

Evidence For v Flavor Change

<u>Neutrinos</u>

Evidence of Flavor Change

Solar Reactor (L ~ 180 km) Compelling Compelling

Atmospheric Accelerator (L = 250 and 735 km) Compelling Compelling

Stopped μ^+ Decay $\begin{pmatrix} LSND \\ L \approx 30 \text{ m} \end{pmatrix}$ Unconfirmed by MiniBooNE

The neutrino flavor-change observations imply that —

Neutrinos have nonzero masses

and that —

Leptons mix.



The (Mass)² Spectrum



 $\Delta m_{sol}^2 \cong 7.6 \text{ x } 10^{-5} \text{ eV}^2, \quad \Delta m_{atm}^2 \cong 2.4 \text{ x } 10^{-3} \text{ eV}^2$

Are There *More* Than 3 Mass Eigenstates?

When only two neutrinos count,

$$P(\nu_{\alpha} \rightarrow \nu_{\beta}) = \sin^2 2\theta \sin^2 \left[1.27\Delta m^2 (eV^2) \frac{L(km)}{E(GeV)} \right]$$

Rapid $\bar{v}_{\mu} \rightarrow \bar{v}_{e}$ oscillation reported by LSND —



MiniBooNE Search for $v_{\mu} \rightarrow v_{e}$



- •No excess above background for energies $E_v > 475$ MeV.
- •Unexplained excess for $E_v < 475$ MeV.
- •Two-neutrino oscillation cannot fit LSND and MiniBooNE.
- •More complicated fits are possible.

MINOS Neutral Current Analysis Do the v_{μ} that disappear in the MINOS experiment oscillate into v_{e} , v_{τ} , or $v_{Sterile}$?

CHOOZ (and CPT) excludes any appreciable $v_{\mu} \rightarrow v_{e}$.

If $v_{\mu} \rightarrow v_{\tau}$, the MINOS Neutral-Current (NC) event rate will be the same as if there were no oscillation.

If some of the v_{μ} disappear via $v_{\mu} \rightarrow v_{\text{Sterile}}$, the NC event rate will be decreased.

MINOS (At v 2008) : For E_{vis} < 120 GeV the fraction of NC events that disappear is less than 17% at 90% CL.

Effects of this order are not excluded by MiniBooNE.

While awaiting further news —

We will assume there are only 3 neutrino mass eigenstates.

Leptonic Mixing

This has the consequence that —

Mass eigenstate $|v_i\rangle = \sum_{\alpha} U_{\alpha i} |v_{\alpha}\rangle$. e, μ , or τ PMNS Leptonic Mixing Matrix Flavor- α fraction of $v_i = |U_{\alpha i}|^2$.

When a v_i interacts and produces a charged lepton, the probability that this charged lepton will be of flavor α is $|U_{\alpha i}|^2$. The spectrum, showing its approximate flavor content, is



The Mixing Matrix

AtmosphericCross-MixingSolar $U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{22} & c_{23} \end{bmatrix} \times \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \times \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$ $c_{ij} \equiv \cos \theta_{ij}$ $s_{ij} \equiv \sin \theta_{ij}$ $\times \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$ Majorana CP $\theta_{12} \approx \theta_{sol} \approx 34^\circ, \ \theta_{23} \approx \theta_{atm} \approx 38-52^\circ, \ \theta_{13} < 10^\circ$ phases δ would lead to $P(\overline{\nu}_{\alpha} \rightarrow \overline{\nu}_{\beta}) \neq P(\nu_{\alpha} \rightarrow \nu_{\beta})$. But note the crucial role of $s_{13} \equiv \sin \theta_{13}$.



⁷Be Solar Neutrinos

Until recently, only the ⁸B solar neutrinos, with $E \sim 7$ MeV, had been studied in detail.

The Large Mixing Angle MSW (*matter*) effect boosts the fraction of the ⁸B solar v_e that get transformed into neutrinos of other flavors to roughly 70%.

At the energy E = 0.862 MeV of the ⁷Be solar neutrinos, the matter effect is expected to be very small. Only about 45% of the ⁷Be solar v_e are expected to change into neutrinos of other flavors.

Borexino —

Detects the ⁷Be solar neutrinos via ve \rightarrow ve elastic scattering.

