

Lecture 5: Theory of lepton flavour

Leptonic mixing pattern:

- leptonic mixing looks different from quark mixing:

angle	leptons (nu-fit.org)	quarks (PDG)
θ_{12}	33.5°	13.0°
θ_{13}	8.5°	0.2°
θ_{23}	$42.3^\circ / 49.5^\circ$	2.4°

$\Rightarrow$ leptonic mixings significantly larger $\Rightarrow$ explanation?!?

- before θ_{13} was measured in 2012, it could have been zero

$\Rightarrow$ the proposed mass pattern was TBM:

$$U_{\text{TBM}} = \begin{pmatrix} -2/\sqrt{6} & 1/\sqrt{3} & 0 \\ 1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ 1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix} \Rightarrow \begin{cases} \tan \theta_{12} = 1/\sqrt{2} \\ \theta_{13} = 0 \\ \theta_{23} = \frac{\pi}{4} \end{cases}$$

$\uparrow$ tri-maximal $\uparrow$ bi-maximal

$\hookrightarrow$ this pattern seems so simple that it suggests ^{that} some theoretical explanation might be behind

$\Rightarrow$ most popular idea:

discrete groups

$\Rightarrow$ using discrete groups, one can impose certain structures on the mass matrices which translate into a mixing pattern

Basics of discrete groups:

- definition: group G

Set of elements $G = \{g_1, g_2, \dots\}$ with a closed and associative operation " $\circ$ ",

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such that ~~every element~~ a neutral element e exists and that every element g has an inverse g^{-1} .

↳ Abelian: " $\circ$ " is commutative, $\forall g, g' \in G: g \circ g' = g' \circ g$

↳ discrete: elements can be numbered

↳ finite: $|G| < \infty$

- example: A_4 (= even permutations of 4 objects)

↳ this group is finite: $|A_4| = 4! / 2 = 12$

- all elements of a group can be obtained from combinations of only a few elements ("generators")

↳ ~~this~~ the generators are a specific set of elements which obey relations called "presentations"

⇒ A_4 -example: • generators: S, T

• presentations: $S^2 = T^3 = (ST)^3 = 1$

• A_4 -elements in terms of S & T :

$1, S, T, T^2, TS, ST, STS, TST, ST^2, T^2S, TST^2, T^2ST$

- the abstract group elements can also be displayed as certain $n \times n$ -matrices ("representations")

↳ if these cannot be split any further (into independent objects of lower dimensions), they are called "irreducible" ("irreps")

⇒ A_4 -example:

Representation	S	T
<u>1</u>	1	1
<u>1'</u>	1	$\omega^2 \equiv e^{4\pi i/3}$
<u>1''</u>	1	$\omega \equiv e^{2\pi i/3}$
<u>3</u>	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$

↳ sanity check: $1^2 + 1^2 + 1^2 + 3^2 = 3 + 9 = 12 = |A_4|$ ✓

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$$\Rightarrow \text{e.g.: } ST^2|_1 = 1, ST^2|_{1'} = \omega, ST^2|_{1''} = \omega^2, ST^2|_3 = \frac{1}{3} \begin{pmatrix} -1 & 2\omega^2 & -2\omega \\ 2 & -\omega^2 & -2\omega \\ 2 & 2\omega^2 & \omega \end{pmatrix}$$

- these group elements tell us how certain objects ("tensors") transform under the action of the group

↳ a triplet $\mathbf{a} = (a_1, a_2, a_3)$ under A_4 transforms under the action of T as:

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} \xrightarrow{T} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} = \begin{pmatrix} a_1 \\ \omega^2 a_2 \\ \omega a_3 \end{pmatrix}$$

↳ a $1''$ -singlet $\mathbf{b}$ transforms as $\mathbf{b} \xrightarrow{T} \omega \mathbf{b}$

$\Rightarrow$ this is where the structure comes in

- last ingredient: we need to know how different tensors combine

$\Rightarrow$ "tensor products"

