

Cosmic Ray Interaction Models: Overview

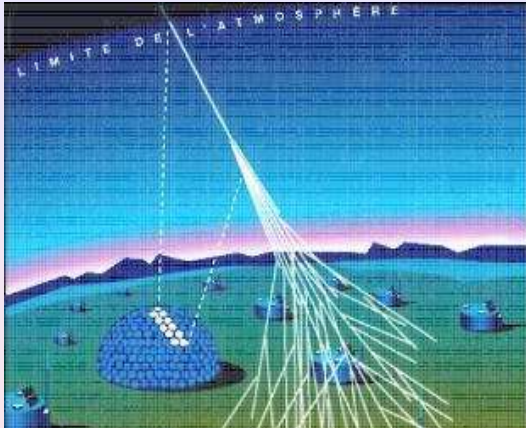
Sergey Ostapchenko

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ISMD-2015

Wildbad Kreuth, October 4-9, 2015

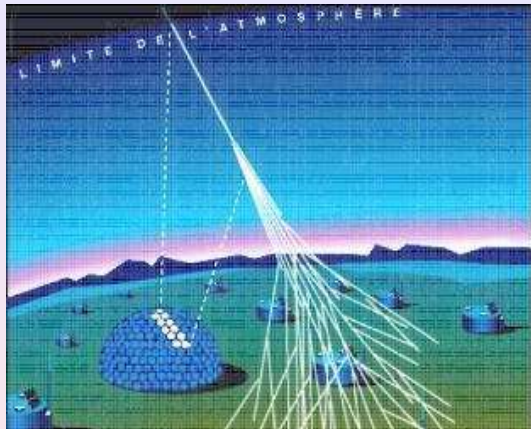
Cosmic ray studies with extensive air shower techniques



ground-based observations (= thick target experiments)

- primary CR energy \iff charged particle density at ground
- CR composition \iff muon density at ground

Cosmic ray studies with extensive air shower techniques



measurements of EAS fluorescence light

- primary CR energy \Longleftrightarrow integrated light
- CR composition \Longleftrightarrow shower maximum position X_{\max}

Cosmic ray studies with extensive air shower techniques



CR composition studies – most dependent on interaction models

- e.g. predictions for X_{max} depend on $\sigma_{p\text{-air}}^{\text{inel}}$, $\sigma_{p\text{-air}}^{\text{diffr}}$, ...
- predictions for muon density – on the multiplicity $N_{\pi\text{-air}}^{\text{ch}}$, ...

Cosmic ray interaction models

Requirements to models

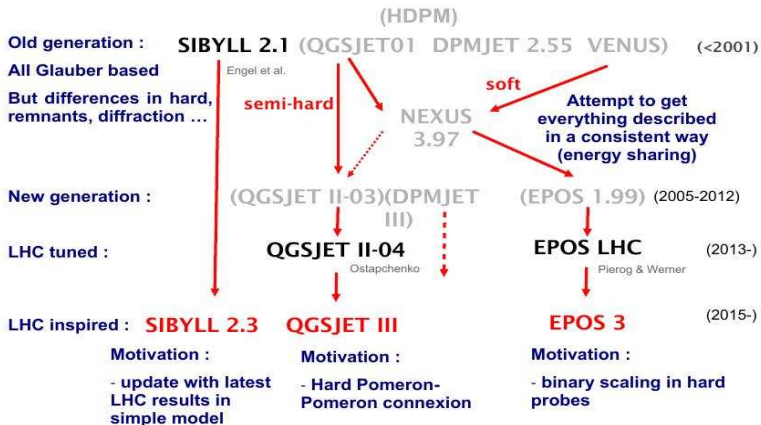
- predictions for cross sections
- treatment of most general p -air & π -air (K -air) collisions
- of special importance: **forward particle production**

Most popular models

- EPOS [*Werner, Liu & Pierog, PRC74 (2006) 044902*]
- QGSJET-II [*SO, PRD83 (2011) 014018*]
- SIBYLL [*Ahn, Engel, Gaisser, Lipari & Stanev, PRD80 (2009) 094003*]

Cosmic ray interaction models

Overview of model development activities

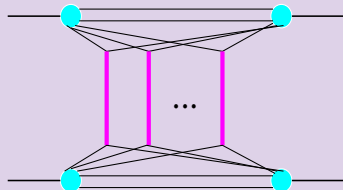


(slide from Tanquy Pierog, ISVHECRI 2014)

Cosmic ray interaction models

EPOS & QGSJET-II - based on Reggeon Field Theory:
Pomerons = 'elementary' cascades

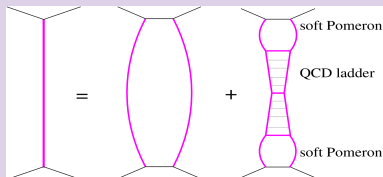
- e.g. elastic amplitude
- requires Pomeron amplitude & Pomeron-hadron vertices



Hard processes included using the 'semihard Pomeron' approach

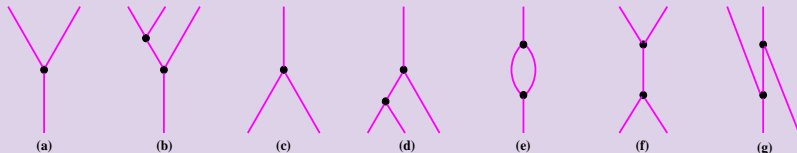
- **soft Pomerons to describe soft (parts of) cascades** ($p_t^2 < Q_0^2$)
 - \Rightarrow transverse expansion governed by the Pomeron slope

- **DGLAP for hard cascades**
- taken together:
'general Pomeron'



Cosmic ray interaction models

QGSJET-II: full resummation for Pomeron-Pomeron interactions
(scattering of partons off the proj./target hadrons & off each other)



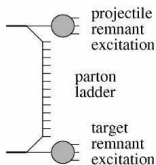
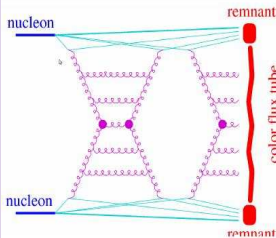
thick lines = Pomerons = 'elementary' parton cascades

- partial cross sections for various final states (including diffractive): **from unitarity cuts of elastic diagrams**
 - \Rightarrow no additional free parameters (e.g. for diffraction)
- s -channel unitarity satisfied: $\sum_{\text{graphs, cuts}} \tilde{\chi}^{\text{cut}} = 2 \sum_{\text{graphs}} \chi^{\text{uncut}}$
- positive-definite cross sections for all final states
 \Rightarrow MC generation
- no additional free parameters for hA & AA collisions

Cosmic ray interaction models

EPOS: impact on energy sharing & collective effects

The EPOS Model



EPOS is a parton model, with many binary parton-parton interactions, each one creating a parton ladder.

- ➔ Energy-sharing : for cross section calculation AND particle production
- ➔ Parton Multiple scattering
- ➔ Outshell remnants
- ➔ Screening and shadowing via unitarization and splitting
- ➔ Collective effects for dense systems

EPOS can be used for minimum bias hadronic interaction generation (h-p to A-B) from 100 GeV (lab) to 1000 TeV (cms) : used for air shower !

