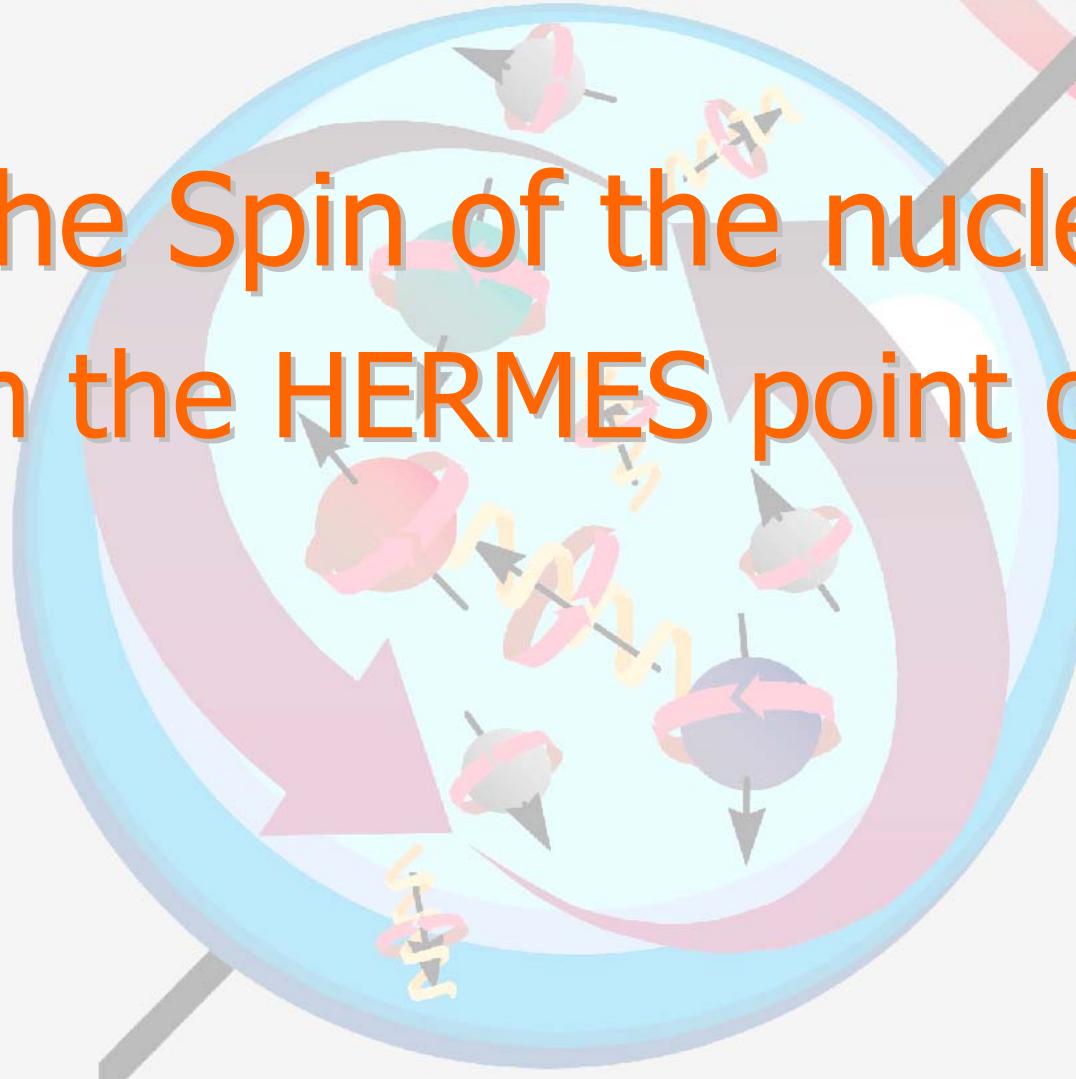
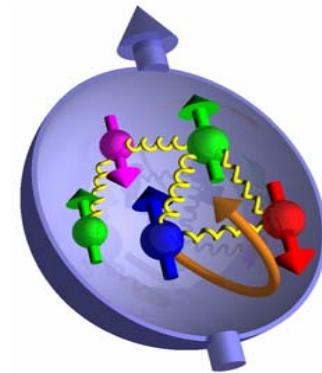


the Spin of the nucleon -from the HERMES point of view-



$$\frac{S_z^N}{\hbar} = \frac{1}{2} = \frac{1}{2} \Delta\Sigma + L_z^q + \Delta G + L_z^g$$



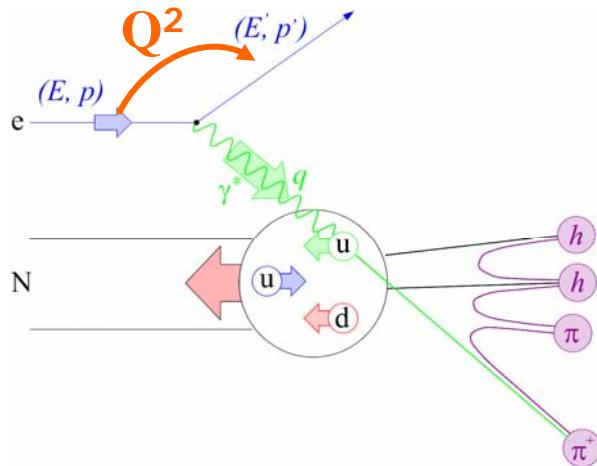
outline:

- prerequisites
- polarisation of quarks: $\Delta\Sigma = \Delta u_v + \Delta d_v + \Delta q_s$
- polarisation of gluons: ΔG

- hunting for the OAM $L_{q,g}$ → talk by M. Düren
- transverse spin phenomena → talk by U. D'Alesio

*new
developments*

polarised deep-inelastic scattering



$$Q^2 = -q^2 = 2E E' (1 - \cos\theta)$$

$$x = \frac{Q^2}{2Mv}^{\text{lab}}, \quad x \in [0,1] \quad v^{\text{lab}} = E - E'$$

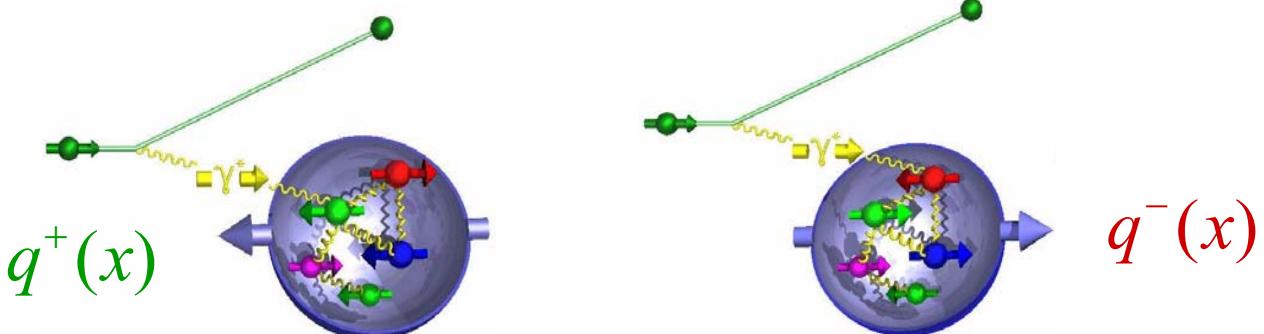
$$z = \frac{E_h}{v},$$

factorisation:

$$\sigma_{DIS}^h \propto \sum_f \hat{\sigma}_{part} \otimes pdf(x) \otimes frag^{q,g \rightarrow h}(z)$$

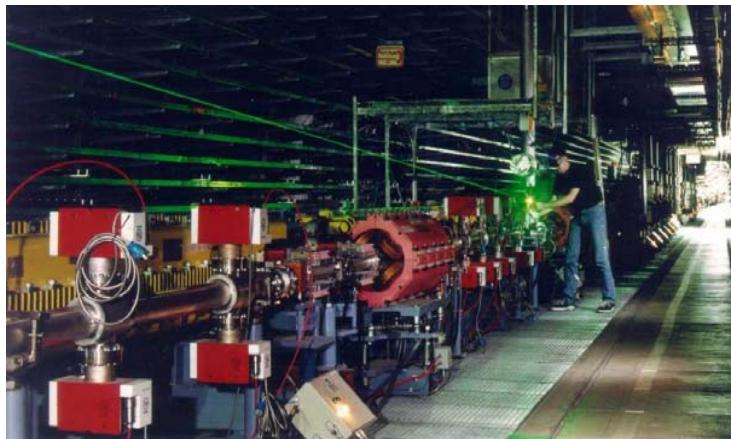


$$\Delta q(x) = q^+(x) \ominus q^-(x)$$



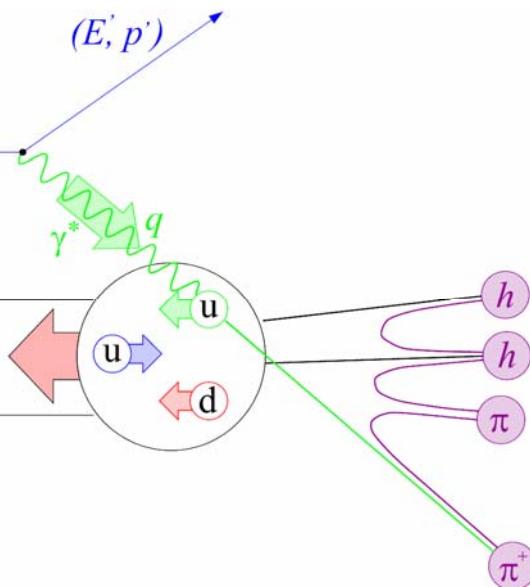
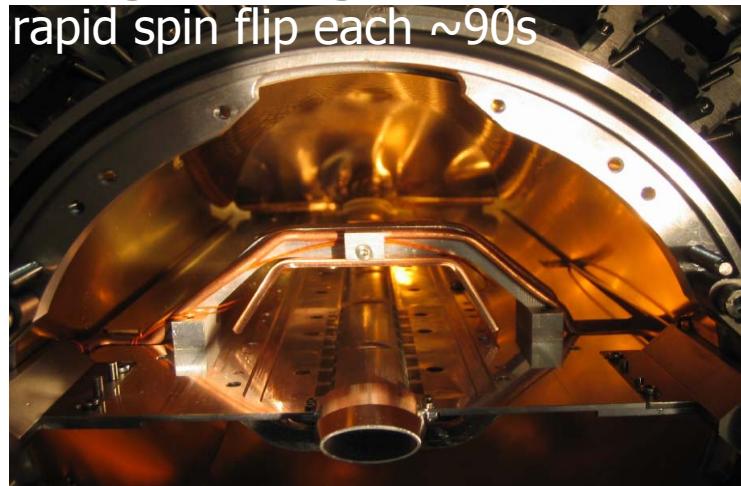
experimental prerequisites

-the 2nd generation-



HERA 27.5 GeV (e+/e-) →
 $\langle P_b \rangle \sim 53 \pm 2.5 \%$

storage cell target: no dilution
 rapid spin flip each ~90s



- ${}^1\text{H} \rightarrow \langle |P_t| \rangle \sim 85\%$
- ${}^2\text{H} \rightarrow \langle |P_t| \rangle \sim 84\%$
- ${}^1\text{H} \uparrow \rightarrow \langle |P_t| \rangle \sim 74\%$

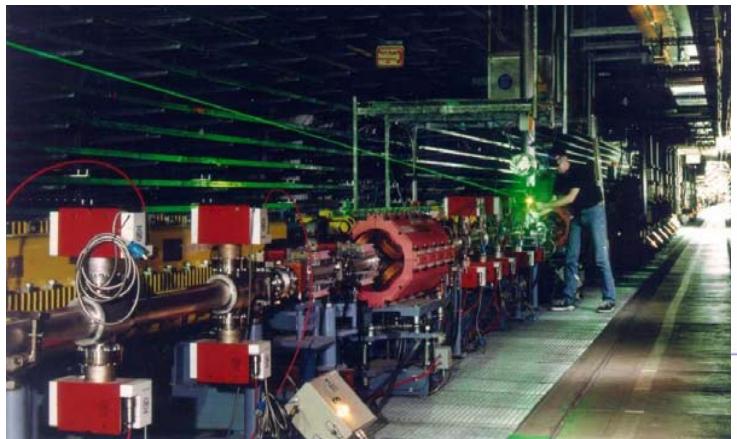
$$\delta\sigma \propto \frac{1}{P_b P_t f} \cdot \frac{1}{\sqrt{N}}$$

f: target dilution factor

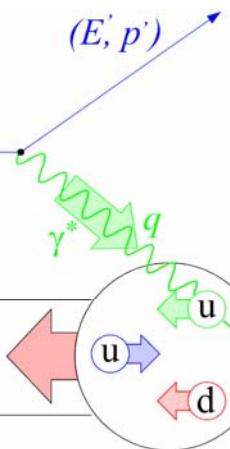
f=1 gas targets, f~0.02 solid targets

experimental prerequisites

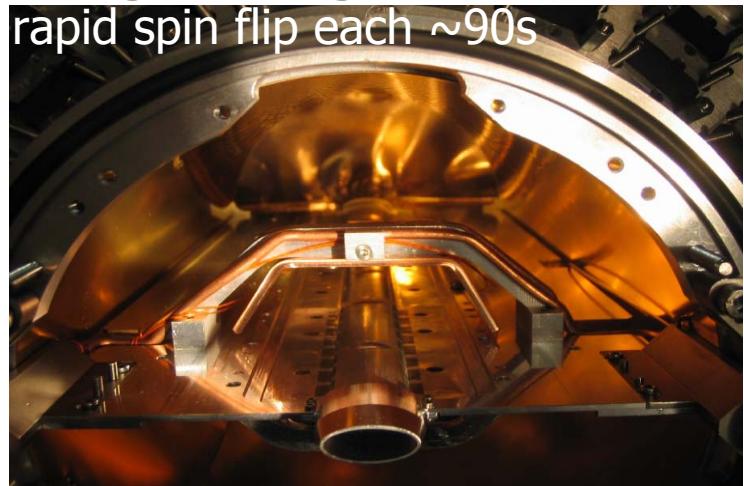
-the 2nd generation-



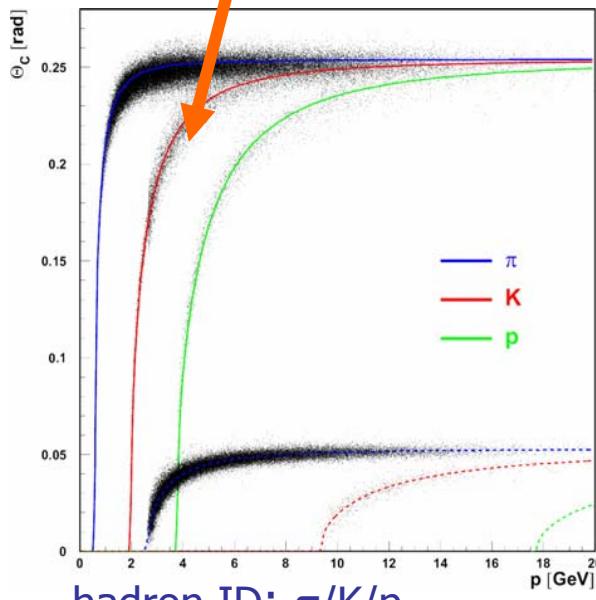
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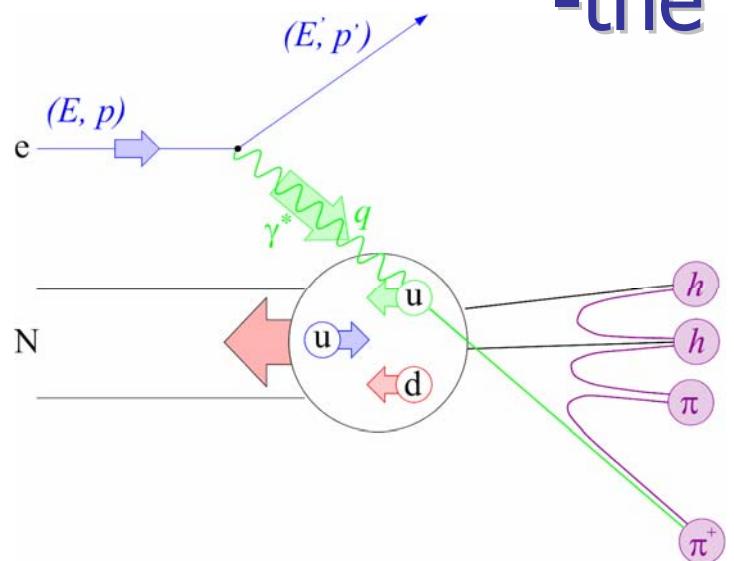
${}^1\text{H} \rightarrow \langle |P_t| \rangle \sim 85\%$
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 ${}^1\text{H} \uparrow \rightarrow \langle |P_t| \rangle \sim 74\%$



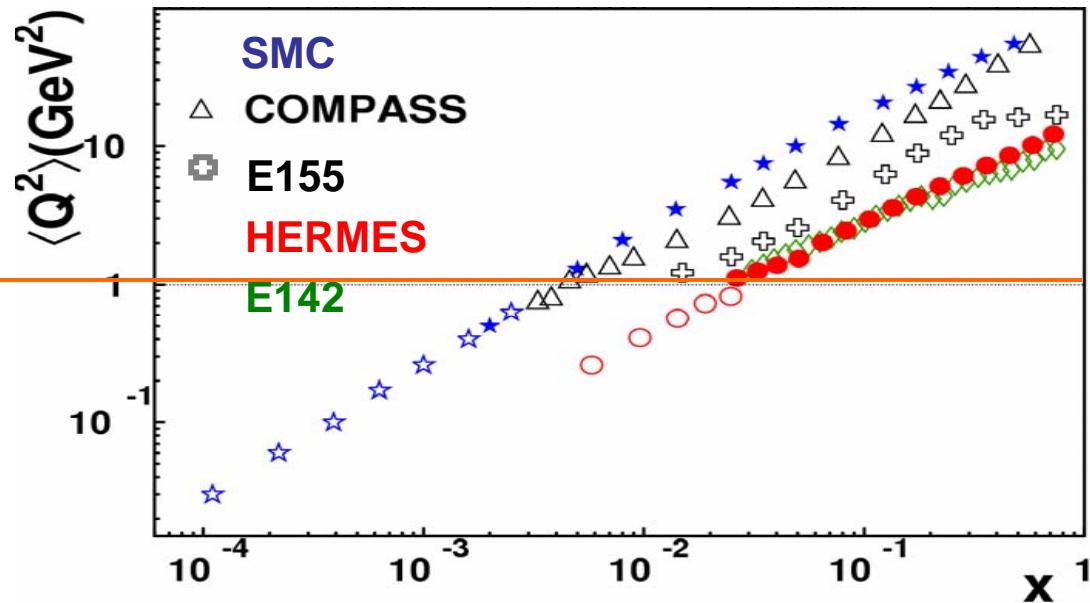
hadron ID: $\pi/\text{K}/\text{p}$
 $2 < E_h < 15 \text{ GeV}$

experimental prerequisites

-the 2nd generation-

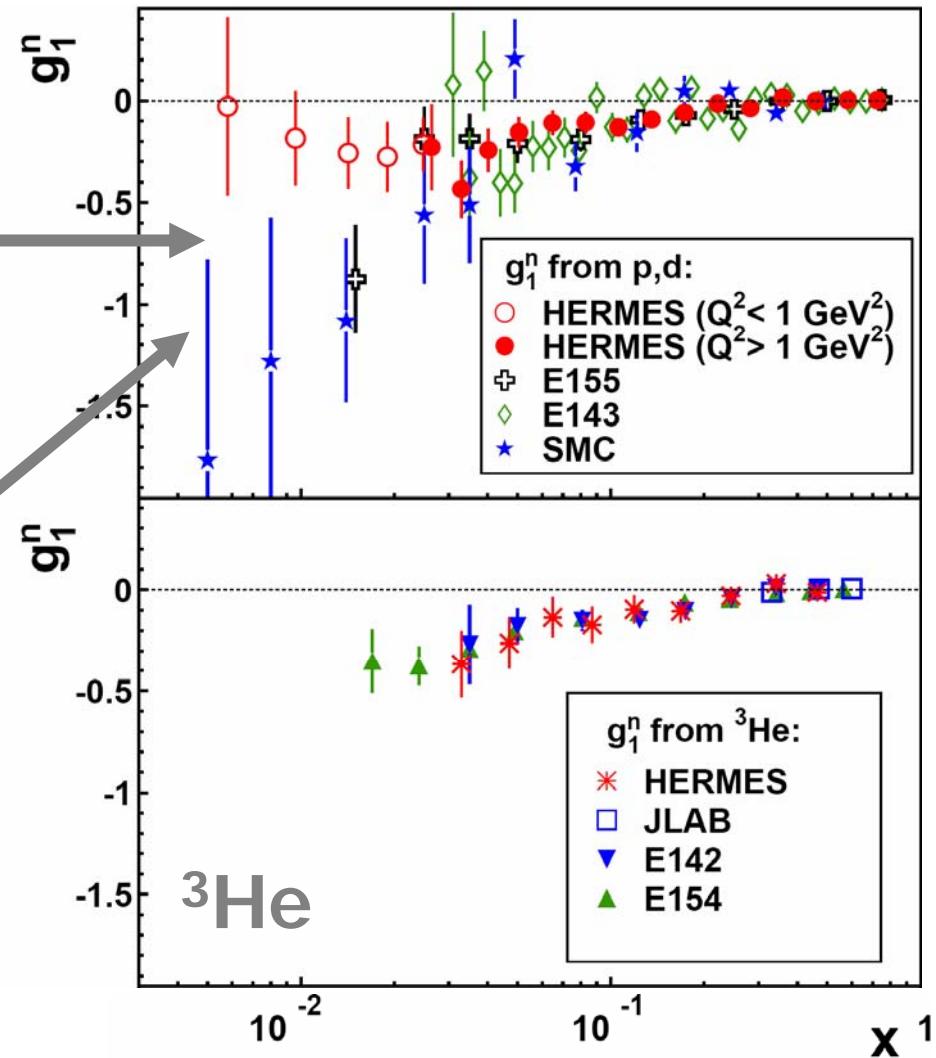
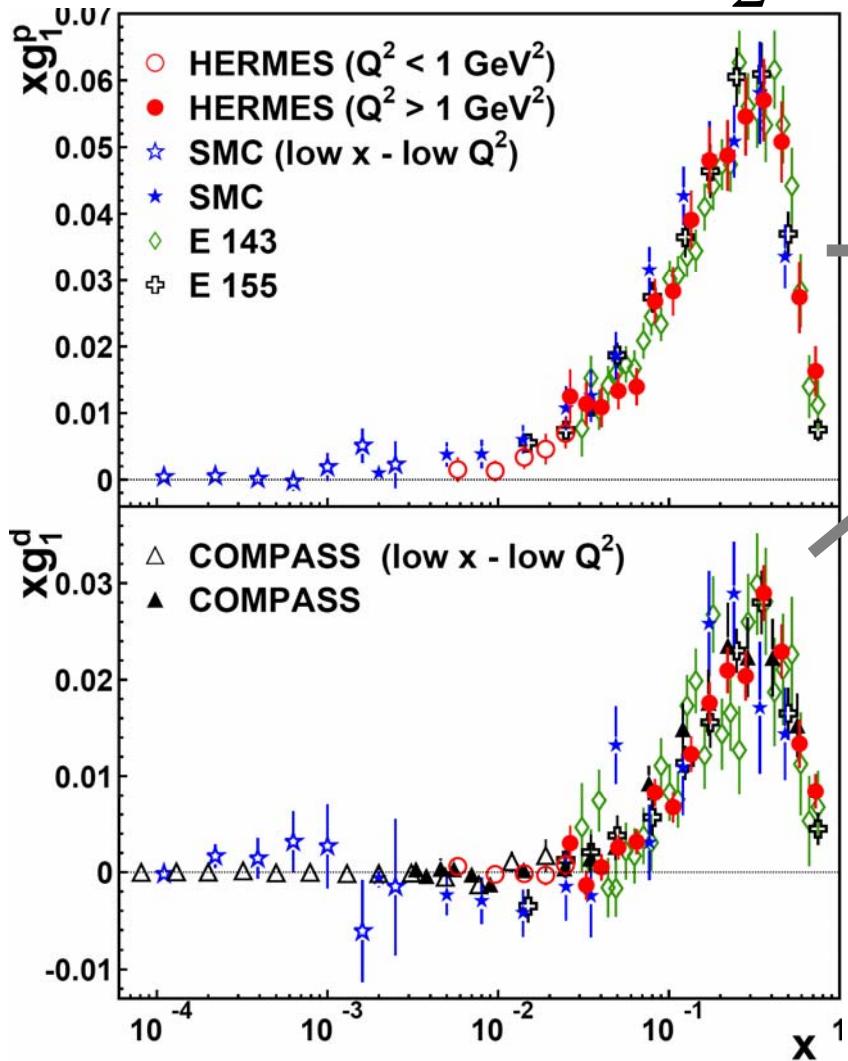