↳ e.g. two A_4 -triplets $\mathbf{a} = (a_1, a_2, a_3)$ and $\mathbf{b} = (b_1, b_2, b_3)$ combine as follows:

$$\underline{3} \otimes \underline{3} = \underline{1} \oplus \underline{1}' \oplus \underline{1}'' \oplus \underline{3}_S \oplus \underline{3}_A$$

$$\Rightarrow \text{singlets: } \underline{1} = a_1 b_1 + a_2 b_3 + a_3 b_2$$

$$\underline{1}' = a_1 b_2 + a_2 b_1 + a_3 b_3$$

$$\underline{1}'' = a_1 b_3 + a_2 b_2 + a_3 b_1$$

$\Rightarrow$ triplets:

$$\underline{3}_S = \frac{1}{3} (2a_1 b_1 - a_2 b_3 - a_3 b_2, -a_1 b_2 - a_2 b_1 + 2a_3 b_3, -a_1 b_3 + 2a_2 b_2 - a_3 b_1)$$

$$\underline{3}_A = \frac{1}{2} (a_2 b_3 - a_3 b_2, a_1 b_2 - a_2 b_1, a_1 b_3 - a_3 b_1)$$

What does all the math tell us?

- this we will see using a toy model
- very simple setting: neutrino mass by Weinberg operator

$$\mathcal{L}_{\text{Weinberg}} = - \frac{y_{ij}}{\Lambda} (\bar{L}_i^c \tilde{H}^*) (\tilde{H}^\dagger L_j)$$

↳ charged lepton masses: $\mathcal{L}_d = y_{e,ij} \bar{L}_i H e_{R,j} + \text{h.c.}$

$\Rightarrow$ a priori:

y_{ij} & $y_{e,ij}$ are arbitrary complex matrices (BUT: $y_{ij} = y_{ji}^*$)

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- idea: suppose that different flavours have a certain transformation behaviour under a discrete group

↳ try the following "assignment" with A_4 :

$$L = \begin{pmatrix} l_e \\ l_\mu \\ l_\tau \end{pmatrix} \sim \underline{3}, \quad e_R \sim \underline{1}, \quad \mu_R \sim \underline{1}', \quad \tau_R \sim \underline{1}'', \quad H \sim \underline{1}$$

- since $\bar{L} \sim L^T$ and $H \sim \underline{1}$, one can only use the trivial singlet combination from $\underline{3} \otimes \underline{3}$ for the Weinberg operator:

$$(\bar{L}^c H^*)(H^\dagger L) \xrightarrow{VEV} v^2 (\bar{\nu}_L^c \nu_L) = v^2 \left[(\bar{\nu}_{eL}^c \nu_{eL}) + (\bar{\nu}_{\mu L}^c \nu_{\mu L}) + (\bar{\nu}_{\tau L}^c \nu_{\tau L}) \right]$$

$\sim \underline{1}$ - combination $\Rightarrow$ allowed in $\mathcal{L}$

$$\Rightarrow \mathcal{L} \supset - \frac{y v^2}{\Lambda} [\dots] = - \frac{y v^2}{\Lambda} (\bar{\nu}_{eL}^c, \bar{\nu}_{\mu L}^c, \bar{\nu}_{\tau L}^c) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} \nu_{eL} \\ \nu_{\mu L} \\ \nu_{\tau L} \end{pmatrix}$$

the matrix (y_{ij}) is NOT arbitrary anymore!

↳ BUT: • does not yet yield acceptable mixing

• charged leptons massless ∇ (because: $\underline{3} \otimes \underline{1}_i \not\supset \underline{1}_0$)

- the issue with the charged leptons can be cured by promoting the Yukawa couplings to effective operators which involve "Higgs" fields that are SM-singlets but charged under the flavour symmetry:

"flavours" $\rightarrow \Phi_S \sim \underline{3} \Rightarrow \langle \Phi_S \rangle = 3 \alpha_S \Lambda_F \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \leftarrow$ "VEV alignment"

$\rightarrow u \sim \underline{1} \Rightarrow \langle u \rangle = \alpha_0 \Lambda_F$ "flavour breaking scale"