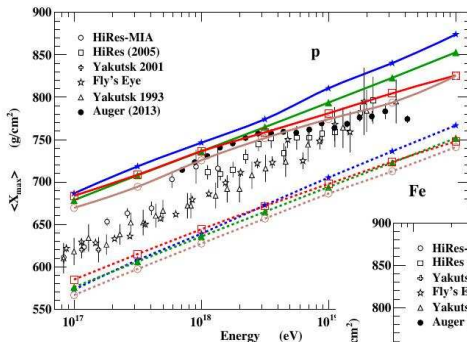
[from T. Pierog]

SIBYLL: based on the minijet approach

- pretty similar to many models used at colliders
- energy dependence - driven by (mini-)jet production
- standard eikonalization of inclusive jet cross section
 - e.g. $n_{pp}^{\text{jet}}(s, b) = \sigma_{pp}^{\text{jet}}(s, p_t^{\text{cut}}) A(b)$ - average number of jet pairs for given b ; $A(b)$ - parton overlap function
- multiple scattering:
mostly impacts particle production at central rapidities

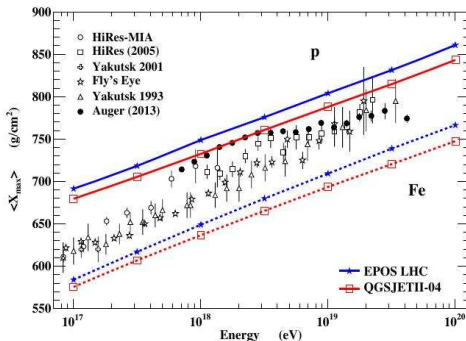
LHC data: impact on CR interaction models

Start of LHC triggered model updates



pre-LHC models

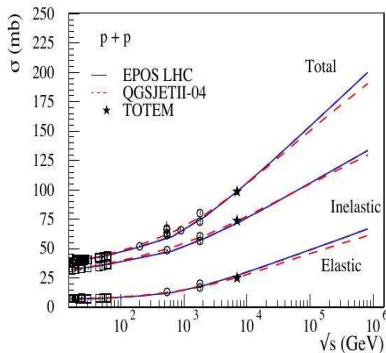
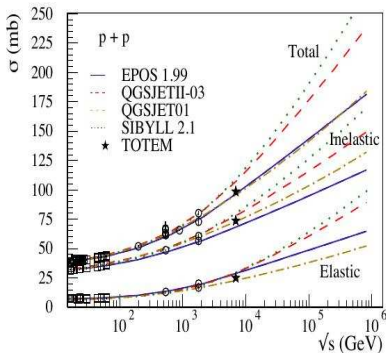
post-LHC models



New models favour interpretation as heavier composition than before

LHC data: impact on CR interaction models

Mostly thanks to TOTEM measurement of $\sigma_{pp}^{\text{tot/inel}}$

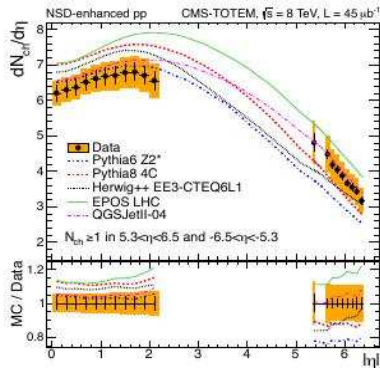
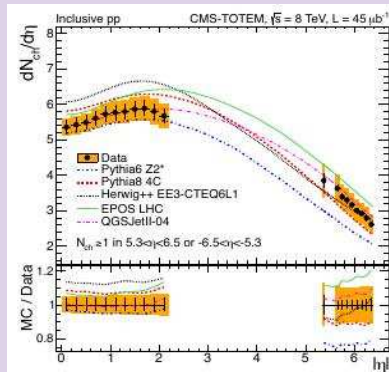


[from R. Engel]

- important: results of ATLAS ALFA - consistent with TOTEM

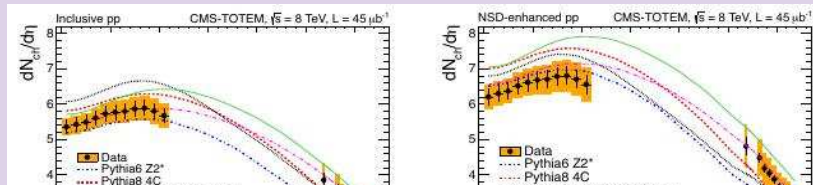
LHC data: impact on CR interaction models

Combined CMS-TOTEM analysis of $dN_{\text{ch}}/d\eta$

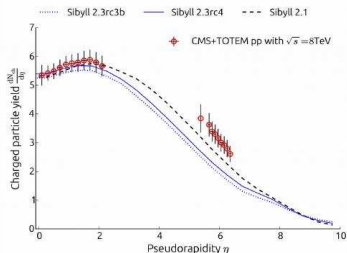


LHC data: impact on CR interaction models

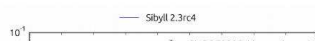
Combined CMS-TOTEM analysis of $dN_{ch}/d\eta$



Remarkable: LHC data constrain forward production mechanisms



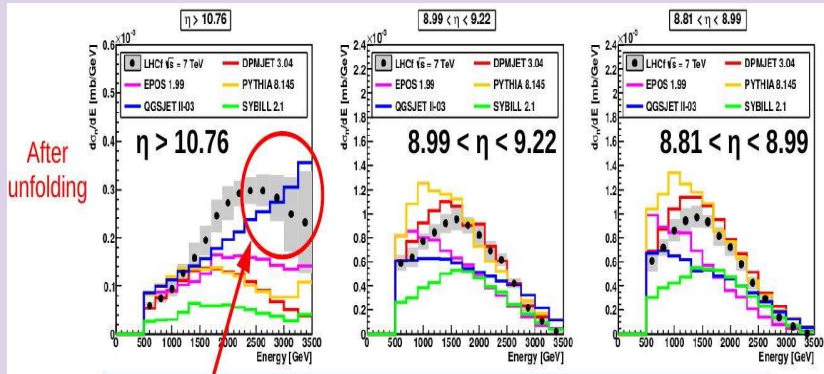
- Broad $dN/d\eta$ in Sibyll 2.1 by accident
- Minijet color flow disconnected from rest of hadron
- Large tail in multiplicity distribution
Number of minijets very high
→ saturation effects missing



[F. Riehn, talk at the Composition-2015]

Forward production: neutrons

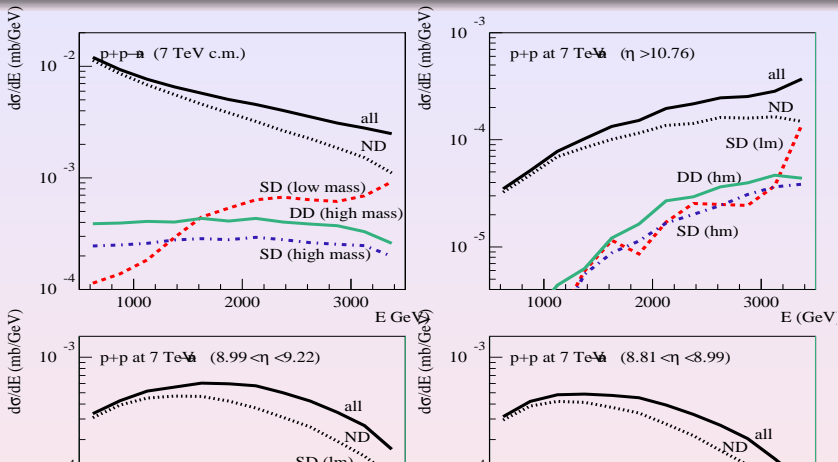
LHCf data at 7 TeV c.m. [talk of A. Tiberio at HSZD-2015]



