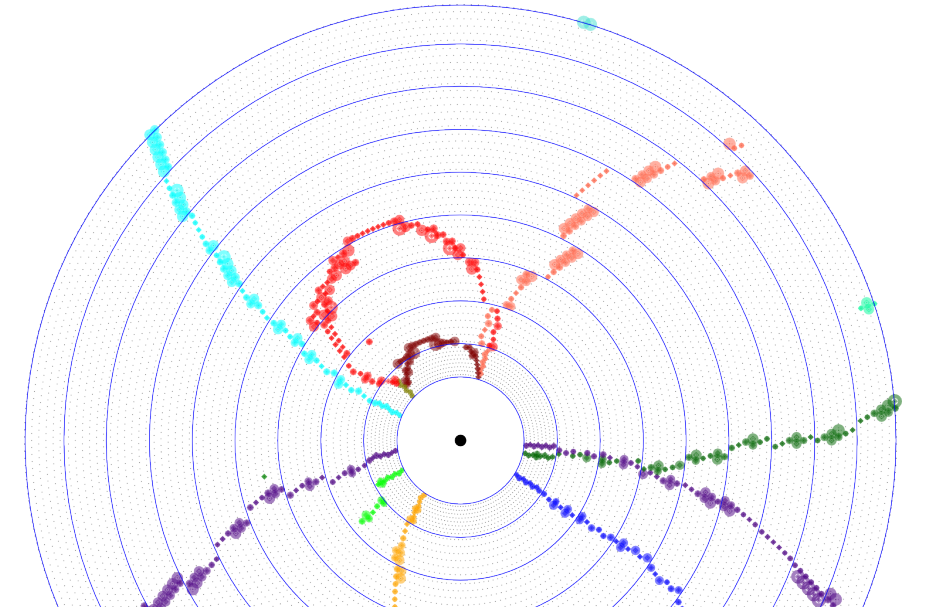
Local approach

Generic algorithms

Concrete Realization

Fast fitting

Further work



Conditions

- Tracks are **helices** locally
- Distorted by **multiple scattering**
- Particles might **curl** inside the CDC
- Mind **delay times** (TOF and in wire propagation time)
- Much increased **beam background** over Belle

Requirements

- Introduce as **little direction orientation** as possible
- Provide **intial values** for track fit
- Maximize **efficiency** (find all tracks)
- Maximize **purity** (introduce only few fake tracks)
- Be **fast**

Global — top — down

- > Recognize hits supporting a trajectory with few parameters
- > Assign the hits to that global form

Local — bottom — up

- > Combine neighboring hits
- > Continuously increase group size
- > Judge continuations by quick extrapolations

Global — top — down

- > Recognize hits supporting a trajectory with few parameters
- > Assign the hits to that global form

Advantage

Fast and simple to implement

Disadvantage

Neglects scattering and secondary decays

Local — bottom — up

- > Combine neighboring hits
- > Continuously increase group size
- > Judge continuations by quick extrapolations

Advantage

Detailed modeling of tracks

Disadvantage

Many tunable parameters to be optimized

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Methods

Hough / Legendre transformation

Methods

Networks / Cellular automata + fast fits

Global — top – down

- > Recognize hits supporting a trajectory with few parameters
- > Assign the hits to that global form

Local — bottom – up

- > Combine neighboring hits
- > Continuously increase group size
- > Judge continuations by quick extrapolations

Methods

Networks / Cellular automata + fast fits

- > Detector
- > Tracking finding - problem statement
- > **Local approach**
- > Generic algorithms
- > Concrete Realization
- > Fast fitting
- > Further work

Positions of particle

Combine closeby hits to form a possible position of the particle

Transitions

Find neighboring positions such that particle could have transitioned from one to the another

Positions and transitions

- > Encode possible movements of particles
- > Should closely resemble the physical movement
- > → **Paths in the graph represent tracks**

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Positions and transitions

- > Encode possible movements of particles
- > Should closely resemble the physical movement
- > → **Paths** in the graph **represent tracks**

Positions of particle - **Vertices / Cells**

Combine closeby hits to form a possible position of the particle

Transitions - **Edges / Neighbors**

Find neighboring positions such that particle could have transitioned from one to the another

Positions and transitions - **Graph**

- > Encode possible movements of particles
- > Should closely resemble the physical movement
- > → **Paths** in the graph **represent tracks**

Graph properties

- > Directional or adirectional?
- > Loop free?
- > Symmetric or asymmetric?
- > Weighted vertices and/or edges?

Graph vertices

- > How do vertices relate to hits?

Graph edges

- > How can we find neighboring vertices?
- > Can we exploit **geometrical constraints**?
- > Which **extrapolation** methods can refine our judgement?

Path / track extraction

Which **algorithms** generate tracks from the graph best?

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- > Further work

Brute force

- > Complete backtracking

Networks and simplifications

- > Hopfield-Network
- > Denby-Peterson-Network
- > ?
- > Cellular automaton

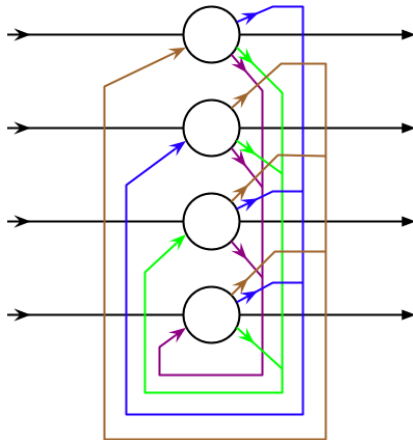


Figure: Scheme of Hopfield-Network

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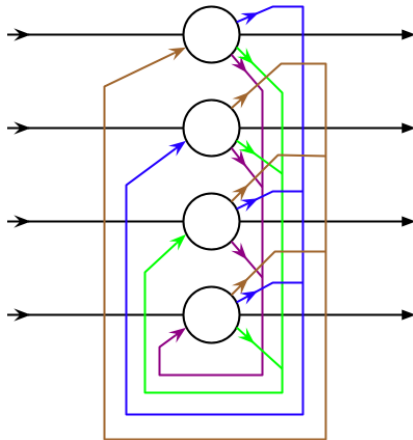


