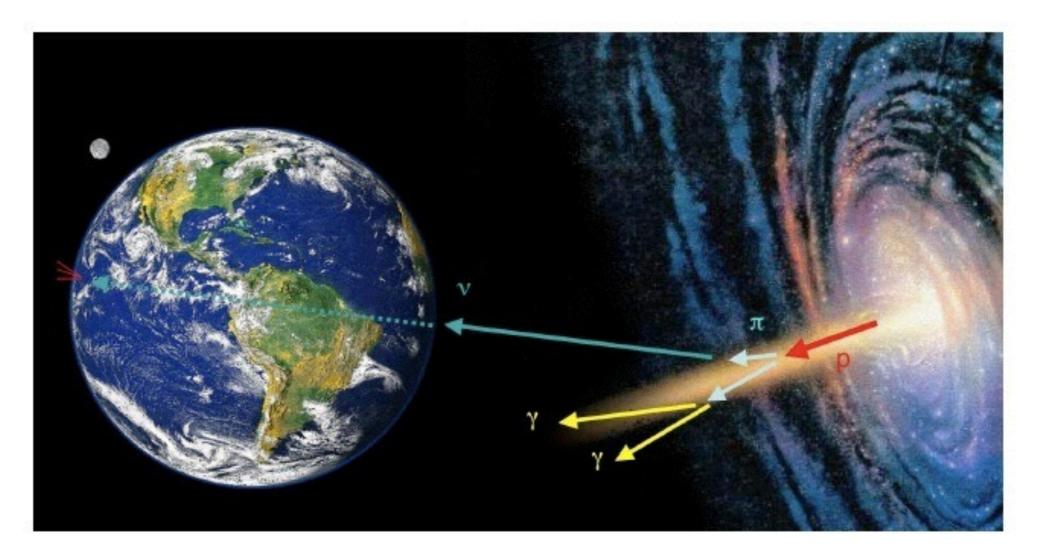
Teilchenphysik mit kosmischen und mit erdgebundenen Beschleunigern



11. Neutrinos I - Atmospheric, Accelerator and Cosmic Neutrinos

04.07.2016



Max-Planck-Institut für Physik (Werner-Heisenberg-Institut) Prof. Dr. Siegfried Bethke Dr. Frank Simon

Neutrinos: Time Line I

- 1931 W. Pauli postulates the existence of the neutrino in β decay
- 1934 E. Fermi presents a theory of the π decay (incl. neutrino)
- 1959 Discovery of ve (Reines and Cowan; Nobel prize 1995)
- + 1962 Discovery of v_{μ}
- 1968 First measurement of solar neutrinos (v_e): less than 50% of the expected intensity ("Solar Neutrino Problem")
- 1987 Kamiokande and IMB (nucleon decay experiments) detect neutrinos from SN 1987a
- 1988 Kamiokande sees only 60% of the expected atmospheric v_{μ} flux
 - 2002 Nobel prize for Koshiba and Davis for solar neutrino and Kamiokande measurements
- 1990 LEP experiments prove the existence of exactly 3 generations of light neutrinos
- 1998 Super-Kamiokande shows evidence for neutrino oscillations (v_μ), -> neutrinos have finite mass

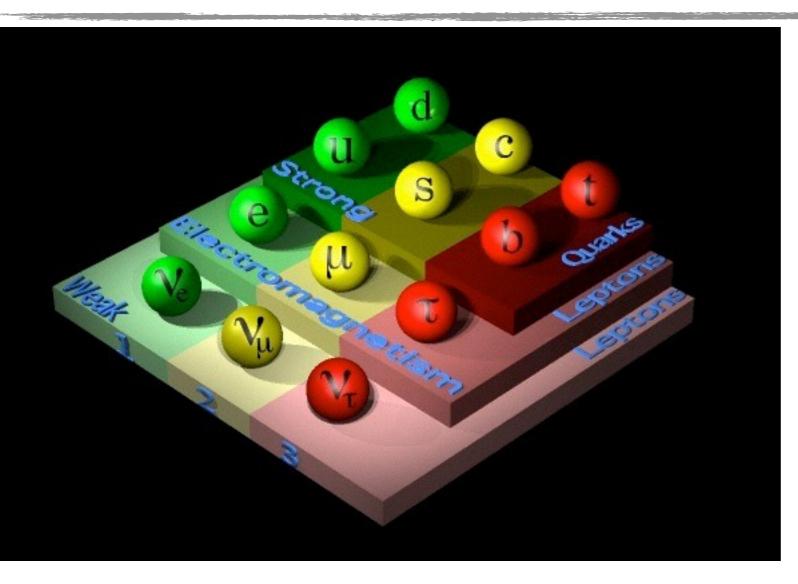


Neutrinos: Time Line II

- 2000 explicit confirmation and observation of v_τ
- 2001 Confirmation of solar v_e deficit and definite proof of neutrino oscillations into other flavors by SNO
 - 2015 Nobel prize for Kajita and MacDonald for SuperK / SNO discoveries
- 2011 First evidence for non-zero Θ_{13} by T2K & MINOS
- 2012 Observation of cosmic PeV neutrinos by IceCube



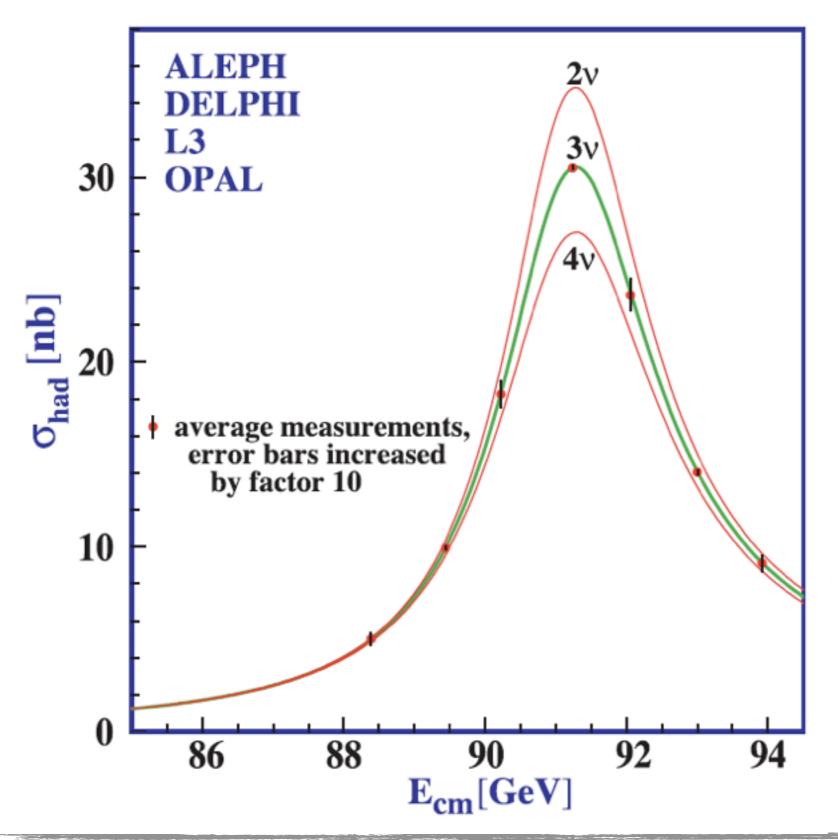
Neutrinos: General Properties



- 3 known families of elementary particles:
 - 3 neutrinos as partners of the charged leptons
 - In the "simple" Standard Model neutrinos are massless
 - Experimental bounds of neutrino masses: $M(v_e) < 2 \text{ eV}$ $M(v_{\mu}) < 0.19 \text{ MeV}$ $M(v_{\tau}) < 18.2 \text{ MeV}$



The Number of Neutrino Flavors



- Obtained from precision measurements of the Z⁰ line shape at LEP:
- 3 light neutrinos (lighter than ~ 45 GeV), that couple to the weak interaction



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Neutrino Sources

Solar neutrinos

(get produced in the fusion reaction in the sun), ca 2 x 10^{38} /s, flux on earth ~ 7 x 10^{10} cm⁻²s⁻¹

Cosmic neutrino background

freeze out ~ 1s after the Big Bang, temperature ~ 1.9 K, $\langle E \rangle$ ~ 5 x 10⁻⁴ eV, ~ 330/cm³

Cosmic neutrino sources

supernova explosions, active galaxies, GRBs...

Atmospheric neutrinos

produced in cosmic ray air showers

Geo neutrinos

radioactive decay in earth, total power ~ 20 TW, flux ~ 10^7 cm⁻²s⁻¹

Man made neutrinos

reactor neutrinos (MeV energies), accelerator neutrinos (MeV -> GeV)



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Neutrinos: General Properties

- Neutrinos are special: they only interact via the weak interaction
 - Maximum parity violation of the weak interaction enforces: Neutrinos are always left-handed (helicity -1) Anti-Neutrinos are always right-handed (helicity +1)



Neutrinos: General Properties

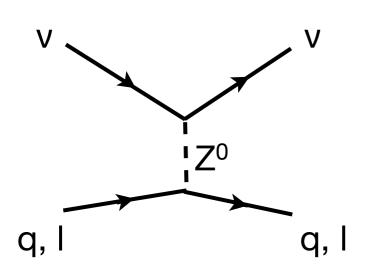
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- Possible consequence:
 - Neutrinos may be their own anti-particles, so-called Majorana particles
 - A neutrino would then be a left-handed Majorana neutrino, an anti-neutrino a right-handed Majorana neutrino
 - The differentiation between Majorana and Dirac neutrinos is only possible for massive neutrinos



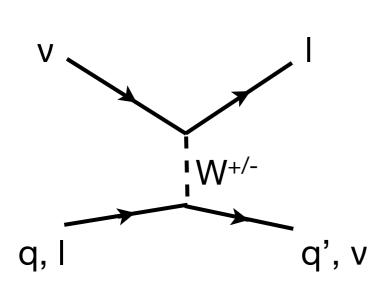
Neutrinos: Interaction with Matter

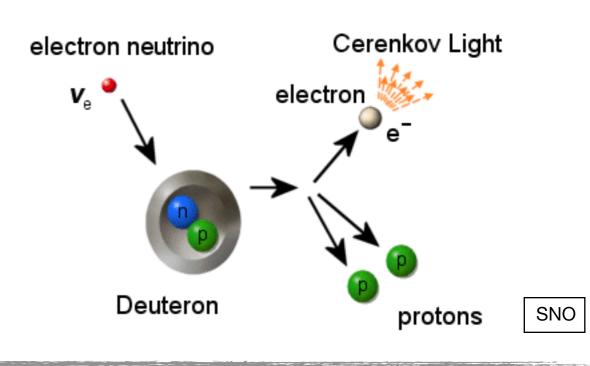
Neutral current



neutrino v v v proton Deuteron neutron v s⁶Cl a⁵Cl

• Charged current





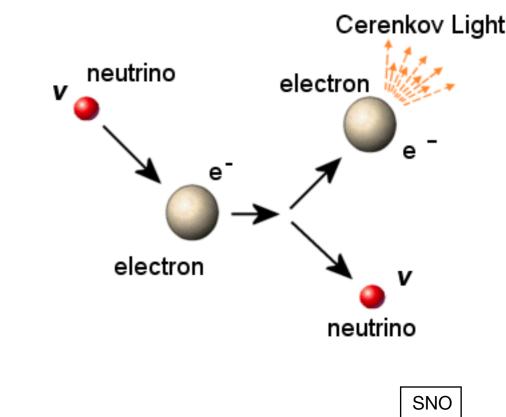


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SNO

Neutrino - Elektron Scattering

- Special Case:
 - For v_{μ} and v_{τ} this process only works via the neutral current
 - For v_e both neutral and charged current contributes
- Cross sections
 - $v_{\mu}e$: ~ 1.5 x 10⁻⁴² cm² E_v/GeV
 - $v_ee: \sim 10 \times 10^{-42} \text{ cm}^2 \text{ E}_v/\text{GeV}$