- Large amount of high energy neutrons for $\eta > 10.76$ (only predicted by QGSJET)
→ small inelasticity in the very forward region

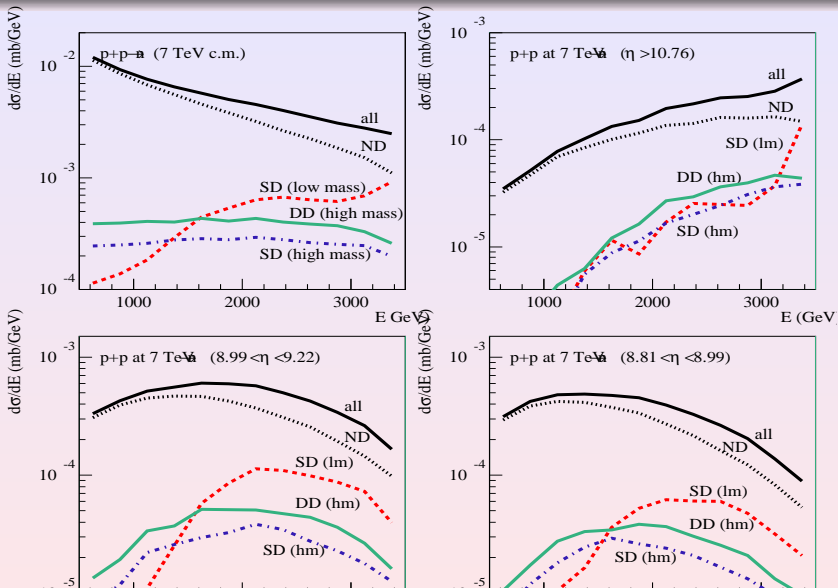
How to understand the results?

Forward neutron spectra in LHCf: different contributions



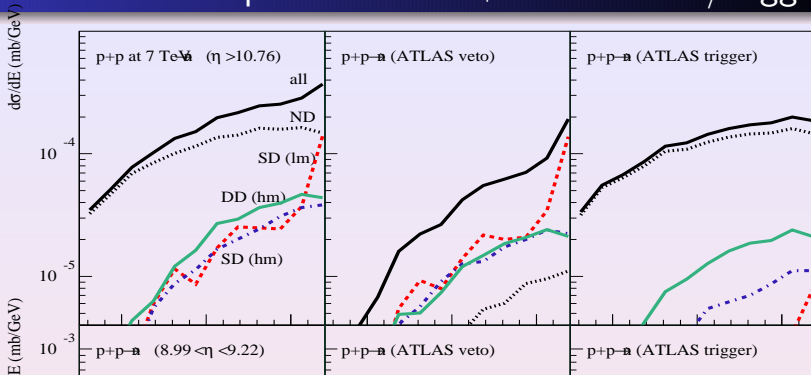
- low mass projectile diffr.: up to 50% contribution at $x_F \rightarrow 1$
- main contribution: nondiffractive collisions
 - for large x_F - dominated by pion exchange mechanism (RRP-contribution) [Kopeliovich et al., PRD91 (2015) 054030]

Forward neutron spectra in LHCf: different contributions



how to separate different contributions experimentally?

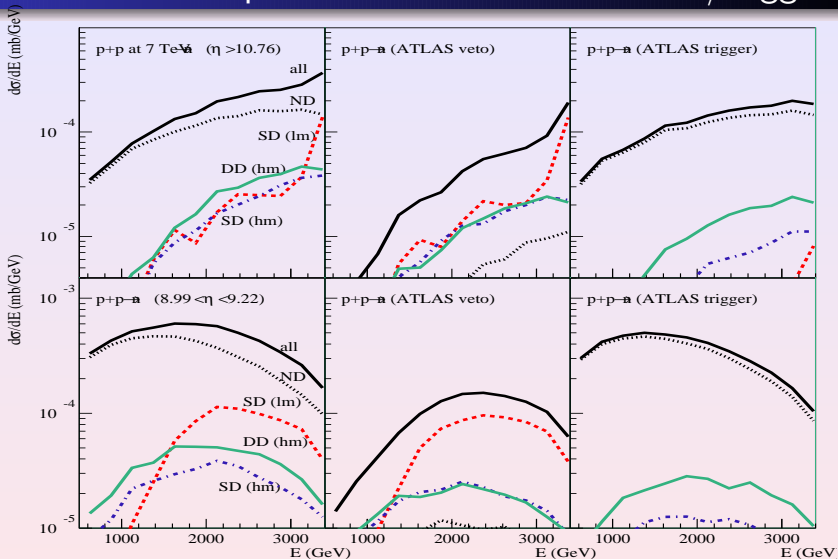
Forward neutron spectra: LHC + ATLAS veto/trigger



ATLAS to veto/trigger charged particles ($p_t > 0.5$ GeV, $|\eta| < 2.5$)

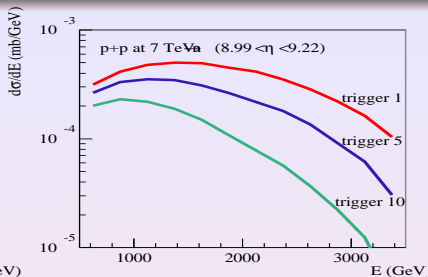
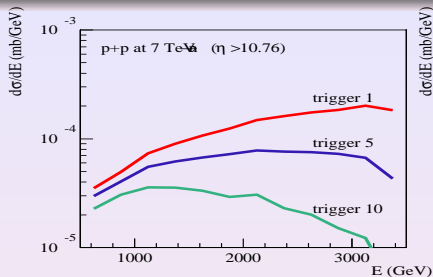
- veto removes ND almost completely!
 - \Rightarrow allows a clean detection of low mass diffraction (impossible with other LHC detectors)
- triggering activity in ATLAS removes most of diffraction
 - \Rightarrow neutron spectra measurement in ND events

Forward neutron spectra: LHCf + ATLAS veto/trigger



Combination of the 3 measurements \Rightarrow separation of the different components!