Figure: Scheme of Hopfield-Network

Characteristics

- > Mimics a network of neurons
- > Model for assoziative memory

Other applications

- > Traveling sales man problem
- > Ising spin model (actually equivalent)
- > PXD/SVD tracking (Jakob)

Benefits

- > No backtracking
- > Desired global pattern emerges from the local connections by itself

Input graph

- > Cells in inactive state ($s_i = 0$)
- > Weighted adirectional edges w_{ij} among cells
- > $w_{ij} > 0$ for support / $w_{ij} < 0$ for mutual exclusion of cells
- > Weight θ_i for each cell encoding an external excitation

Process

1. Update s_i according the sign of a weighted sum of excitations
2. Repeat until activity states s_i are stationary.

Variations

- > Mean field approxiamtion (sign \rightarrow sigmoid function)
- > Simultaneous update / asynchronous update

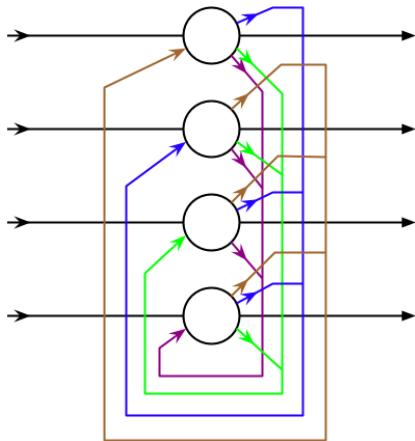


Figure: Scheme of Hopfield network

Update rule

$$s_i = \begin{cases} 1 & \text{if } \sum_j w_{ij} \cdot s_j + \theta_i > 0 \\ 0 & \text{if } \sum_j w_{ij} \cdot s_j + \theta_i < 0 \end{cases}$$

Minimized energy function

$$E = -\frac{1}{2} \sum_{i,j} w_{ij} \cdot s_i \cdot s_j - \sum_i \theta_i \cdot s_i$$

(compare Ising spin model)

Final output

- > Stationary activity state $s_i = 1$ indicates cell belongs to sought track

$$E_{\text{final}} = -\frac{1}{2} \sum_{s_i, s_j=1} w_{ij} - \sum_{s_i=1} \theta_i$$

Denby-Peterson-Network

- > Concrete realization using **two hits** to form a **straight line cell**
- > Edge weights set in terms of angular deviation

$$w_{ij} = \frac{\cos^m \alpha_{ij}}{l_i \cdot l_j}$$

Difficulty

Too slow to converge for tracking application

Introduced at DESY

Discrete form of Hopfield-Network in CATS (Cellular Automaton for tracking in Silicon) by Kisel for HERA-B

Simplifications over Denby-Peterson-Network

- > Make edges **directed** in **forward** particle movement
- > \longrightarrow directed loop free graph or **feed forward network**
- > Uniform edge weights $w_{ij} = 1$ for allowed edges
- > No external excitation $\theta_i = 0$
- > Change update scheme
- > Cells carry **energy** state E_i (not activation state s_i)

Process

- > Update the energy state to highest neighbor energy plus 1

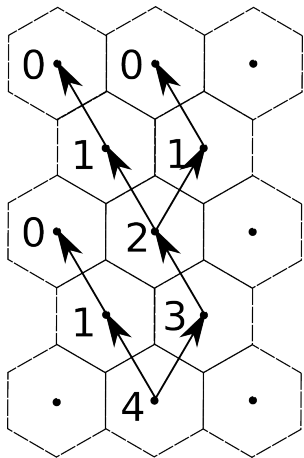


Figure: Cellular automaton in final state

Update rule

$$E_i = \max_{\text{neighbor } j} (E_j + w_{ij}) = \max_{\text{neighbor } j} E_j + 1$$

Output

- > **Cells update only once**
- > Highest cell marks end of track

Maximized energy function

(negative of Hopfield-Network)

$$E_{i,\text{final}} = \sum_{\text{max. path to } i} w_{ij} = \# \text{Cells in path} - 1$$

Output

- > Energy state indicates number of cells in the longest path from this cell
- > Create path / track by following the highest states
- > Always figures out the longest path without backtracking

Short comings

Weighting scheme quite **unnecessary rigid**

- Complete backtracking

- > Hopfield-Network
- > Denby-Peterson-Network
- > ?
- > Cellular automaton

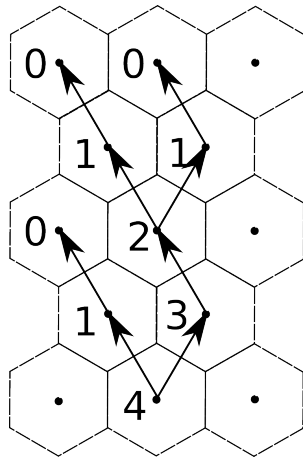


Figure: Cellular automaton in final state

Brute force

- > Complete backtracking

Networks and simplifications

- > Hopfield-Network
- > Denby-Peterson-Network
- > **Weighted cellular automaton**
- > Cellular automaton

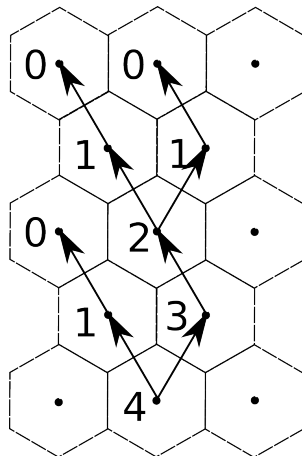


Figure: Cellular automaton in final state

Allow weights in graph

- > Arbitrary edge weights w_{ij} refines connection quality
- > Arbitrary vertex weight θ_i measures quality of cell (useful for complex compound cells)

Update rule

$$E_i = \max_{\text{neighbor } j} (E_j + w_{ij}) + \theta_i$$

Maximized energy function

$$E_{i,\text{final}} = \sum_{\text{best path to } i} w_{ij} + \sum_{\text{best path } i} \theta_i$$

same as Hopfield network!