9

Neutrino - Elektron Scattering

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- $v_{\mu}e$: ~ 1.5 x 10⁻⁴² cm² E_v/GeV
- $v_ee: \sim 10 \times 10^{-42} \text{ cm}^2 \text{ E}_v/\text{GeV}$
- ▶ ~ three orders of magnitude smaller than neutrino-nucleon scattering

In general: neutrino cross sections are proportional to the neutrino energy!



neutrino

electron

v

Cerenkov Light

electron

neutrino

SNO

9

Neutrino Oscillations: Basic Conditions

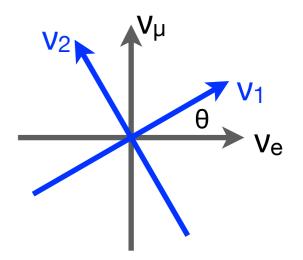
- Neutrinos have to have mass to be able to oscillate!
 - Mass eigenstates are not the same as flavor eigenstates



Neutrino Oscillations: Basic Conditions

- Neutrinos have to have mass to be able to oscillate!
 - Mass eigenstates are not the same as flavor eigenstates
- Example: A world with two neutrino types:
 - The eigenstates of the weak interaction v_{μ} und v_{e} are not identical to the mass eigenstates v_{1} und v_{2}

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$





Neutrino Oscillations: Basic Conditions

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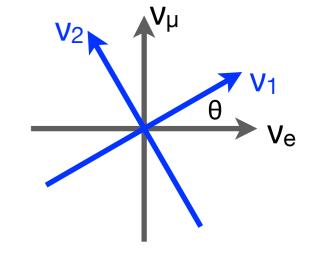
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• The eigenstates of the weak interaction v_{μ} und v_{e} (which we can observe and identify) are mixes of the mass eigenstates:

$$|\nu_{\mu}\rangle = -\sin\theta |\nu_{1}\rangle + \cos\theta |\nu_{2}\rangle$$

 $|\nu_{e}\rangle = \cos\theta |\nu_{1}\rangle + \sin\theta |\nu_{2}\rangle$





Neutrino Oscillations: Two Neutrinos

• The time evolution in vacuum is given by the mass eigenstates:

$$\nu_{\mu}(t)\rangle = -\sin\theta \left(|\nu_{1}\rangle \ e^{-iE_{1}t}\right) + \cos\theta \left(|\nu_{2}\rangle \ e^{-iE_{2}t}\right)$$
$$E_{i} = \sqrt{p^{2} + m_{i}^{2}} \approx p + \frac{m_{i}^{2}}{2p} \approx E + \frac{m_{i}^{2}}{2E}$$



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- If the two mass eigenstates have different masses the relative composition changes over time, a v_µ can transform into a v_e!
- The oscillation property is:

$$P(\nu_{\mu} \to \nu_{e}) = |\langle \nu_{e} | \nu_{\mu}(t) \rangle|^{2}$$



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- The oscillation property is:

$$P(\nu_{\mu} \rightarrow \nu_{e}) = |\langle \nu_{e} | \nu_{\mu}(t) \rangle|^{2}$$

The transition probability as a function of distance and neutrino energy is:

$$P(\nu_{\mu} \leftrightarrow \nu_{e}) = \sin^{2}2\theta \sin^{2}\left(\frac{\Delta m^{2}L}{4E}\right) = \sin^{2}2\theta \sin^{2}\left(1.27\frac{\Delta m^{2}}{eV^{2}}\frac{L/m}{E/MeV}\right)$$

$$\Delta m^2 = m_1^2 - m_2^2$$

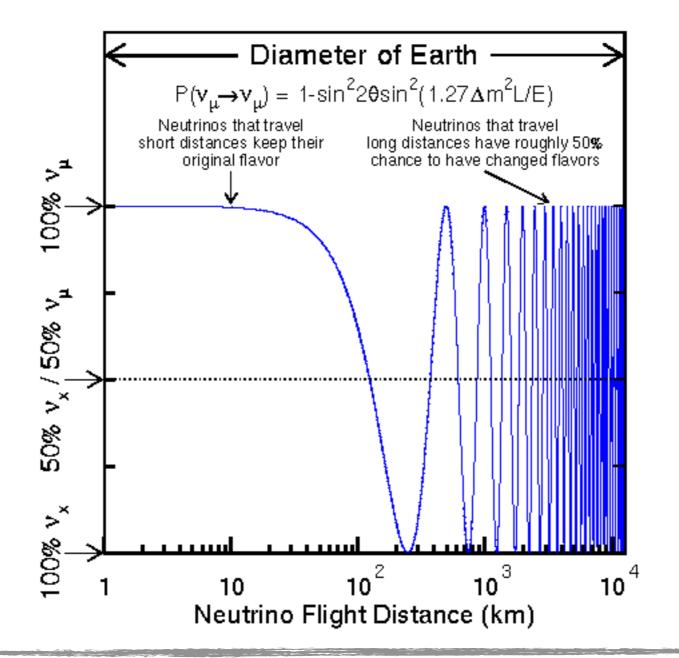


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Neutrino Oscillations

Neutrino oscillations as a function of distance

 $\Delta m^2\,=\,0.005\,\mathrm{eV}^2$, $\,sin^22\theta\,=\,1$, $\,E\,=\,1\,\mathrm{GeV}$

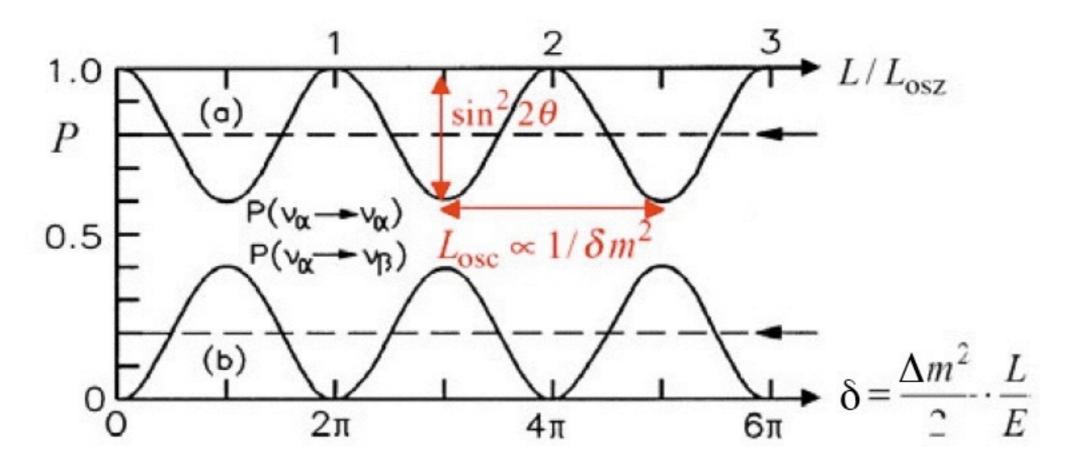




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Neutrino Oscillations

• The influence of the mixing angle:



The mixing angle determines the amplitude (the maximum level of transformation), the mass difference determines the speed of the oscillation



Neutrino Oscillations: General Case

- n flavor eigenstates
- n mass eigenstats

$$|\nu_{\alpha}\rangle \text{ mit } \alpha = e, \mu, \tau, ...$$

 $|\nu_{i}\rangle \text{ mit } i = 1, 2, 3, ...$

• The states are coupled via a unitary n x n mixing matrix:

$$|\nu_{\alpha}\rangle = \sum_{i} U_{\alpha i} |\nu_{i}\rangle$$

- (n-1)² independent parameters of the mixing matrix:
 - n(n-1)/2 mixing angles
 - (n-1)(n-2)/2 CP violating phases
- Für n = 3:
 - 3 mixing angles: $\theta_{12}, \theta_{23}, \theta_{13}$
 - 1 phase



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General description of the 3-v case

- Described by a 3 x 3 matrix (Maki-Nakagawa-Sakata-Matrix MNS):
 - 3 angels and one CP violating phase
- analogous to the CKM matrix in the quark case



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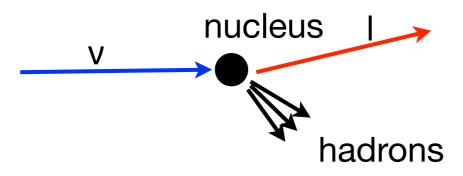
Detectors for Highly Energetic Neutrinos

- Small cross section of neutrinos: Large detector masses!
- Rare neutrino events: Good shielding from background processes:
 - Suppression of natural radioactivity: high purity
 - Shielding from cosmic muons
- Example: Kamiokande, Super-Kamiokande (Kamioka Nucleon Decay Experiment)
 - Search for proton decay with 3000 t of highly pure water (since 1983)
 - cosmic, atmospheric and solar neutrinos (since 1985)
 - 1987: 11 neutrinos from SN1987A observed
 - Upgrade to Super-K completed in 1996
 - 50 000 t highly pure water, 32 000 t active, 18 000 t as veto
 - 11 200 PMTs (50 cm diameter)



Super-Kamiokande Measurement Principle

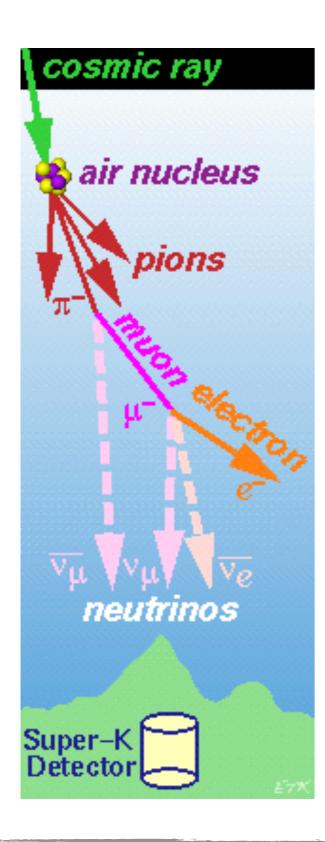
Neutrinos produce their corresponding leptons via charged current interaction



- High energy threshold for τ production due to high mass (1.777 GeV), thus only detection of electrons and muons
- Production of Cherenkov light of charged leptons in water (index of refraction 1.33)
 - Detection of Cherenkov light:
 - Light distribution enables particle identification (μ or e)
 - Amount of light enables measurement of track length, with that also energy and direction determination of the original neutrino