'Centrality' dependence in pp : test of pp to p -air transition

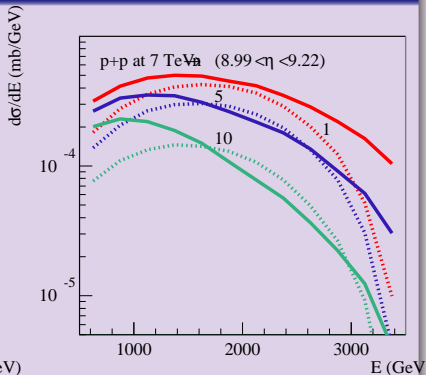
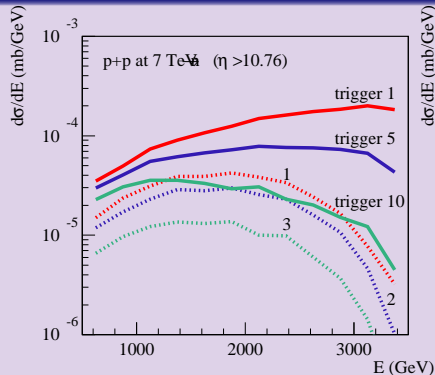


Require at least 1, 5, 10 charged particles in ATLAS ($p_t > 0.5$ GeV)

- enhanced multiple scattering
- \Rightarrow strong suppression of forward neutron production
 - pion exchange goes away
 - higher energy loss by the 'remnant' state
- important test for CR applications:
measure of the 'inelasticity' in ND collisions
- NB: ND p – air collision - like more 'central' pp interaction

'Centrality' dependence in pp : test of pp to p -air transition

Compare QGSJET-II-04 (solid lines) to SIBYLL 2.1 (dotted)



- order of magnitude differences
- **nearly same spectral shape in SIBYLL for all the triggers!**
(forward spectra decoupled from central production)
- \Rightarrow important discriminator between models

Model predictions for shower maximum: uncertainties



X_{\max} – best suited for CR composition studies

- predictions for X_{\max} depend on $\sigma_{p\text{-air}}^{\text{inel}}$, $\sigma_{p\text{-air}}^{\text{diffr}}$, $K_{p\text{-air}}^{\text{inel}}$, ...
 - $\sigma_{pp}^{\text{tot/el}}$ can be reliably extrapolated thanks to LHC studies (notably by TOTEM, ATLAS ALFA)
 - $\sigma_{pp}^{\text{diffr}}$ impacts recalculation from pp to pA (AA)
 - $\sigma_{p\text{-air}}^{\text{inel}}$ – due to inelastic screening (correlated with $\sigma_{pp}^{\text{diffr}}$)
 - $K_{p\text{-air}}^{\text{inel}}$ – due to small 'inelasticity' of diffractive collisions

Impact of uncertainties of σ_{pp}^{SD} on X_{max} [SO, PRD89 (2014)]

- Presently: serious tension between CMS & TOTEM concerning diffraction rate in pp

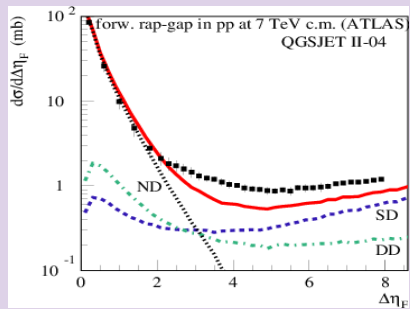
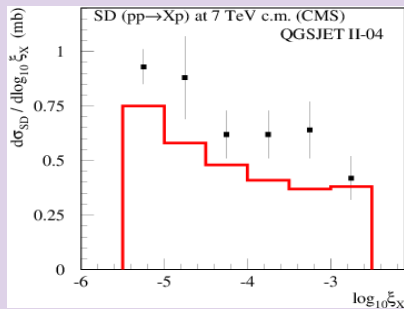
	TOTEM	CMS
M_X range, GeV	7 – 350	12 – 394
$\sigma_{pp}^{\text{SD}}(\Delta M_X)$, mb	$\simeq 3.3$	4.3 ± 0.6
$\frac{d\sigma_{pp}^{\text{SD}}}{dy_{\text{gap}}}$, mb	0.42	0.62

Impact of uncertainties of σ_{pp}^{SD} on X_{\max} [SO, PRD89 (2014)]

E.g. σ_{pp}^{SD} of QGSJET-II agrees with TOTEM (M_X -shape and rate)

M_X range, GeV	< 3.4	3.4 – 1100	3.4 – 7	7 – 350	350 – 1100
TOTEM	2.62 ± 2.17	6.5 ± 1.3	$\simeq 1.8$	$\simeq 3.3$	$\simeq 1.4$
QGSJET-II-04	3.9	7.2	1.9	3.9	1.5

Predicted M_X -shape agrees with SD (CMS) & rap-gaps (ATLAS)



- but: rates of SD & rap-gaps - 30 – 40% below CMS & ATLAS

Impact of uncertainties of σ_{pp}^{SD} on X_{max} [SO, PRD89 (2014)]

- Presently: serious tension between CMS & TOTEM concerning diffraction rate in pp

	TOTEM	CMS
M_X range, GeV	7 – 350	12 – 394
$\sigma_{pp}^{\text{SD}}(\Delta M_X)$, mb	$\simeq 3.3$	4.3 ± 0.6
$\frac{d\sigma_{pp}^{\text{SD}}}{dy_{\text{gap}}}$, mb	0.42	0.62

- \Rightarrow may be regarded as a characteristic uncertainty for σ_{pp}^{SD}
 - impact on X_{max} & $\text{RMS}(X_{\text{max}})$?

Two alternative model versions (tunes): SD+ & SD-

- SD+: **increased high mass diffraction (HMD)** (larger $r_{3\mathbb{P}}$)
 - to approach CMS results
 - slightly smaller LMD – to soften disagreement with TOTEM
- SD-: **smaller LMD (by 30%)**, same HMD
- similar $\sigma_{pp}^{\text{tot/el}}$ & central particle production in both cases

Single diffraction: SD- agrees with TOTEM, SD+ o.k. with CMS

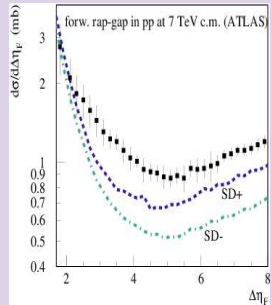
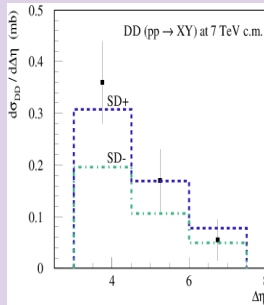
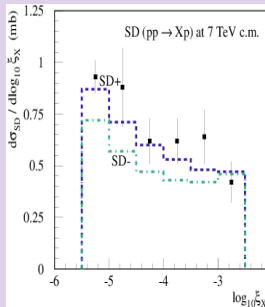
M_X range, GeV	< 3.4	3.4 – 1100	3.4 – 7	7 – 350	350 – 1100
TOTEM	2.62 ± 2.17	6.5 ± 1.3	$\simeq 1.8$	$\simeq 3.3$	$\simeq 1.4$
option SD+	3.2	8.2	1.8	4.7	1.7
option SD-	2.6	7.2	1.6	3.9	1.7

CMS ($M_X = 12 - 394$ GeV)	option SD+	option SD-
4.3 ± 0.6	3.7	3.1

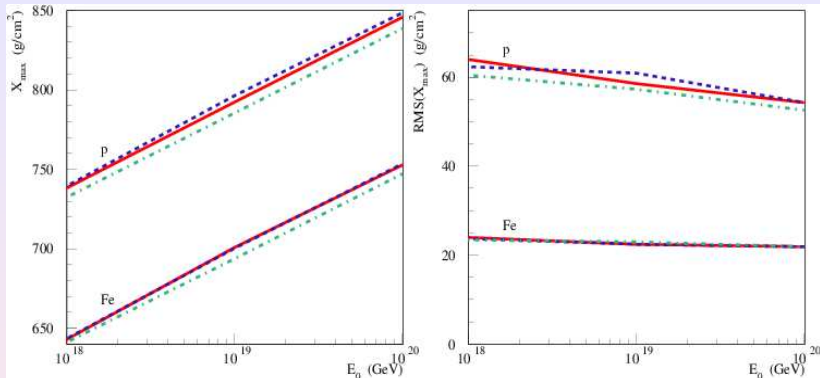
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 - to approach CMS results
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- SD-: smaller LMD (by 30%), same HMD
- similar $\sigma_{pp}^{\text{tot/el}}$ & central particle production in both cases