Advantages

- > Fast - assignment time only linear in hits $\mathcal{O}(n)$
- > Weights allow detailed modeling
- > Resembles the Hopfield-Network closely

Small obstacle

- > Must enforce loop free condition on the graph

Graph properties

- > Directional or adirectional?
- > Loop free?
- > Symmetric or asymmetric?
- > Weighted vertices and/or edges?

Graph vertices

- > How do vertices relate to hits?

Graph edges

- > How can we find neighboring vertices?
- > Can we exploit **geometrical constraints**?
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Path / track extraction

Which **algorithms** generate tracks from the graph best?

Graph properties

- > Directional
- > Loop free!
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Graph vertices

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Path / track extraction

Weighted cellular automaton

Graph properties

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- > Loop free!
- > Weighted vertices and edges allowed

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Graph edges

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Path / track extraction

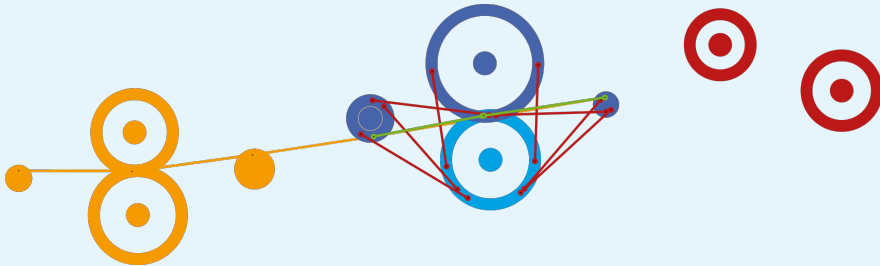
Weighted cellular automaton

- > Detector
- > Tracking finding - problem statement
- > Local approach
- > Generic algorithms
- > **Concrete Realization**
- > Fast fitting
- > Further work

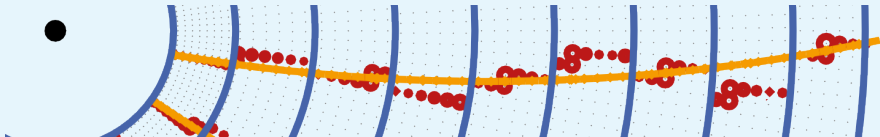
Bottom-up - A two stage process



Combine hits to segments limited by the superlayer bounds



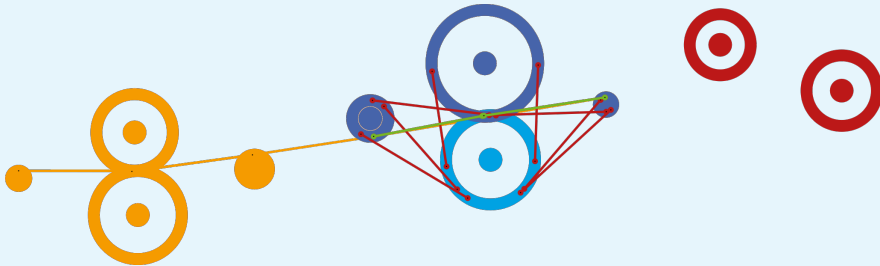
Combine segments to tracks



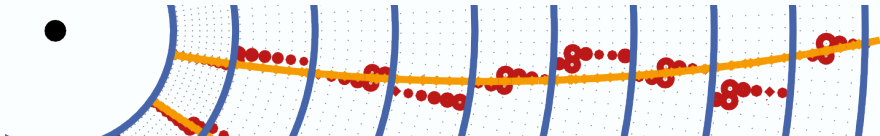
Bottom-up - A two stage process



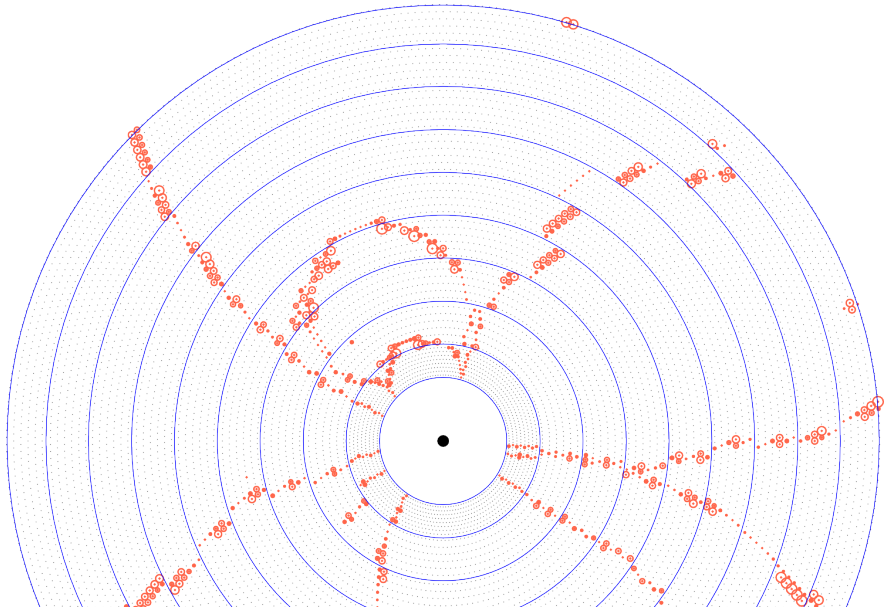
Combine hits to segments limited by the superlayer bounds



Combine segments to tracks



Typical event xy projection



Detector

Tracking finding - problem statement

Local approach

Generic algorithms

Concrete Realization

Fast fitting

Further work

Clustering

- > Many separate groups = many smaller graphs
- > Generate by expanding minimal hexagonal neighborhood of wires.
- > Analyze each cluster (in parallel?)