DIS ↑

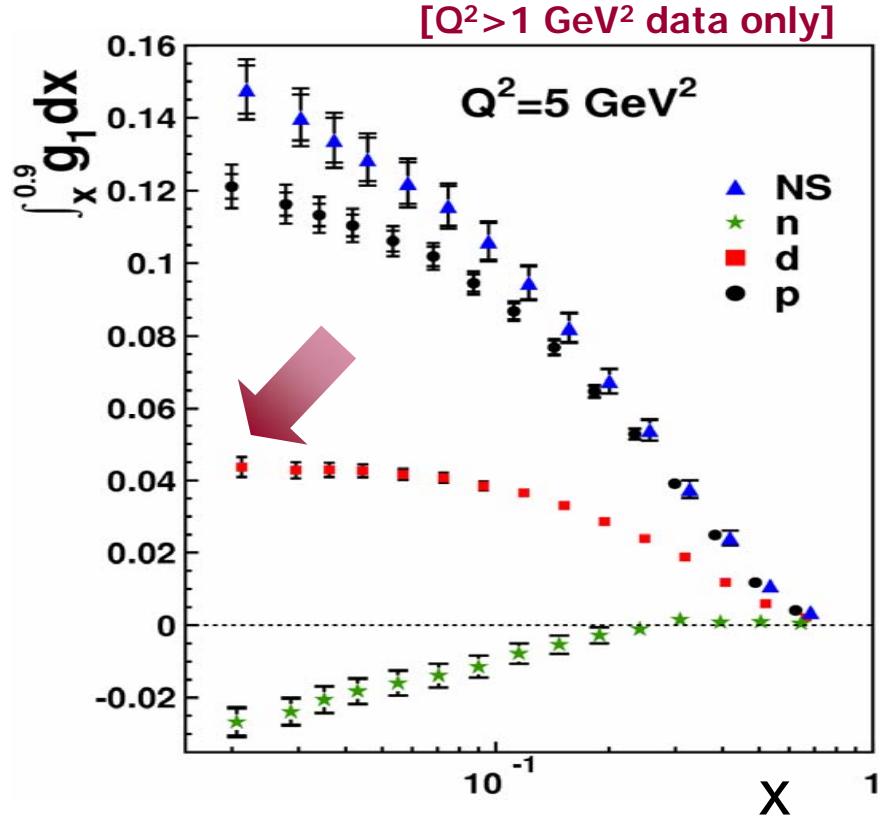


polarised structure function g_1

$$g_1(x) = \frac{1}{2} \sum_q e_q^2 (\Delta q + \Delta \bar{q})$$



first moment Γ_1^d and $\Delta\Sigma$



→ assume *saturation* of Γ_1^d :



$$\overline{a_0}^{\text{MS}} = \Delta\Sigma \propto \frac{1}{\Delta C_s} \left[9 \Gamma_1^d - \frac{1}{4} a_8 \Delta C_{NS} \right]$$

from theory

from hyperon beta decay

$$\overline{a_0}^{\text{MS}} = \Delta\Sigma$$

(exp)	(theory)	(evol)
$= 0.330 \pm 0.025 \pm 0.011 \pm 0.028$		



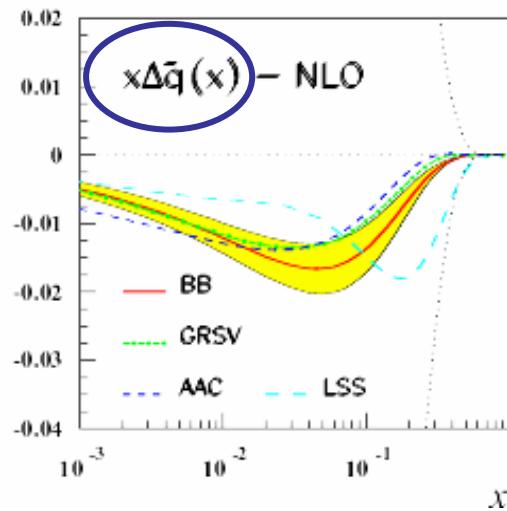
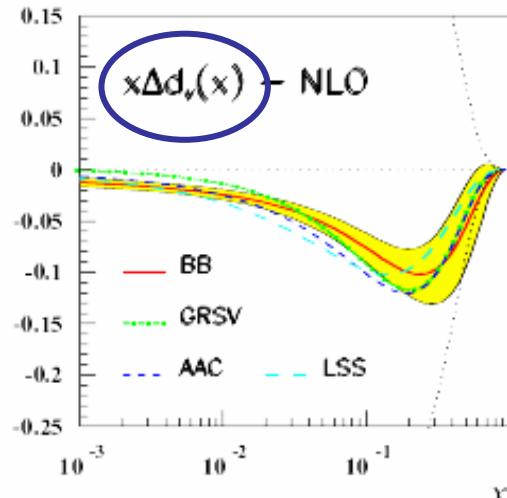
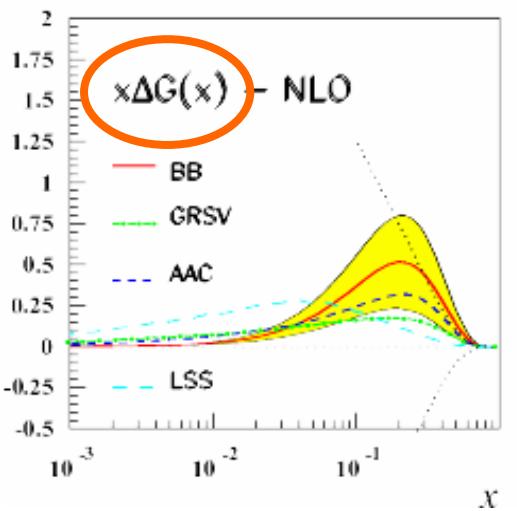
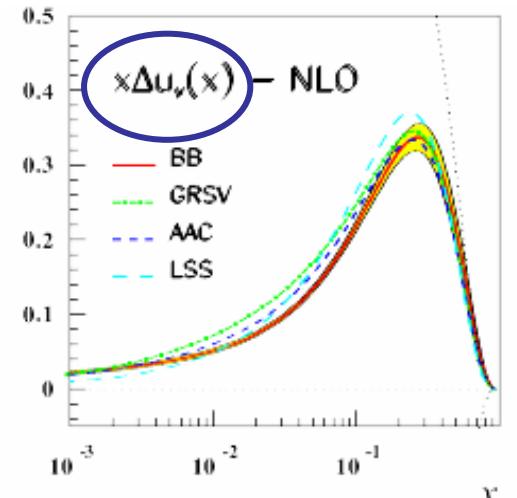
QCD-fit:

$$\overline{a_0}^{\text{MS}} = \Delta\Sigma$$

$$= 0.35 \pm 0.03^{(\text{stat})} \pm 0.05^{(\text{sys+evol})}$$

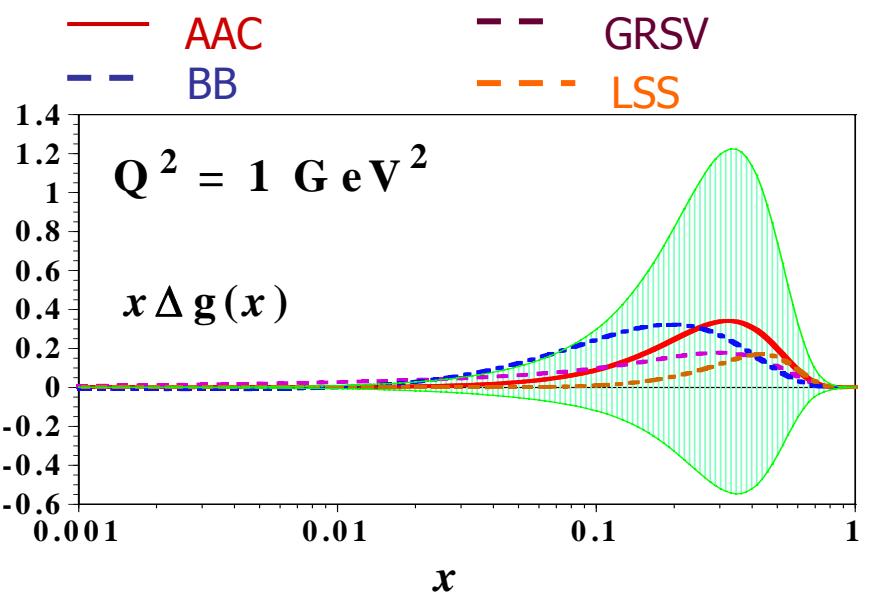
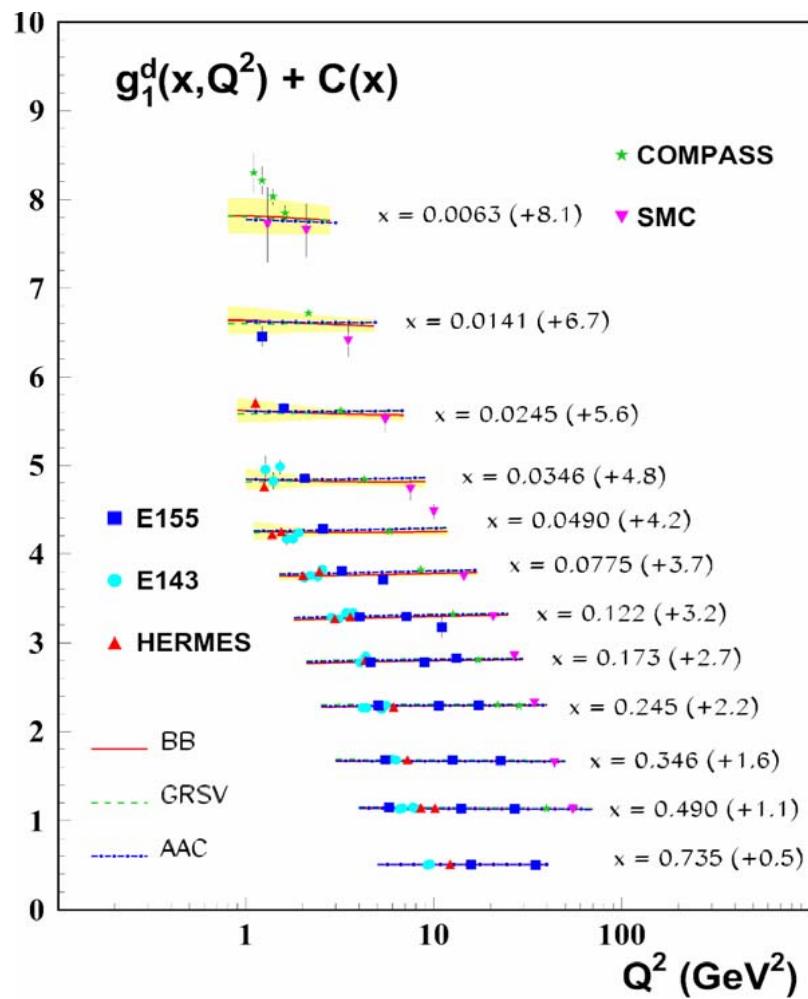
Δq and ΔG from inclusive data

$$g_1^{\text{NLO}}(x, Q^2) = g_1^{\text{LO}} + \frac{1}{2} \left\langle e^2 \right\rangle \sum_q e_q^2 [\Delta q(x, Q^2) \otimes C_q + \Delta g(x, Q^2) \otimes C_g]$$



call for more direct probes...

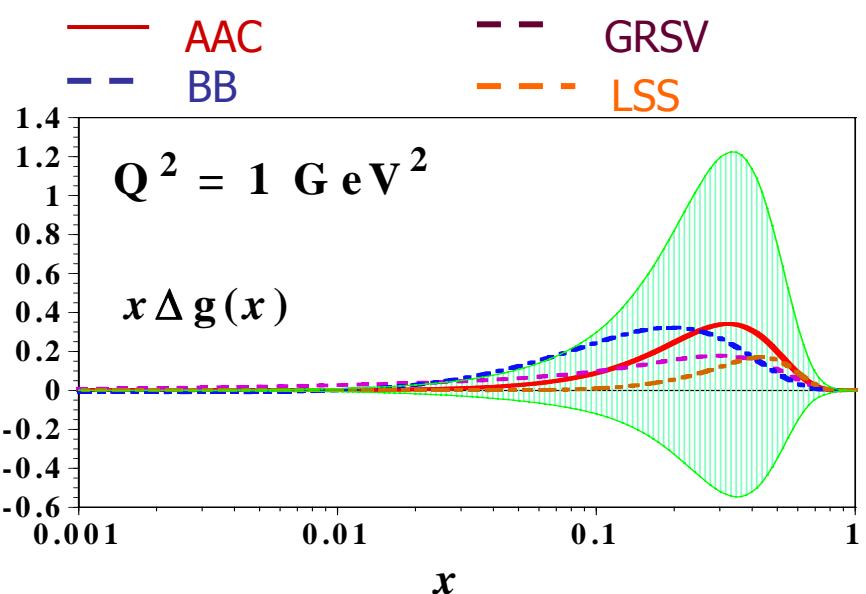
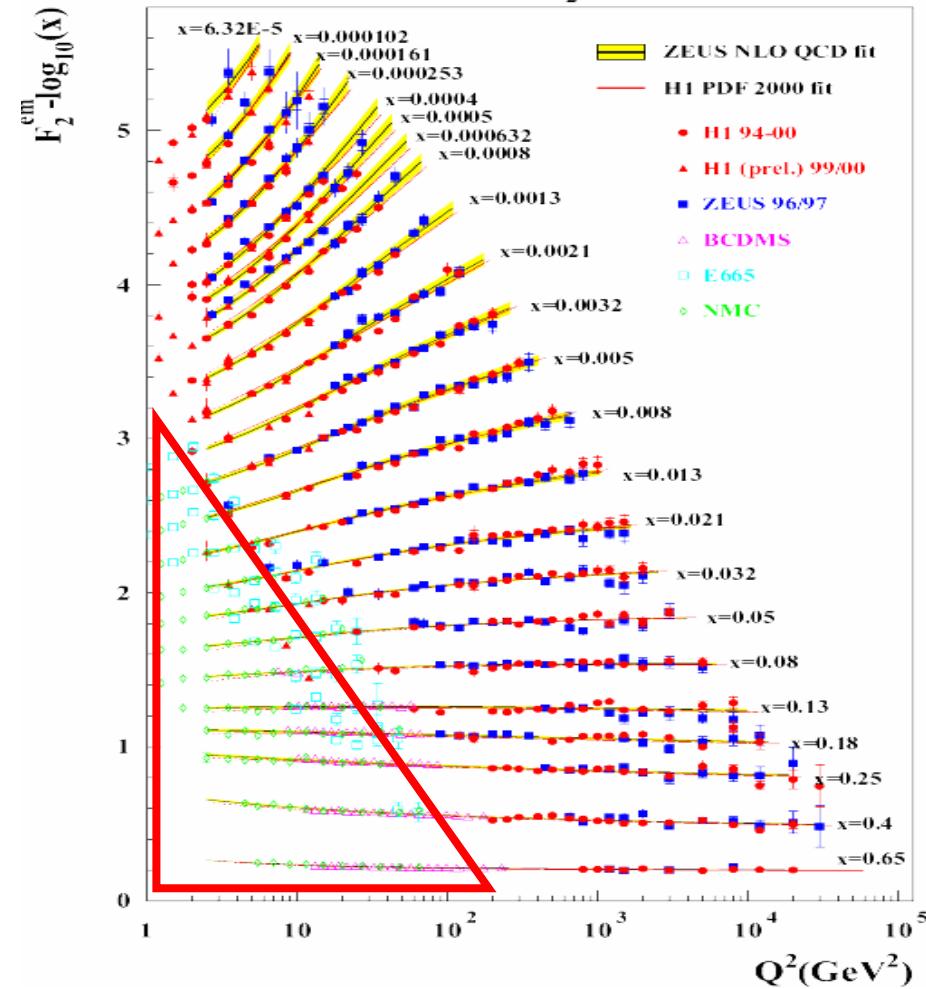
kinematic range of polarised DIS exp:



call for more direct probes...

kinematic range of polarised DIS exp:

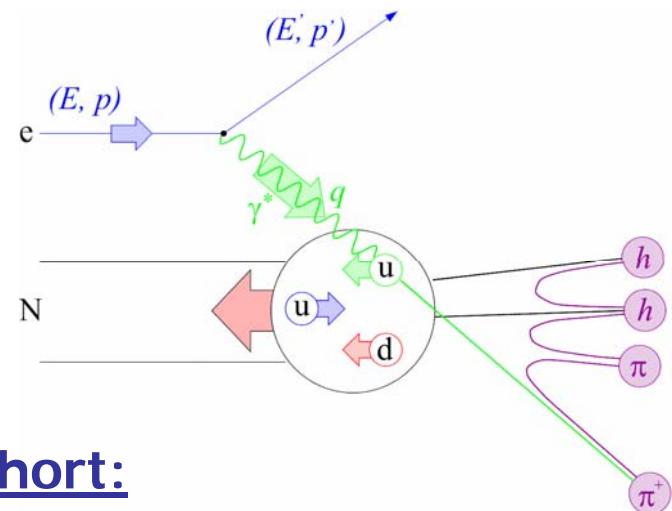
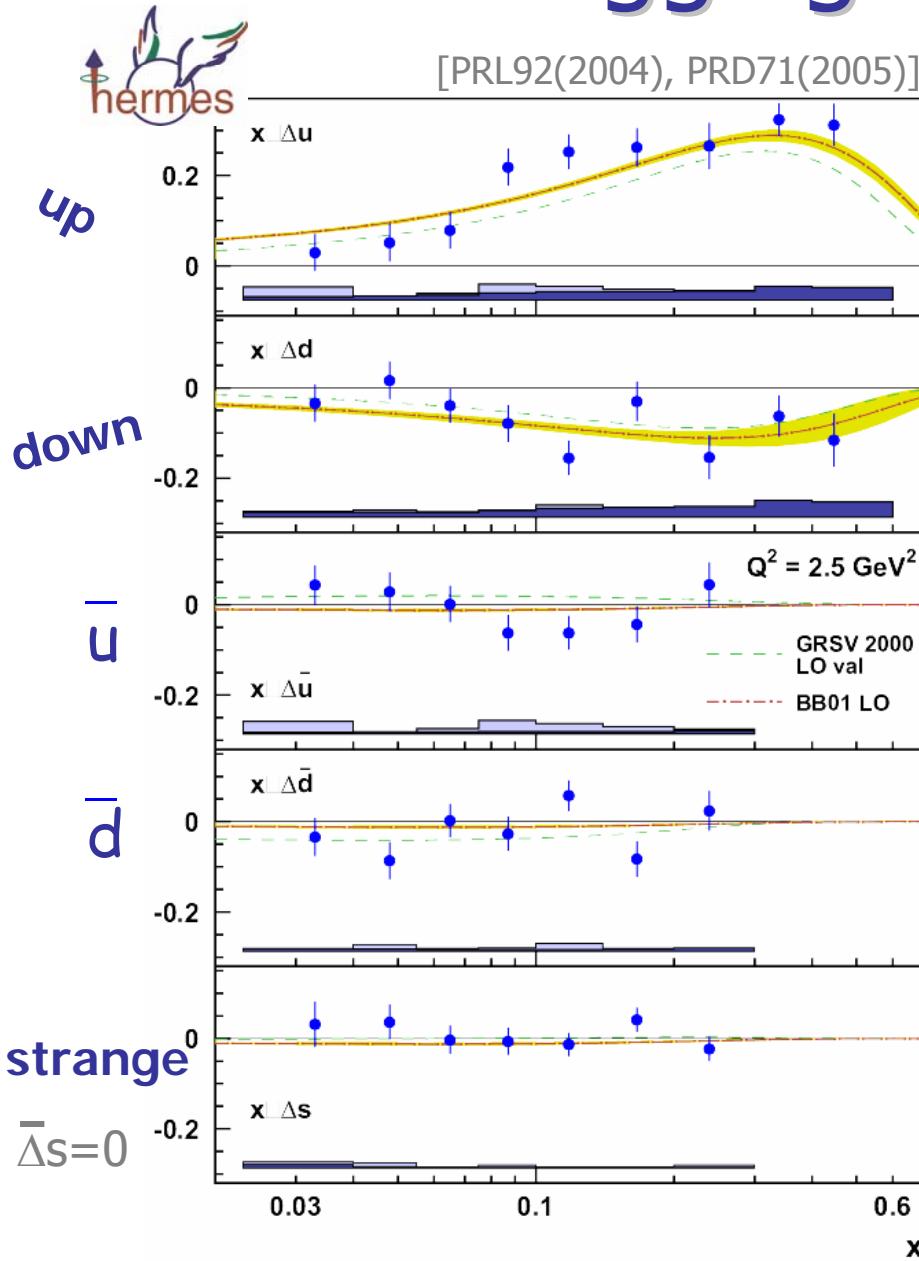
HERA F_2 unpolarised DIS



need *polarised collider* to
extend kinematic coverage

→need *more direct*
probes

flavour tagging: *semi-inclusive* DIS



in short:

$\Delta u(x) > 0$ and large

$\Delta d(x) < 0$ and smaller

$\Delta s(x) \approx 0$

HERMES: only direct 5-flavour separation of polarised pdfs

more about *strange* quarks

- strange quarks carry no isospin, thus the same in proton and neutron
- → use isoscalar probe and target to extract *strange-quark* distributions

more about *strange* quarks

- strange quarks carry no isospin, thus the same in proton and neutron
- → use isoscalar probe and target to extract *strange-quark* distributions
- needed ingredients: $A_{1,d}(x, Q^2)$, $A_{1,d}^{K^+ + K^-}(x, z, Q^2)$ and $K^+ + K^-$ multiplicities
- *strange-quark* fragmentation function either directly from data or from parametrisations

→ only assumptions:

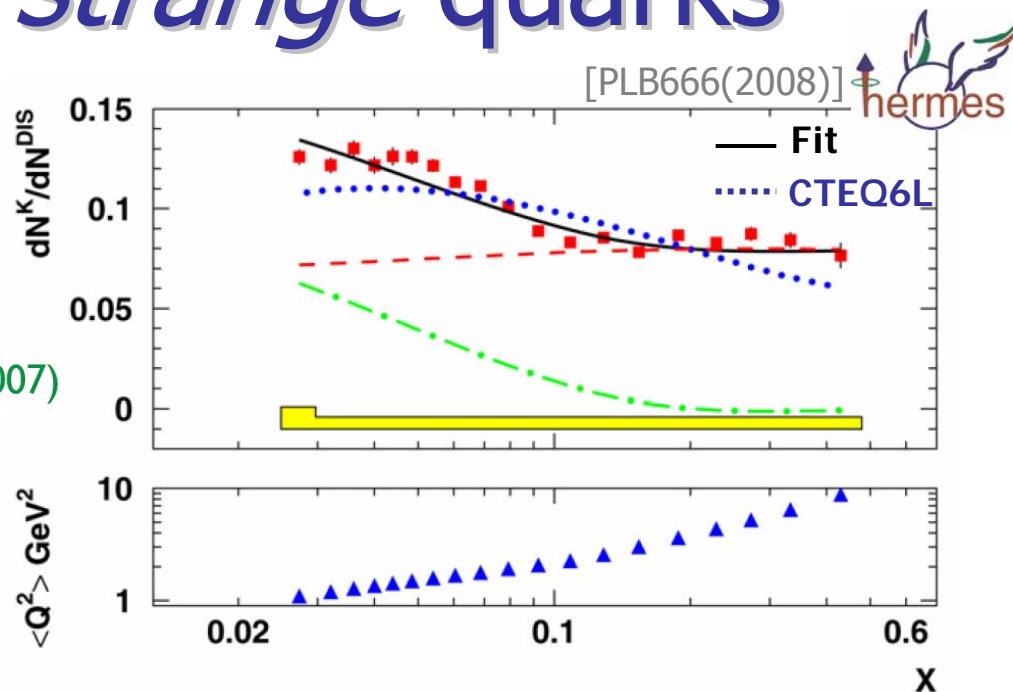
- isospin symmetry between proton and neutron
- charge conjugation invariance in fragmentation

unpolarised *strange* quarks

$K^+ + K^-$ multiplicities:

$$\int_{0.2}^{0.8} D_S^K(z) dz = 1.27 \pm 0.13$$

de Florian et al., PRD75 (2007)