Atmospheric Neutrinos



 Atmospheric neutrinos are produced in air showers via pion / kaon decay and via muon decay:

$$\pi^-, K^- \rightarrow \mu^- + \bar{\nu}_\mu$$

$$\mu^- \rightarrow e^- + \nu_\mu + \bar{\nu}_e$$

Muon life time:

- $c\tau_{\mu} \approx 660 \,\mathrm{m}$
- The measurement (no charge identification possible):

$$\frac{\mu}{e} \equiv \frac{\nu_{\mu} + \bar{\nu}_{\mu}}{\nu_{e} + \bar{\nu}_{e}}$$

• If all muons decay (for low energies):

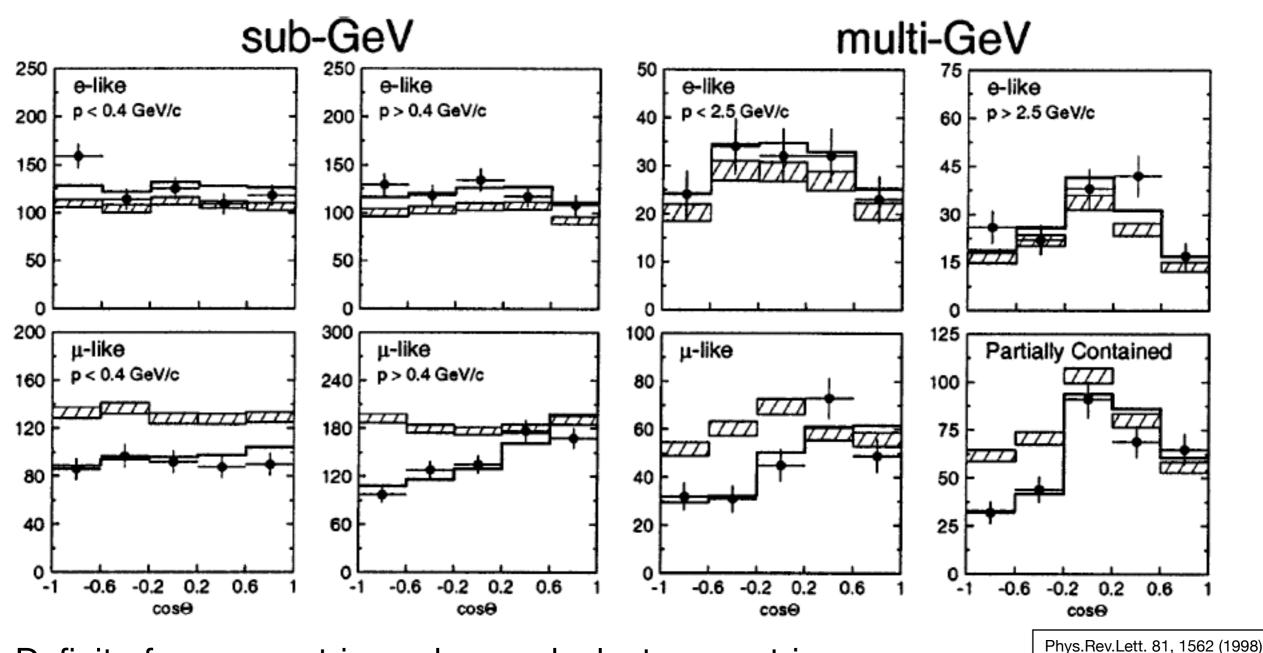
 μ

$$\frac{\mu}{e} \approx 2$$
• For high energies: $\frac{\mu}{e} > 2$



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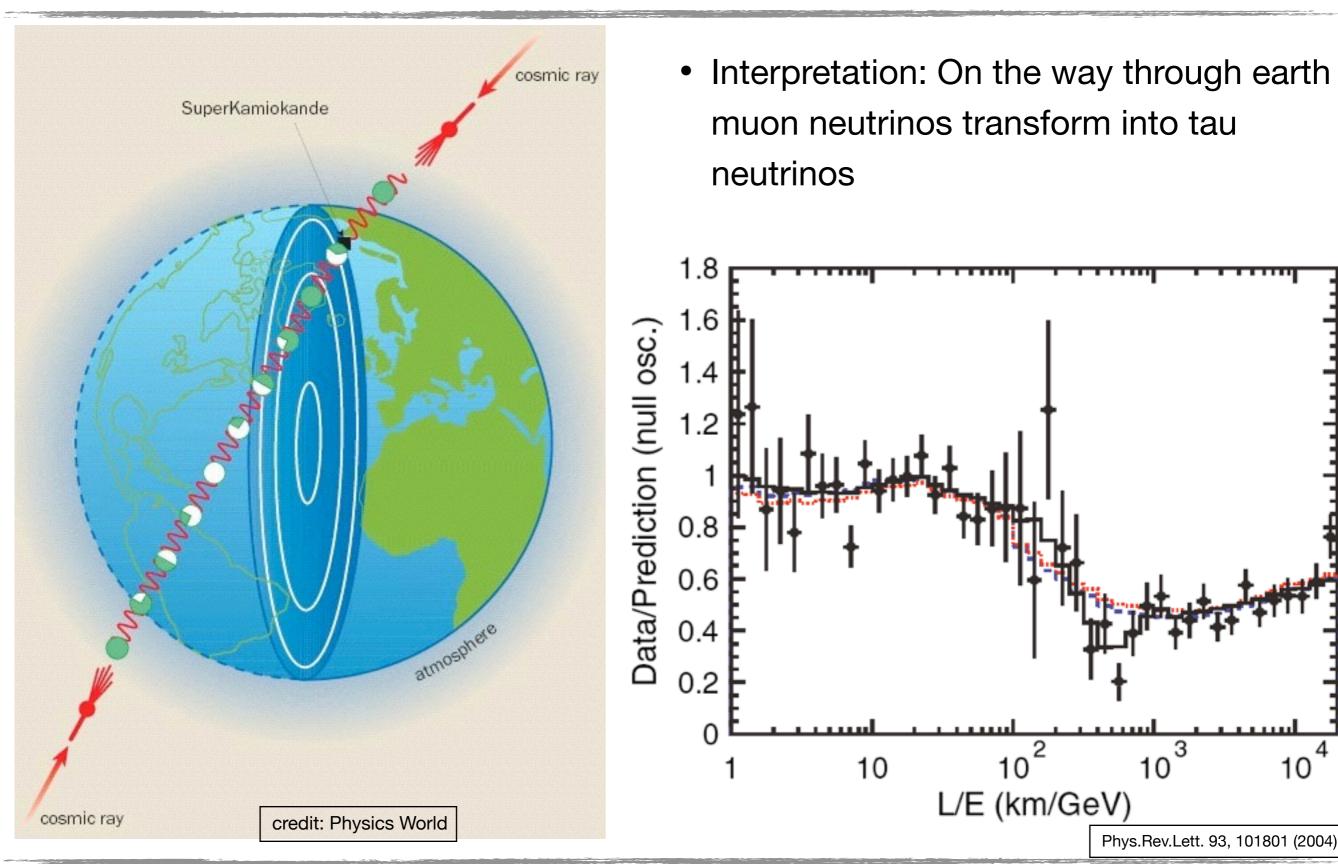
Oscillation of Atmospheric Neutrinos



- Deficit of muon neutrinos observed, electron neutrinos match expectations
- Dependence of discrepancy with zenith angle

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Oscillation of Atmospheric Neutrinos

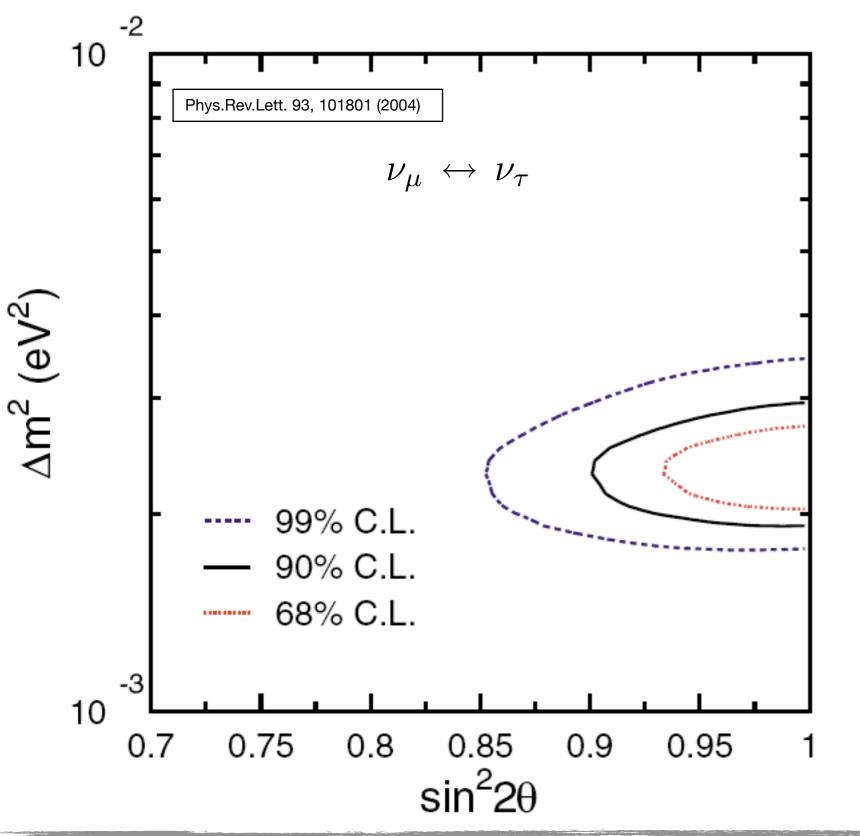


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10³

10

Oscillation of Atmospheric Neutrinos: Result



 Best value for oscillation parameters

$$\Delta m^2 = 2.4 \times 10^{-3} \text{eV}^2$$
$$\sin^2 2\theta = 1.0$$

- Maximum mixing
- oscillation length
 - ~ 1000 km E_v/GeV



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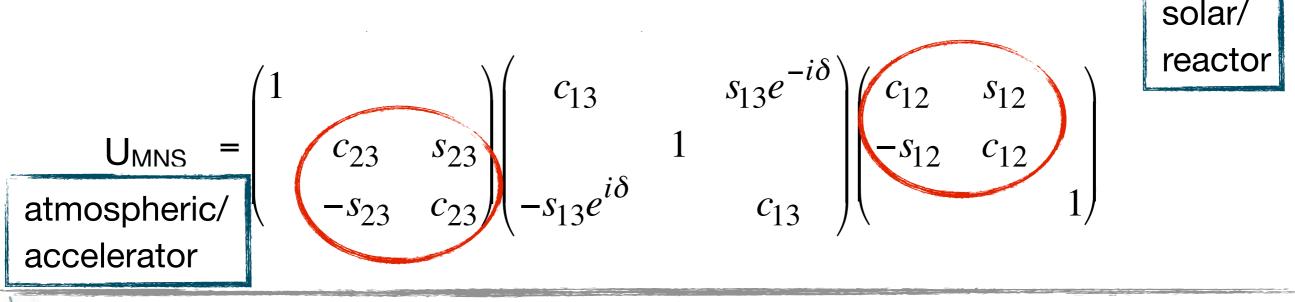
Neutrino Oscillations - Status