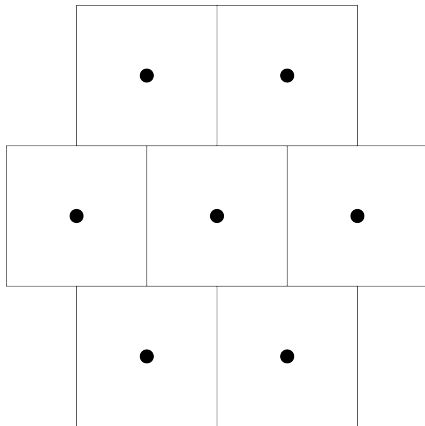
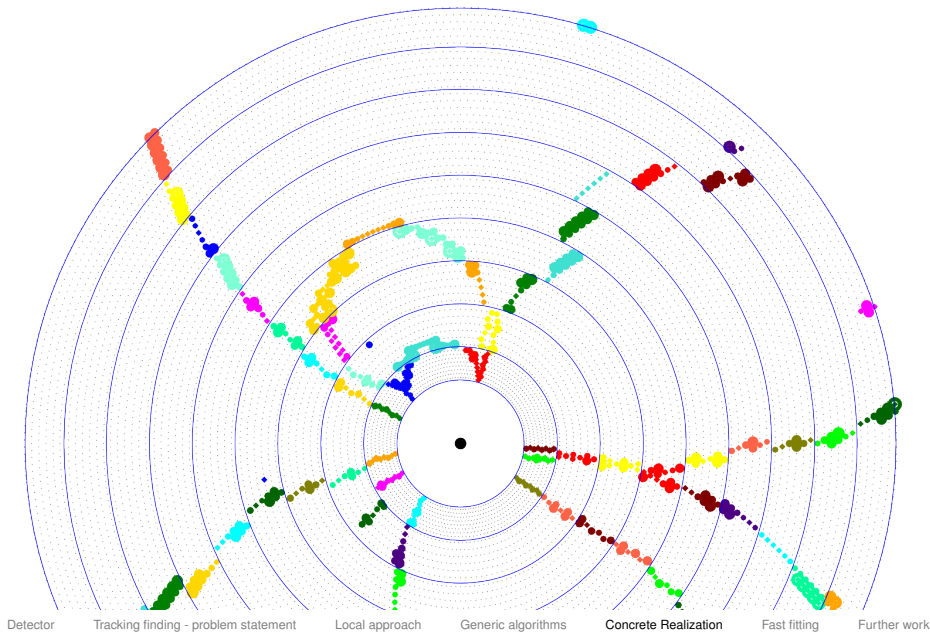