$\Rightarrow$ this makes a charged lepton mass possible:

$$\mathcal{L} \supset \bar{L} \begin{pmatrix} \langle \Phi_S \rangle \\ \Lambda_F \end{pmatrix} H e_R + \text{h.c.} \rightarrow$$

$\uparrow \sim \underline{3}$ $\uparrow \sim \underline{3}$ $\uparrow \sim \underline{1}$ $\uparrow \sim \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$

$$\underline{3} \otimes \underline{3} \supset \underline{1}_0' \oplus \underline{1}_1 \oplus \underline{1}_2 \oplus \dots$$
$$\underline{1}_a \otimes \underline{1}_b = \underline{1}_{(a+b) \bmod 3}$$
$$(\underline{1}_0 = \underline{1}, \underline{1}_1 = \underline{1}', \underline{1}_2 = \underline{1}'')$$

$$\rightarrow 3 \alpha_S \left[k_e \underbrace{(\bar{l}_e + \bar{l}_\mu + \bar{l}_\tau)}_{\text{from } \underline{1}} H e_R + k_\mu \underbrace{(\bar{l}_e + \bar{l}_\mu + \bar{l}_\tau)}_{\text{from } \underline{1}'} H \mu_R + k_\tau \underbrace{(\bar{l}_e + \bar{l}_\mu + \bar{l}_\tau)}_{\text{from } \underline{1}''} H \tau_R \right]$$

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 $\Rightarrow$ change lepton mass matrix:

$$\begin{pmatrix} k_e & k_\mu & k_\tau \\ k_e & k_\mu & k_\tau \\ k_e & k_\mu & k_\tau \end{pmatrix}$$

look similar due to (1,1,1)-alignment

 $\Rightarrow$ eigenvalues: $(m_e, m_\mu, m_\tau) = (0, 0, 3\alpha_s v (k_e + k_\mu + k_\tau))$ $\Rightarrow$ better than before, but still not accurate

- also for the neutrinos, further terms become possible:

$$\frac{1}{\Lambda} (\bar{L}^c \tilde{H}^*) (\tilde{H}^+ L) \frac{\langle \phi_s \rangle}{\Lambda_f} \Rightarrow M_M = \frac{v^2}{\Lambda} \begin{pmatrix} \alpha_0 + 2\alpha_s & -\alpha_s & -\alpha_s \\ -\alpha_s & 2\alpha_s & \alpha_0 - \alpha_s \\ -\alpha_s & \alpha_0 - \alpha_s & 2\alpha_s \end{pmatrix}$$

structure in the ν -mass matrix

$$\Rightarrow \text{ ν -masses: } \frac{v^2}{\Lambda} (\alpha_0, 3\alpha_s - \alpha_0, 3\alpha_s + \alpha_0) \equiv (\tilde{m}_2, \tilde{m}_3, \tilde{m}_1)$$

complex masses (incl. phases)

$$\Rightarrow \text{ ν -mixing: } U_{TBM}^T M_M U_{TBM} = \text{diagonal}$$

$$\Rightarrow \tan \Theta_{12} = \frac{1}{\sqrt{2}}, \quad \Theta_{13} = 0, \quad \Theta_{23} = \frac{\pi}{4}$$

diagonal

y

disfavoured

BUT: changed lepton mass matrix not diagonal

 $\Rightarrow$ can correct the mixing...

... you see it's gets more complicated, the closer we come to reality

Mass sum rules:

- benefit of (some) flavour models: they yield testable correlations between ~~observables~~ certain observables- the above toy model yields a "neutrino mass sum rule":

$$\tilde{m}_1 - \tilde{m}_3 = \frac{v^2}{\Lambda} (3\alpha_s + \alpha_0 - 3\alpha_s + \alpha_0) = 2 \cdot \frac{v^2}{\Lambda} \alpha_0 = 2\tilde{m}_2$$

 $\Rightarrow$ thus:

$$\tilde{m}_1 - 2\tilde{m}_2 - \tilde{m}_3 = 0$$

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- crucial: this rule is a complex equation