Comparison with differential SD & DD (CMS) & rap-gap (ATLAS)



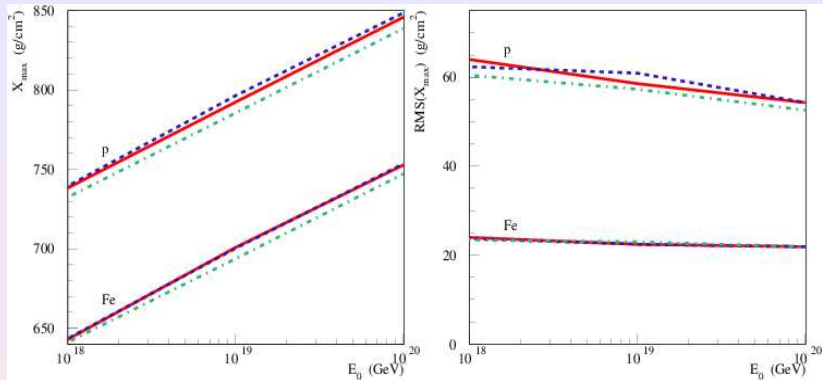
Impact on X_{\max} & $\text{RMS}(X_{\max})$



Option SD-: smaller low mass diffraction

- \Rightarrow smaller inelastic screening \Rightarrow larger $\sigma_{p\text{-air}}^{\text{inel}}$
- smaller diffraction for proton-air \Rightarrow larger $K_{p\text{-air}}^{\text{inel}}$, $N_{p\text{-air}}^{\text{ch}}$
- \Rightarrow **smaller X_{\max}** (all effects work in the same direction):
 $\Delta X_{\max} \simeq -10 \text{ g/cm}^2$

Impact on X_{\max} & $\text{RMS}(X_{\max})$

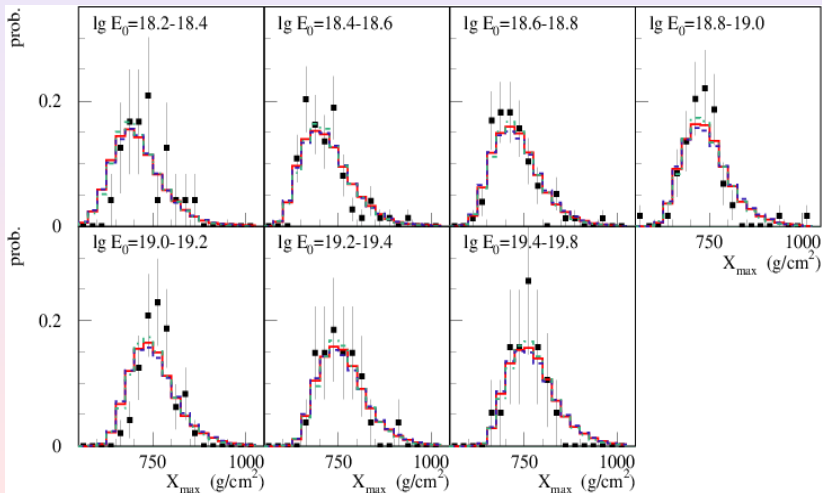


Option SD+: larger high mass diffraction

- opposite effects
- but: **minor impact on X_{\max}** ($\Delta X_{\max} < 5 \text{ g/cm}^2$)
- in both cases: **minor impact on $\text{RMS}(X_{\max})$** : $< 3 \text{ g/cm}^2$

Potential impact on CR composition studies

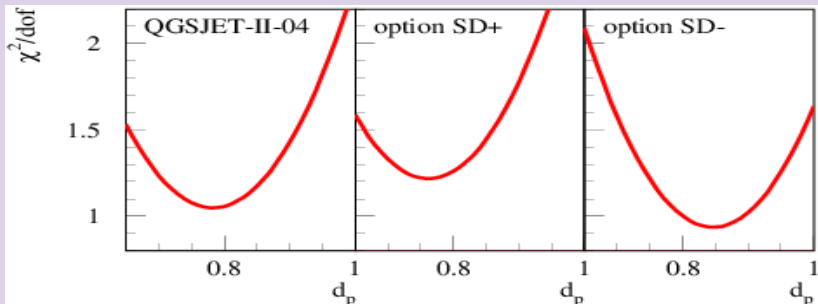
- Fit of Telescope Array data by p +Fe CR composition:
 - good fit quality for all the 3 interaction models
 - but: for different CR compositions



Potential impact on CR composition studies

- Fit of Telescope Array data by p +Fe CR composition:
 - good fit quality for all the 3 interaction models
 - but: for different CR compositions

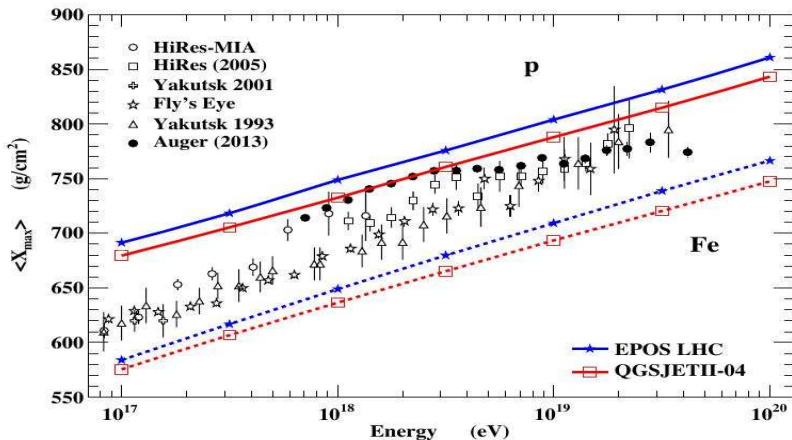
Fit quality for different proton abundances d_p ($d_{\text{Fe}} = 1 - d_p$)



- option SD+: pure proton composition excluded
- option SD-: almost pure proton composition is o.k.
(scenario favored by some astrophysical models)

Other sources of model uncertainties for X_{\max}

Why larger X_{\max} differences with other models (e.g. EPOS-LHC)?