Figure: Nearest six neighbors of a sense wire

Clusters of the typical event



Vertex / Cell property

Reflect the xy position of the particle

Edges / Neighbor property

Reflect the possible transition from one position to another

Single hits are not the answer

- > Position too ambiguous
- > No direction of flight information

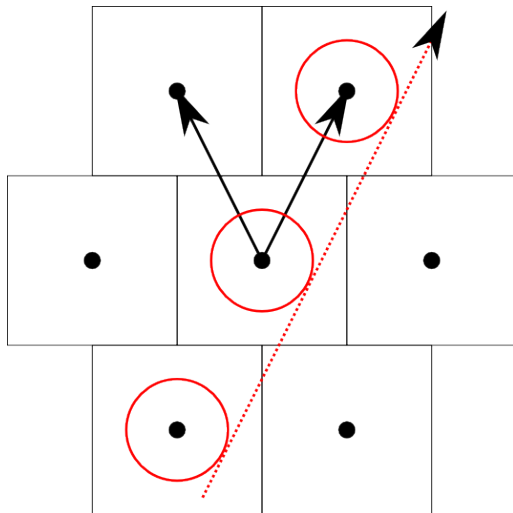


Figure: Static cell neighborhood

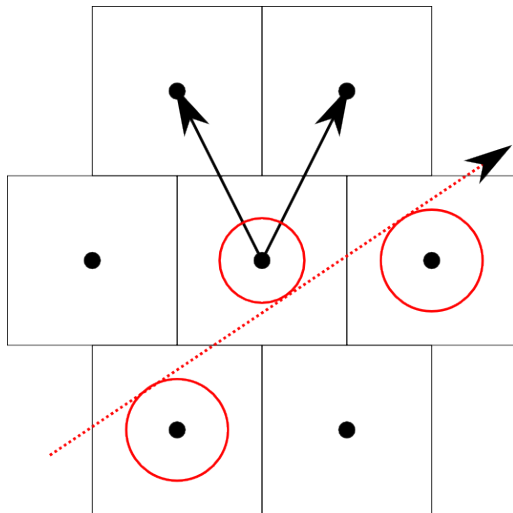


Figure: Static cell neighborhood - cannot follow bend particle trajectories

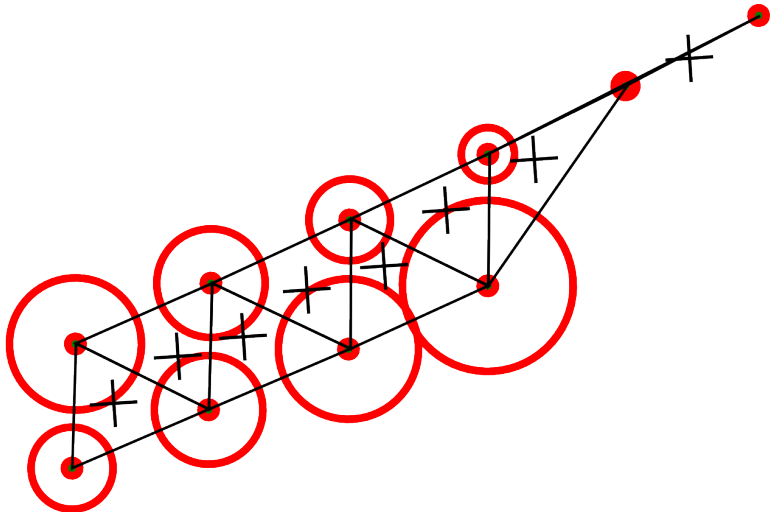


Figure: Idea: Use three hits to triangulate the position of the particle

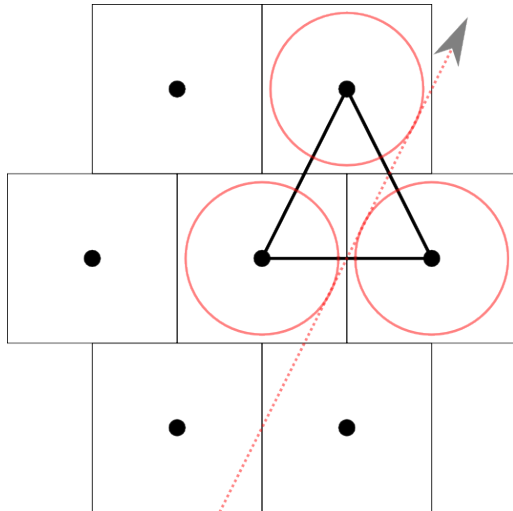


Figure: Naming lend from Benzol derivate Xylol

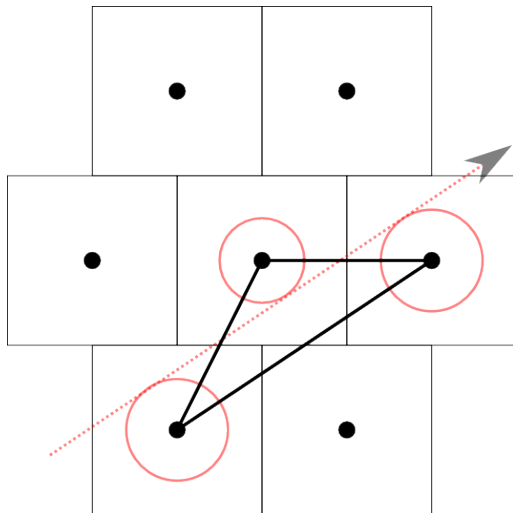


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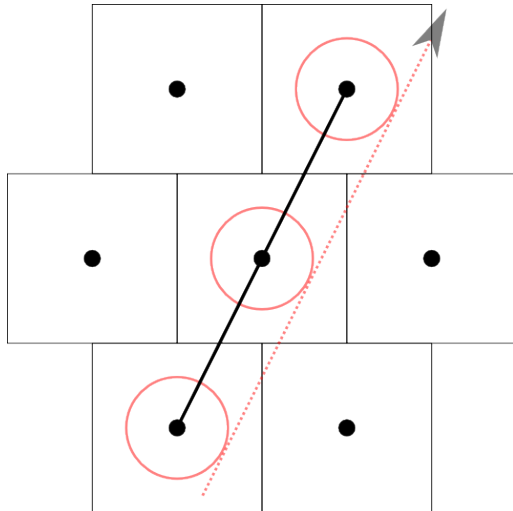


Figure: Naming lend from Benzol derivate Xylol

Properties of facets

- > Ordered triple of neighboring hits
- > Each hit has a right-left-passage information assigned to disambiguate trajectory
- > **Linear trajectory** by least square fit
- > **Residual curvature** over trajectory line

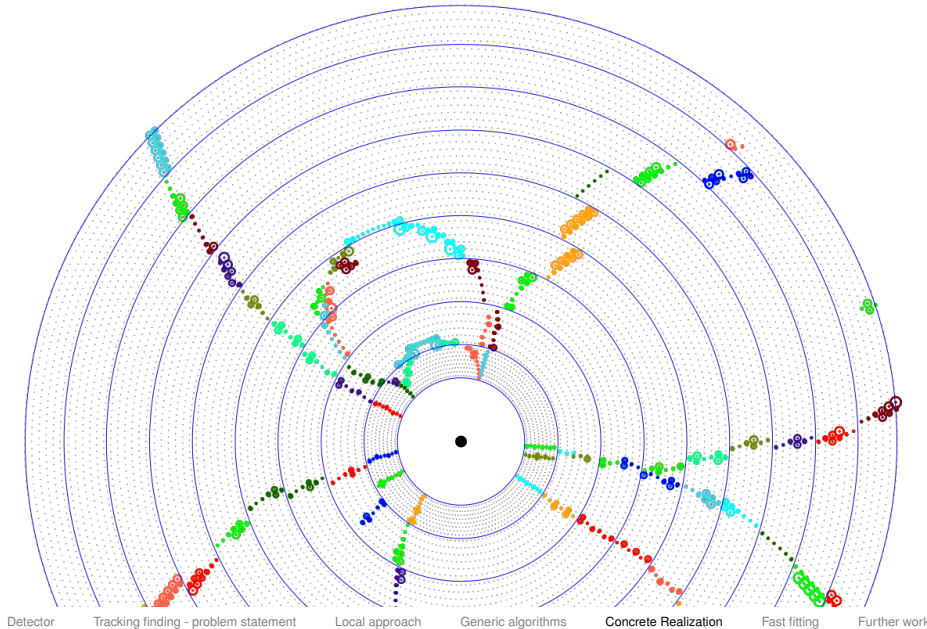
Neighbors of facet

- > Neighboring facet have to common hits
- > Weight refinement possible in
 - > Flight direction
 - > Loss cut on the curling direction
 - > (Maybe even favouring energy loss in the forward direction)

From hits to segments

1. Translate the raw data and combined it with the detector geometry.
2. Group the hits into **clusters**.
3. For each cluster:
 - 3.1 Build triples of wire hits, called **facets, as cells** to be given to the cellular automaton.
 - 3.2 Construct the weighted graph edges by searching **connections** of each **facet**.
 - 3.3 Retrieve the **paths** from the **cellular automaton** in a **multi-pass** manner.
 - 3.4 Reduce the **paths** of facets **to segments**.