⇒ it constrains two things:

• neutrino mass scale & pattern:

* IO not possible: ~~not possible~~

$$|\tilde{m}_1 - \tilde{m}_3| \leq m_1 + m_3 = \sqrt{m^2 + \Delta m_A^2} + m < 2\sqrt{m^2 + \Delta m_A^2} <$$

$$< 2\sqrt{m^2 + \Delta m_A^2 + \Delta m_\theta^2} = 2m_2 = |2\tilde{m}_2| \quad \text{!}$$

* lowest mass for NO:



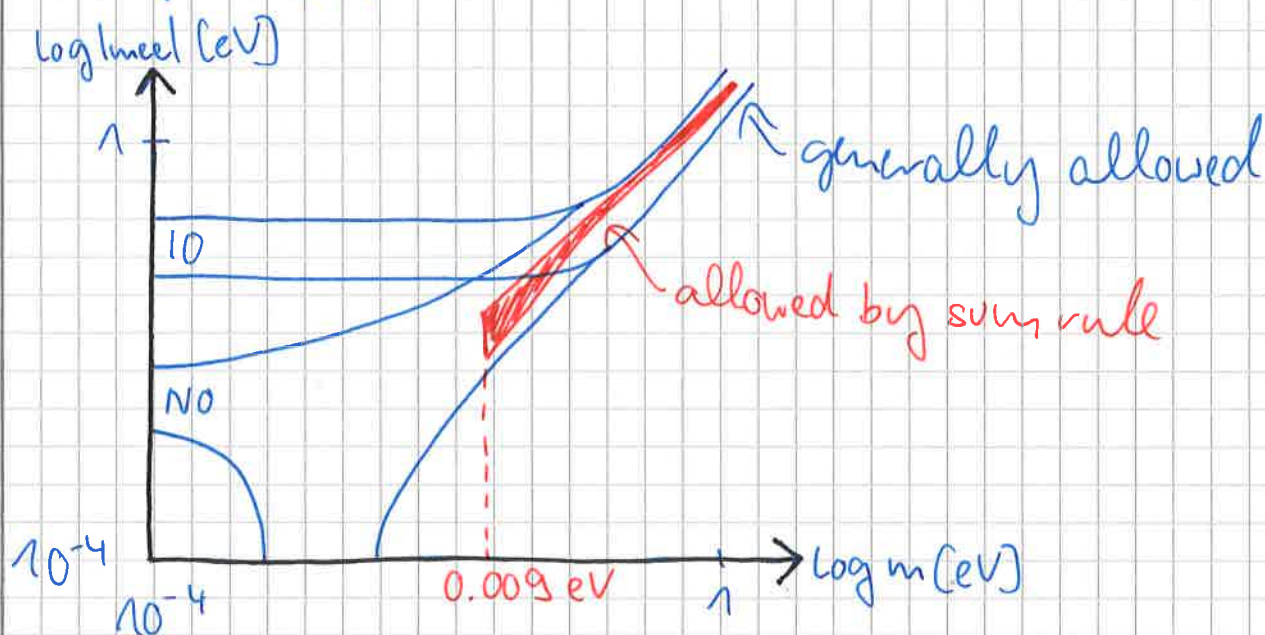
$$\Rightarrow \text{triangle until: } m_1 + m_3 = m + \sqrt{m^2 + \Delta m_A^2} \stackrel{!}{=} 2\sqrt{m^2 + \Delta m_A^2 + \Delta m_\theta^2}$$

$$\Rightarrow m \approx m$$

$$\Rightarrow \text{triangle until: } m + \sqrt{m^2 + \Delta m_A^2} \stackrel{!}{=} 2\sqrt{m^2 + \Delta m_\theta^2}$$

$$\Rightarrow m_{\text{lowest}} = \sqrt{\frac{\Delta m_A^2}{8} - 2\Delta m_\theta^2} = 0.009 \text{ eV}$$

• a certain combination of Majorana phases ⇒ this is ~~not~~ reflected particularly strongly in the effective mass:



⇒ good detection prospects + weaker impact of systematics