- Two distinct types of oscillations (with quite different mass splittings) have been observed:
 - Atmospheric disappearance of $v_{\mu},\,\Delta m^2$ ~ 2.4 x 10^-3 eV^2
 - Solar (next week in detail) disappearance of v_e , $\Delta m^2 \sim 7.6 \times 10^{-5} \text{ eV}^2$
- Choice of convention: small splitting between v_1 and v_2 , big between v_1/v_2 and v_3
- The data tell us: mixing between v_1 and v_3 is small
 - In solar oscillations, we observe v₁ → v₂ oscillations, v₁ has to have a big v_e component
 - In atmospheric oscillations, we observe v₂ → v₃, with maximal mixing: v₃ is (almost) a 50-50 mixture of v_τ and v_µ



Neutrino Oscillations - Status

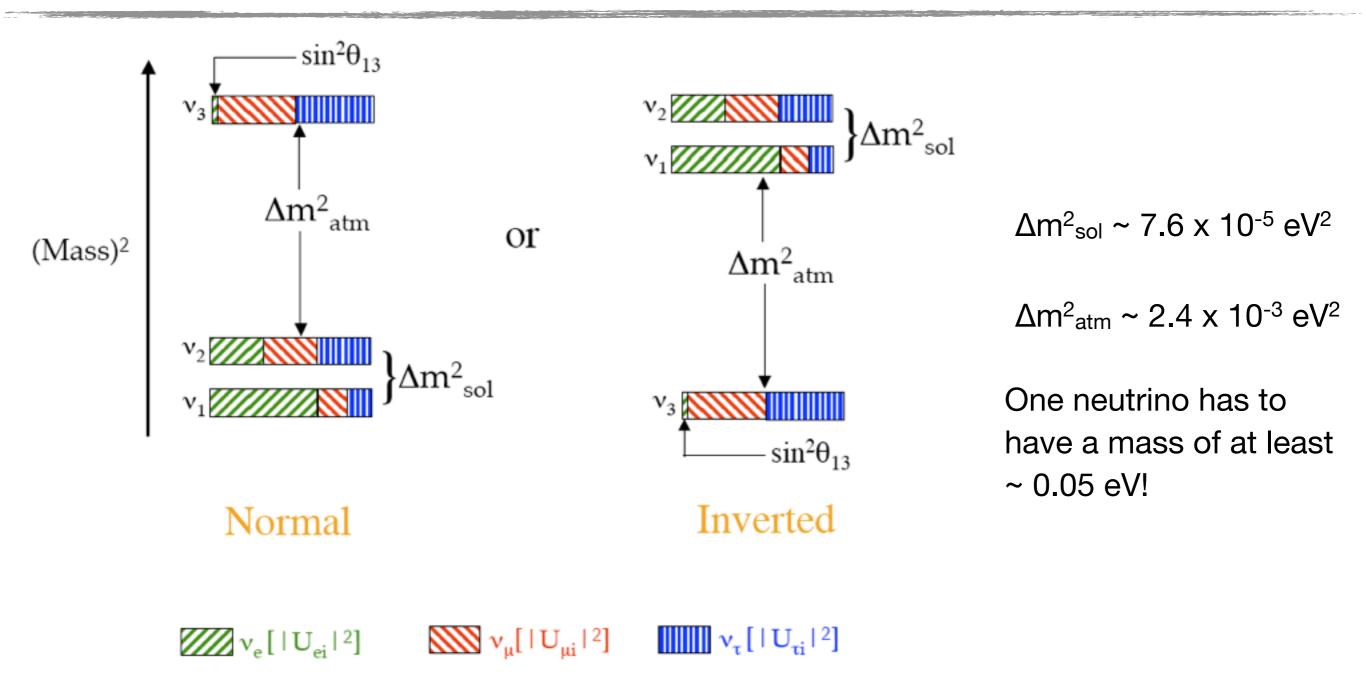
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Neutrino-Oscillations: The Resulting Picture



• Absolute masses and hierarchy not known yet! Two possible arrangements...



Neutrinos at Accelerators

- Neutrino production:
 - Analogous to air showers: hadronic showers on impact of highly energetic protons on production target
 - Production of pions, kaons that decay in a decay tunnel:

$$\pi^-, K^- \rightarrow \mu^- + \bar{\nu}_\mu$$

- Tunnel not long enough for substantial decay of muons: Essentially pure v_{μ} beam
- There have been many different experiments with accelerator neutrinos
 - Study of the weak interaction
 - Measurement of the quark composition of nuclei
 - Discovery of the v_{τ}
 - Confirmation of atmospheric measurements
 - Evidence for non-zero θ_{13}

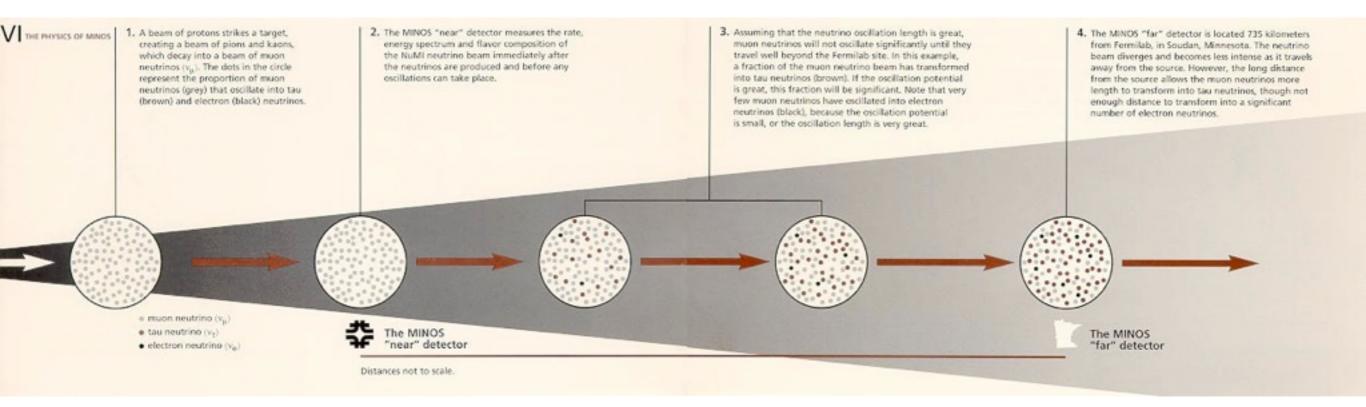




Long Baseline Experiments

- Neutrino beam produced with accelerator
- Reference measurement with a "Near Detector"
- Detection of neutrinos in a "Far Detector"
- Choice of distance and energy depends the region of the mixing matrix that can be probed

The composition of the beam changes from source to detector From a pure v_{μ} beam to a mixture of v_{μ} , v_{τ} and a few v_{e} ($\theta_{13} \neq 0$)

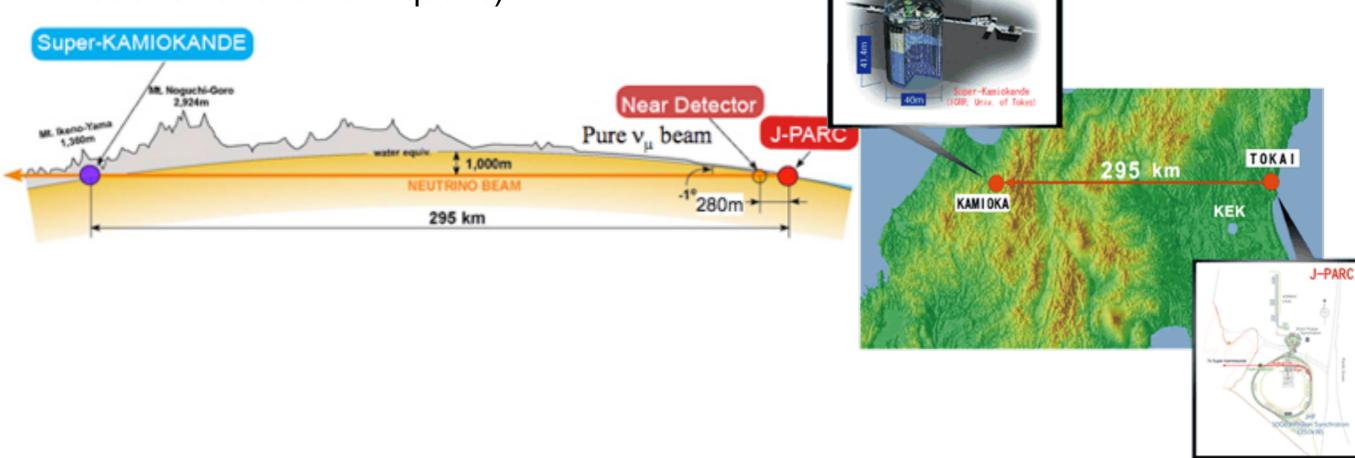




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T2K: Neutrino Beam to SuperK

- Goal: precise measurement of atmosph. oscillation, θ_{13} , possible CP violation
- Runs since 2010 (with 1 year down time due to Tohoku Earthquake)