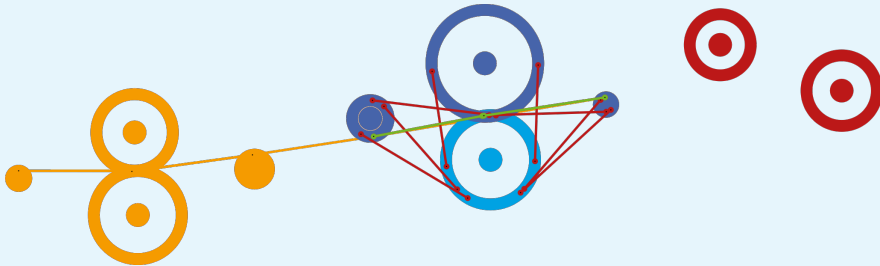
Typical event after the first stage



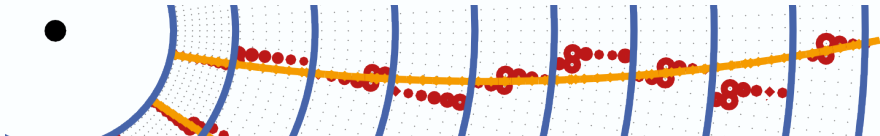
Bottom-up - A two stage process



Combine hits to segments limited by the superlayer bounds



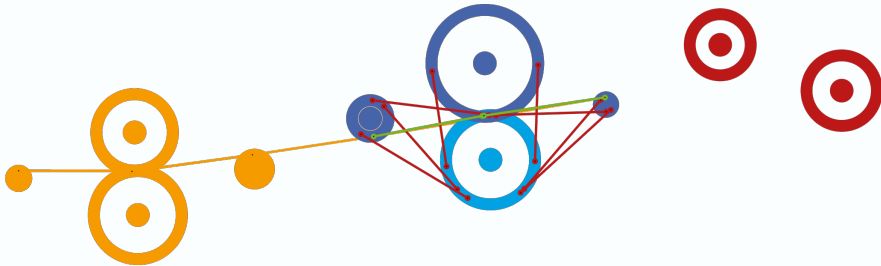
Combine segments to tracks



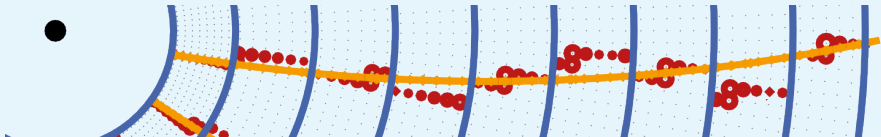
Bottom-up - A two stage process



Combine hits to segments limited by the superlayer bounds



Combine segments to tracks



Vertex / Cell property

Reflect the 3D position of the particle

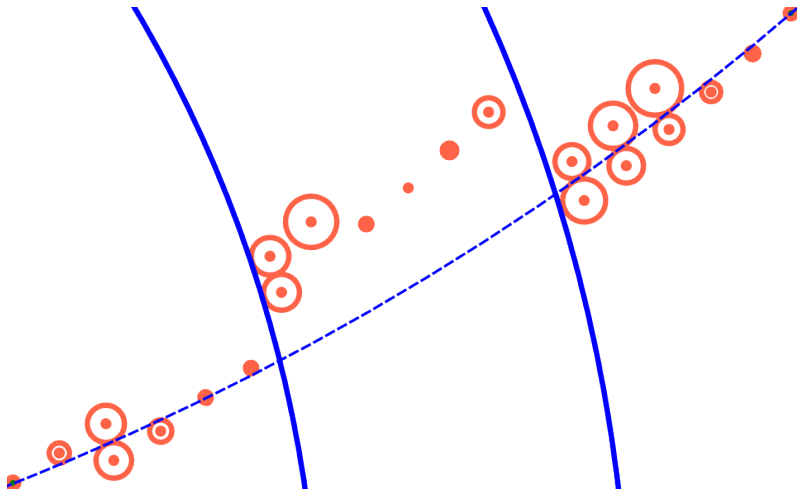
Edges / Neighbor property

Reflect the possible transition from one position to another (requires extrapolation across superlayer bounds)

Its not single segments

- > No z information
- > Only available by comparing axial with stereo segments

Introduction of segment triples



Properties of segment triples

- > Ordered triple of segments in arrangement axial - stereo - axial
- > **Riemann circle fit** to the two axial segments
- > Reconstructed z information of the stereo segment
- > Linear z over travel distance fit

Neighbors of segment triples

- > Neighboring segment triples have one axial segment in common
- > Weight refinement possible in
 - > extrapolated xy position
 - > extrapolated z displacement
 - > momentum.

From segments to tracks

1. Build **triples of segments as cells** to be given to the cellular automaton.
2. Construct the graph edges by searching **neighbors** of each **segment triple**.
3. Retrieve the **paths** from the **cellular automaton** in a **multi-pass** manner.
4. Reduce the **paths** of segment triples to three dimensional **tracks**.
5. Decide, whether the tracks should interpreted as **reversed**.
6. **Export to** track candidates, which can be fitted by **Genfit** algorithm.