Event rate (Counts/day/100 tons)

Observed:	$49 \pm 3(\text{stat}) \pm 4(\text{syst})$
Expected (No Osc):	75 ± 4
Expected (With Osc):	48 ± 4

KamLAND Evidence for Oscillatory Behavior



 $L_0 = 180$ km is a flux-weighted average travel distance.

 $P(\overline{v}_e \rightarrow \overline{v}_e)$ actually oscillates!



Presented by KamLAND

"Solar" Δm^2 and mixing angle from KamLAND and solar experiments.



"Atmospheric" Δm^2 and mixing angle from MINOS, Super-K, and K2K.



• What is the absolute scale of neutrino mass?

•Are neutrinos their own antiparticles?

•Are there "sterile" neutrinos?

We must be alert to surprises!

•What is the pattern of mixing among the different types of neutrinos?

What is θ_{13} ?

•Is the spectrum like \equiv or \equiv ?

•Do neutrino – matter interactions violate CP? Is $P(\bar{v}_{\alpha} \rightarrow \bar{v}_{\beta}) \neq P(v_{\alpha} \rightarrow v_{\beta})$? • What can neutrinos and the universe tell us about one another?

• Is CP violation involving neutrinos the key to understanding the matter – antimatter asymmetry of the universe?

•What physics is behind neutrino mass?

The Importance of Some Questions, and How They Be Answered

Does $\overline{v} = v?$

What Is the Question?

For each mass eigenstate ν_i , and given helicty h, does —

• $\overline{v_i}(\mathbf{h}) = v_i(\mathbf{h})$ (Majorana neutrinos)

or

• $\overline{v_i}(\mathbf{h}) \neq v_i(\mathbf{h})$ (Dirac neutrinos)?

Equivalently, do neutrinos have *Majorana masses*? If they do, then the mass eigenstates are *Majorana neutrínos*.

Majorana Masses

Out of, say, a left-handed neutrino field, v_L , and its charge-conjugate, v_L^c , we can build a Left-Handed Majorana mass term —



Majorana masses do not conserve the Lepton Number L defined by — $L(v) = L(\ell^{-}) = -L(\bar{v}) = -L(\ell^{+}) = 1.$ A Majorana mass for any fermion f causes $f \leftrightarrow \overline{f}$.

Quark and *charged-lepton* Majorana masses are forbidden by electric charge conservation.

Neutrino Majorana masses would make the neutrinos *very* distinctive.

Majorana v masses cannot come from $H_{SM}\overline{v}_R v_L$, the analogue of the q and ℓ mass terms.

Possible Majorana mass terms:



Majorana neutrino masses must have a different origin than the masses of quarks and charged leptons.

Why Majorana Masses >> Majorana Neutrinos

The objects \mathbf{v}_{L} and \mathbf{v}_{L}^{c} in $\mathbf{m}_{L}\overline{\mathbf{v}_{L}}\mathbf{v}_{L}^{c}$ are not the mass eigenstates, but just the neutrinos in terms of which the model is constructed.

 $m_L \overline{v_L} v_L^c$ induces $v_L \leftrightarrow v_L^c$ mixing.

As a result of $K^0 \longleftrightarrow \overline{K^0}$ mixing, the neutral K mass eigenstates are —

$$\mathbf{K}_{\mathrm{S},\mathrm{L}} \cong (\mathbf{K}^0 \pm \overline{\mathbf{K}^0}) / \sqrt{2} \ . \qquad \overline{\mathbf{K}_{\mathrm{S},\mathrm{L}}} = \mathbf{K}_{\mathrm{S},\mathrm{L}} \ .$$

As a result of $v_L \leftrightarrow v_L^c$ mixing, the neutrino mass eigenstate is —

$$v_i = v_L + v_L^c = "v + \overline{v}". \overline{v_i} = v_i.$$

Why Most Theorists Expect Majorana Masses

The Standard Model (SM) is defined by the fields it contains, its symmetries (notably weak isospin invariance), and its renormalizability.

Leaving neutrino masses aside, anything allowed by the SM symmetries occurs in nature.

Ríght-Handed Majorana mass terms are allowed by the SM symmetries.

Then quite likely *Majorana masses* occur in nature too.

To Determine Whether Majorana Masses Occur in Nature

The Promising Approach — Seek Neutrinoless Double Beta Decay [0vββ]



We are looking for a *small* Majorana neutrino mass. Thus, we will need *a lot* of parent nuclei (say, one ton of them).