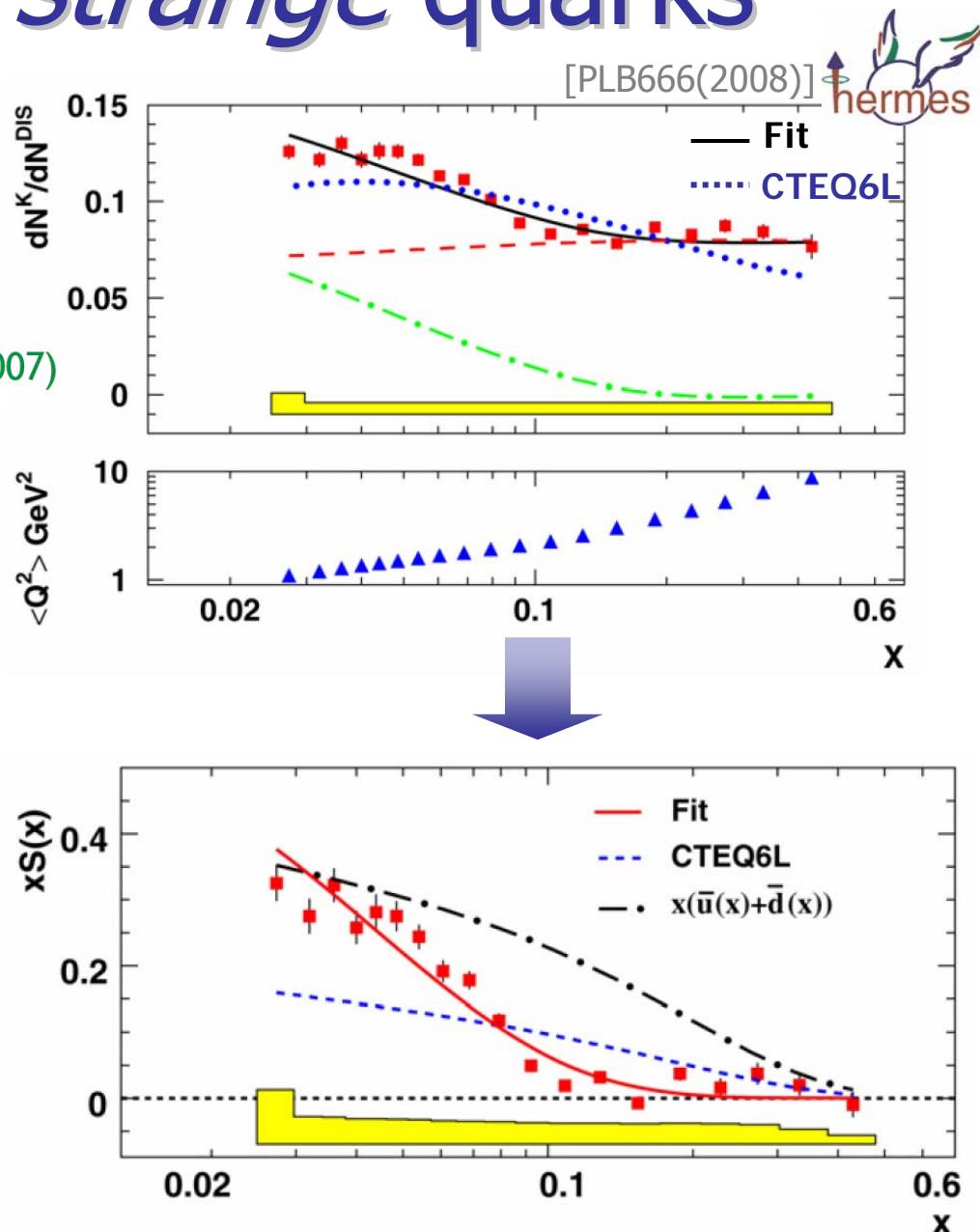
unpolarised *strange* quarks

$K^+ + K^-$ multiplicities:

$$\int_{0.2}^{0.8} D_S^K(z) dz = 1.27 \pm 0.13$$

de Florian et al., PRD75 (2007)

- S(x) non-zero for $x < 0.1$
vanishes for $x > 0.1$
- apparent discrepancy with
CTEQ6L
- S(x) NOT an average of an
isoscalar non-strange see



polarised *strange* quarks

- results consistent with previous flavour decomposition

- no sizeable negatively polarised strange sea as expected from inclusive DIS results

- sign of violation of $SU(3)_f$ symmetry or of substantial contribution from low- x region

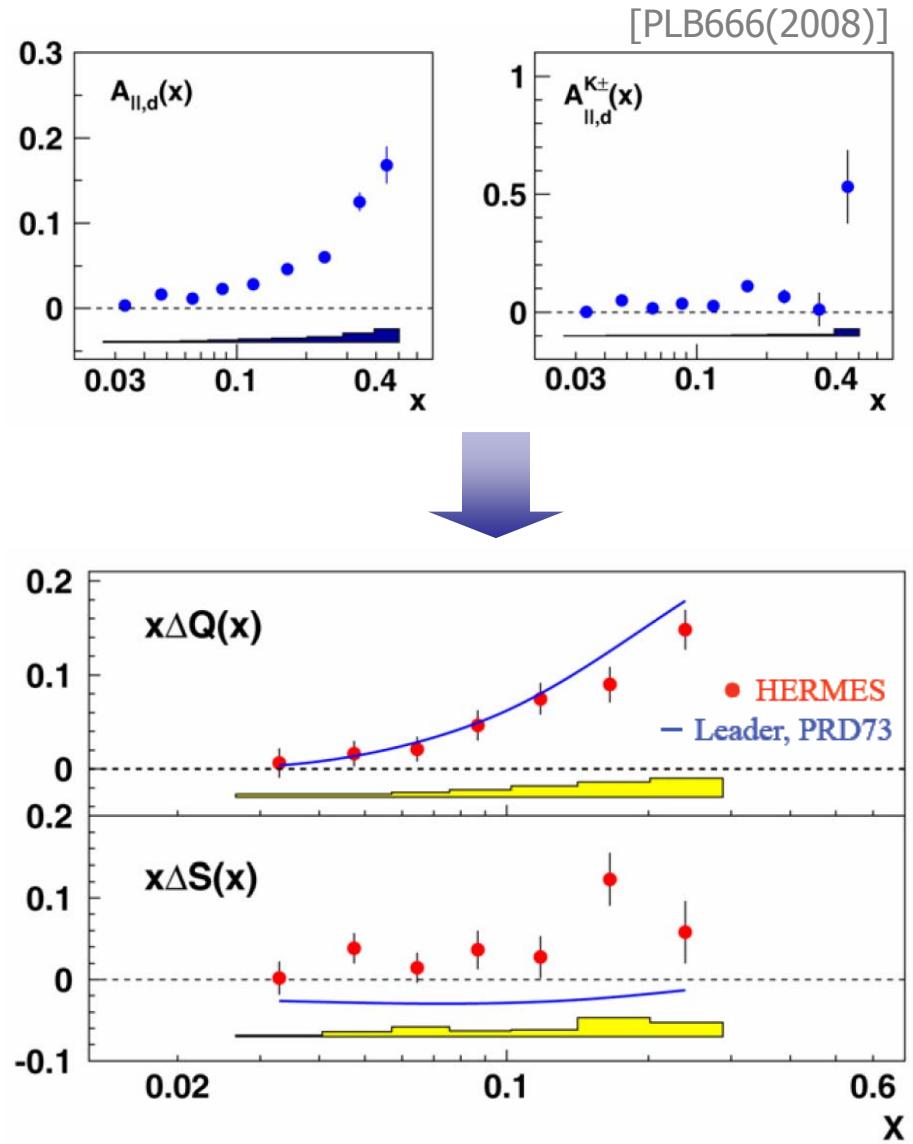
axial charge: $a_8 = \Delta q_8 = \Delta Q - 2\Delta S$

→ HERMES: ($0.02 < x < 0.6$)

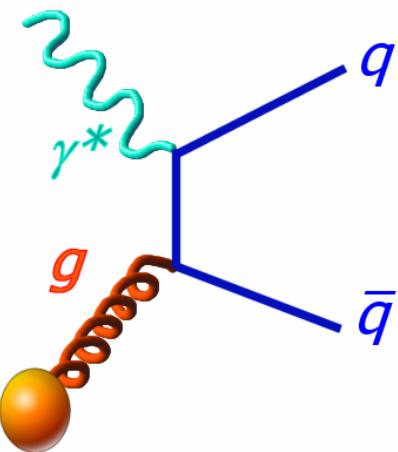
$$\Delta q_8 = 0.285 \pm 0.073$$

→ hyperon decay constants (SU(3) symm)

$$\Delta q_8 = 0.586 \pm 0.031$$



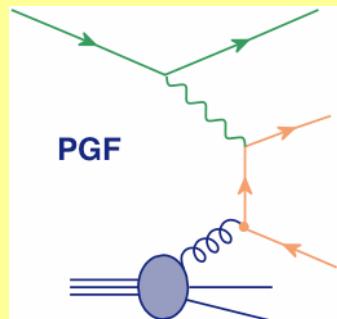
'direct' measurement of ΔG



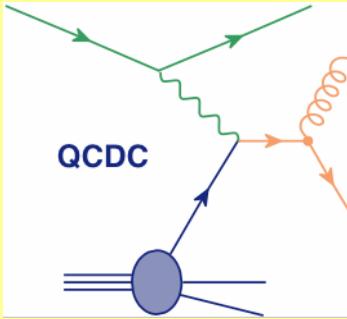
Photon-Gluon Fusion (PGF)

- golden channel: charm production
 - theoretically very clean
 - experimentally very challenging
- @HERMES ($\sqrt{s}=7$ GeV):
 - hadron production at high P_T
 - experimentally very clean
 - highly model dependent
 - due to variety of background processes

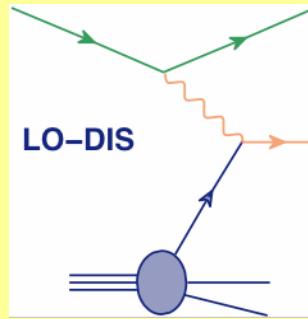
other sub-processes make life hard:



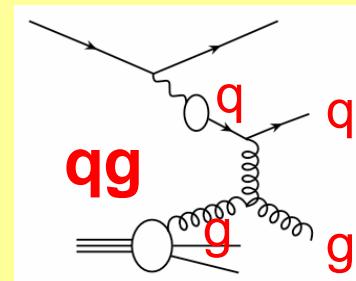
+



+

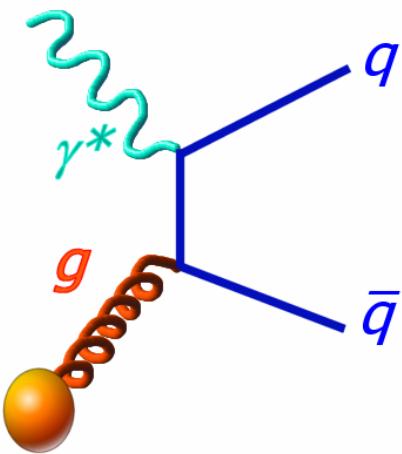


+



extraction relies on Monte Carlo description of subprocesses (pythia)

direct measurement of ΔG



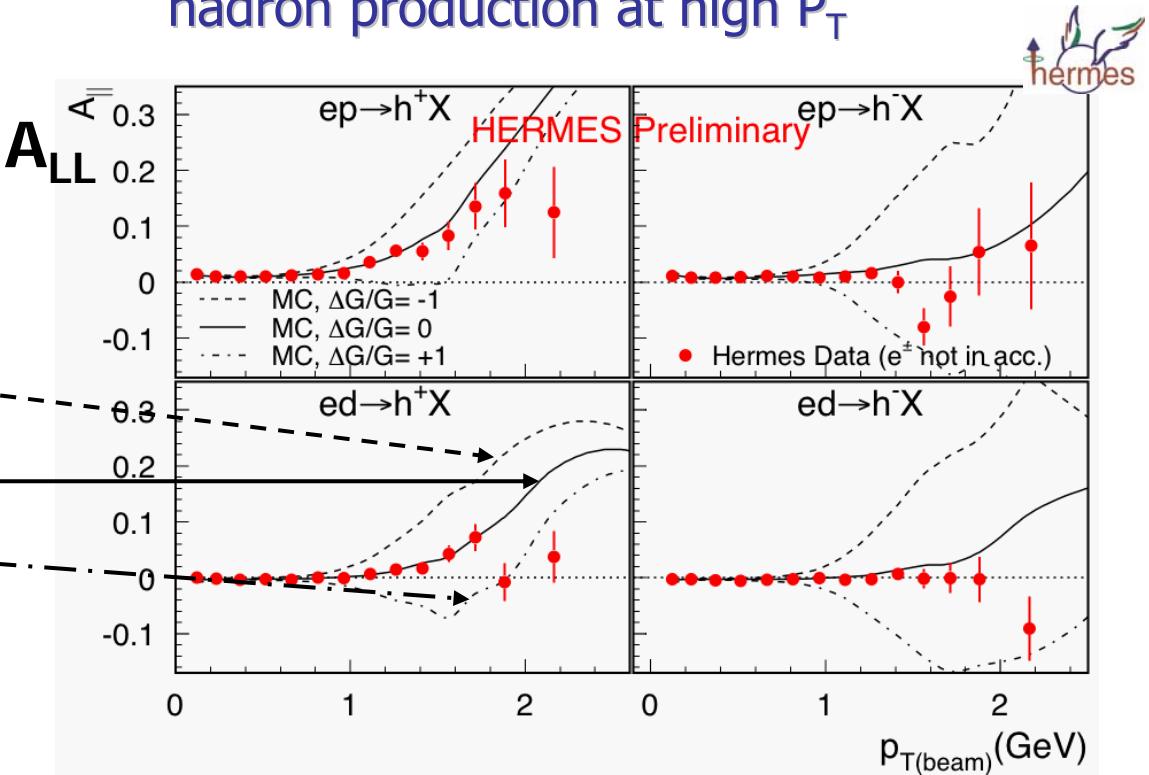
- golden channel: charm production
 - theoretically very clean
 - experimentally very challenging
- @HERMES ($\sqrt{s}=7$ GeV):
hadron production at high P_T

Pythia MC:

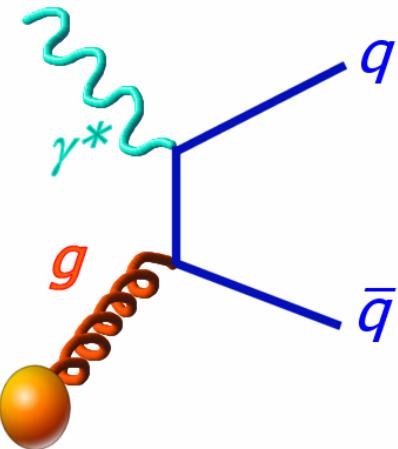
$$\Delta g/g = -1$$

$$\Delta g/g = 0$$

$$\Delta g/g = +1$$



direct measurement of ΔG

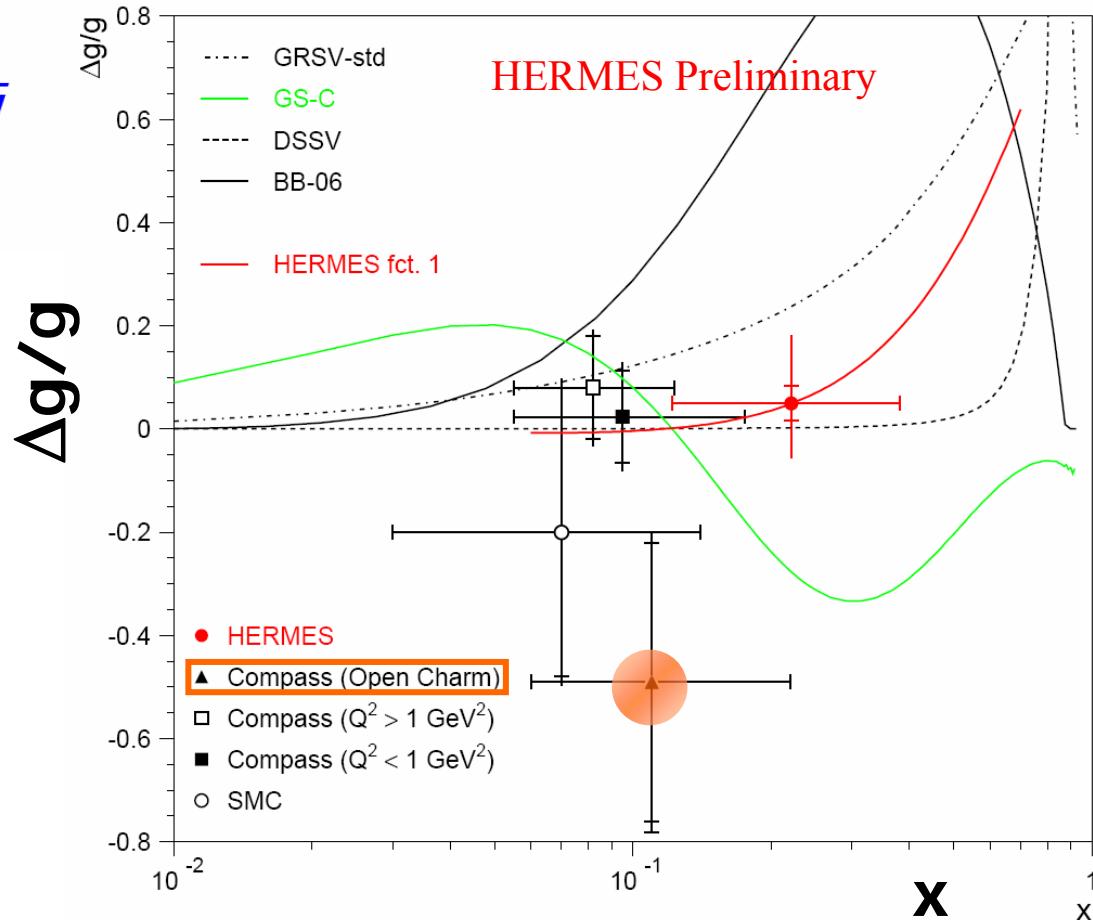


- golden channel: charm production
- hadron production at high P_T

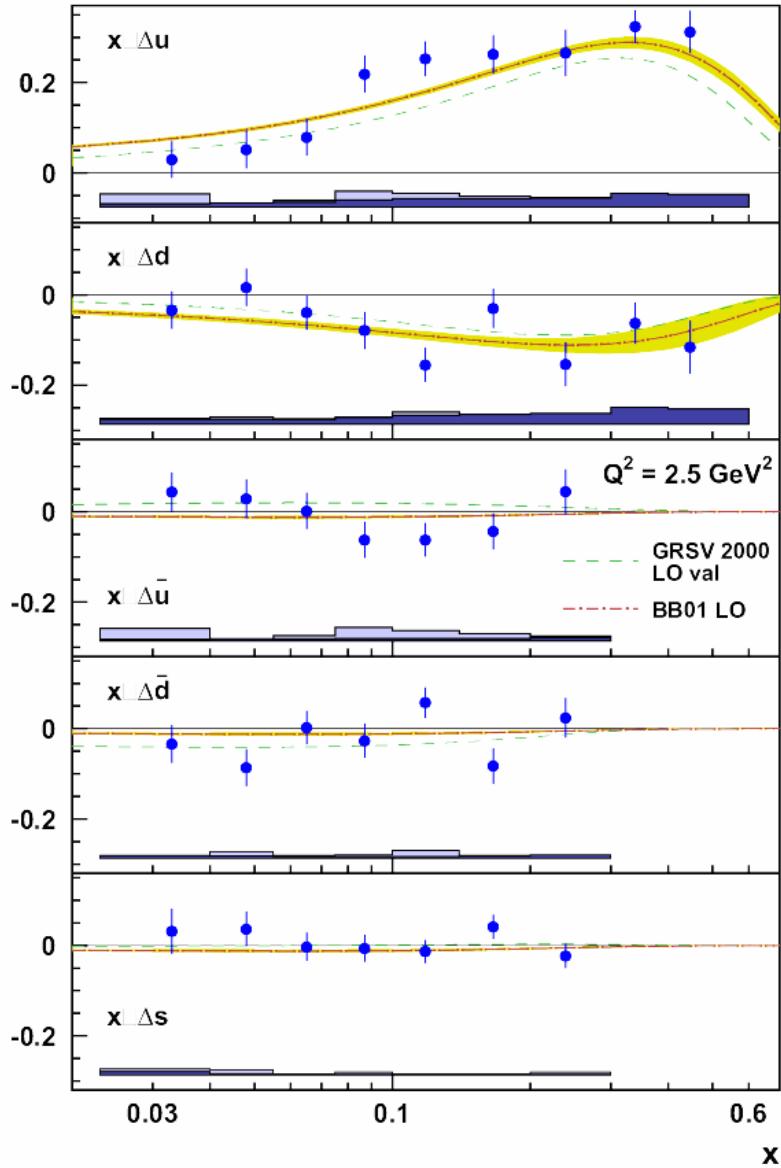


@ $X[0.06, 0.3]$

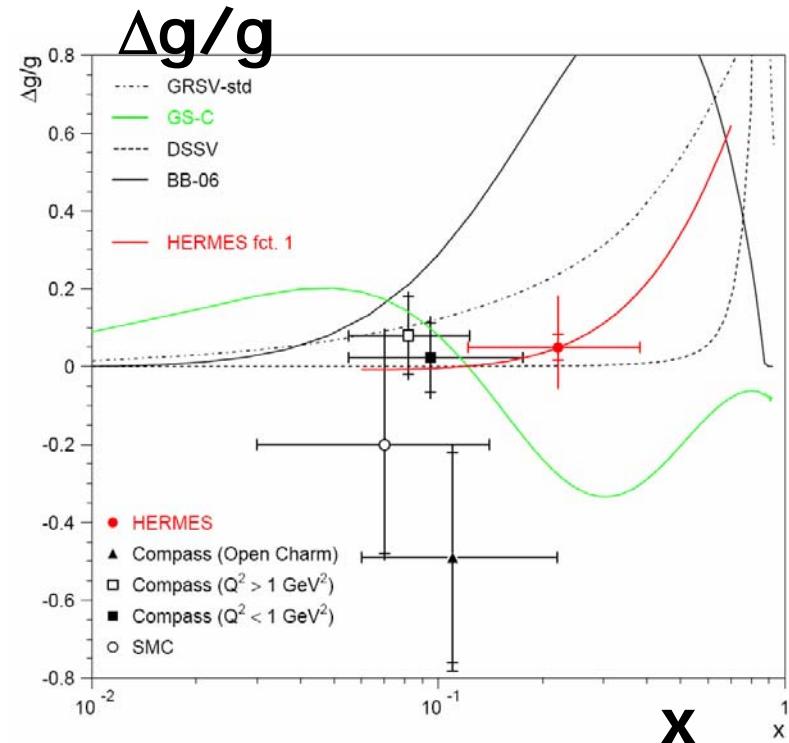
$\Delta g/g \sim \text{zero!}$



quark and gluon polarisations



$\Delta\Sigma \sim 0.3$
 $\Delta G \sim 0$
 → orbital angular momentum → next talk



transverse spin phenomena

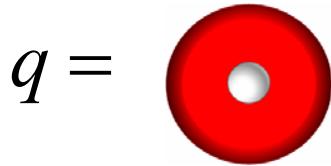
[courtesy of A. Bacchetta]



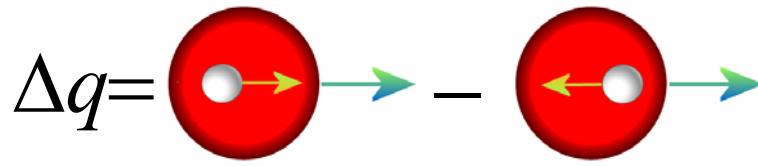
beyond collinear approximation

quark structure of the nucleon

$$\Phi_{\text{Corr}}^{\text{Tw}2}(x) = \frac{1}{2} \left\{ q(x) + S_L \Delta q(x) \gamma_5 + \delta q(x) \gamma_5 \gamma^1 S_T \right\} n^+$$