- > Detector
- > Tracking finding - problem statement
- > Local approach
- > Generic algorithms
- > Concrete Realization
- > **Fast fitting**
- > Further work

Iterative methods

- > Non-linear optimization
- > Newton algorithm ...
- > Kalman filter

Noniterative methods

- > Least square fitting
- > + Transformation to appropriate space

properties

- > slower (many steps)
- > accurate
- > unbiased
- > needs initial parameters

properties

- > faster
- > approximate
- > may be biased
- > yields initial parameters

Formula

$$X = \frac{x}{x^2 + y^2}$$
$$Y = \frac{y}{x^2 + y^2}$$

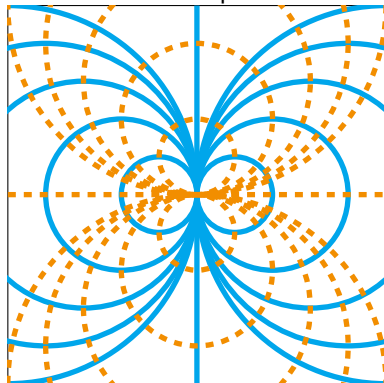
Properties

- > Maps generalized circles to generalized circles
- > Maps circles through the origin to lines
- > → Only suitable for tracks coming from the origin

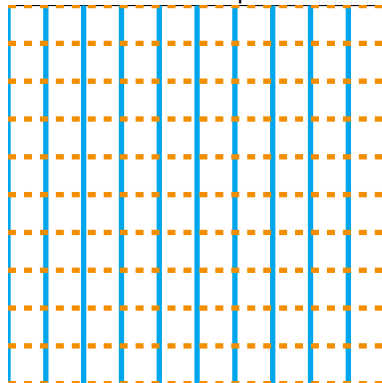
The conformal map revealed



Normal space



Conformal space



Distortion

$$D \approx \frac{d}{r^2}$$

Fix

Reweighting with

$$w = \frac{1}{r^4}$$

Formula

$$U = \frac{x}{r^2 + 1}$$

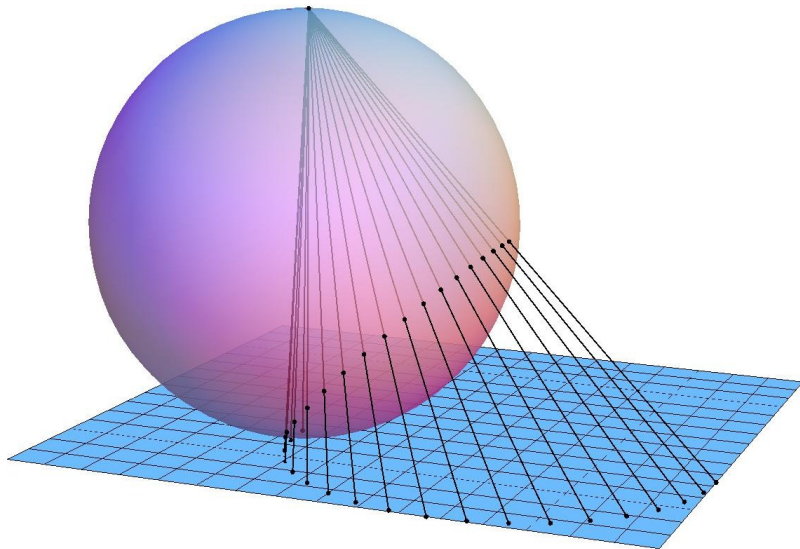
$$V = \frac{y}{r^2 + 1}$$

$$W = \frac{r^2}{r^2 + 1}$$

Properties

- > Maps the 2D plane to a 3D unit sphere surface.
- > Maps generalized circles to circles on this sphere.
- > → All points of circle are in one plane after the projection.
- > Suitable for all kinds of tracks

The stereographic projection revealed



Distortion

$$D \approx \frac{d}{(r+1)^2}$$

Fix

Reweighting with

$$w = \frac{1}{(r+1)^4}$$

Formula

$$U = x$$

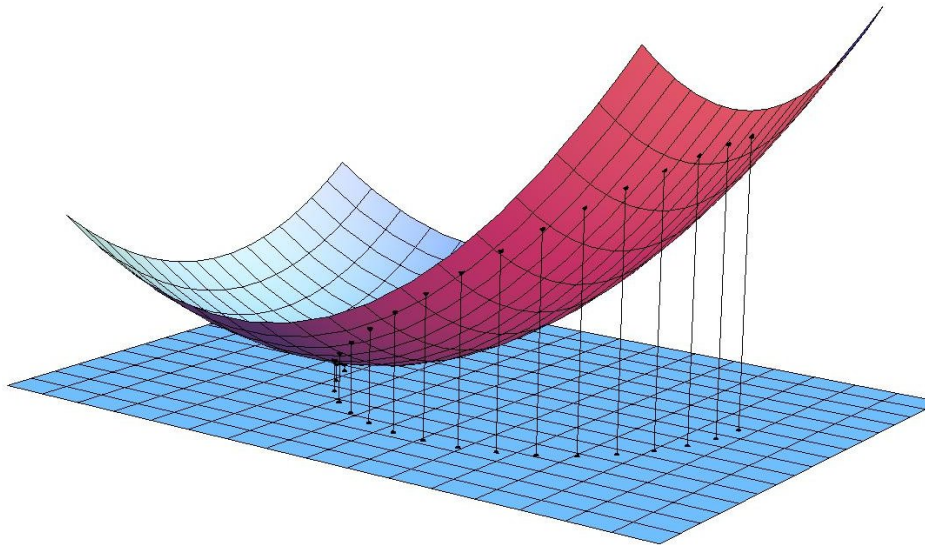
$$V = y$$

$$W = r^2$$

Properties

- > Maps the 2D plane to a 3D parabolic surface
- > Still maps circles and lines into plane in the 3D world
- > Suitable for all kind of tracks
- > Easily constrainable

The parabolic projection revealed



No distortion (in first order)

$$D \approx d + \mathcal{O}\left(\frac{d^2}{\text{circle radius}}\right)$$

- > Distances from plane = distance to fit circle in 2D in 1st order $\frac{1}{R}$
- > Accurate, since big circles and small distances are expected.
- > Use a least square fit to minimize

$$S = \sum_i (n_0 + U \cdot n_1 + V \cdot n_2 + W \cdot n_3)^2$$

- > Computable by single matrix inversions or SVD decomposition
- > Fast
- > Enables extrapolation
- > Easily constrainable to tracks from interaction point

Orientation matters

- > One can enhance the fit with the drift circle radii ρ

$$S = \sum_i (d_i \pm \rho_i)^2 = \sum_i (n_0 + x_i \cdot n_1 + y_i \cdot n_2 + r_i^2 \cdot n_3 \pm \rho_i)^2$$

- > Use plus or minus, if you want the point left or right of the circle.
- > Right left information from the tangents used to build the segment

- > Detector
- > Tracking finding - problem statement
- > Local approach
- > Generic algorithms
- > Concrete Realization
- > Fast fitting
- > **Further work**

Evaluation

- > Compare found tracks and segments to Monte Carlo information
- > Optimize the weights in the two stages.
- > Check the unbiasedness of fitting procedure (I suspected a numerical instability biasing to low curvature)

Profiling

- > Improve the time performance of each step.