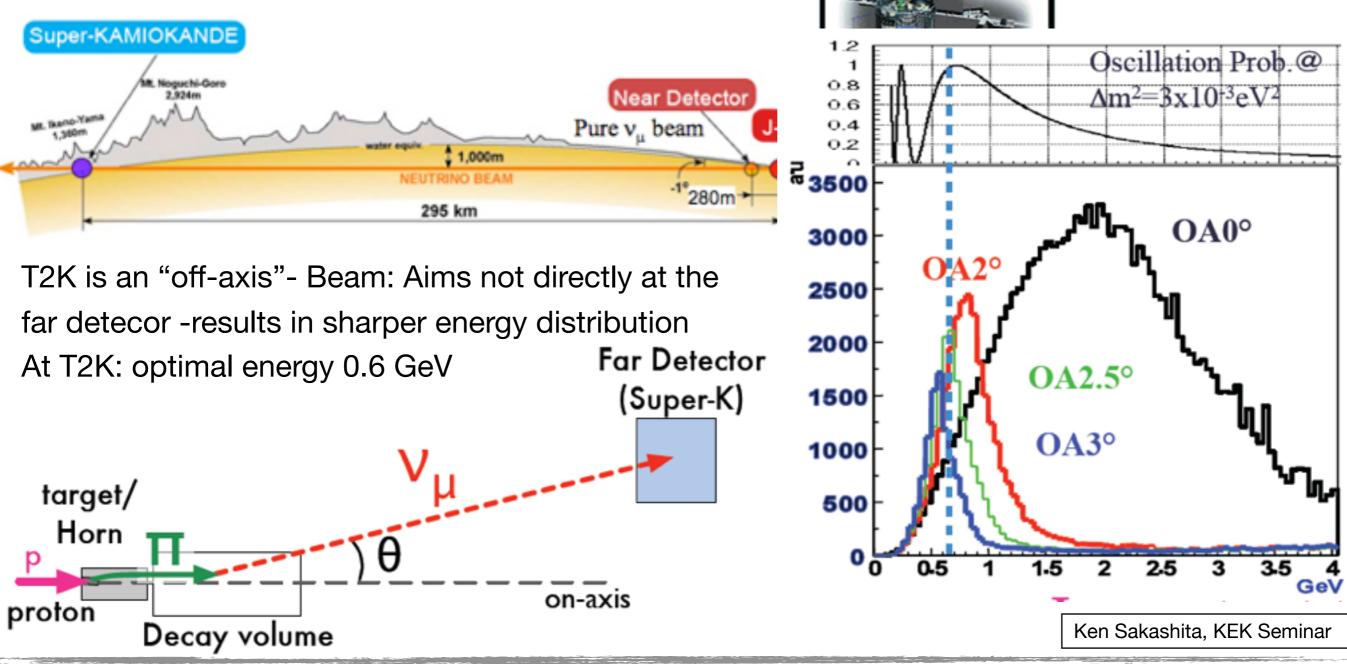




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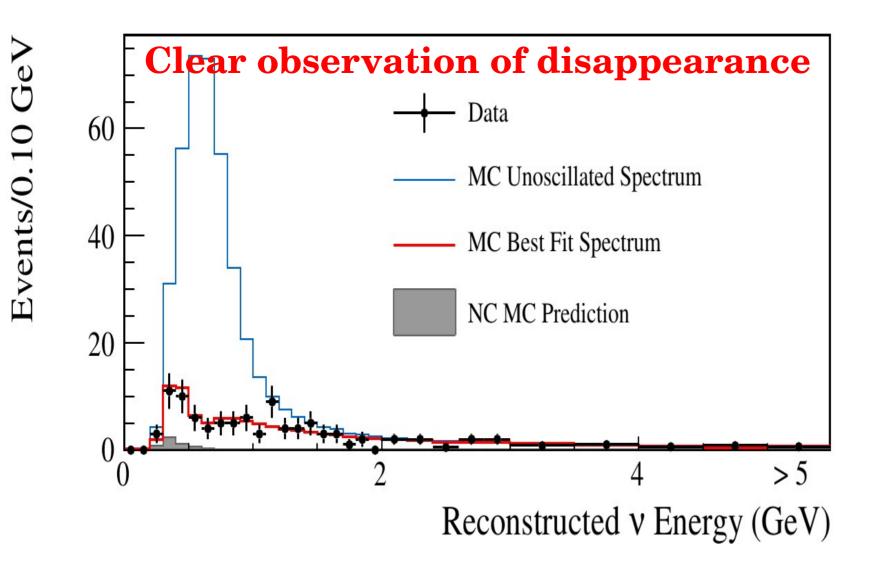
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T2K - The Choice of the Right Baseline

Almost complete disappearance of v_μ:



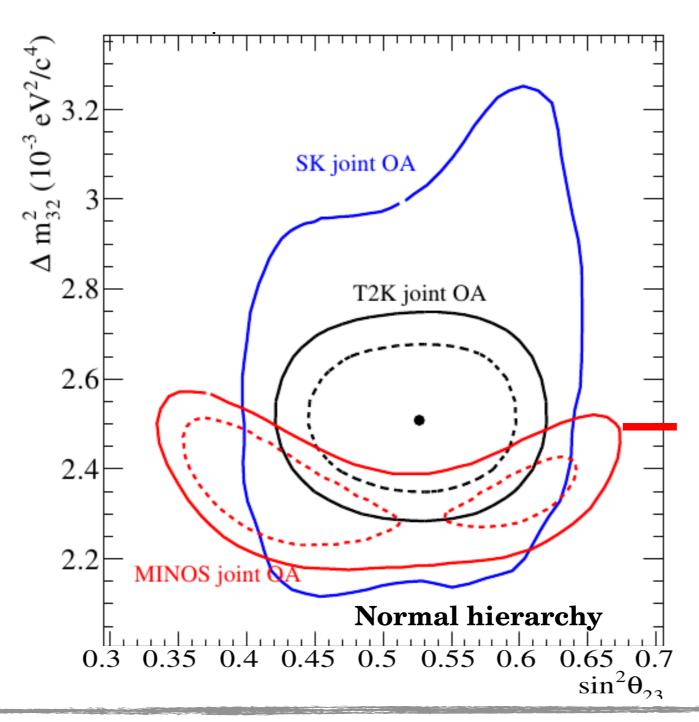
Also optimal for a measurement of θ_{13} !



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Atmospheric & Accelerators: The Global Picture

 Super-K atmospheric compared to accelerator long baseline: all fits together, accelerators give the most precise results by now





Teilchenphysik mit kosmischen und erdgebundenen Beschleunigern: SS 2016, 12: Neutrinos I

CNGS / OPERA - Confirmation

 One of the goals: Direct observation of oscillations of v_µ to v_τ in a v_µ Long Baseline Beam (CERN → Gran Sasso)

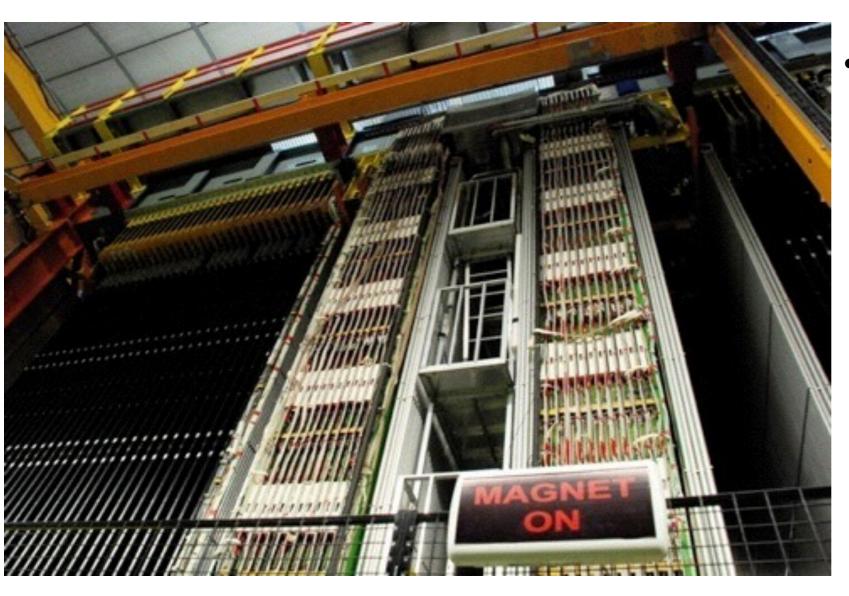


- Magnetic spectrometer for track and energy reconstruction, in between blocks of photo emulsion for precise reconstruction of tracks at the interaction vertex
 - If an interesting event is observed in the spectrometer, the corresponding block is extracted and examined



CNGS / OPERA - Confirmation

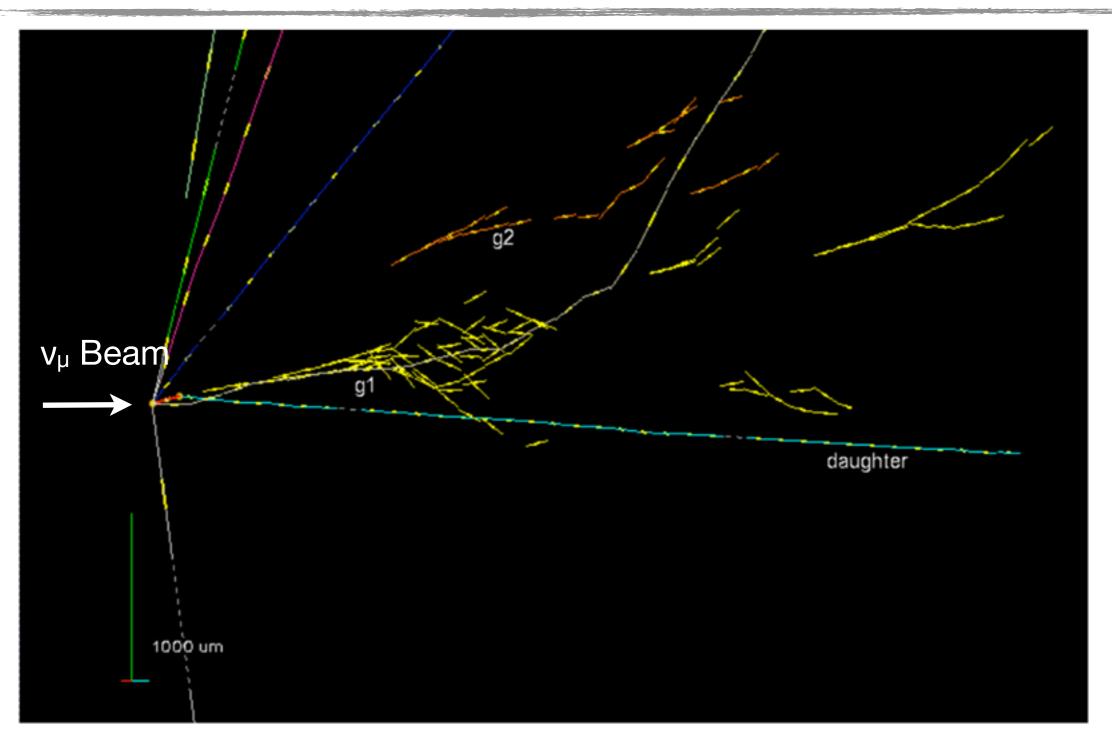
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OPERA: First v_T Candidate

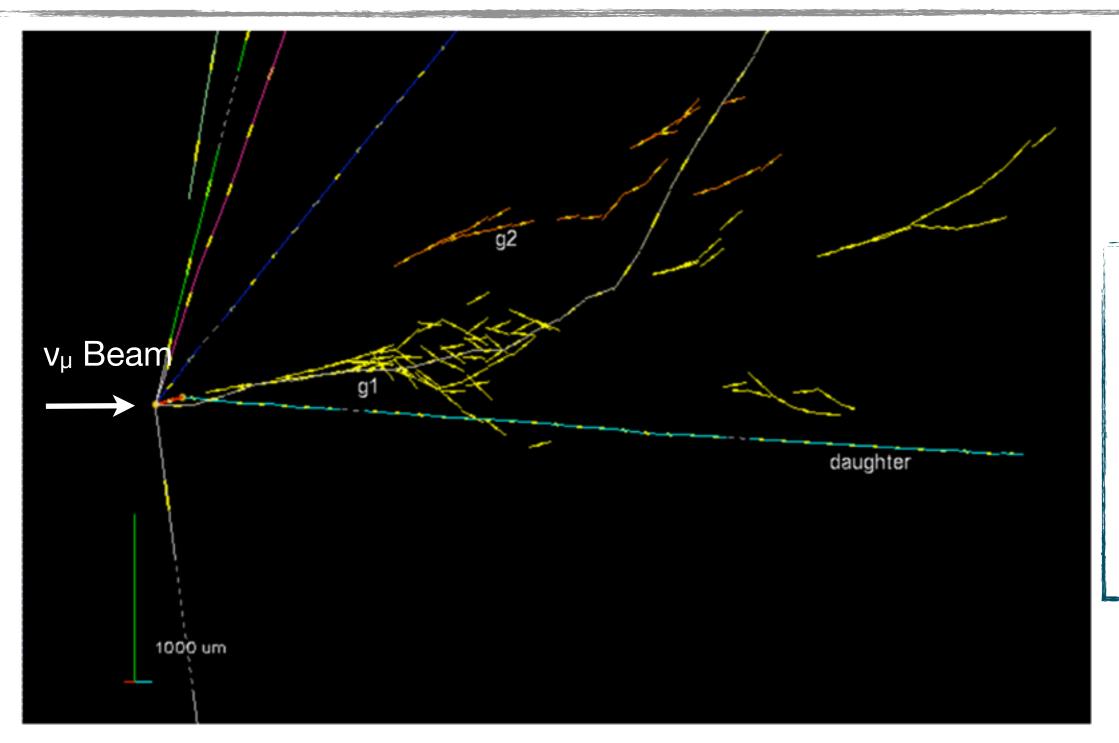


 v_{τ} produces τ , fast decay into μ and vs \Rightarrow Proof, that the atmospheric oscillation is $v_{\mu} \rightarrow v_{\tau}$