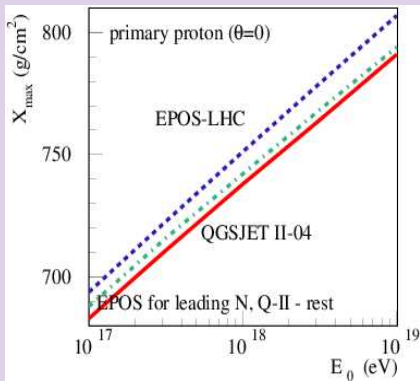


[plot from T. Pierog]

Other sources of model uncertainties for X_{\max}

Let us compare X_{\max} of EPOS-LHC & QGSJET-II-04

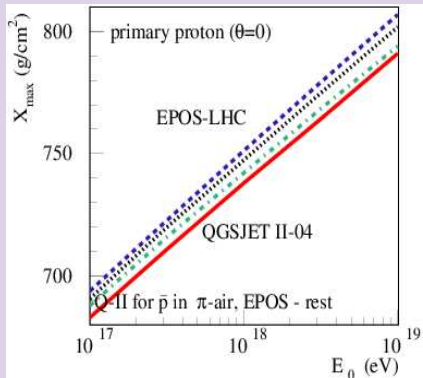
- and construct 'mixture models'
- use EPOS spectrum for leading nucleon in 1st interaction and QGSJET-II for the rest
- $\Delta X_{\max} \simeq 5 \text{ g/cm}^2$ - in agreement with above



Other sources of model uncertainties for X_{\max}

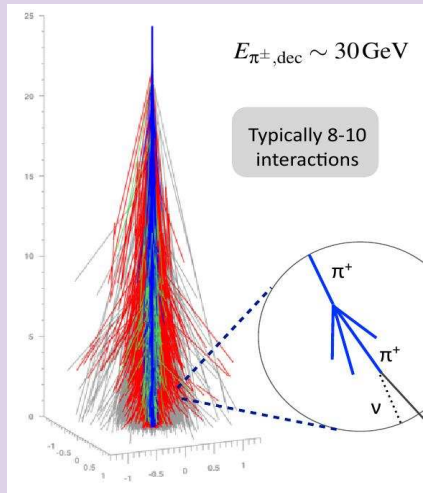
Let us compare X_{\max} of EPOS-LHC & QGSJET-II-04

- EPOS for leading nucleon,
QGSJET-II - rest
- $\Delta X_{\max} \simeq 5 \text{ g/cm}^2$ - in
agreement with above
- now from the other side:
QGSJET-II spectra for
 p, \bar{p}, n, \bar{n} production in
 $\pi - \text{air}, K - \text{air}$
and EPOS for all the rest
- $\Delta X_{\max} \simeq 4 \text{ g/cm}^2$
- remaining difference:
partly due to harder pion
spectra in $p - \text{air}$



EAS muon content N_μ : model predictions & uncertainties

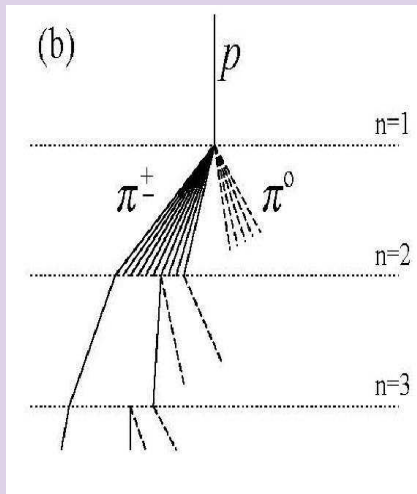
- shower N_μ : results from **multi-step hadron cascade**
 - ~ 1 cascade step per energy decade
- which π – air interactions most important?



[from R. Engel]

EAS muon content N_μ : model predictions & uncertainties

- multi-step hadron cascade
 - ~ 1 cascade step per energy decade
- which π – air interactions most important?
- $N_\mu \propto E_0^{\alpha_\mu} = \prod_{i=1}^{\text{int}(\lg E_0)} 10^{\alpha_\mu}$
- each order of magnitude:
factor $10^{\alpha_\mu} \simeq 8$ for N_μ
($\alpha_\mu \simeq 0.9$)



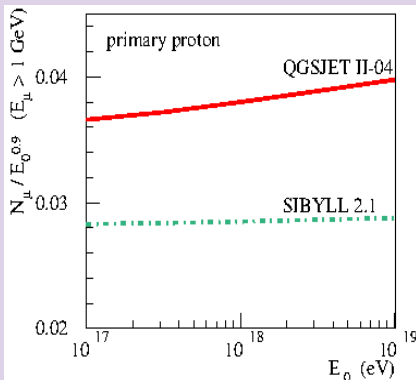
[from J. Matthews]

EAS muon content N_μ : model predictions & uncertainties

E.g. let us study the difference in N_μ for SIBYLL & QGSJET-II

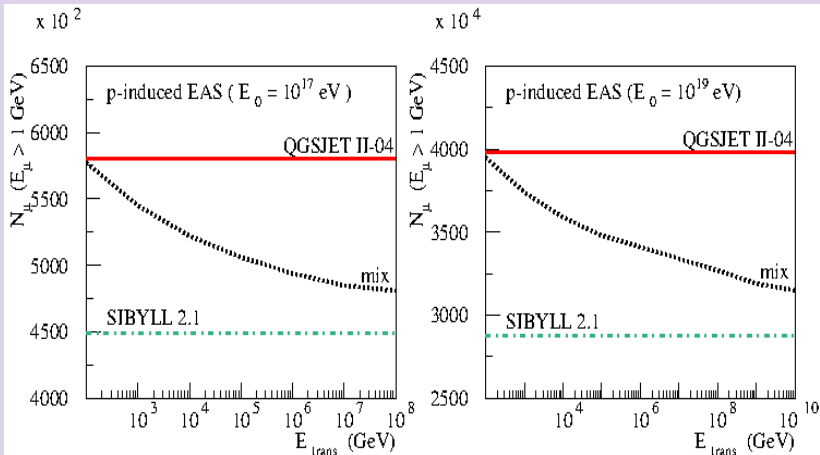
- and use a 'mixed' model:

$$\text{SIBYLL}(E < E_{\text{trans}}) + \text{QGSJET-II}(E > E_{\text{trans}})$$



EAS muon content N_μ : model predictions & uncertainties

The difference - mostly due to π - air interactions above 1 TeV!



Present model differences both for N_μ & X_{\max} :
largely due to the treatment of π – air interactions

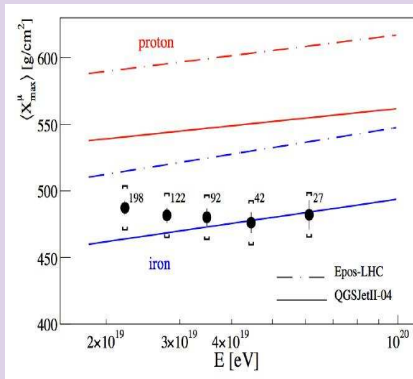
How to constrain?

- new πp (πA) experiments at high energies (LHC in fixed target mode?)
- use fixed target πp & πA data to test the models (relevant physics already there)
- constrain physics mechanisms in models using pp & pA data from LHC
- model self-consistency checks with air shower data

Testing models with air shower data

PAO measurement of the muon production depth X_{max}^{μ}

- challenging measurement
- interesting results
- what is the physics behind the model differences?