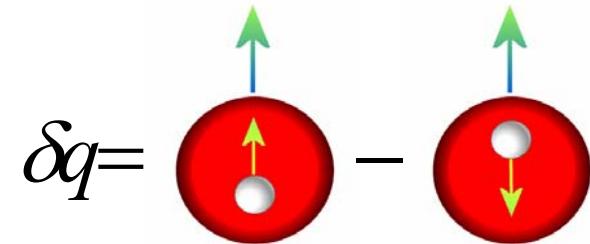


$q =$



unpolarised quarks
and nucleons

longitudinally polarised
quarks and nucleons



**transversely polarised
quarks and nucleons**

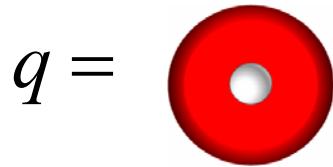
[also: h_1^q , $\Delta_T q$]

Peculiarities of δq

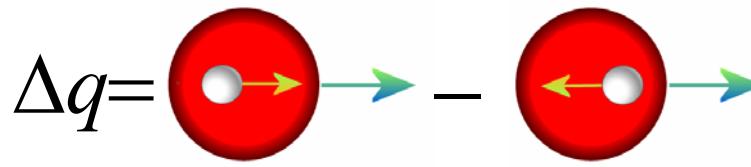
- probes relativistic nature of quarks
 \rightarrow otherwise $\delta q = \Delta q$
- no gluon analog for spin-1/2 nucleon
 \rightarrow different Q^2 evolution than Δq
- sensitive to *valence* quark polarisation
- only known way to obtain tensor charge

quark structure of the nucleon

$$\Phi_{\text{Corr}}^{\text{Tw}2}(x) = \frac{1}{2} \left\{ q(x) + S_L \Delta q(x) \gamma_5 + \delta q(x) \gamma_5 \gamma^1 S_T \right\} n^+$$



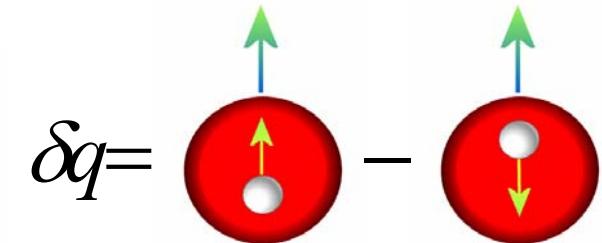
$q =$



unpolarised quarks
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longitudinally polarised
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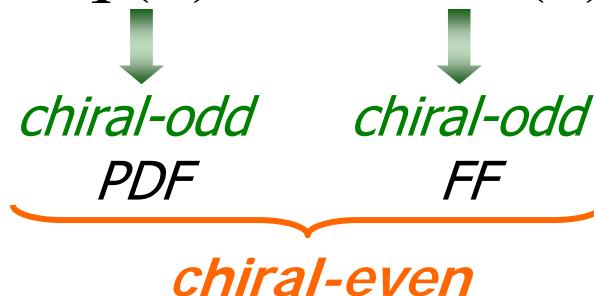
**transversely polarised
quarks and nucleons**

[also: h_1^q , $\Delta_T q$]

δq : *helicity-flip of both nucleon and quark*

δq is *chiral-odd* → needs a chiral odd partner:

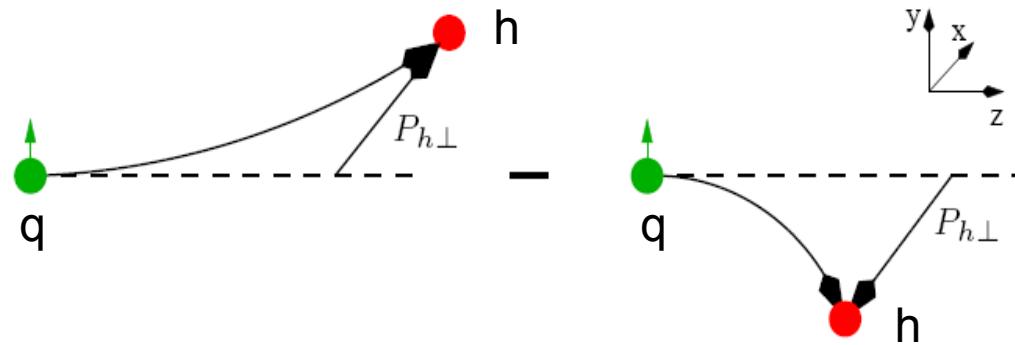
$$\text{SIDIS: } \sigma^{ep \rightarrow ehX} \propto \sum_q \sigma^{eq \rightarrow eq} \otimes \delta q(x) \otimes FF^{q \rightarrow h}(z)$$



→ **chiral-odd fragmentation function** acts as polarimeter of transverse quark polarisation

“Collins-effect”

- **Collins FF** $H_1^\perp(z, k_T^2)$ correlates *transverse spin* of fragmenting quark and *transverse momentum* $P_{h\perp}$ of produced hadron h



→ left-right (azimuthal) asymmetry in the direction of the outgoing hadron

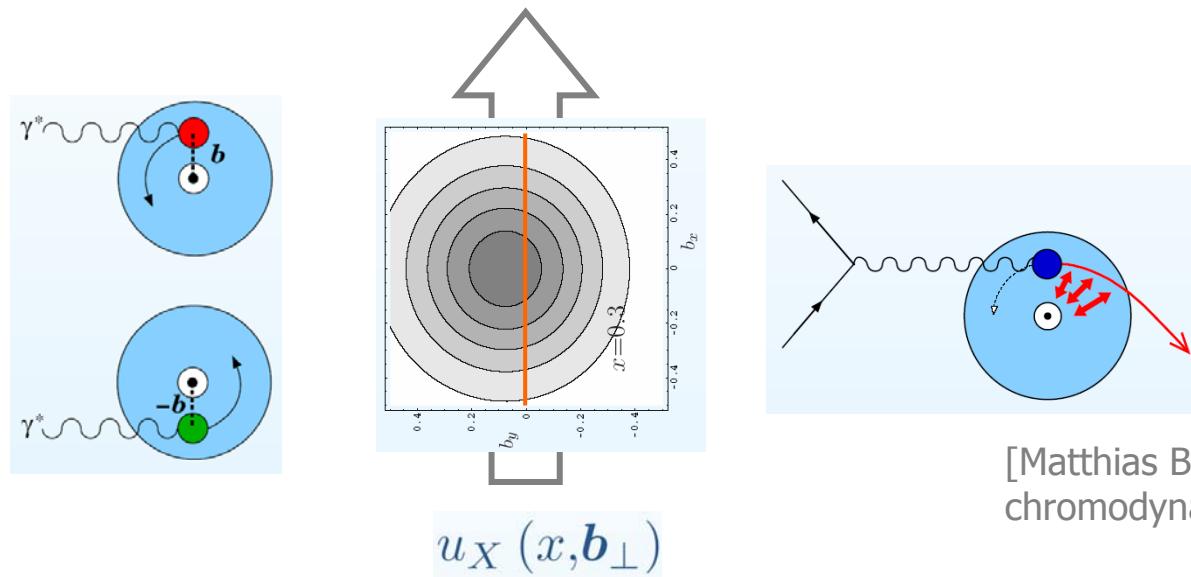
our observable: single-spin azimuthal asymmetry

is this observable unique?

“*Sivers-effect*”

- another mechanism that produces single-spin azimuthal asymmetries:

Sivers distribution function : distribution of unpolarised quarks in a transversely polarised nucleon → describes *spin-orbit correlations*



[Matthias Burkardt:
chromodynamic lensing]

a non-zero Sivers fct. requires non-zero orbital angular momentum !

Sivers fct. is (naively) time-reversal *odd* !

polarised DIS^h cross section

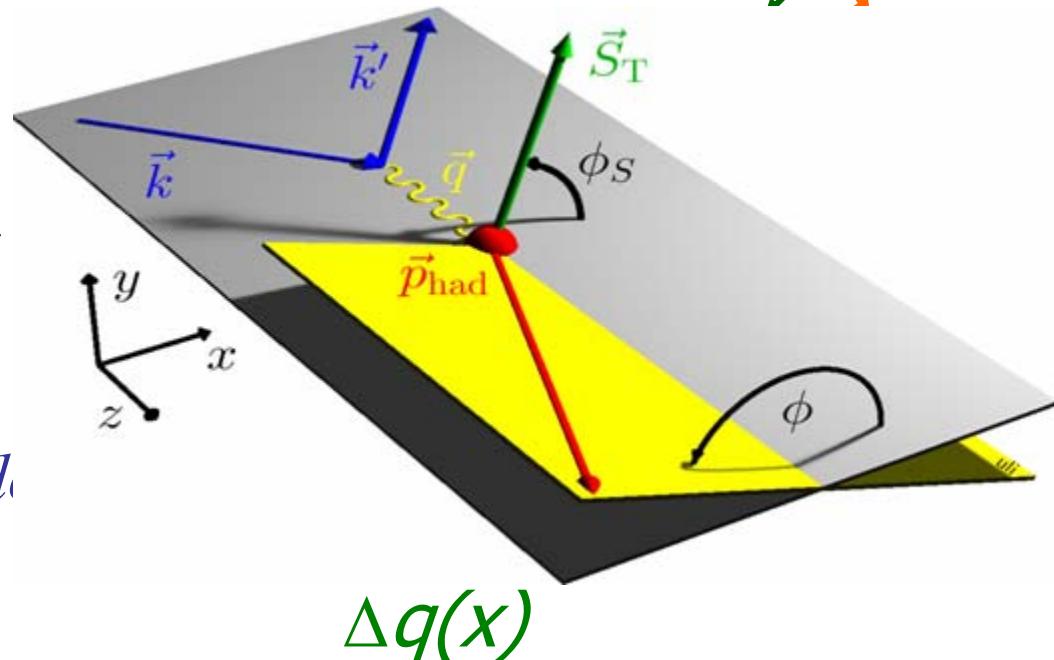
$$\sigma_{UU}$$

$$d\sigma^h(x, y, z, P_{h\perp}, \phi, \dots) =$$

$d\sigma_{UU}$ + $\cos 2\phi d\sigma_{UU} + \frac{1}{Q}$

$q(x)$

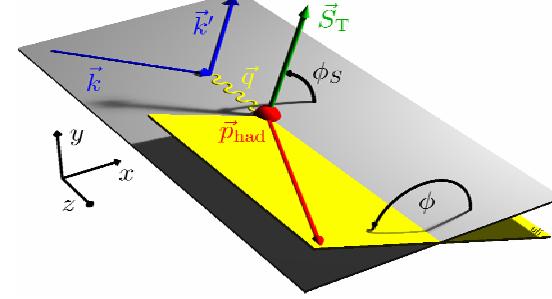
$$+ S_L \left[\sin 2\phi d\sigma_{UL} + \frac{1}{Q} \sin \phi d\sigma_{UL} \right]$$



$$+ S_T \left[\sin(\phi + \phi_S) d\sigma_{UT} + \sin(\phi - \phi_S) d\sigma_{UT} + \sin(3\phi - \phi_S) d\sigma_{UT} + \frac{1}{Q} \dots \right]$$

$$+ \lambda S_T \left[\cos(\phi - \phi_S) + \frac{1}{Q} \dots \right] + \dots$$

polarised DIS^h cross section



$$d\sigma^h(x, y, z, P_{h\perp}, \phi, \dots) =$$

$$d\sigma_{UU} + \cos 2\phi d\sigma_{UU} + \frac{1}{Q} \cos \phi d\sigma_{UU} + \lambda \frac{1}{Q} \sin \phi d\sigma_{LU}$$

$q(x)$

$$+ S_L \left[\sin 2\phi d\sigma_{UL} + \frac{1}{Q} \sin \phi d\sigma_{UL} \right] + \lambda S_L \left[d\sigma_{LL} + \frac{1}{Q} \cos \phi d\sigma_{LL} \right]$$

$$\delta q \otimes H_1^\perp + f_{1T}^\perp \otimes D_1 + h_L \dots$$

$\Delta q(x)$

$$+ S_T \left[\sin(\phi + \phi_S) d\sigma_{UT} + \sin(\phi - \phi_S) d\sigma_{UT} + \sin(3\phi - \phi_S) d\sigma_{UT} + \frac{1}{Q} \dots \right]$$

$$\delta q \otimes H_1^\perp$$

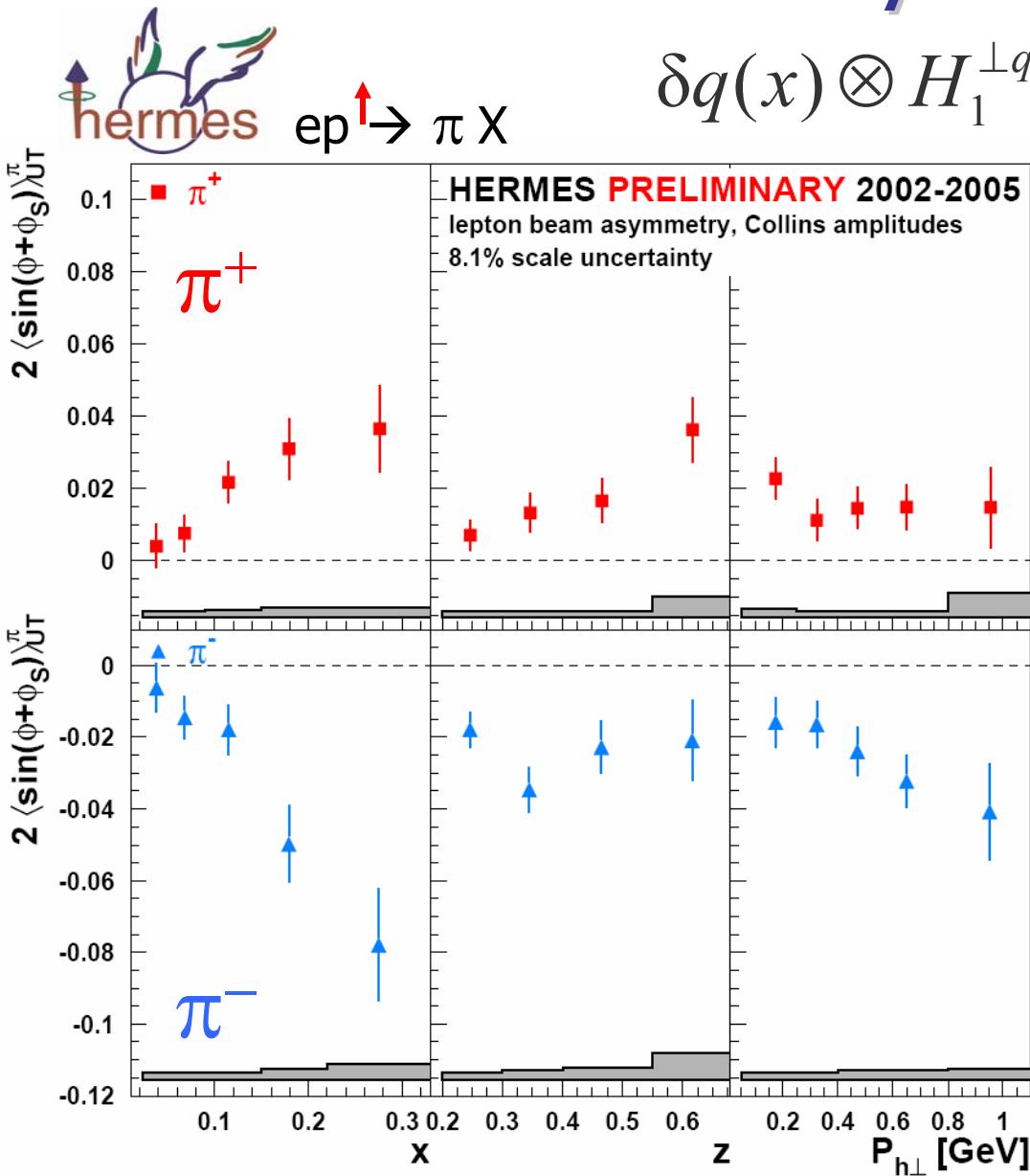
$$f_{1T}^\perp \otimes D_1$$

transversity
(Collins effect)

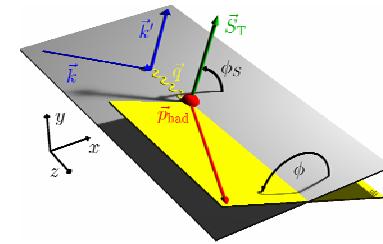
SIDIS with *transversely*
polarised targets but not only...

$$+ \lambda S_T \left[\cos(\phi - \phi_S) + \frac{1}{Q} \dots \right] + \dots$$

Collins asymmetries



$$\delta q(x) \otimes H_1^{\perp q}(z)$$



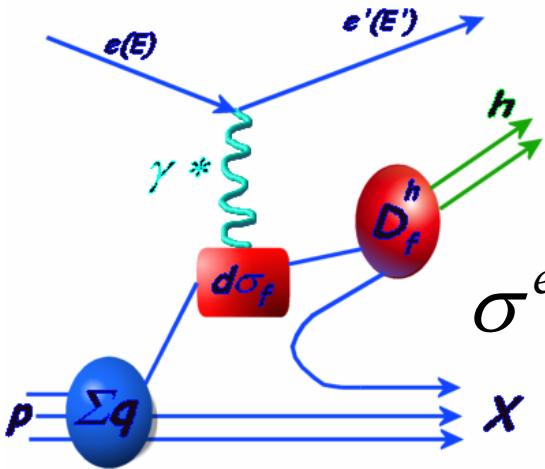
first time: *transversity & Collins FF are non-zero!*

- π^+ asymmetries positive – no surprise: u-quark dominance and expect $\delta q > 0$ since $\Delta q > 0$

- large negative π^- asymmetries
 - ARE a surprise: suggests the *disfavoured CollinsFF* being large and with opposite sign:

$$H_1^{\perp, \text{disfav}}(z) \approx -H_1^{\perp, \text{fav}}(z)$$

extracting *transversity*

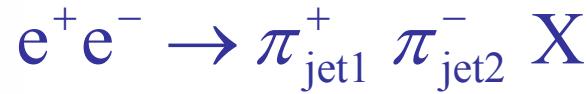
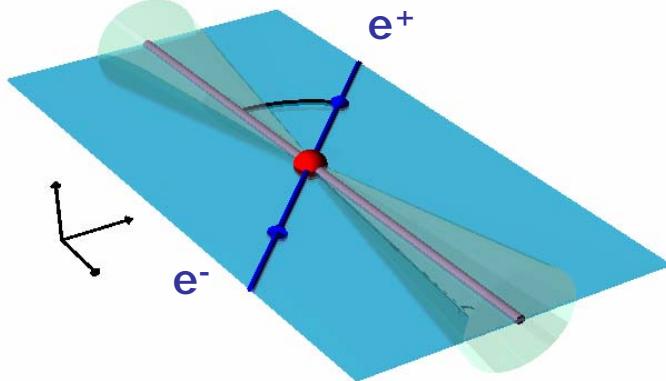


$$\sigma^{ep \rightarrow ehX} \propto \sum_q \sigma^{eq \rightarrow eq} \otimes \delta q(x) \otimes FF^{q \rightarrow h}(z)$$

*spin-dependent
fragmentation
function*

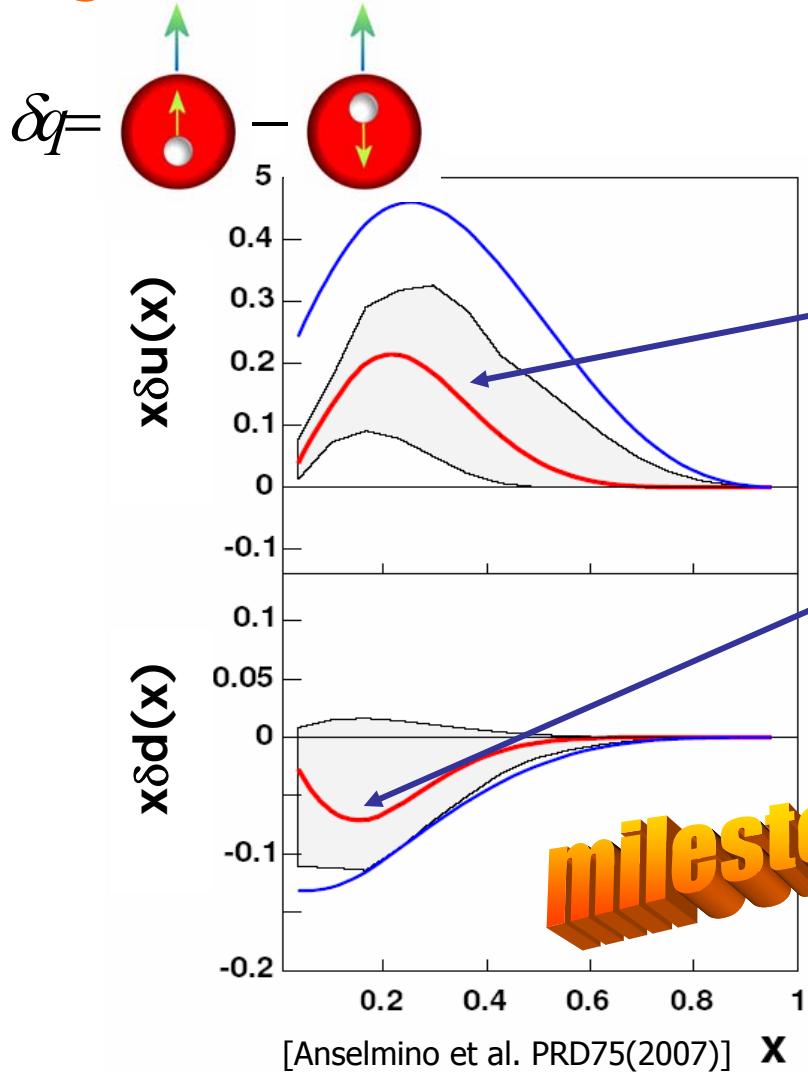


\rightarrow
 e^+e^-

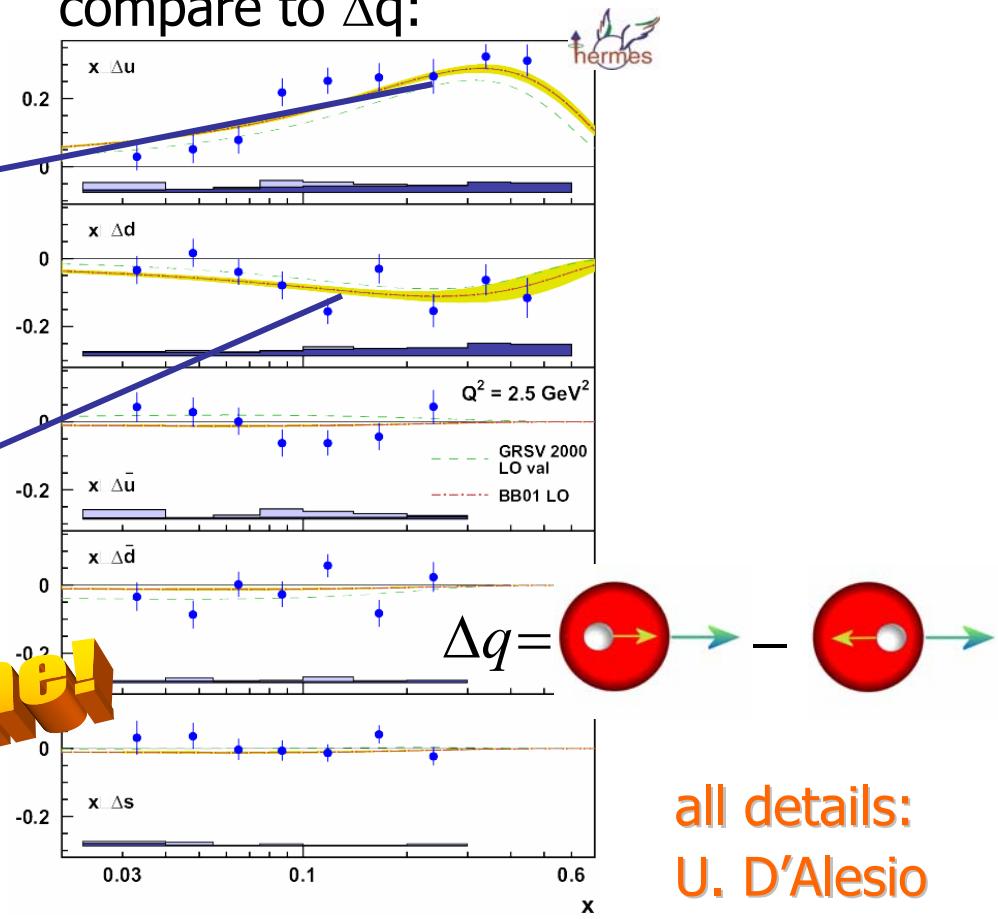


first glimpse of transversity

global, simultaneous fit:

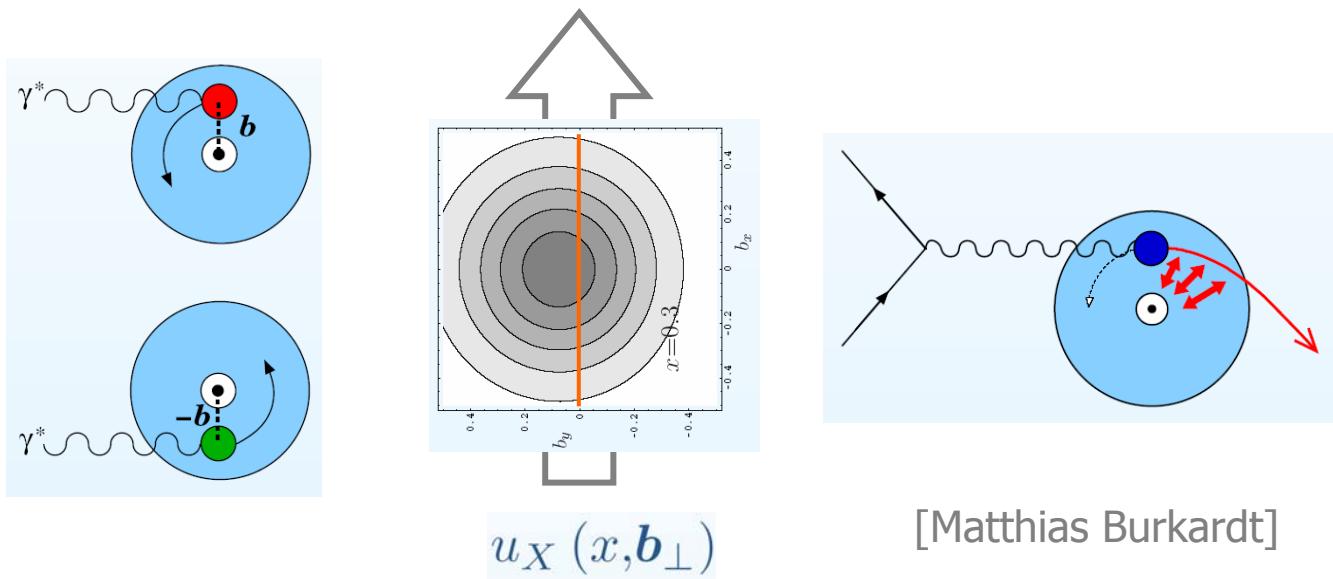


compare to Δq :



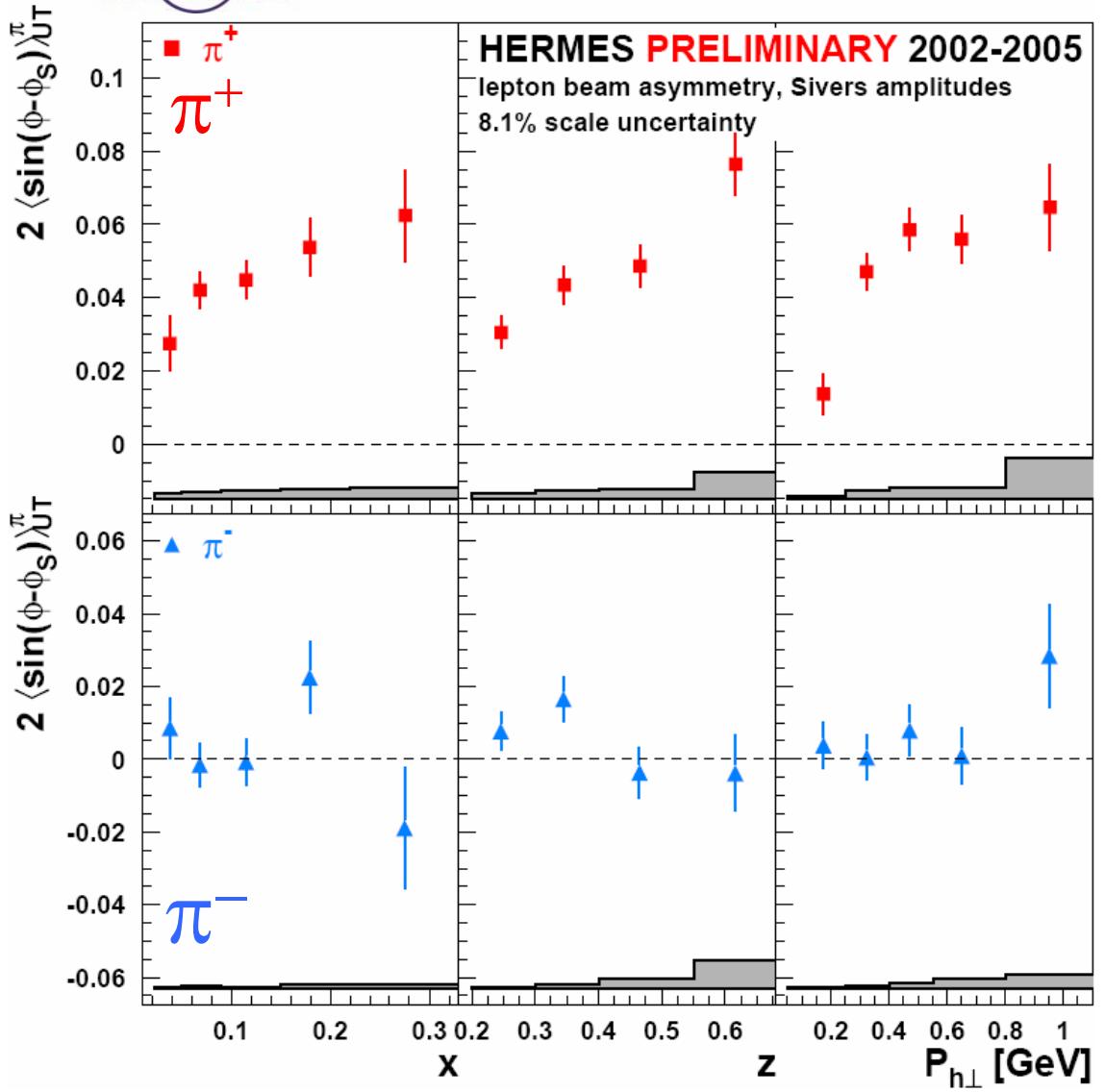
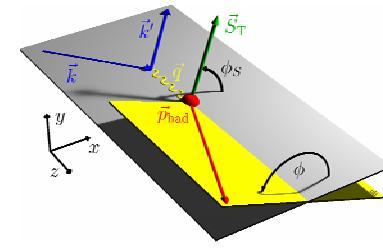
spin-orbit structure

Sivers function:



a non-zero Sivers fct. requires non-zero orbital angular momentum !

Sivers asymmetries



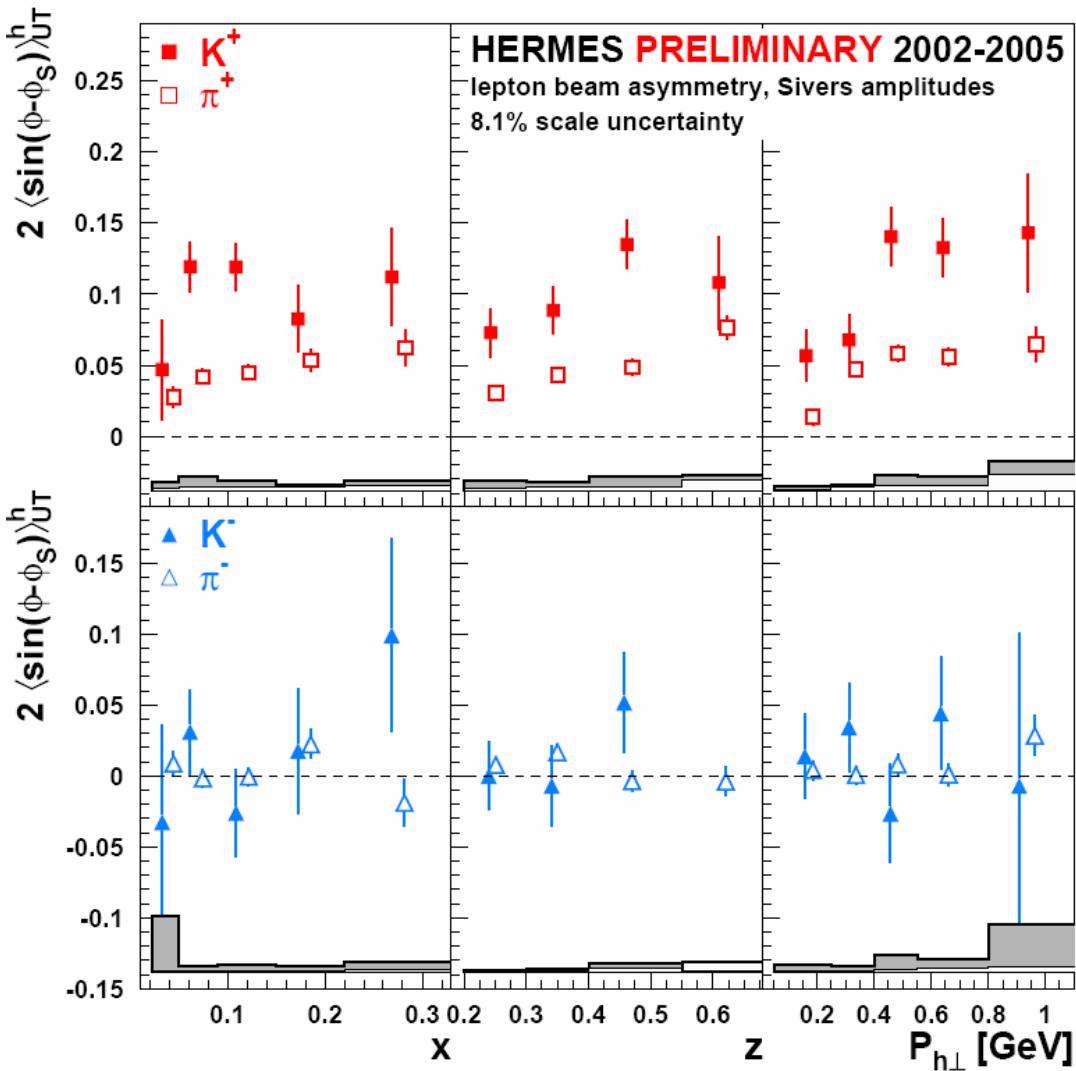
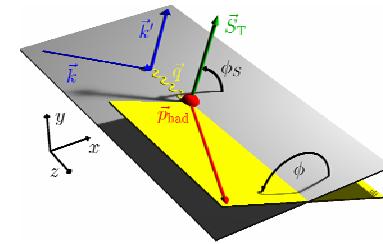
$$f_{1T}^{\perp q}(x) \otimes D_1^q(z)$$

π^+ are substantial and positive:

- first unambiguous evidence for a **non-zero T-odd** distribution function in DIS
- a signature for quark orbital angular momentum !



Sivers asymmetries



$$f_{1T}^{\perp q}(x) \otimes D_1^q(z)$$

- **SURPRISE:**
K⁺ amplitude 2.3 ± 0.3 times larger than for π^+
 - conflicts with usual expectations based on u-quark dominance
 - suggests substantial magnitude of the Sivers fct. for sea quarks

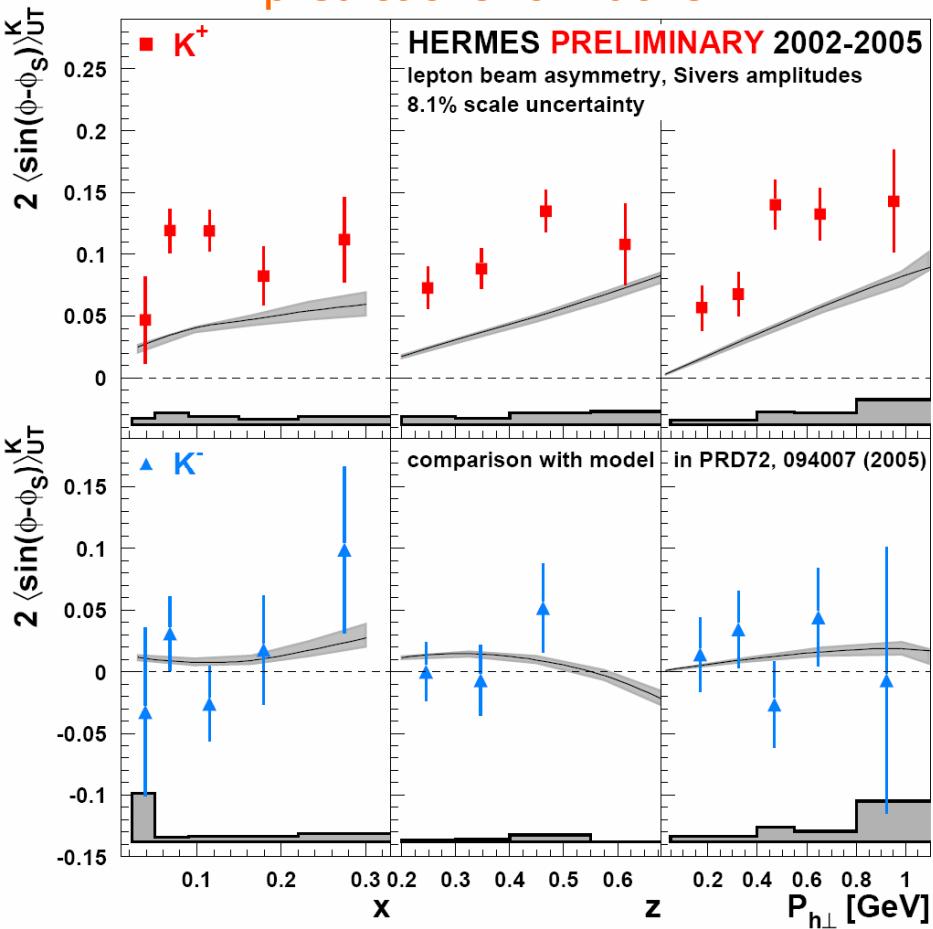
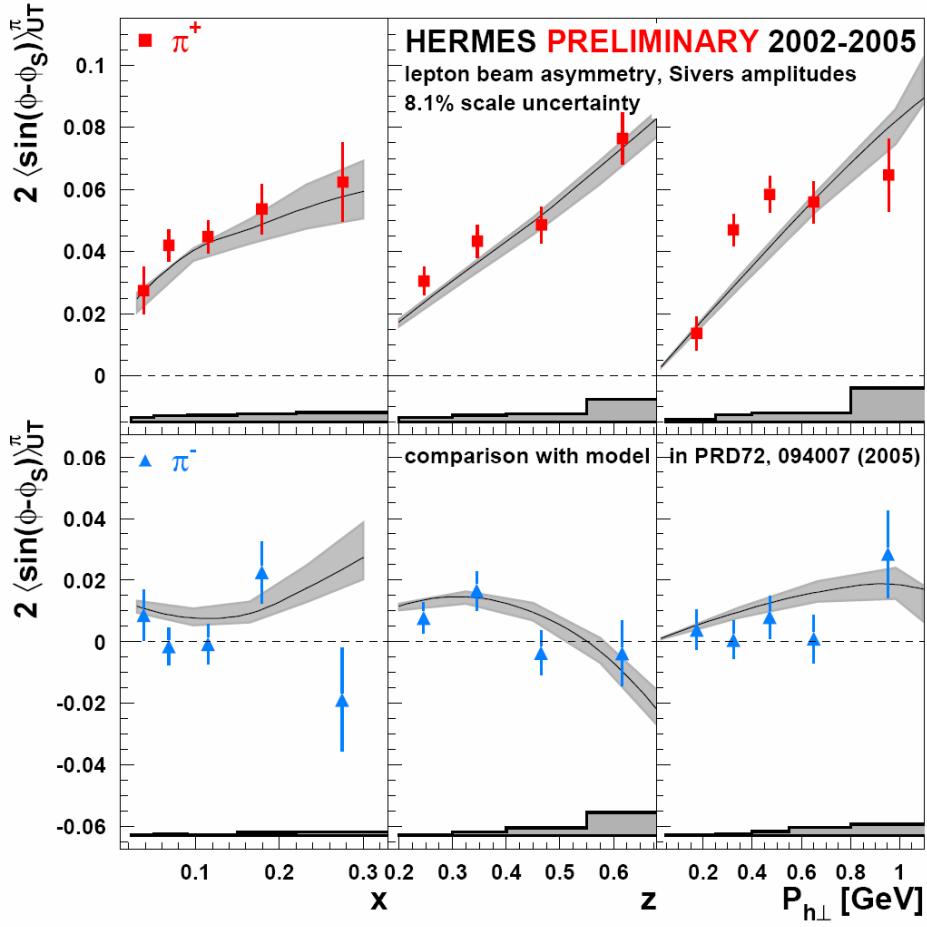
$$K^+ = |u\bar{s}\rangle \quad \pi^+ = |u\bar{d}\rangle$$

comparison to models

excellent description of pion data
but: cannot constrain sea

[Anselmino et al. PRD72(2005)]

predictions for kaons:



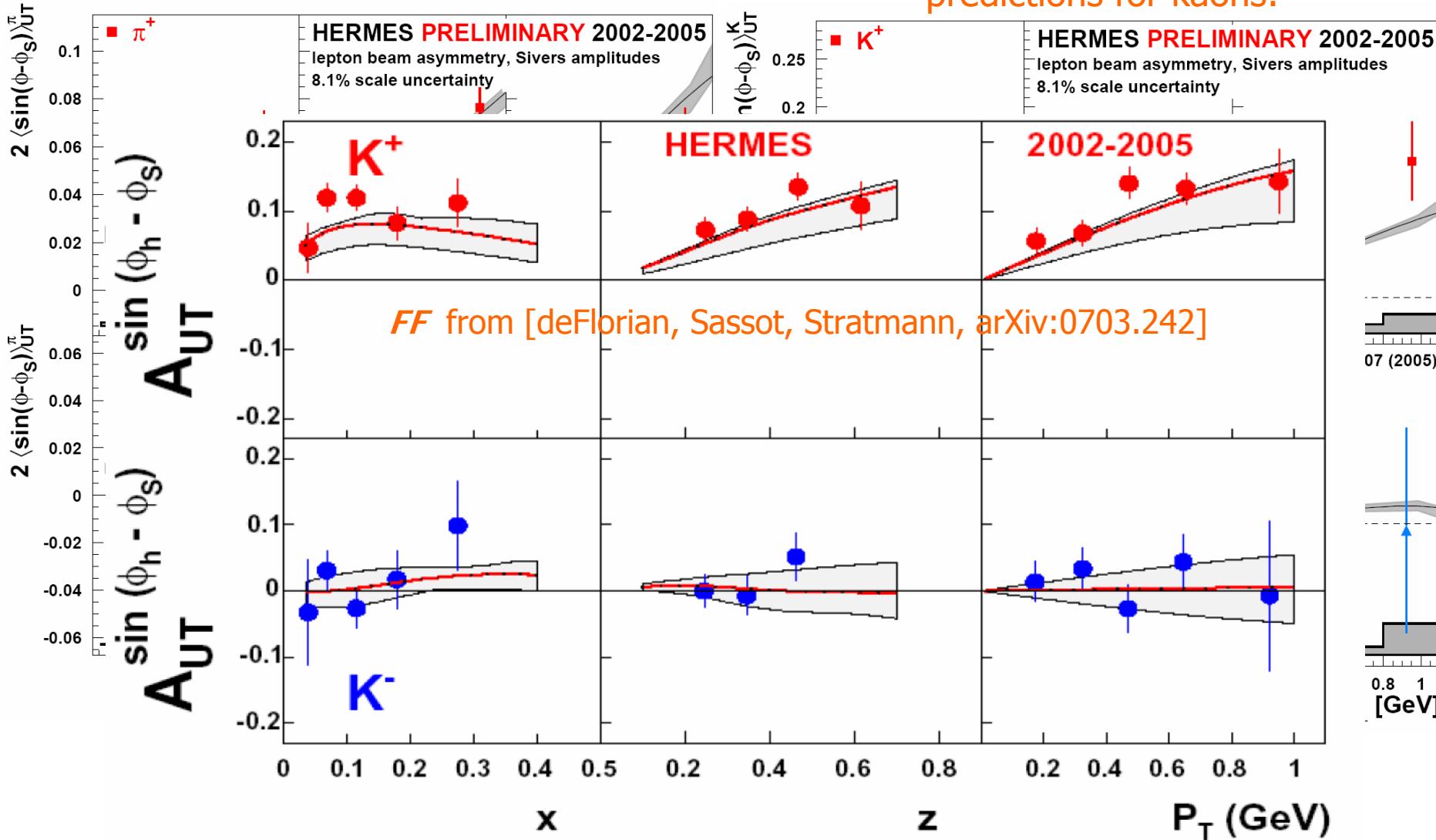
kaon data suggest that sea quark contribution may be significant

comparison to models

[Anselmino et al. PRD72(2005)]

excellent description of pion data
but: cannot constrain sea

predictions for kaons:



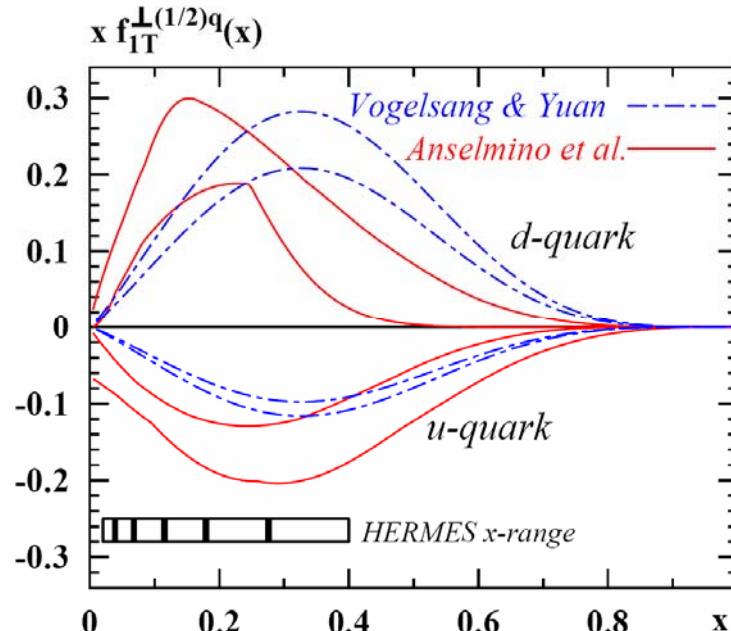
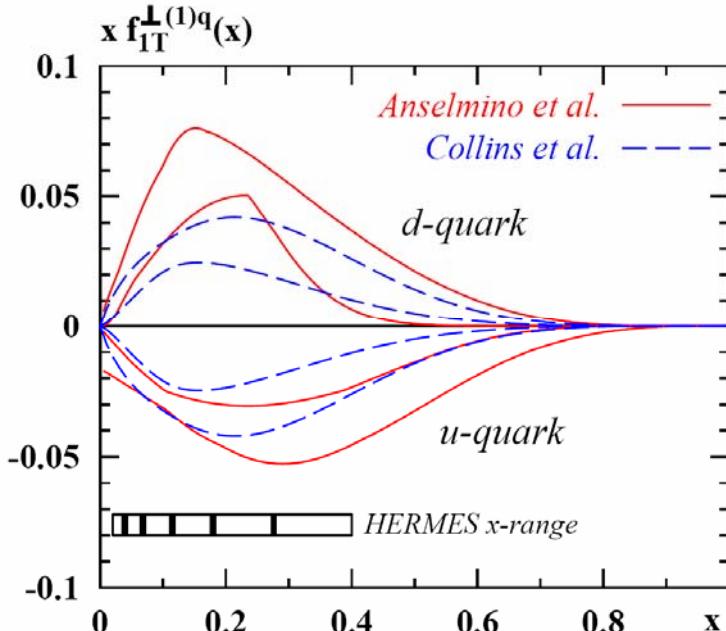
extracting the *Sivers* function



$$A_{\text{UT}} \sin(\phi - \phi_S) \propto f_{1T}^{\perp q}(x) \otimes D_1^q(z)$$

$$\downarrow$$

usual unpolarised
fragmentation
function



ToDo:

crucial test of pQCD:

$$(f_{1T}^{\perp q})_{\text{DIS}} \approx - (f_{1T}^{\perp q})_{\text{DY}}$$



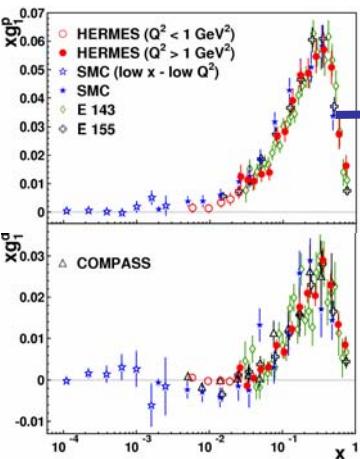
Polarized Antiproton Experiments

@FAIR (GSI)

structure of the nucleon

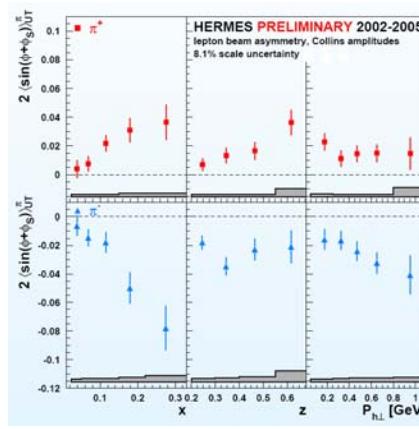
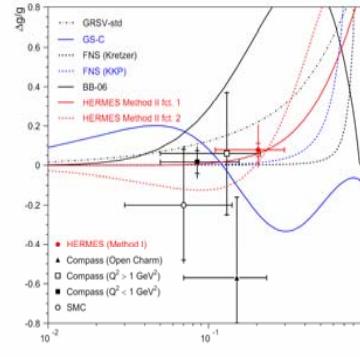
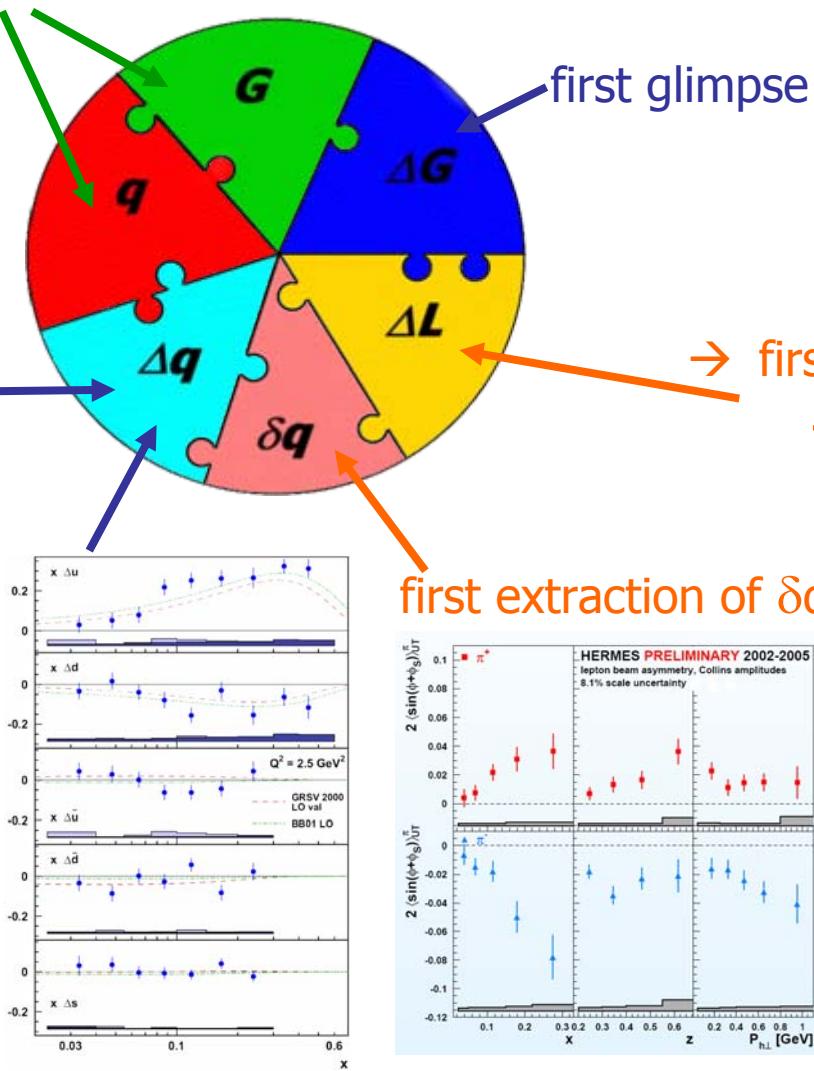
from unpolarised DIS

$$\rightarrow a_0 = \Delta \Sigma \\ = 0.330 \pm 0.025 (\text{exp})$$



→ direct flavour decomposition

from polarised DIS :



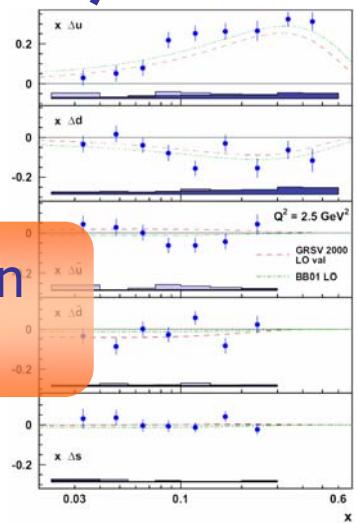
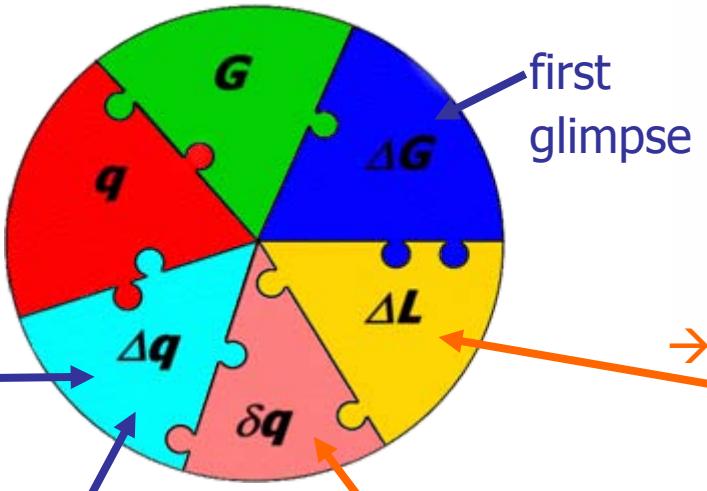
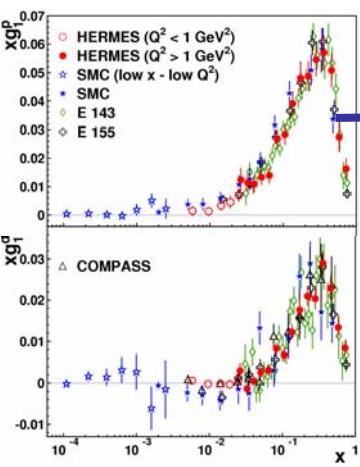
new concepts:

GPDs → 3D picture of the nucleon

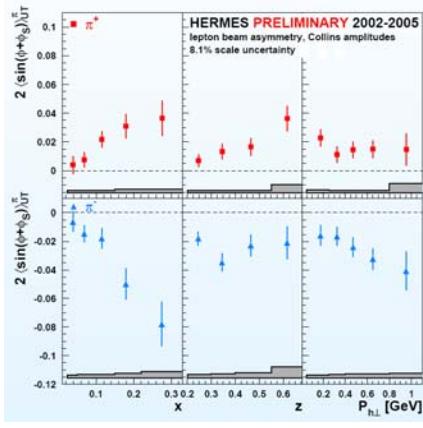
TMDs → beyond collinear approximation

structure of the nucleon

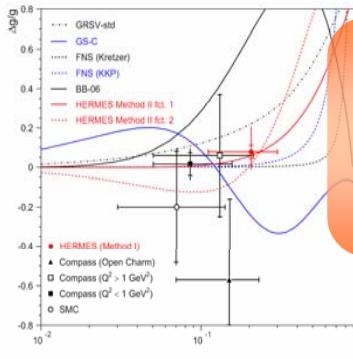
-the open tasks-



→ extrapolation
 $x \rightarrow 0, x \rightarrow 1$



→ detailed
measurement in
2 kine variables

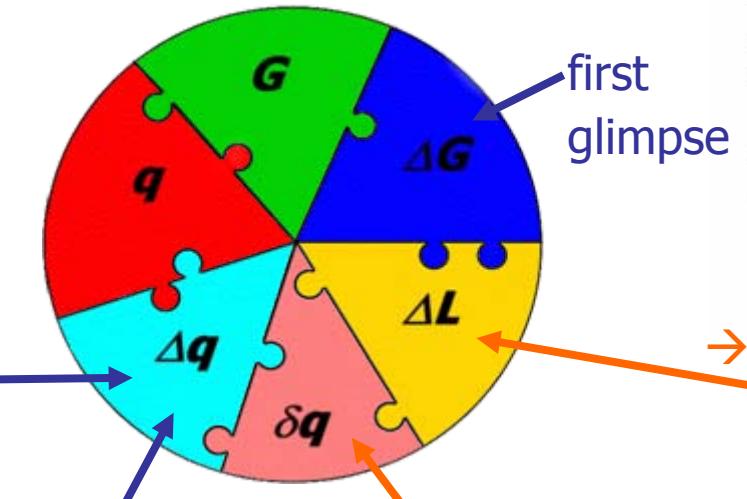
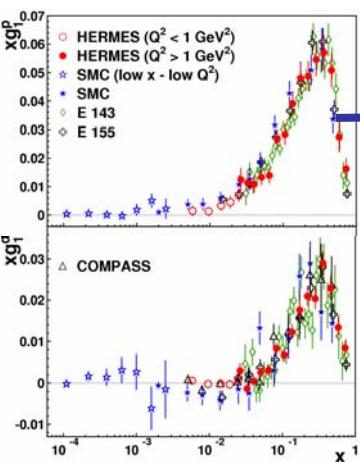


→ first signals of GPDs: $J_u + J_d$
→ see next talk !

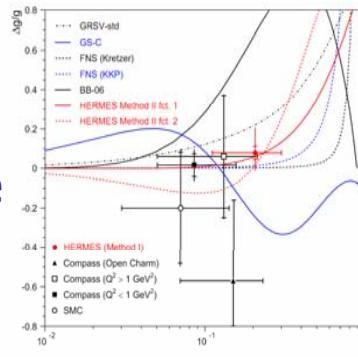
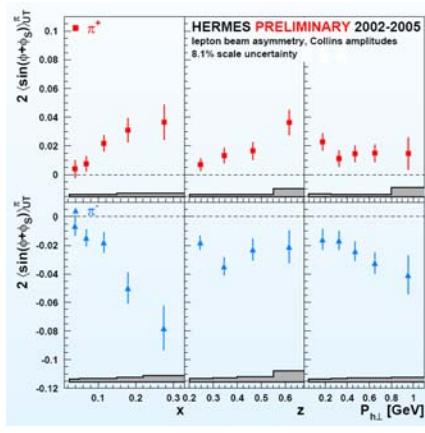
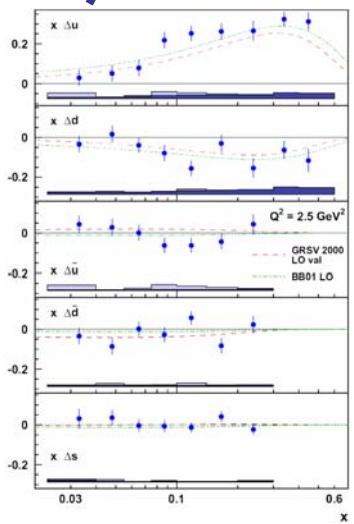
→ detailed
measurement in
3 kine variables

structure of the nucleon

-the future facilities-



polarised
collider:
EIC



→ first signals of GPDs: $J_u + J_d$
→ see next talk !

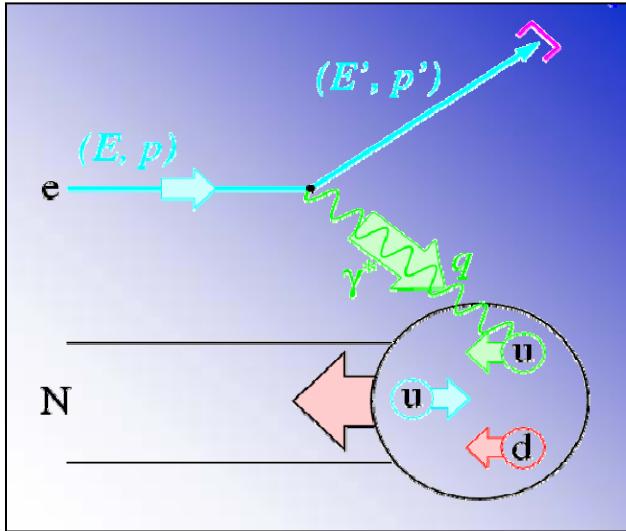
JLab@12GeV
EIC

EIC,
FAIR

RHIC,
EIC,
(JPARC)

Backup slides

polarised *inclusive* DIS



$$\sigma(ep \rightarrow e'X)$$

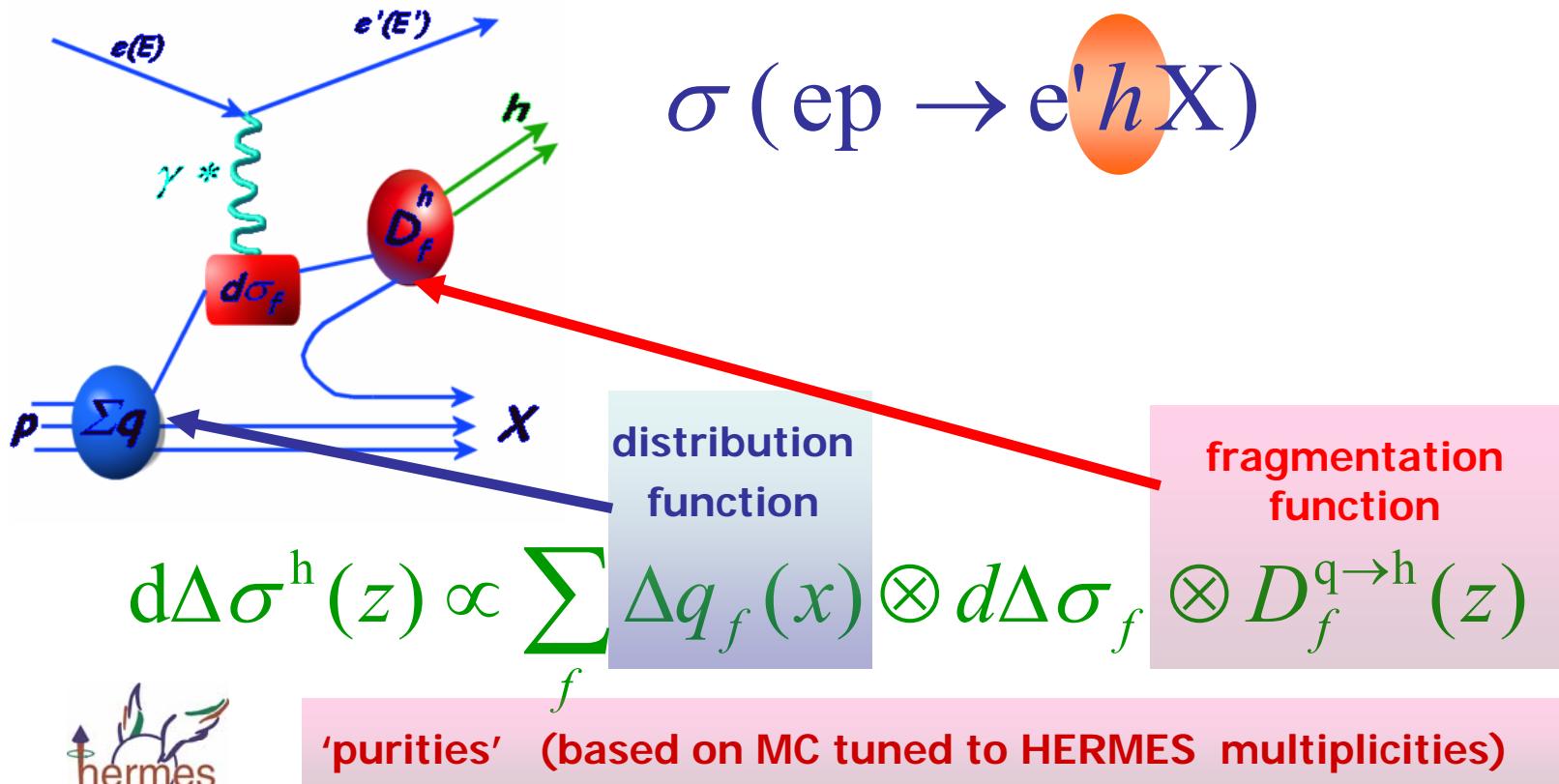
$$\frac{d^2\sigma}{d\Omega dE} \propto L_{\mu\nu} W^{\mu\nu}$$

$$W_{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{v} F_2(x, Q^2)$$

$$+ i\varepsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{v} \left(S_\sigma [g_1(x, Q^2) + \frac{1}{v} (p \cdot q S_\sigma - S \cdot q p_\sigma)] g_2(x, Q^2) \right)$$

spin1: $-r_{\mu\nu} b_1(x, Q^2) + \frac{1}{6}(\dots) b_2(x, Q^2) + \dots$ **often neglected... but:**

polarised *semi*-inclusive DIS



$$\vec{A} = P \vec{Q}$$

$$\vec{A} = \left(A_{1,p/d}, A_{1,p/d}^{\pi^{+/-}}, A_{1,p/d}^{K^{+/-}} \right)$$

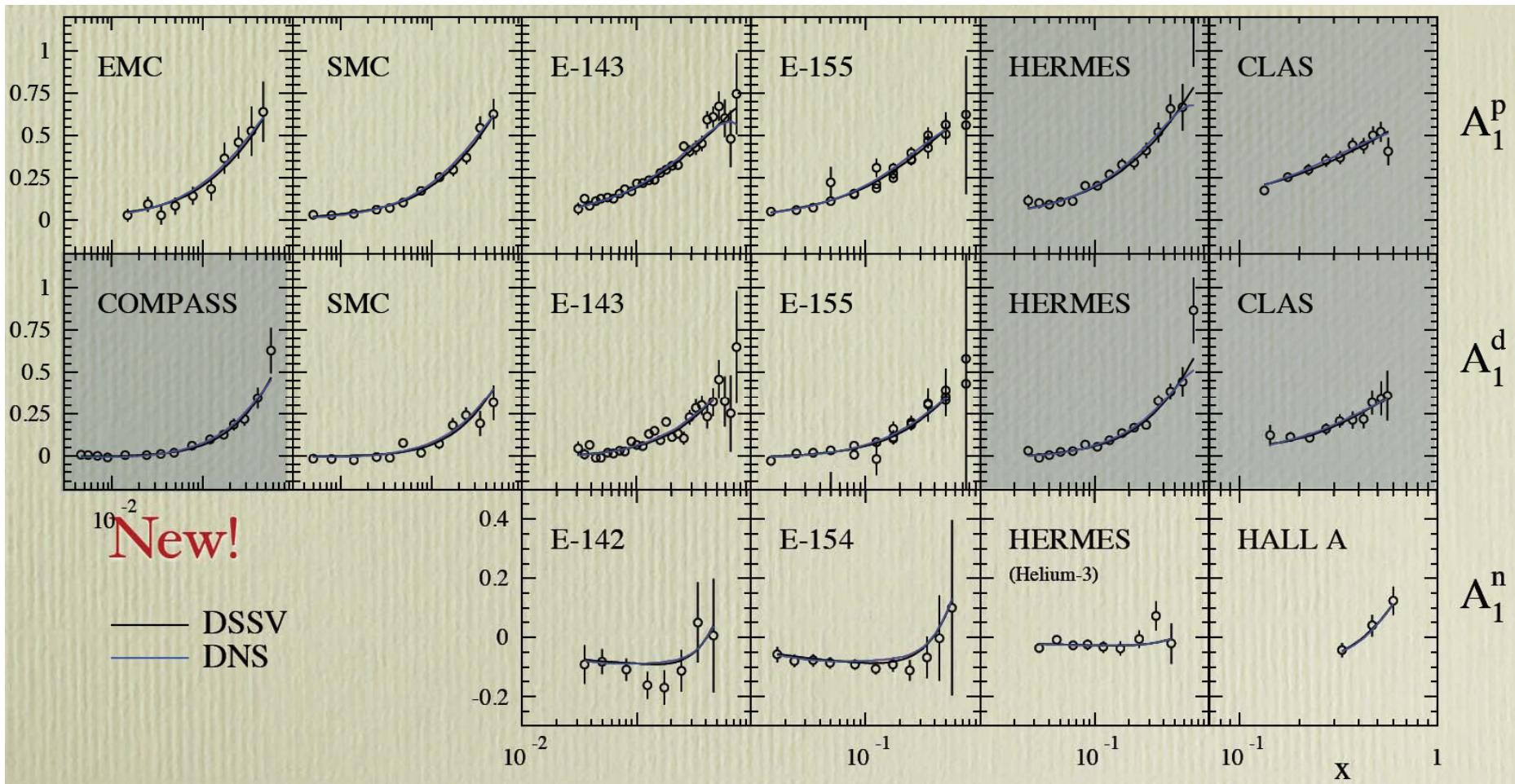
$$\vec{Q} = \left(\frac{\Delta u}{u}, \frac{\Delta d}{d}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta \bar{d}}{\bar{d}}, \frac{\Delta s}{s}, \frac{\Delta \bar{s}}{\bar{s}} = 0 \right)$$

NLO fit to DIS+SIDIS data

good old & new DIS data:

[R. Sassot: EIC-Hampton08 workshop]

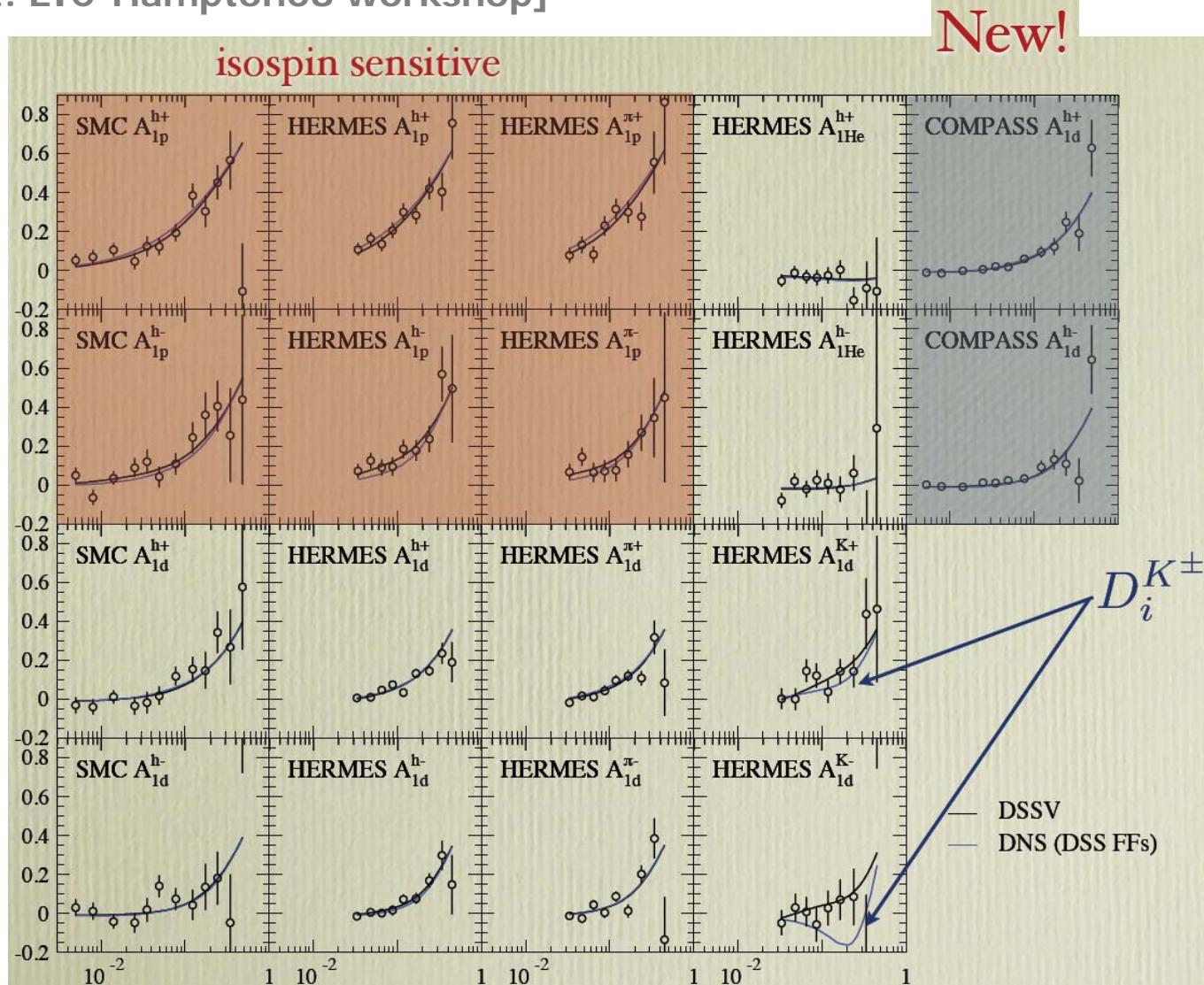
New!