OPERA Press Release, 31.05.2010



OPERA: First v_{τ} Candidate



In total 4 additional v_τ have been observed -"5 -sigma discovery": matches expectations

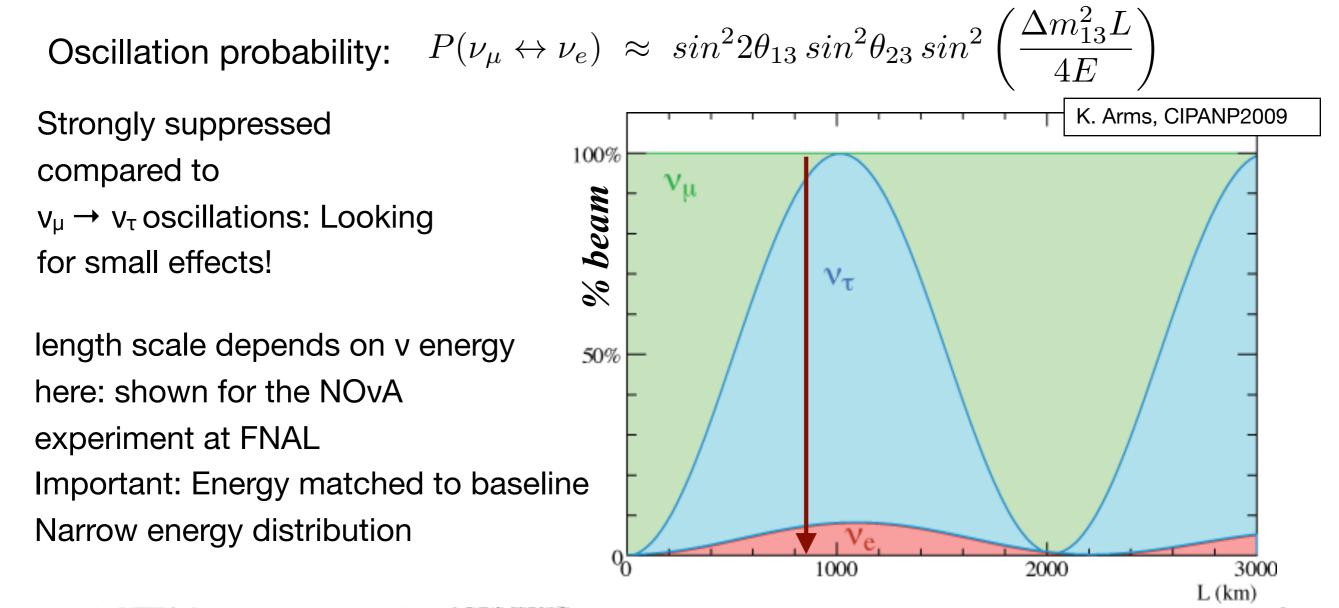
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OPERA Press Release, 31.05.2010



Measuring θ₁₃ at Accelerators

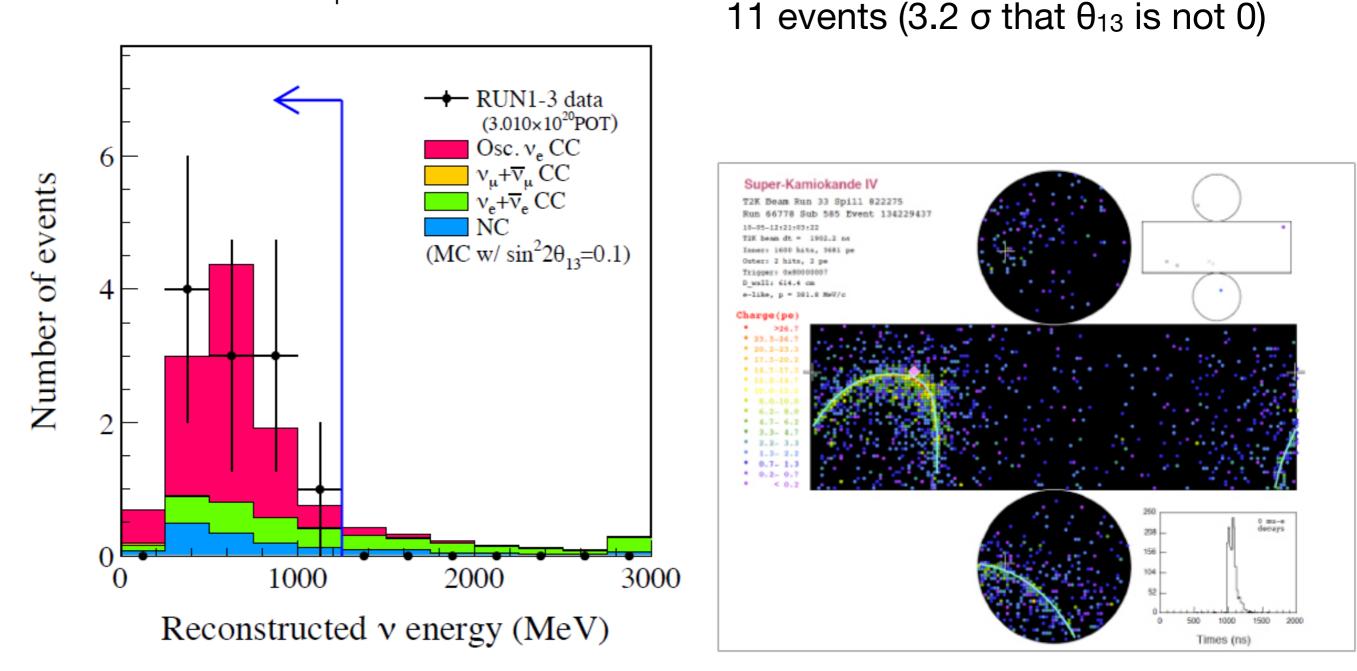
- θ_{13} describes $v_1 \rightarrow v_3$ oscillations: Squared mass differences (almost) as in the atmospheric case, but transitions involving v_e (large v_e component in v_1 !)
 - With a v_{μ} beam, θ_{13} is accessible through the subdominant oscillation from v_{μ} to v_e (the dominant oscillation is v_{μ} to v_{τ})





T2K - Oscillation Results

• Observation of $v_{\mu} \rightarrow v_{e}$ oscillations :

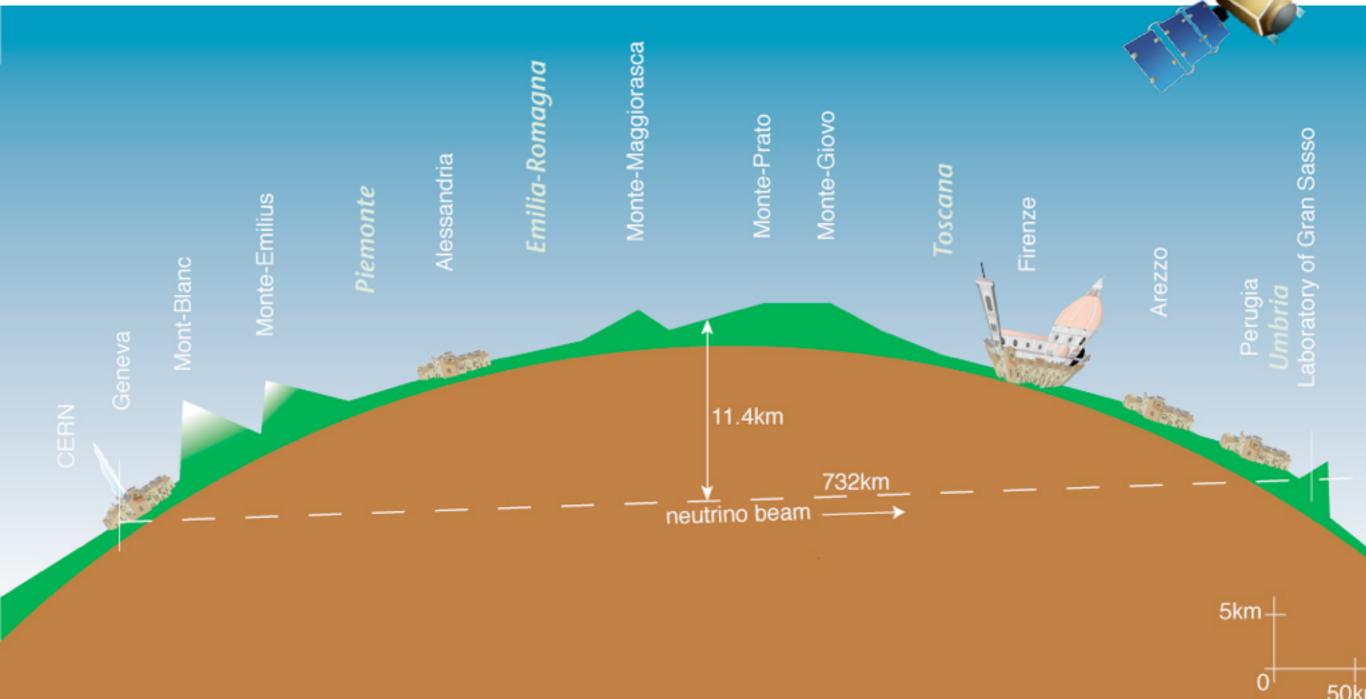


Best results currently from reactors - more next week



Neutrino Speed

 Measurement of the neutrino flight time - Synchronisation of clocks at CERN and Opera via GPS

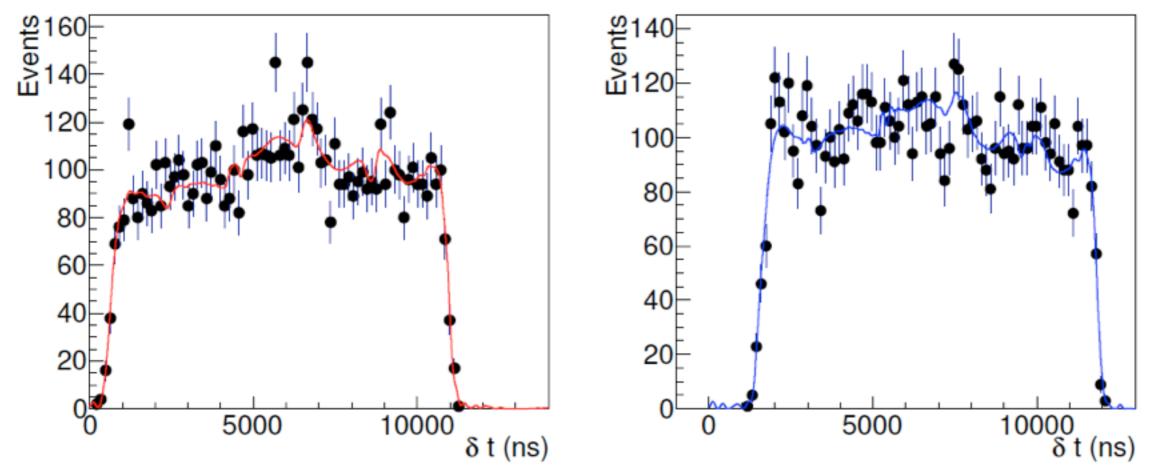




First Attempt - Spectacular Result

 September 2011: Opera observes, that the neutrinos are 60 ns too fast (with an uncertainties of 10 ns).