- > Reference
- > Comparing to the reference

- > What are reconstructable particles?
- > What hit contents does the ideal track have?
- > What momentum and vertex should be reconstructed most accurate?
- > Are we converging secondary particles correctly?

MCTrackFinder

Make sure it yields our definition of ideal!

(If there is more than one kind of ideal, make all available!)

What is the benchmark for tracking?



- > What are reconstructable particles?
- > What hit contents does the ideal track have?
- > What momentum and vertex should be reconstructed most accurate?
- > Are we converging secondary particles correctly?

MCTrackFinder

Make sure it yields our definition of ideal!
(If there is more than one kind of ideal, make all available!)

- > Default: Inclusion of secondary particles with enough hits.
- > Optional: Momentum at first measurement.
- > Optional: Selection of a tag side.
- > ...

- > Reference
- > Comparing to the reference

Matching challenge

Assume a $1 \leftrightarrow 1$ relation from
#n Monte Carlo tracks

\leftrightarrow

#m Pattern recognition tracks

Matching by hit content

Choose best items of the confusion matrix.

Confusion matrix

| | MC tracks | | | Background |
|------------|-----------|-----------|-----|------------|
| PR tracks | ... | ... | ... | ... |
| | ... | Hit / NDF | ... | ... |
| | ... | content | ... | ... |
| | ... | ... | ... | ... |
| Unassigned | ... | ... | ... | ... |

Row-wise matching - purity matching

- > Search highest purity Monte Carlo track for each pattern recognition track.
- > Look for highest entry in each row.
- > Relation hp : $1 \leftrightarrow n$

Column-wise matching - efficiency matching

- > Search highest efficiency pattern recognition track for each Monte Carlo track.
- > Look for highest entry in each column.
- > Relation he : $1 \leftrightarrow m$

Confusion matrix

| | MC tracks | | | Background |
|--------------|-----------|-----------|-----|------------|
| PR tracks | ... | ... | ... | ... |
| | ... | Hit / NDF | ... | ... |
| | ... | content | ... | ... |
| | ... | ... | ... | ... |
| Unassigned | ... | ... | ... | ... |

Row-wise matching - purity matching

- > Search highest purity Monte Carlo track for each pattern recognition track.
- > Look for highest entry in each row.
- > Relation $hp: 1 \leftrightarrow n$

Column-wise matching - efficiency matching

- > Search highest efficiency pattern recognition track for each Monte Carlo track.
- > Look for highest entry in each column.
- > Relation $he: 1 \leftrightarrow m$

Confusion matrix

| | MC tracks | | | Background |
|--------------|-----------|-----------|-----|------------|
| PR tracks | ... | ... | ... | ... |
| | ... | Hit / NDF | ... | ... |
| | ... | content | ... | ... |
| | ... | ... | ... | ... |
| Unassigned | ... | ... | ... | ... |

Row-wise matching - purity matching

- > Search highest purity Monte Carlo track for each pattern recognition track.
- > Look for highest entry in each row.
- > Relation $hp: 1 \leftrightarrow n$

Column-wise matching - efficiency matching

- > Search highest efficiency pattern recognition track for each Monte Carlo track.
- > Look for highest entry in each column.
- > Relation $he: 1 \leftrightarrow m$

Two sided matching - concatenation of the former

- > Highest purity and highest efficiency relation agree!
- > The highest purity Monte Carlo track mc_2 of the highest efficiency pattern recognition track of Monte Carlo track mc_1 is the same as the Monte Carlo track mc_1 .

$$mc_2 := hp(he(mc_1)) = mc_1$$

- > Or equivalent: The highest efficiency pattern recognition track pr_2 of the highest purity Monte Carlo track of pattern recognition track pr_1 is the same as the pattern recognition track pr_1 .

$$pr_2 := he(hp(pr_1)) = pr_1$$

- > Relation: $1 \leftrightarrow 1$

Classification of pattern recognition tracks pr_i

Ghost Highest purity is smaller than acceptable contamination threshold 0.66.

Background $he(mc_i)) = \text{background column}$

Clone $hp(he(mc_i)) \neq mc_i$

Matched $hp(he(mc_i)) == mc_i$

Classification of Monte Carlo tracks mc_i / MCParticles

Missing $he(mc_i)) = \text{bad purity pattern recognition track / unassigned column}$

Merged $hp(he(mc_i)) \neq mc_i$

Matched $hp(he(mc_i)) == mc_i$

Input

1. Ideal Monte Carlo tracks
2. Pattern recognition tracks

Output for subsequent evaluation

1. Highest purity relation (negative for clone PR tracks)
2. Highest efficiency relation (negative for merged MC tracks)
3. Pattern recognition tracks to MCParticle relation
4. The McTrackId property of the pattern recognition tracks

Options

1. Usage of detectors
2. Switch for ghost assignment to MCParticles

Short term goal - Common combinatorial evaluation



- > Ghost rate
- > Tracked background rate
- > Clone rate
- > Missing rate
- > Merged rate
- > Matched rate

by

- > Multiplicity
- > p_t
- > *PDG* code

in

- > Gun events with muons, pions,... ,
- > specific decay $B \rightarrow K\pi\pi\pi$ and
- > generic events