NLO fit to DIS+SIDIS data

good old & new SIDIS data:

[R. Sassot: EIC-Hampton08 workshop]



NLO fit to DIS+SIDIS data

DSSV [R. Sassot: EIC-Hampton08 workshop]

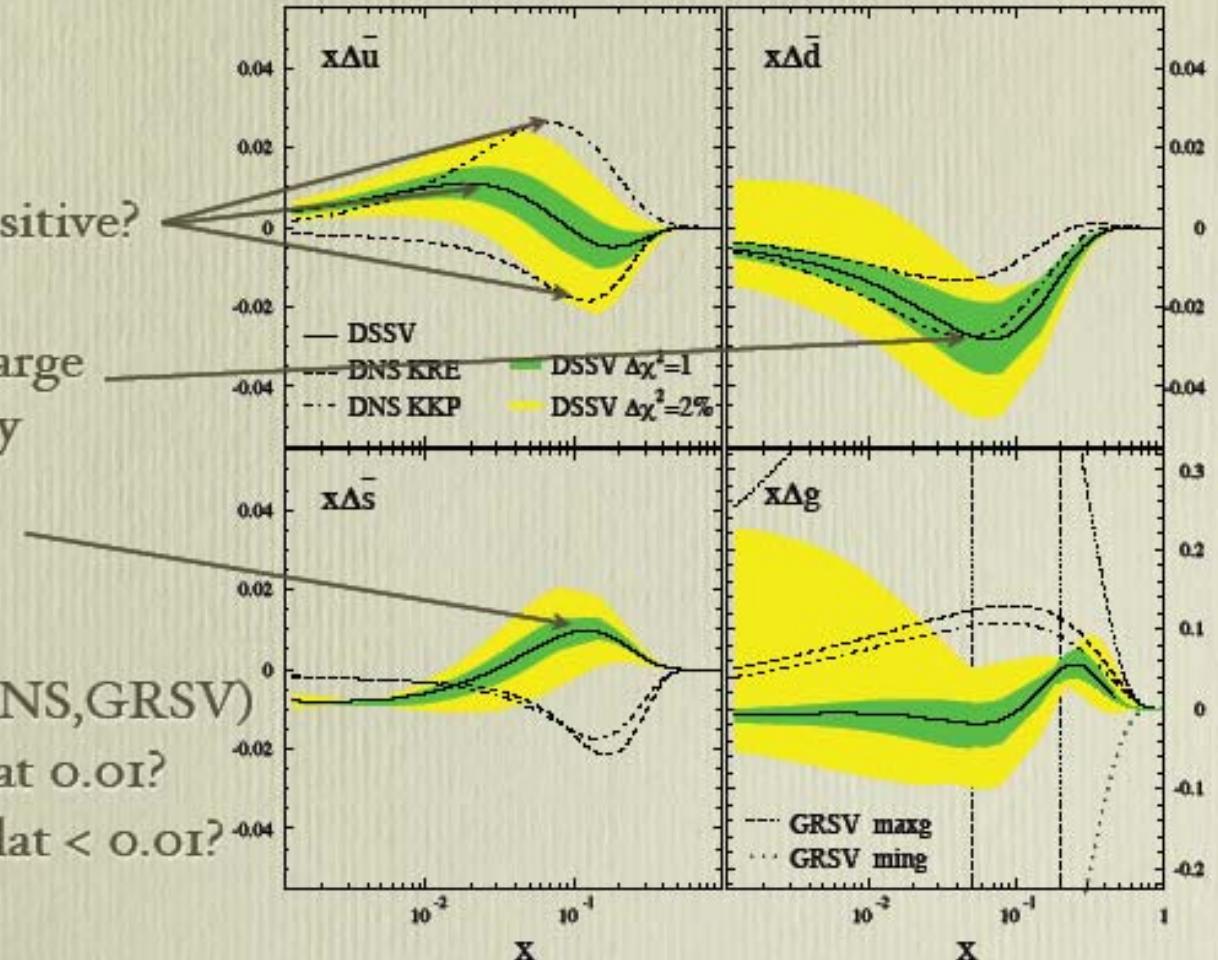
$(\Delta u + \Delta \bar{u})$ no changes, ~ fixed by inclusive pDIS
 $(\Delta d + \Delta \bar{d})$

$\Delta \bar{u}$ smaller, positive?

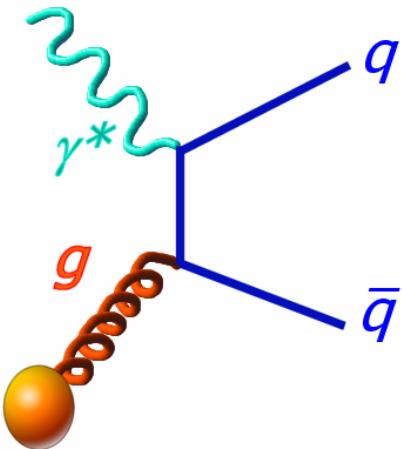
$\Delta \bar{d}$ negative, large uncertainty

$\Delta \bar{s}$ positive??

Δg smaller (DNS,GRSV)
node at 0.01?
flat < 0.01?



direct measurement of ΔG



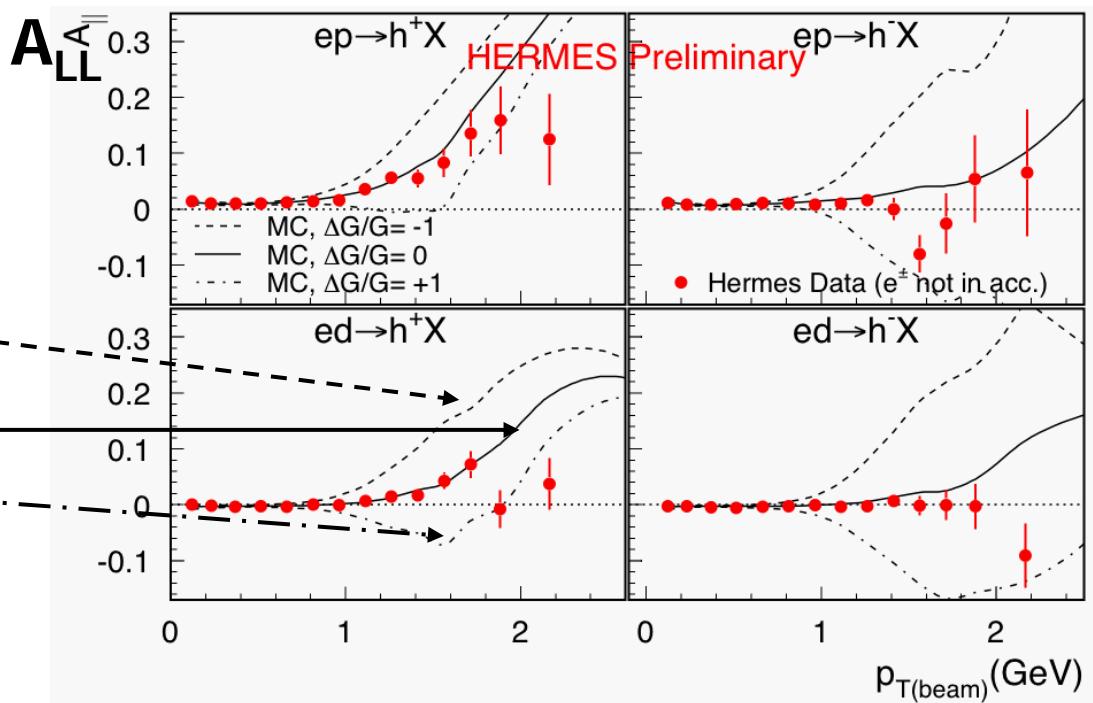
- golden channel: charm production
 - theoretically very clean
 - experimentally very challenging
- @HERMES ($\sqrt{s}=7$ GeV):
hadron production at high P_T

Pythia MC:

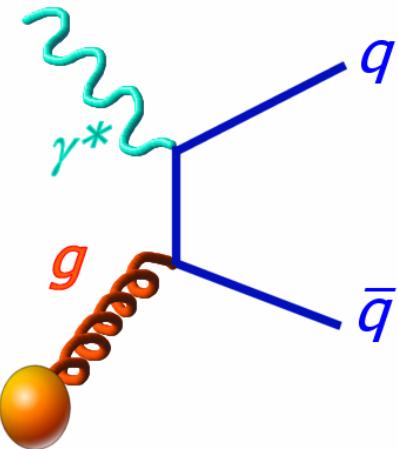
$$\Delta g/g = -1$$

$$\Delta g/g = 0$$

$$\Delta g/g = +1$$



direct measurement of ΔG



- **golden channel: charm production**
- @HERMES: hadron production at high P_T

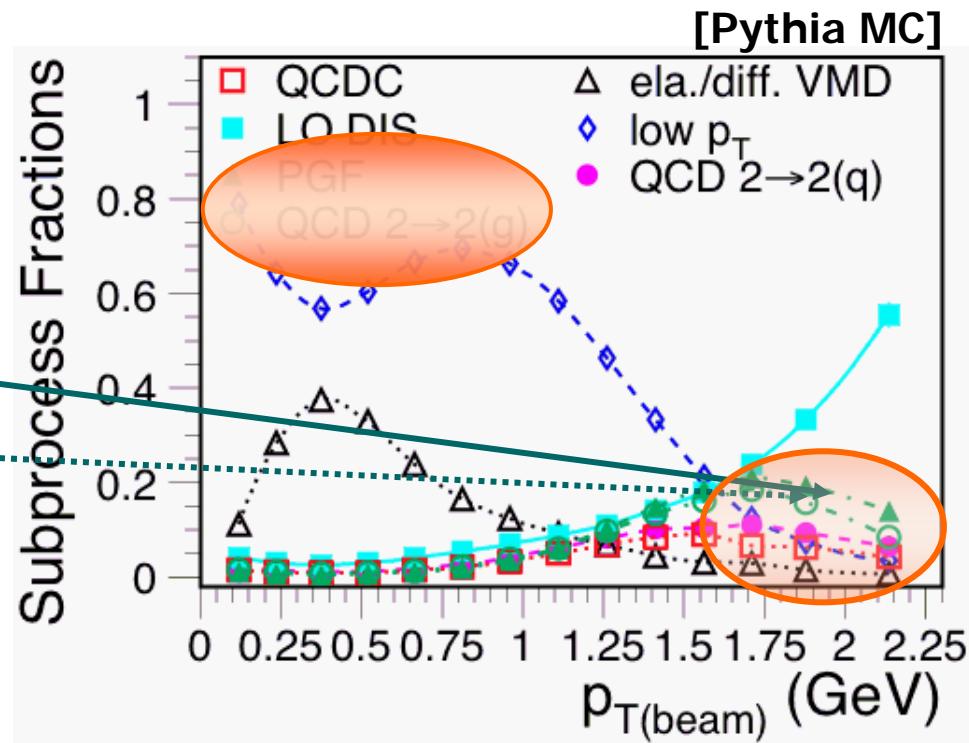
$ed \rightarrow h^\pm X$: direct, resolved, soft processes

signal processes:

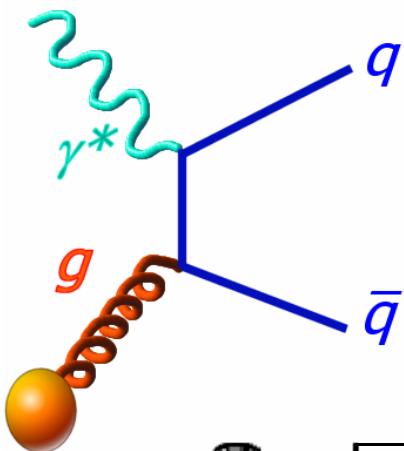
PGF

QCD $2 \rightarrow 2(g)$

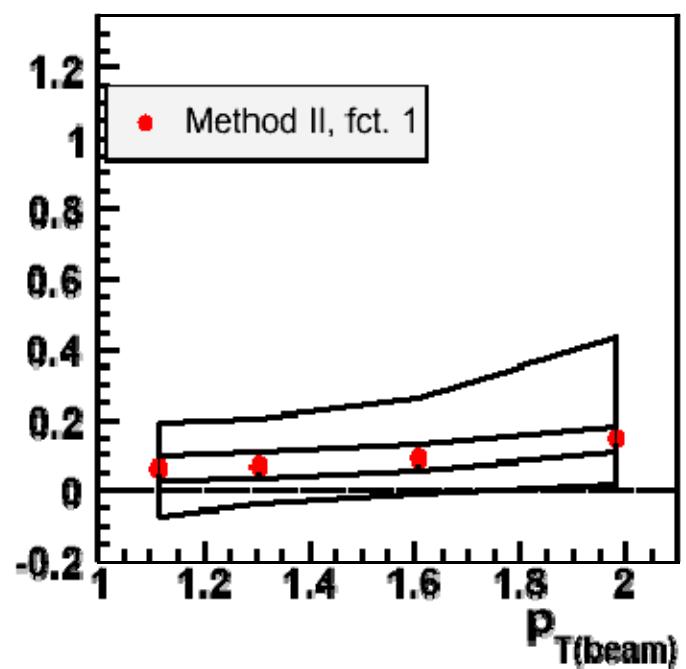
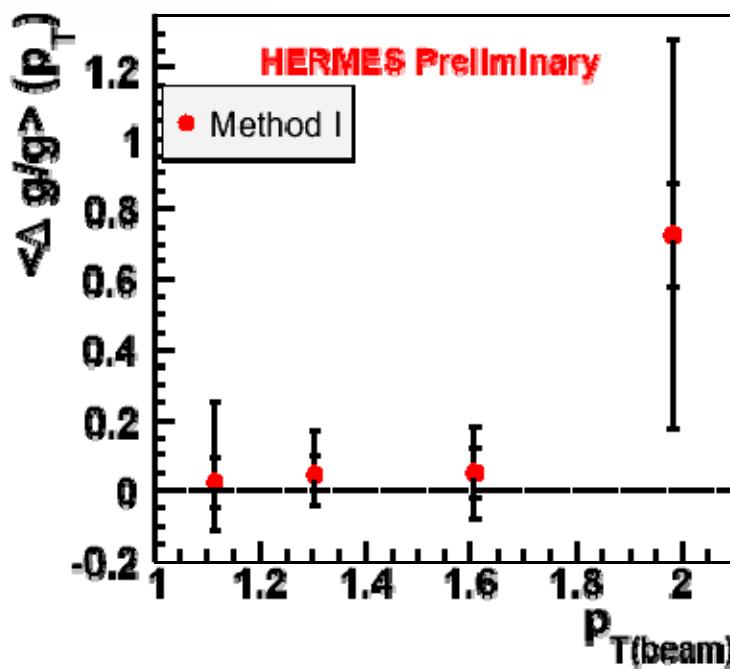
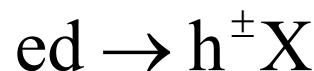
$$A_{||} = r^{bg} A_{||}^{bg} + r^{sig} A_{||}^{sig}$$



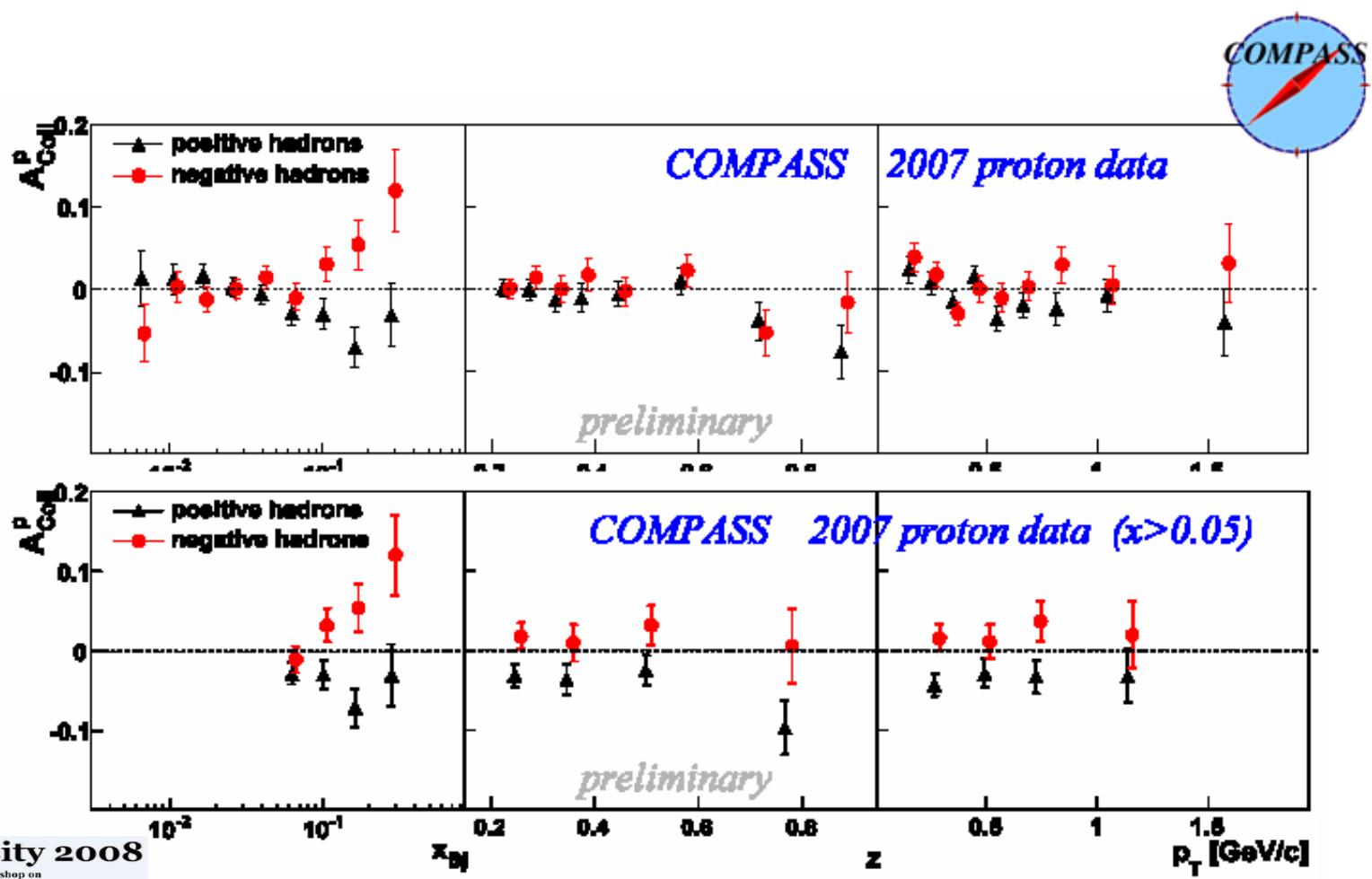
direct measurement of ΔG



- golden channel: charm production
- @HERMES: hadron production at high P_T



Collins asymmetries from proton



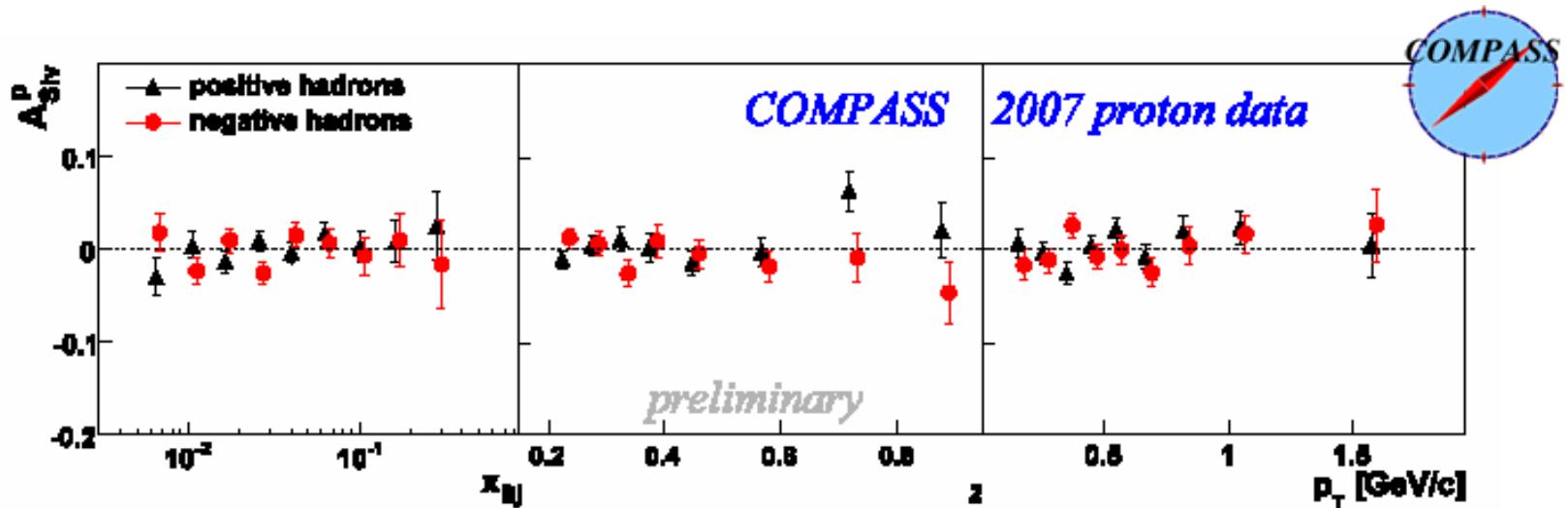
Transversity 2008

Second Workshop on

Transverse Polarisation Phenomena in Hard Processes

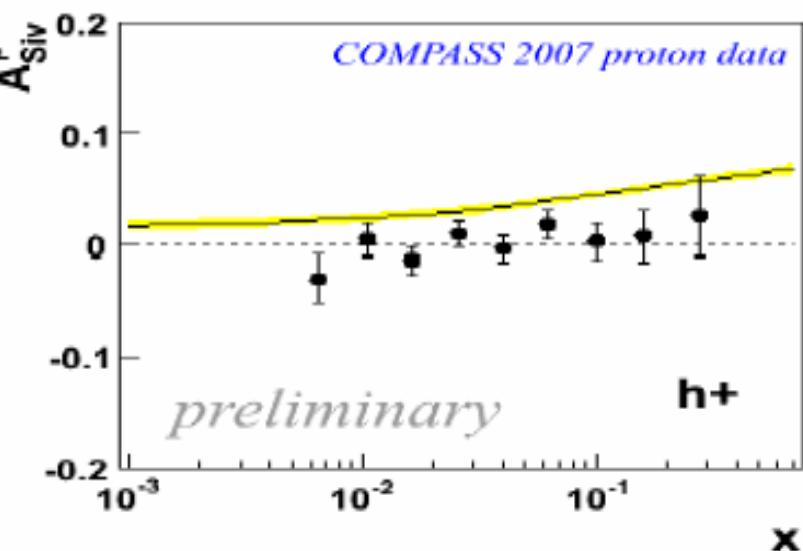


Sivers asymmetries from proton



Asymmetry small, compatible with zero within present statistical errors

- ✓ Unexpected result
- ✓ Of paramount importance

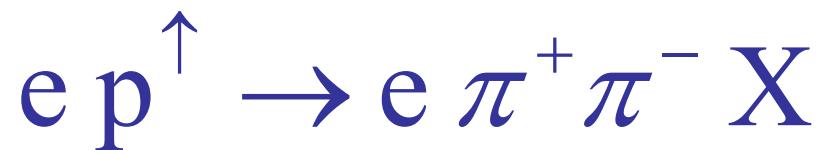


Transversity 2008

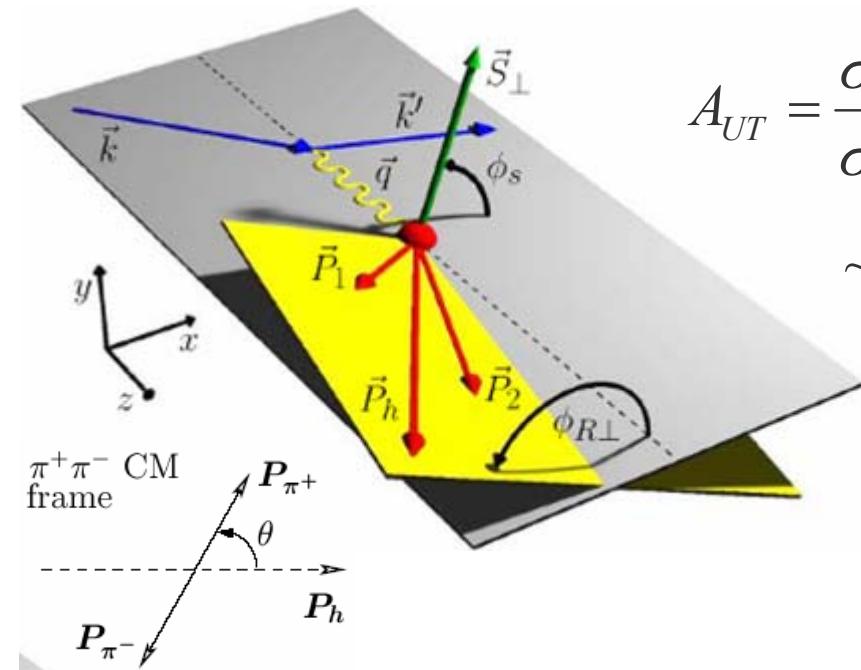
Second Workshop on
Transverse Polarisation Phenomena in Hard Processes