Whatever diagrams cause $0\nu\beta\beta$, its observation would imply the existence of a Majorana mass term:

(Schechter and Valle)



 $(\bar{\mathbf{v}})_{\mathbf{R}} \rightarrow \mathbf{v}_{\mathbf{L}}$: A (tiny) Majorana mass term

 $\therefore 0 \mathbf{v} \beta \beta \implies \overline{\mathbf{v}}_i = \mathbf{v}_i$

We expect the dominant mechanism to be -



Then – $Amp[0\nu\beta\beta] \propto \left|\sum_{i} m_{i}U_{ei}^{2}\right| = m_{\beta\beta}$

How Large is $m_{\beta\beta}$?

How sensitive need an experiment be?

Suppose there are only 3 neutrino mass eigenstates. (More might help.)

Then the spectrum looks like —





There is no clear theoretical preference for either hierarchy.

If the hierarchy is **inverted**—

then $0\nu\beta\beta$ searches with sensitivity to $m_{\beta\beta} = 0.01 \text{ eV}$ have a very good chance to see a signal.

Sensitivity in this range is a good target for the next generation of experiments.

Mixing, Mass Ordering, and P

The Central Role of θ_{13}

Both CP violation and our ability to tell whether the spectrum is normal or inverted depend on θ_{13} .

If $\sin^2 2\theta_{13} > 10^{-(2-3)}$, we can study both of these issues with intense but conventional accelerator v and \overline{v} beams, produced via $\pi^+ \rightarrow \mu^+ + \nu_{\mu}$ and $\pi^- \rightarrow \mu^- + \overline{\nu_{\mu}}$. Determining θ_{13} is an important step.

How θ_{13} May Be Measured

Reactor neutrino experiments are the cleanest way.

Accelerator neutrino experiments can also probe θ_{13} . Now it is entwined with other parameters.

In addition, accelerator experiments can probe whether the mass spectrum is normal or inverted, and look for CP violation.

All of this is done by studying $v_{\mu} \rightarrow v_{e}$ and $\overline{v}_{\mu} \rightarrow \overline{v}_{e}$ while the beams travel hundreds of kilometers.

The Mass Spectrum: \equiv or \equiv ?

Generically, grand unified models (GUTS) favor —

GUTS relate the Leptons to the Quarks.

However, *Majorana masses*, with no quark analogues, could turn ______ into _____.

How To Determine If The Spectrum Is Normal Or Inverted

Exploit the fact that, in matter,



affects v and \overline{v} oscillation (*differently*), and leads to —

$$\frac{P(\mathbf{v}_{\mu} \rightarrow \mathbf{v}_{e})}{P(\overline{\mathbf{v}_{\mu}} \rightarrow \overline{\mathbf{v}_{e}})} \begin{cases} >1 ; \\ <1 ; \\ = \end{cases} \qquad \text{Note fake } \mathcal{CP} \end{cases}$$

Note dependence on the mass ordering



The weak interactions violate *parity*. Neutrino – matter interactions depend on the neutrino *polarization*.

Do Neutrino Interactions Violate CP?

The observed \mathcal{QP} in the weak interactions of *quarks* cannot explain the *Baryon Asymmetry* of the universe.

Is *leptonic* CP, through *Leptogenesis*, the origin of the *Baryon Asymmetry* of the universe?

(Fukugita, Yanagida)



The most popular theory of why neutrinos are so light is the -

See-Saw Mechanism



The *very* heavy neutrinos N would have been made in the hot Big Bang.

The heavy neutrinos N, like the light ones v, are Majorana particles. Thus, an N can decay into ℓ^- or ℓ^+ .

If neutrino oscillation violates CP, then quite likely so does N decay. In the See-Saw, these two CP violations have a common origin: One Yukawa coupling matrix.

Then, in the early universe, we would have had different rates for the CP-mirror-image decays –

 $N \rightarrow \ell^- + \varphi^+$ and $N \rightarrow \ell^+ + \varphi^-$ Standard-Model Higgs This would have led to unequal numbers of leptons and antileptons (*Leptogenesis*).

Then, Standard-Model *Sphaleron* processes would have turned ~ 1/3 of this leptonic asymmetry into a *Baryon Asymmetry*.