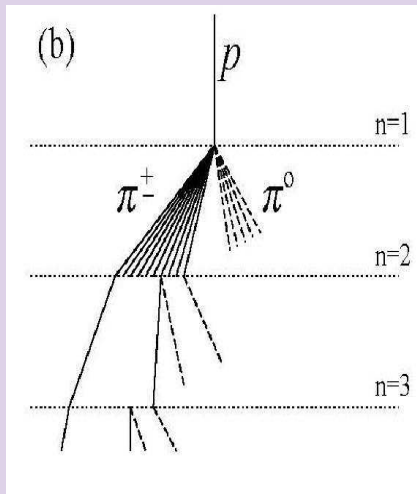


[from M. Roth]

Testing models with air shower data

1) Hardness of pion spectra in π – air

- pion decay probability:
 $p_{\text{decay}} \propto E_{\pi}^{\text{crit}} / E_{\pi} / X$
- X_{max}^{μ} : where $p_{\text{decay}} > p_{\text{inter}}$

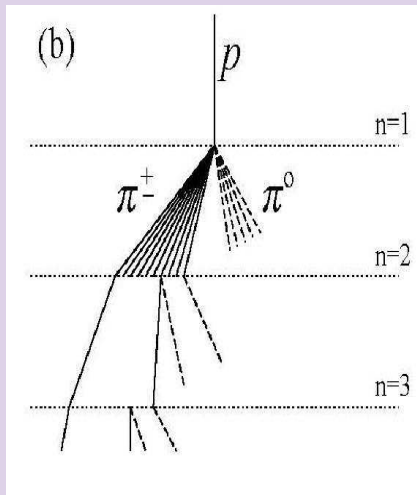


[from J. Matthews]

Testing models with air shower data

1) Hardness of pion spectra in π – air

- pion decay probability:
 $p_{\text{decay}} \propto E_{\pi}^{\text{crit}} / E_{\pi} / X$
- X_{max}^{μ} : where $p_{\text{decay}} > p_{\text{inter}}$
- **harder spectra in π – air**
 \Rightarrow **deeper X_{max}^{μ}** (effectively one more cascade step)

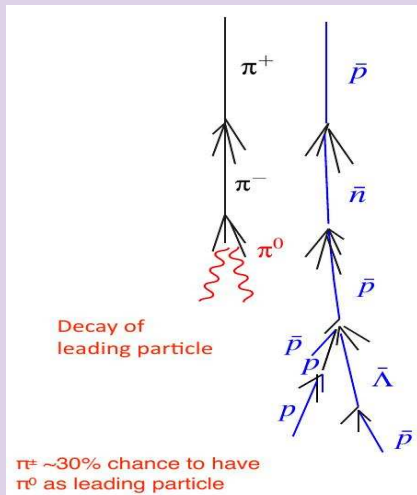


[from J. Matthews]

Testing models with air shower data

2) Copious production of (anti-)nucleons

- no decay for p & \bar{p} (n & \bar{n})
 \Rightarrow few more cascade steps
- but: **impact on X_{\max}^{μ} IFF $N_{p,\bar{p},n,\bar{n}}$ comparable to N_{π} !**

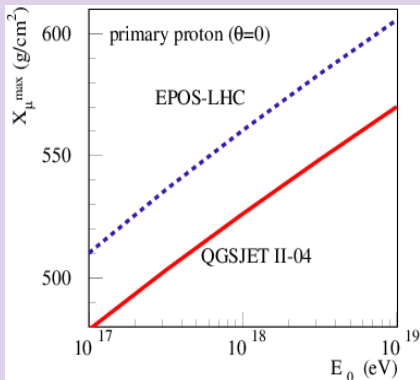


[from R. Engel]

Testing models with air shower data

Let us compare X_{max}^{μ} of EPOS-LHC & QGSJET-II-04

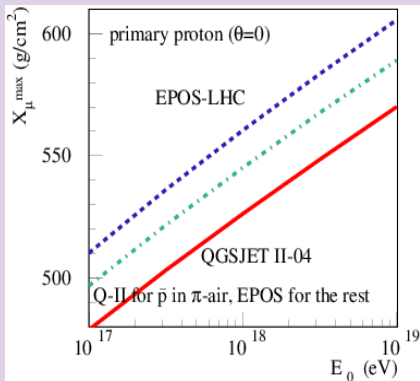
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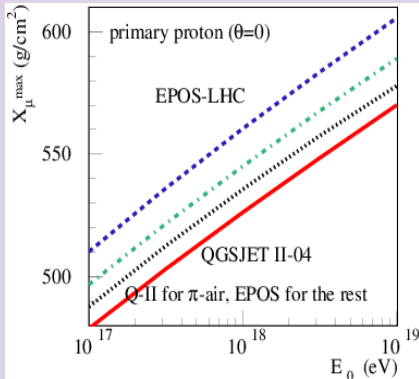
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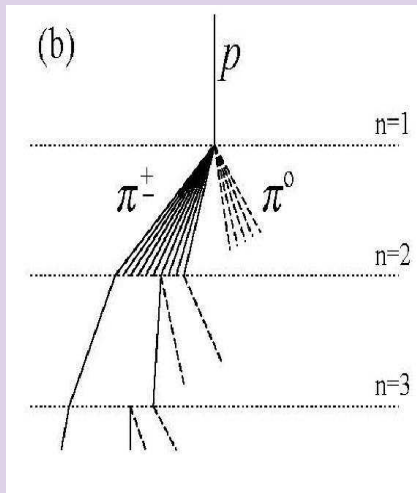
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- and construct 'mixture models'
- use QGSJET-II spectra for p, \bar{p}, n, \bar{n} production in π - air, K - air and EPOS for all the rest
- now **QGSJET-II for all π - air, K - air interact.** and EPOS for all the rest
- the two effects explain major part of the difference for X_{\max}^{μ}



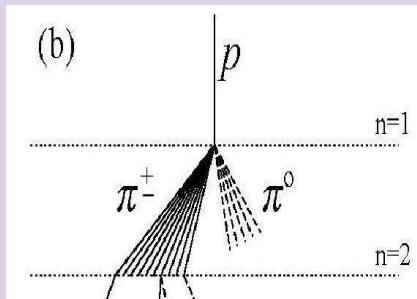
How robust are predictions for EAS muon content?

- NB: N_μ results from a **multi-step hadron cascade**
 - ~ 1 cascade step per energy decade
- assume: **muon predictions are o.k. up to energy E_A**
- how difficult to get enhancement at energy E_B ($E_B < 100E_A$)?
 - i.e. within 2 orders of magnitude in energy
- secondary pions: **mostly with $x_F < 0.1$**
 - \Rightarrow just 1 cascade step between E_A & E_B



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⇒ Muon excess has to be produced by primary CR interactions

- if we double N^{ch} for the 1st interaction?
 - $< 10\%$ increase for N_μ !
- to get, say, a factor 2 enhancement:
 N_{ch} should rise by an order of magnitude

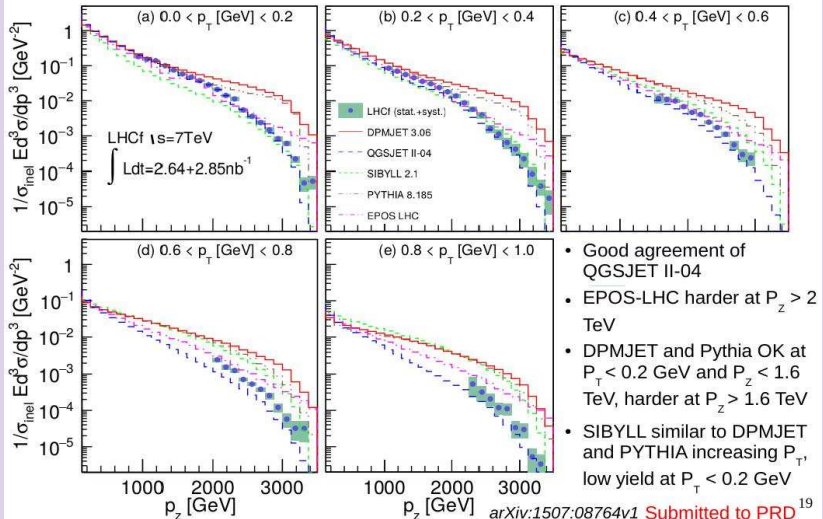
Prospects for seeing new physics in CR air showers?