semi-inclusive 2-hadron production



2-hadron asymmetries



$$A_{UT} = \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow}$$

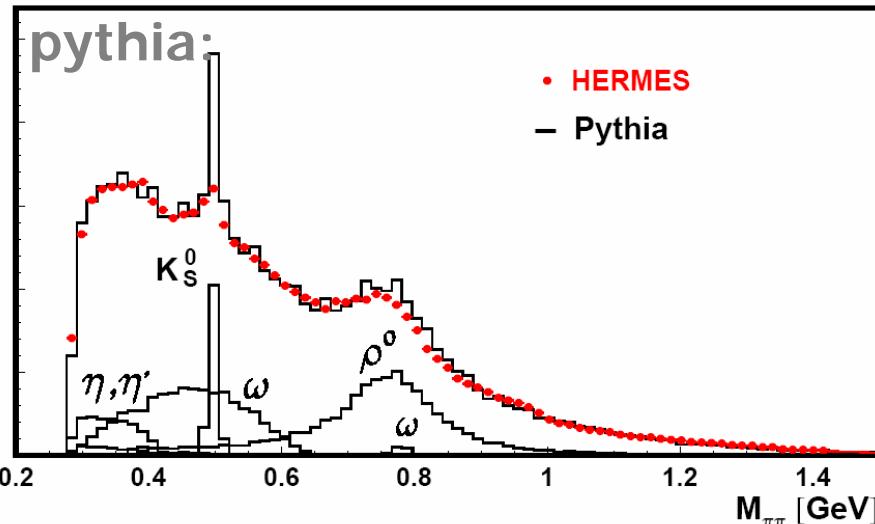
$$\sim \sin(\phi_{R\perp} + \phi_s) \sin(\theta) \delta q(x) H_1^{\triangleleft q}(z, M_h^2)$$

interference fragmentation function
between pions in s-wave and p-wave

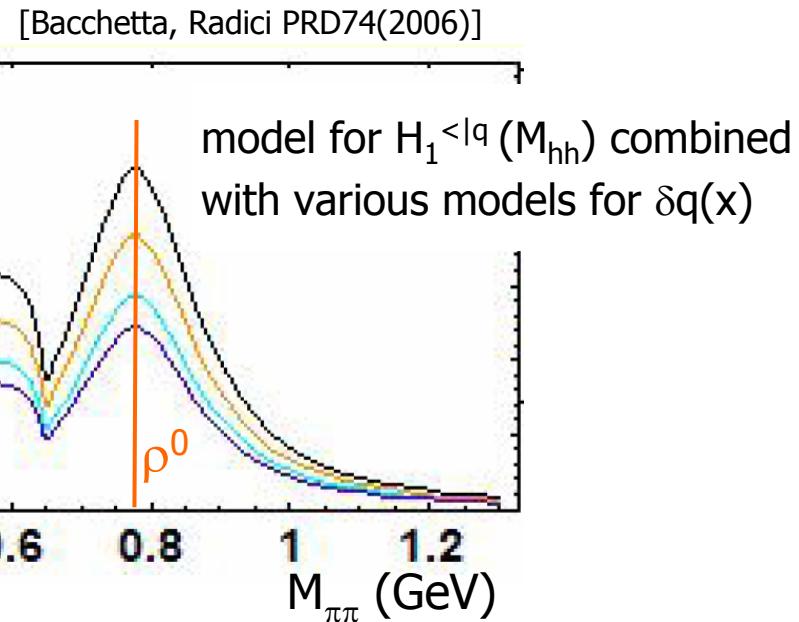
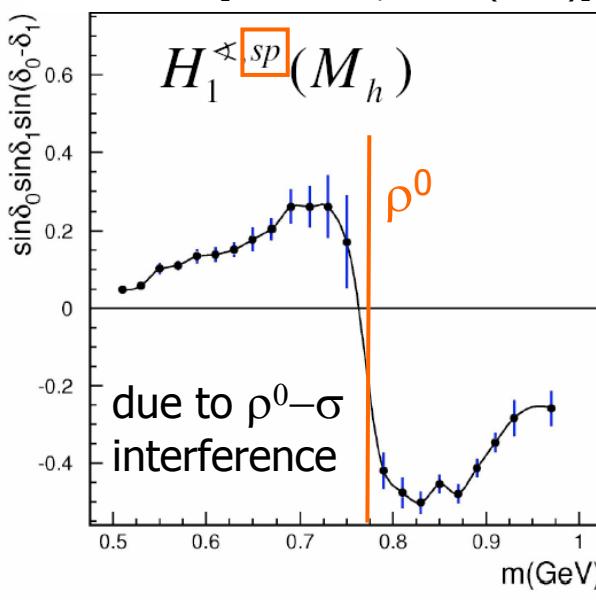
- only *relative* momentum of hadron pair relevant
 - integration over transverse momentum of hadron pair simplifies factorisation (collinear!) and Q^2 evolution
- however cross section becomes very complicated (depends on 9! variables)

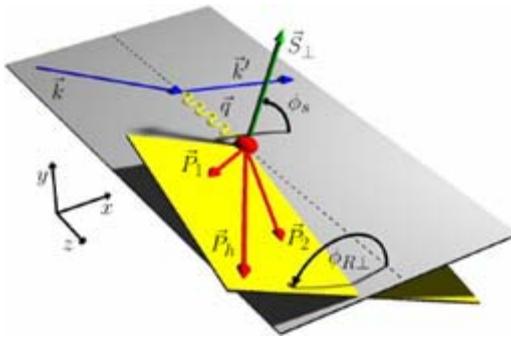
models for 2-hadron asymmetries

Relative Yield



$$H_1^\triangleleft(z, M_{\pi\pi}^2, \cos\theta) = H_1^{\triangleleft, sp}(z, M_{\pi\pi}^2) + \cos\theta H_1^{\triangleleft, pp}(z, M_{\pi\pi}^2)$$



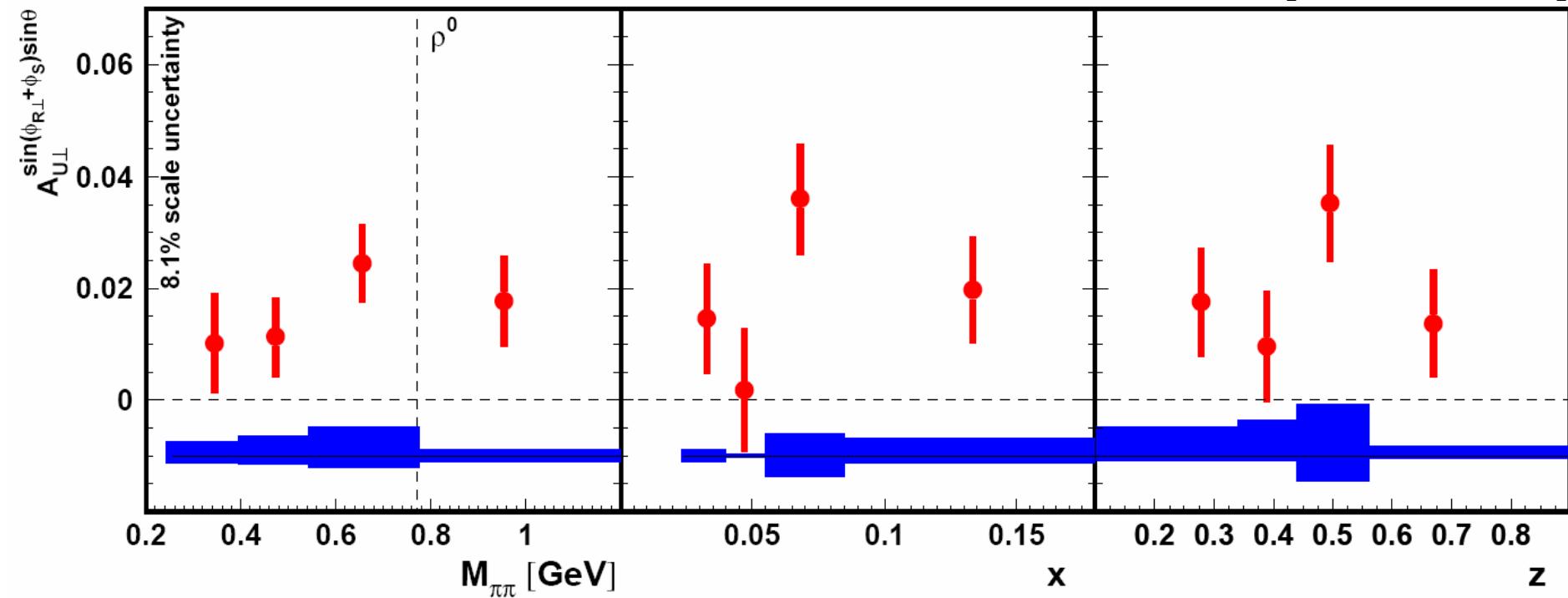


2-hadron asymmetries

$$\delta q(x) H_1^{\triangleleft q}(z, M_{\pi\pi})$$

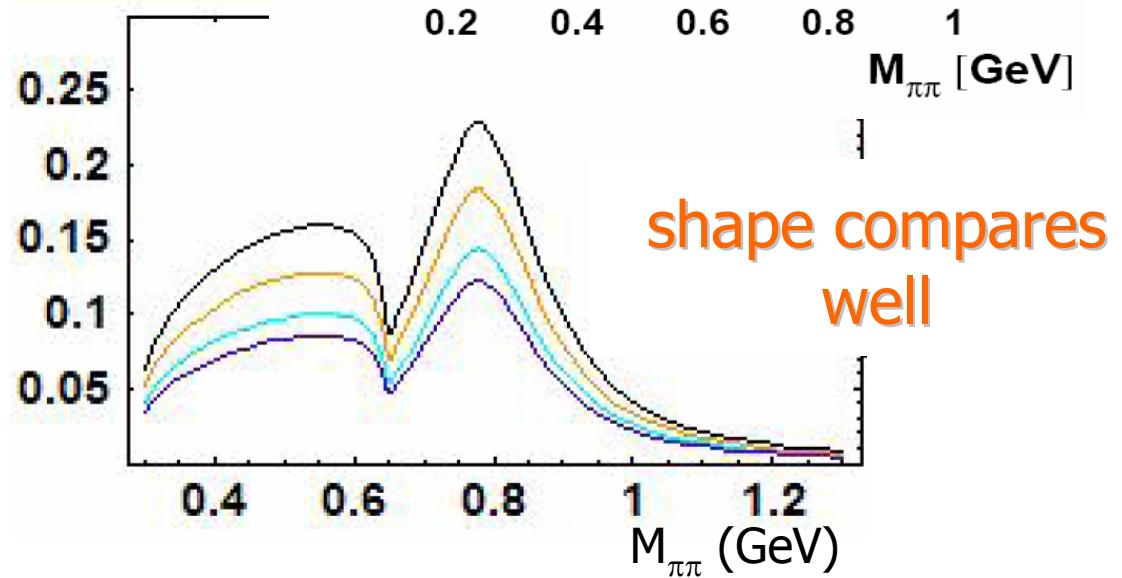
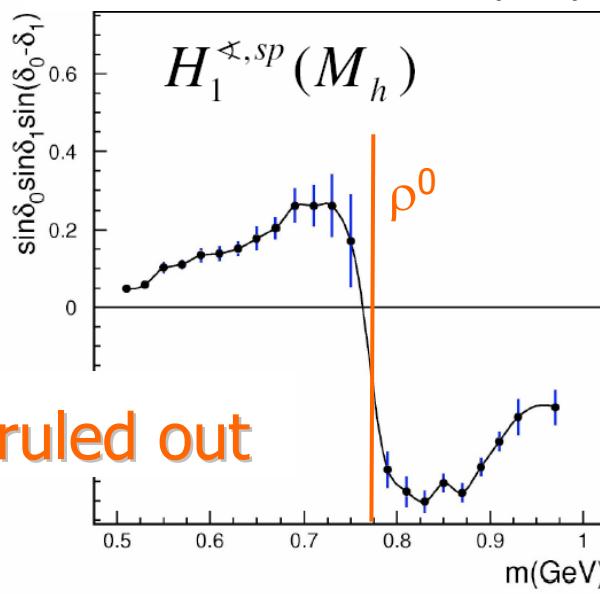
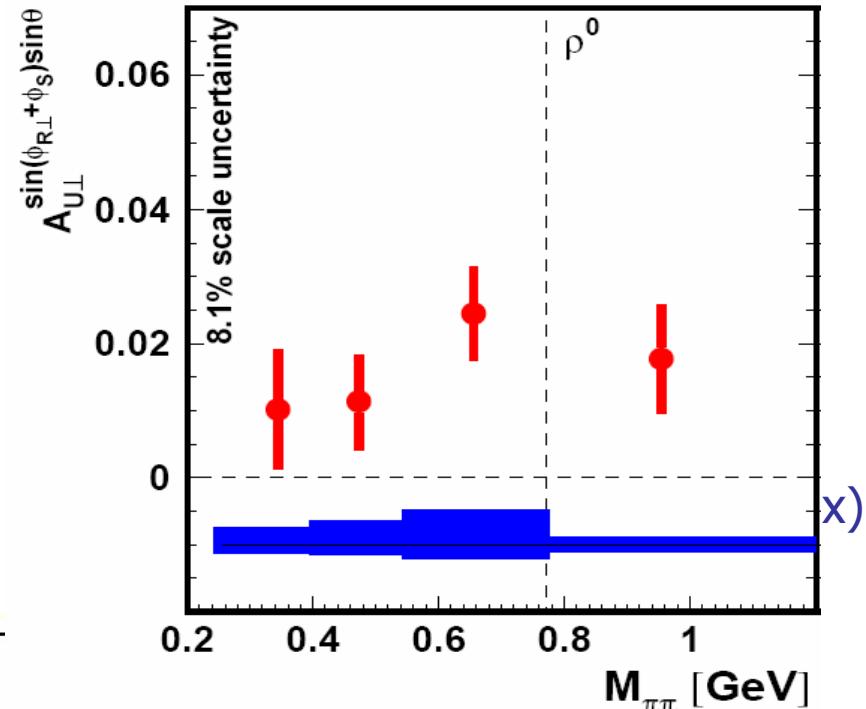
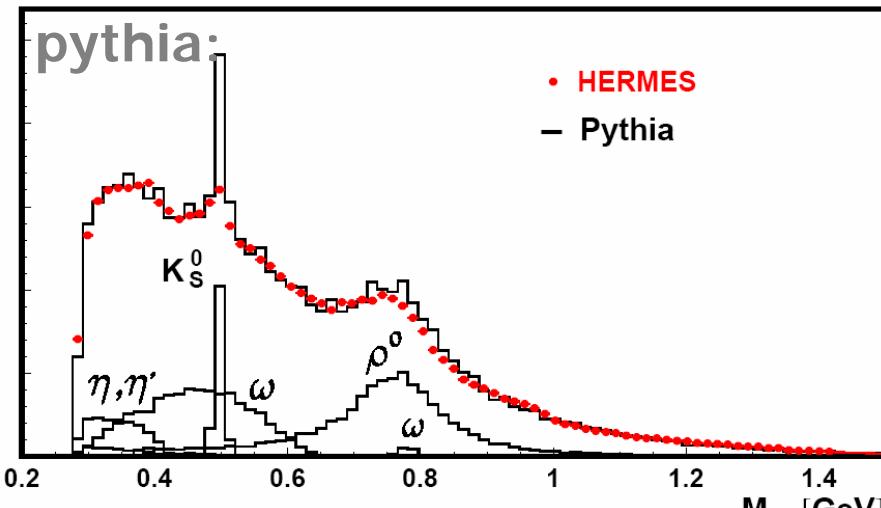
- BOTH: *transversity* and *interference fragmentation function* are **non-zero** !

[arXiv:0803.2367]

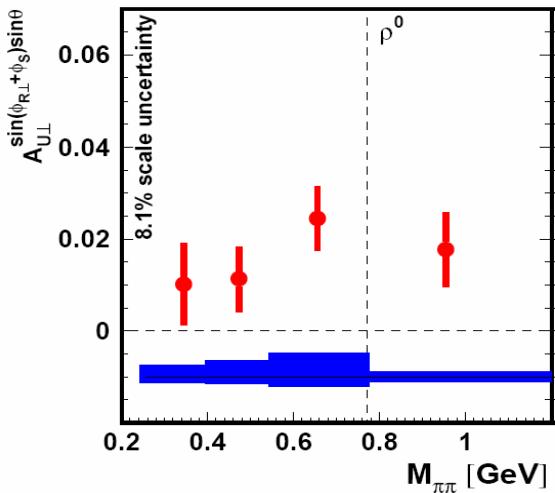
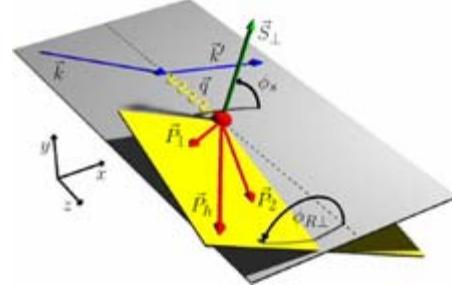


models for 2-hadron asymmetries

Relative Yield



2-hadron asymmetries



$$\sim \delta q(x) H_1^{\triangleleft q}(z, M_{\pi\pi})$$

- first evidence for non-zero *interference FF*
- BELLE plans to measure it ! 😊
- this kind of interference effect is a very promising way to access δq @RHIC

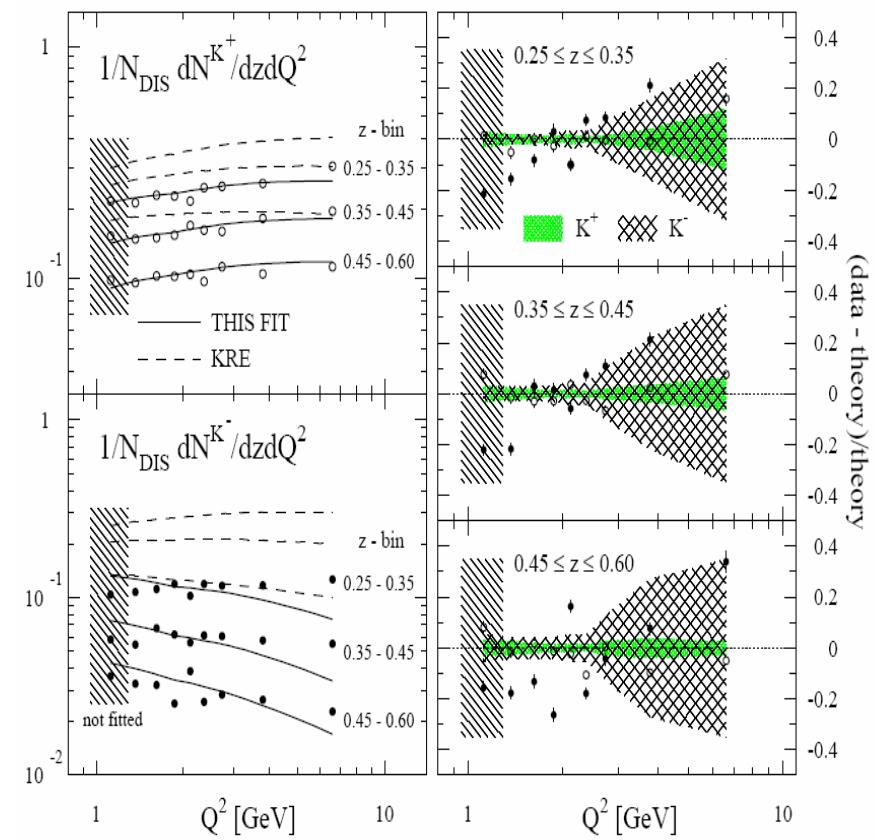
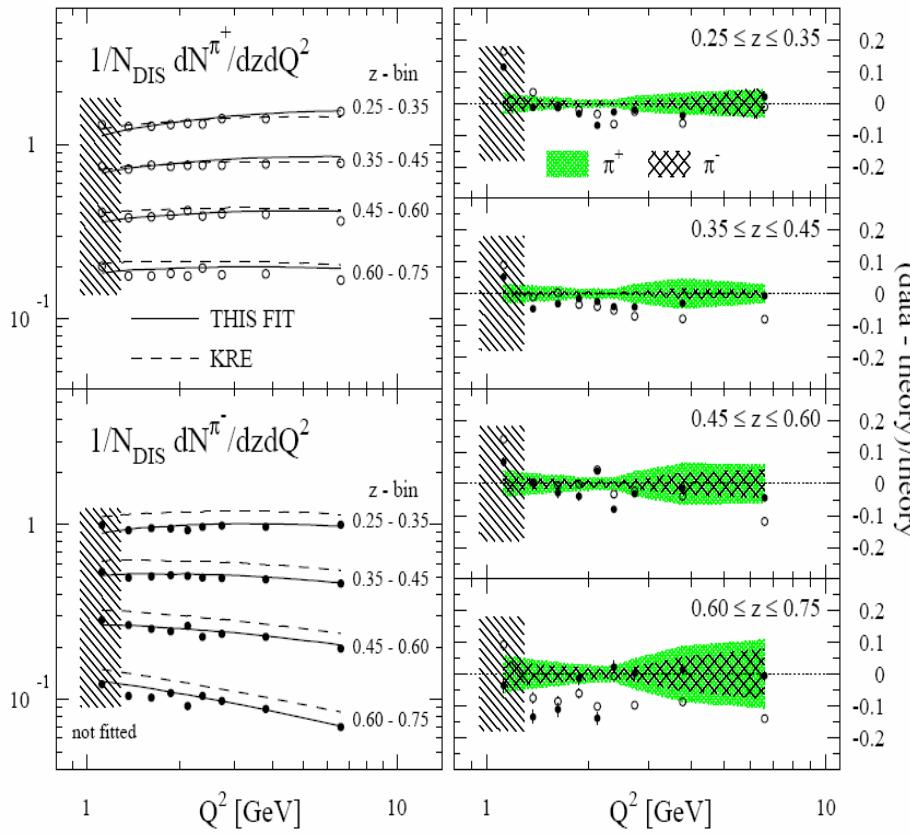


$\delta q(x)$ from SIDIS + pp + e^+e^-

multiplicities compared to theory

new FF from combined NLO analysis of single-inclusive hadron production
in e^+e^- , pp and DIS

[deFlorian,Sassot,Stratmann arXiv:0708.0769]



perspectives for GPDs & TMDs

@ new facilities:

- high beam energy (hard regime, wide kinematic range)
- very high luminosity (small xsections, multi-D analyses)
- complete event reconstruction (ensure exclusivity)

→ ideas for accessing GPDs and TMDs @LHC, @GSI, ...