Electromagnetic Leptogenesis (Bell, B.K., Law)

A new leptogenesis scenario in which the leptonic asymmetry arises from -

$$\Gamma(N \rightarrow v + \gamma) \neq \Gamma(N \rightarrow \overline{v} + \gamma)$$
Decay via CP-violating
transition dipole moments

This too can produce the observed *Baryon Asymmetry* of the universe.

Neutrino dipole moments lead to neutrino masses.

If leptogenesis is driven by the dipole moments, then the neutrino masses probably are too.

CP-violating dipole moments will lead to CPviolating mass matrices, which in turn will lead to CP violation in oscillation.

As in standard leptogenesis, CP in neutrino oscillation and CP in the early universe are linked.

How To Search for QP In Neutrino Oscillation

Look for $P(\overline{\nu}_{\alpha} \rightarrow \overline{\nu}_{\beta}) \neq P(\nu_{\alpha} \rightarrow \nu_{\beta})$

$$(\mathbf{Q}: Can \ CP \ violation \ still \ lead \ to \\ \mathcal{P}(\overline{v_{\mu}} \rightarrow \overline{v_{e}}) \neq \mathcal{P}(v_{\mu} \rightarrow v_{e}) \ when \ \overline{v} = v?$$

A: Certaínly!



Separating CP From the Matter Effect

Genuine \mathcal{P} and the matter effect both lead to a difference between v and \overline{v} oscillation.

But genuine \mathcal{P} and the matter effect depend quite differently from each other on L and E.

One can disentangle them by making oscillation measurements at different L and/or E.

Neutrino Vision at Fermilab

Phase 1: The NOvA Experiment

The only near-term probe of the mass ordering in the world 810 km

lowa

Gary Feldman

Near 1st atmospheric oscillation maximum

Ontario

Mich

MINOS Far Detector

Wisconsin

NOvA Ear Detector

Milwaukee

Fermilab

Chicago

Phase 1.5:

eutrino

From Bo

LAr 5 kton at Soudar

(700 kW, 120 GeV

LAr 5 kton:

oster

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Streaming ||||||||| 100%

 if small scale R&D / experiments are successful.

NOvA + LAr 5 kton:

enhancing the NOvA sensitivity
enabling a new detector technology

49'44.25" N 88°15'39.03" W elev 738 ft

Y-K Kim

1009

Eye alt 10457 ft



Phase 2:



Y-K Kim

Phase 3



The 3σ Reach of the Successive Phases

 $\sin^2 2\theta_{13}$

Mass Ordering

3 σ Discovery Potential for sin²(2 θ_{13}) \neq 0 Discovery Potential sign 10^{-13} **3** σ Discovery Potential for $\delta \neq 0$ and $(\neq \pi)$ $\frac{1}{6}$ sin²(2 θ_{13}) $\sin^2(2\theta_{13})$ **CHOOZ Excluded CHOOZ Excluded CHOOZ Excluded** 10° 10^{-1} NUMI offAxis NOvA +NUMI OnAxis LAr5@Sou Project X NUMI offAxis NOvA +NUMI OnAxis LAr5@Soudan NUMI offAxis NOvA +NUMI OnAxis LAr5@Souda 10⁻² 10⁻² 10^{-2} 10⁻² Project X NUMI offAxis with 2 LAr100 detectors (1st&2nd Osc.Maxima) 10^{-2} Project X NUMI offAxis NOvA Project X NUMI offAxis +NUMI OnAxis LAr5@Soudar with 2 LAr100 detectors (1st&2nd Osc.Maxima) Project X with Wide Band Beam Ar100 detector 1300km baselin 10^{-3} 10^{-3} 10⁻³ Project X NUMI offAxis 10⁻³ 10^{-3} with 2 LAr100 detectors (1st&2nd Osc.Maxi Project X with Wide Band Beam Project X with Wide Band Beam LAr100 detector 1300km baseline LAr100 detector 1300km baseline 10⁻⁴ 10 5 0 2 2 3 5 3 **CP-Violating phase** δ **CP-Violating phase** δ **CP-Violating phase** δ

N. Saoulidou

CP Violation

Summary

We have learned a lot about the neutrinos in the last decade.

What we have learned raises **some very interesting questions.**

We look forward to answering them.