- proton-air cross section at UH energies: $\sigma_{p\text{-air}}^{\text{inel}} \sim 1/2 \text{ b}$
- to be detected by air shower techniques:
new physics should impact the bulk of interactions
- \Rightarrow to emerge with barn-level cross section

Extra slides

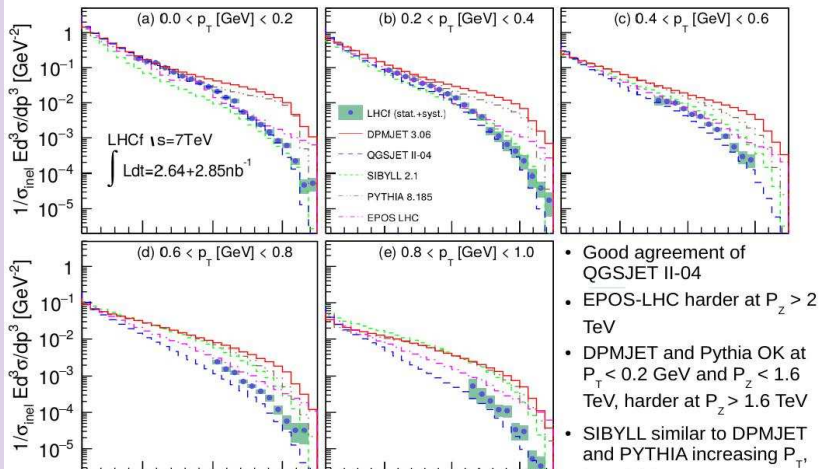
Forward production: π^0

LHCf data at 7 TeV c.m. [talk of A. Tiberio at HSZD-2015]



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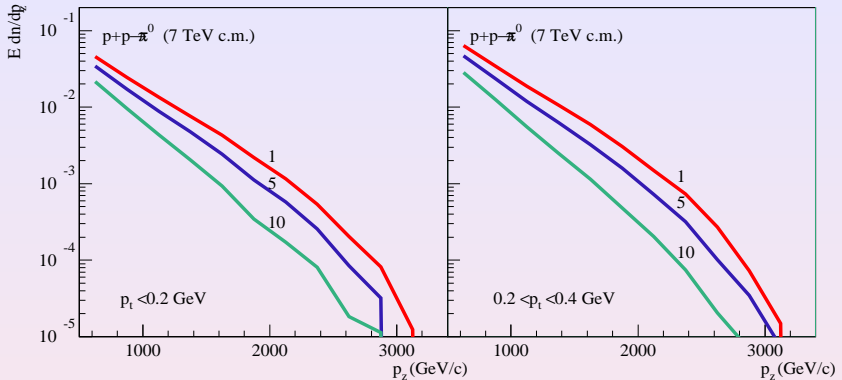
LHCf data at 7 TeV c.m. [*talk of A. Tiberio at HSZD-2015*]



How the spectra should evolve from pp to p -air?

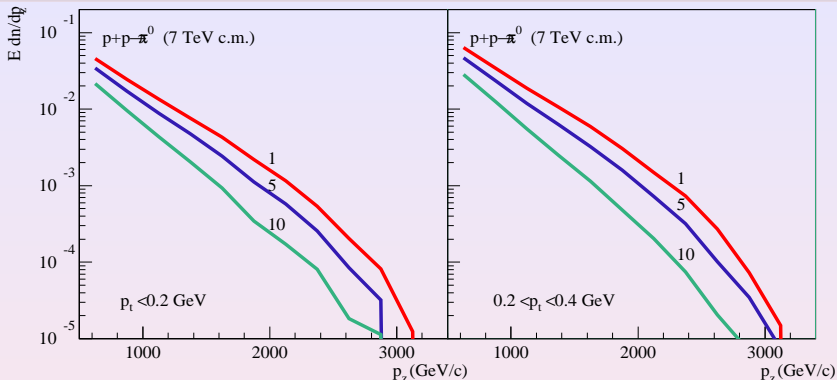
- NB: forward spectra of π^\pm - of importance for X_{max}^μ !

'Centrality' dependence as a test for pp to p -air transition



- increasing 'centrality' of pp collisions by ATLAS triggers:
 - \Rightarrow enhanced multiple scattering
 - \Rightarrow softer pion spectra
 - clear violation of the limiting fragmentation
- NB: same mechanism for violation of the Feynman scaling (increase of multiple scattering with energy)

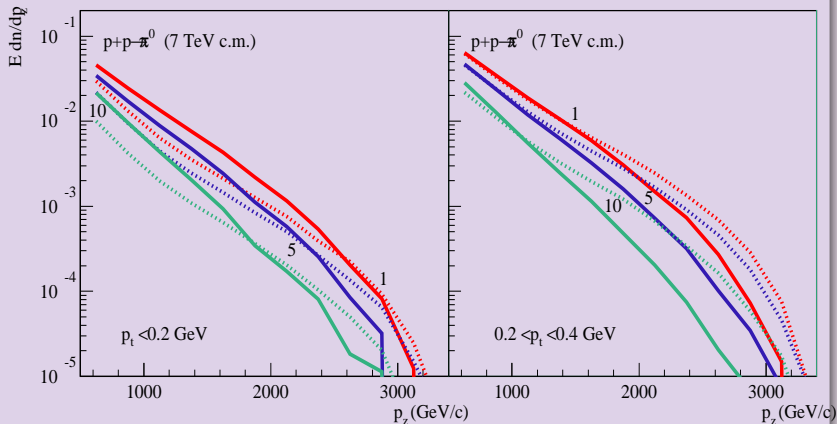
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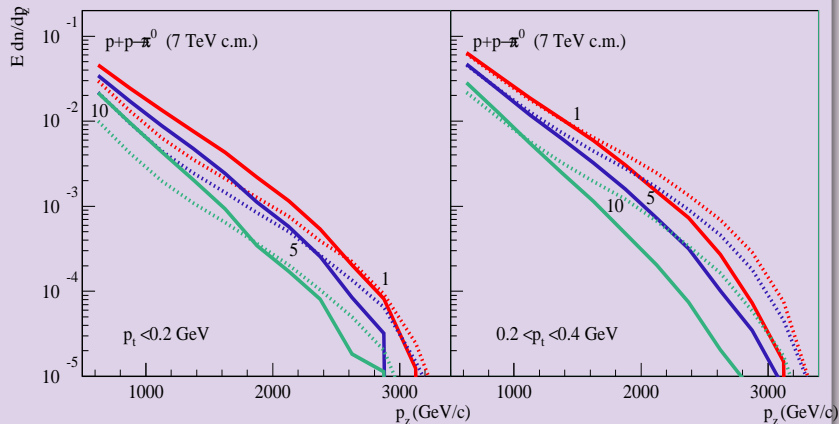
Compare QGSJET-II-04 (solid lines) to SIBYLL 2.1 (dotted)



- almost perfect limiting fragmentation in SIBYLL
- related: nearly perfect Feynman scaling in that model
- NB: TOTEM & CMS may test this with charged hadrons

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