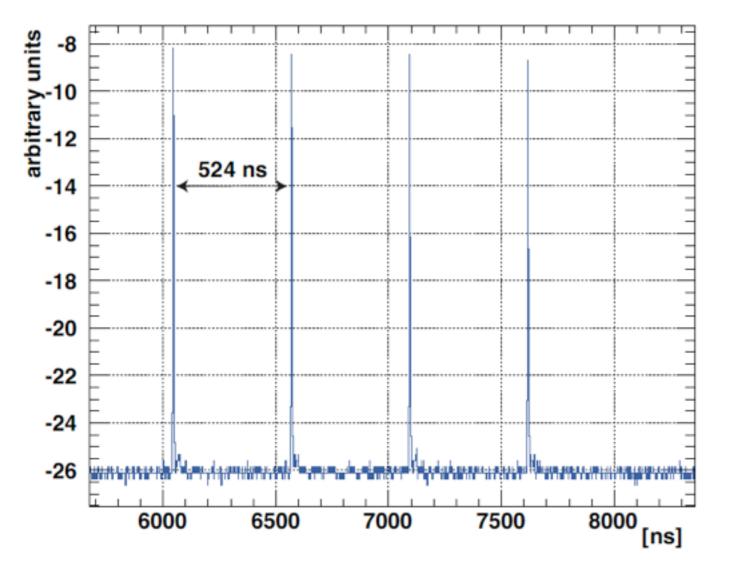
Technique: "edges" of the neutrino distribution in Opera, relative to the proton pulse -at CERN - statistical method, possible uncertainties from beam focusing (time structure of the neutrino pulse)





The Confirmation

 New measurements with pulsed beam, beam pulses 3 ns FWHM - direct measurement of flight time!



Confirms original results: beam structure as cause excluded

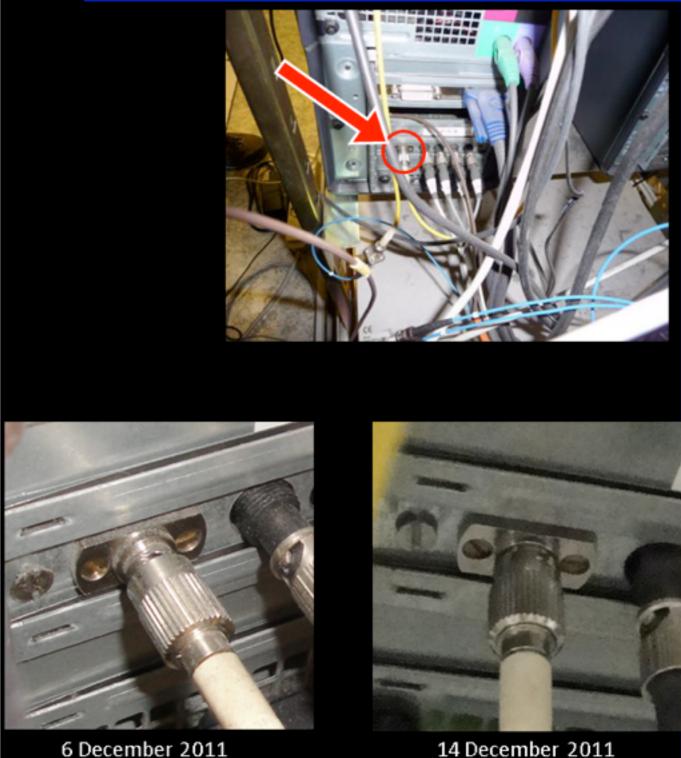
Uncertainty now only 4 ns (for a "signal" of 60 ns)

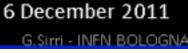
... but N.B.: There are corrections of 40 μs for signal running times in the electronics!



The Resolution

 As most had expected - It was a measurement error: An optical fiber of the timing system was not correctly plugged in - Resulted in a slower signal rise on the corresponding photo diode, the clock is a bit later due to later passing of threshold, voila...



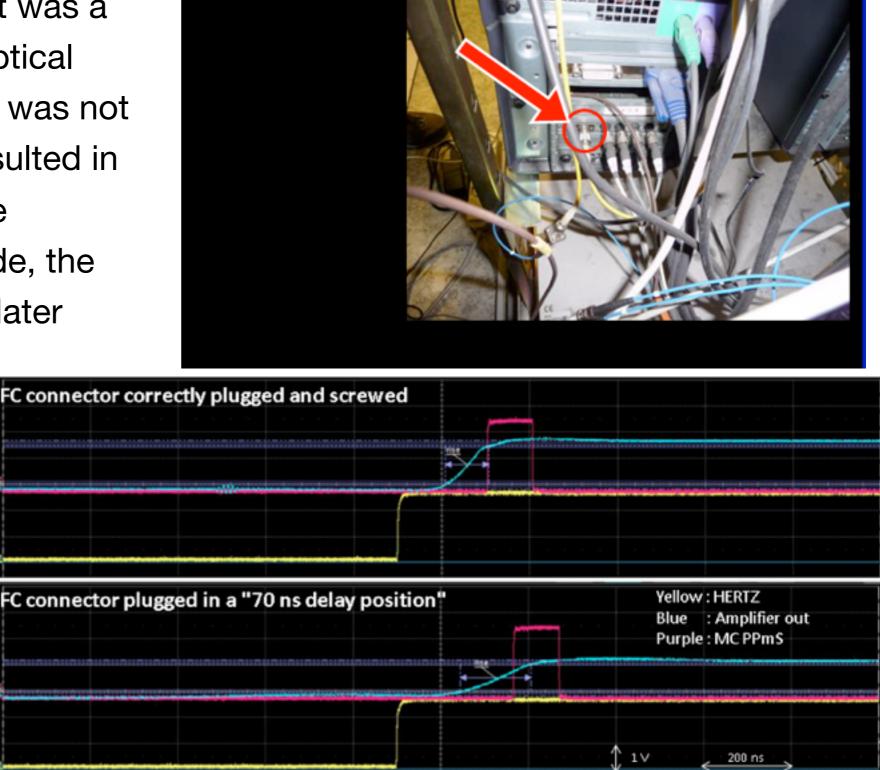




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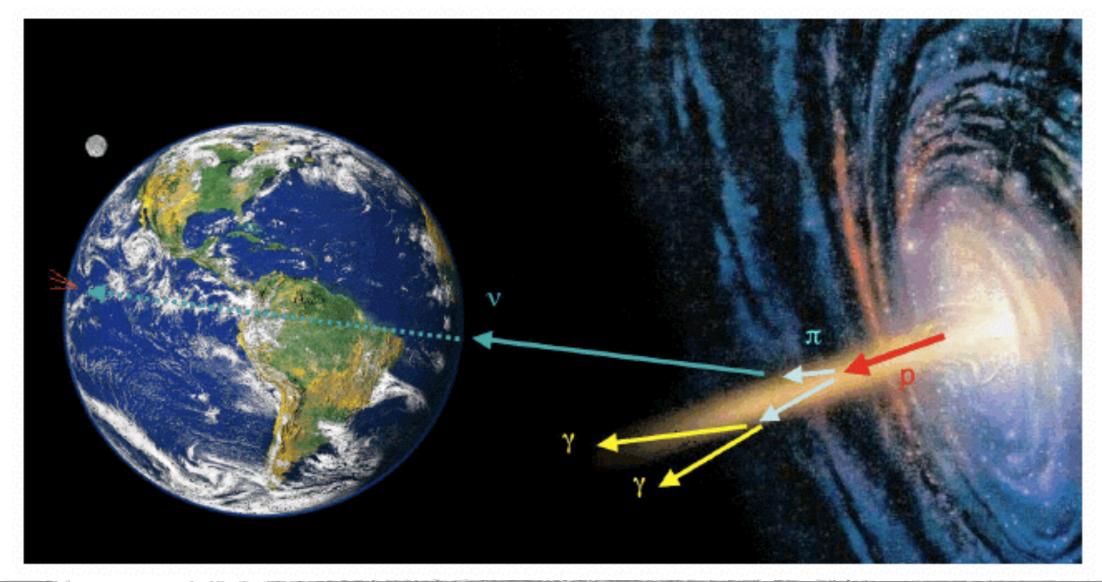
Now: The time of flight is bang on, within a few ns!



A+ Dy>it

Cosmic Neutrinos

- Few events:
 - Huge detectors required
 - Very good shielding: The full earth
 - does not work for the highest energies: neutrino cross section rises with energy, above ~100 TeV neutrinos are absorbed by earth





Supernova Neutrinos

Neutrinos from the core collapse of a star - Production of all neutrino flavors
 Formation of a neutron star:

$$A + e^- \to A' + \nu_e$$

Thermal production of electron - positron pairs in the accretion disc, followed by neutrino production (all flavors)

$$\gamma + \gamma \rightarrow e^+ + e^ e^+ + e^- \rightarrow \nu + \bar{\nu}$$

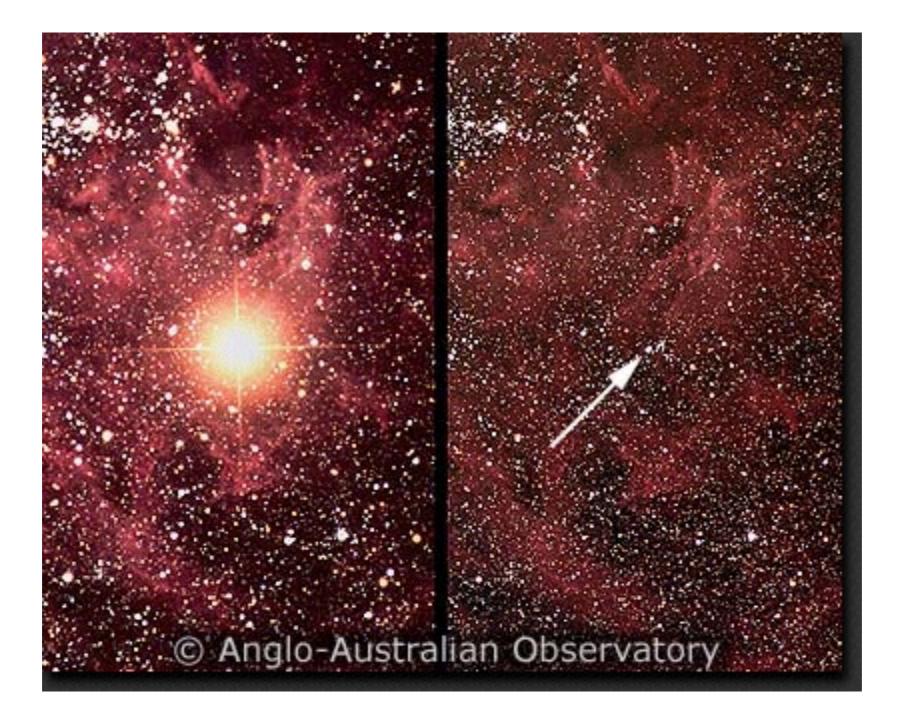
Neutrinos are initially the first particles that can leave the explosion zone, all others are absorbed in the extremely dense, collapsing material: The neutrino signal reaches Earth before the optical signal!

A large fraction of the gravitational energy of the star is emitted in the form of neutrinos, the typical energies are in the few 10 MeV range



Supernova SN1987a

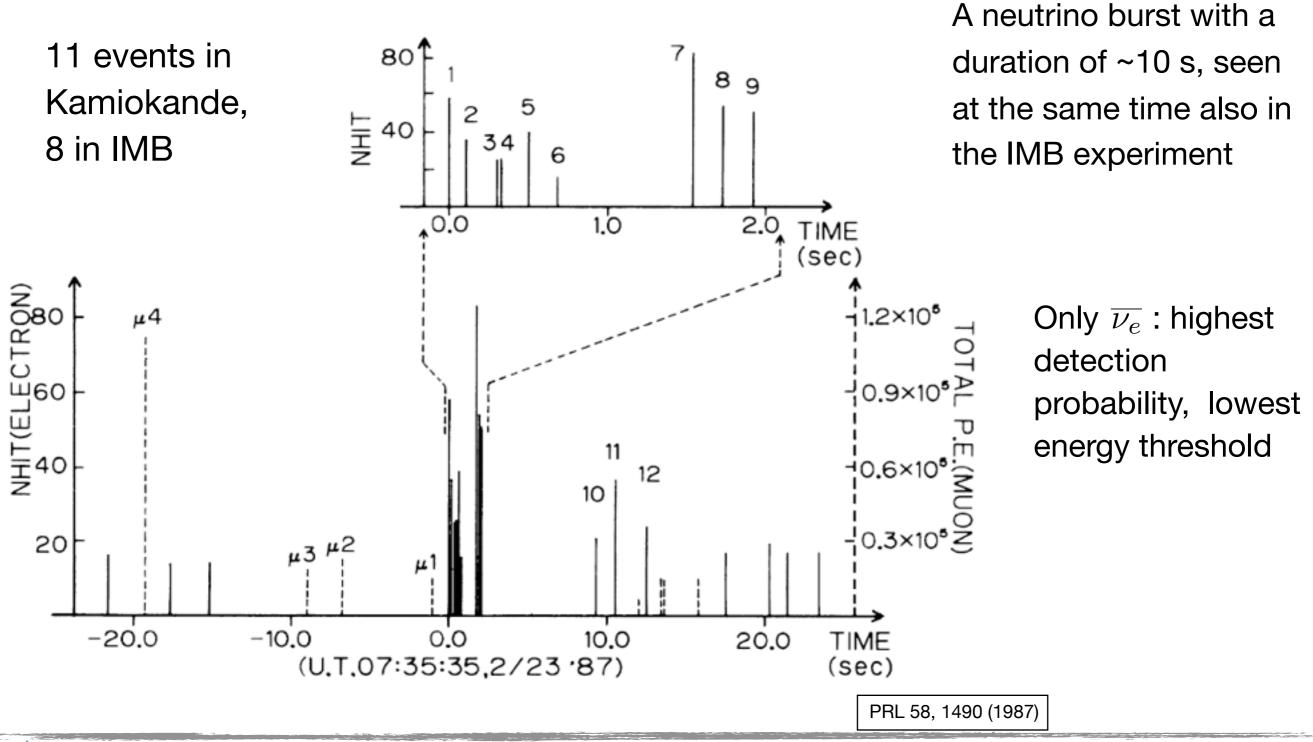
• Supernova explosion 1987 in the Large Magelanic Cloud





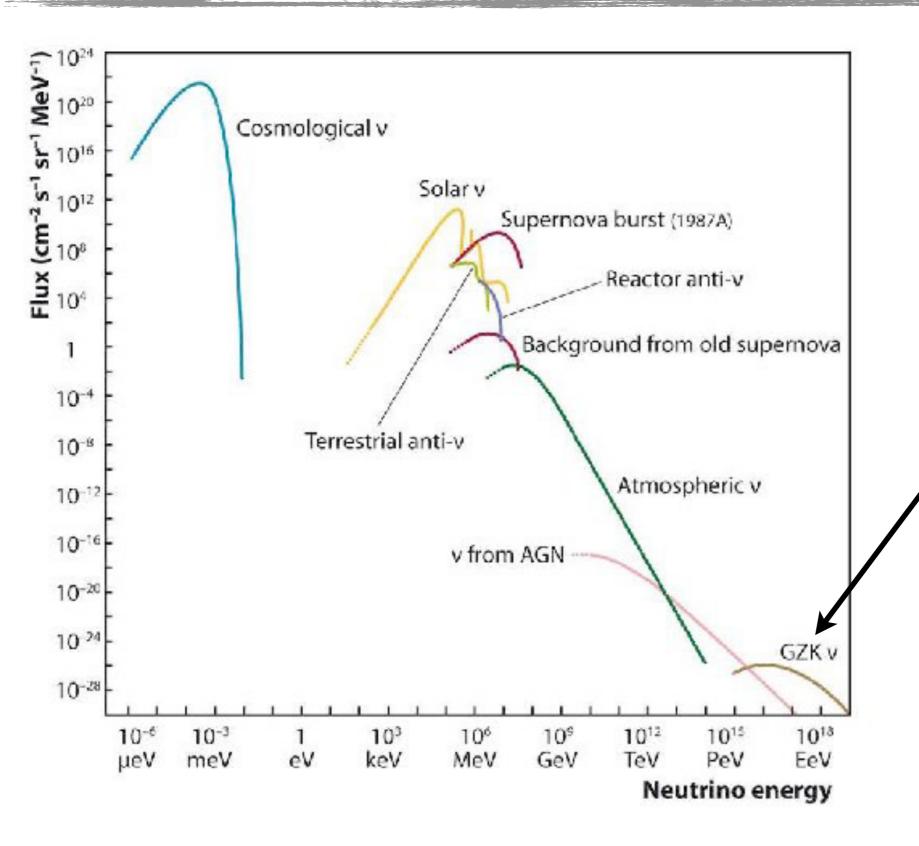
Kamiokande Signal

A confirmed extraterrestrial signal





Cosmic Neutrinos: Expectations



cosmogenic neutrinos: Produced in decays of pions from GZK events: Could give hints on sources and production mechanisms of highestenergy cosmic rays

in principle a "guaranteed discovery" with enough sensitivity

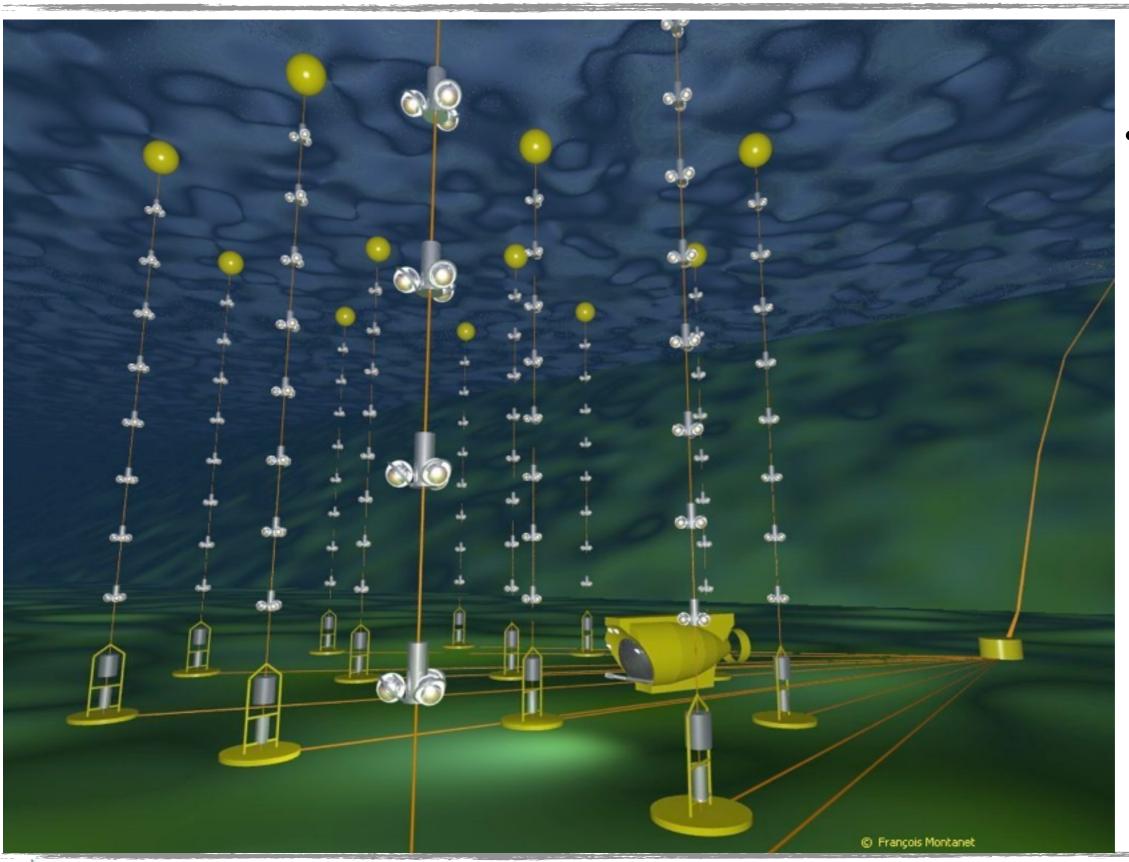


Detectors for Neutrino Astronomy

- Different detection techniques, depend on energy and sensitivity
- Energies in the TeV PeV range:
 - Cherenkov detectors: large signal, relatively low energy threshold, requires a high sensor density due to light absorption
 - Amanda/IceCube: Antarctic ice as Cherenkov medium
 - Antares/Baikal/KM3NeT: Tiefes Meer/See Wasser als Cherenkov-Medium
- Energies above 10¹⁷ 10¹⁹ eV:
 - Optical detection of neutrino-induced air showers: Auger, EUSO, ...
 - Acoustic detection of neutrino-induced showers in water, ice, salt:
 - Sound waves through heating of the material
 - Cherenkov radio waves from electromagnetic showers induced by v_{e}
 - high range, sufficient signal for extreme energies
 - First tests with RICE in Antarctic ice, now preparing ARIANNA for higher sensitivity



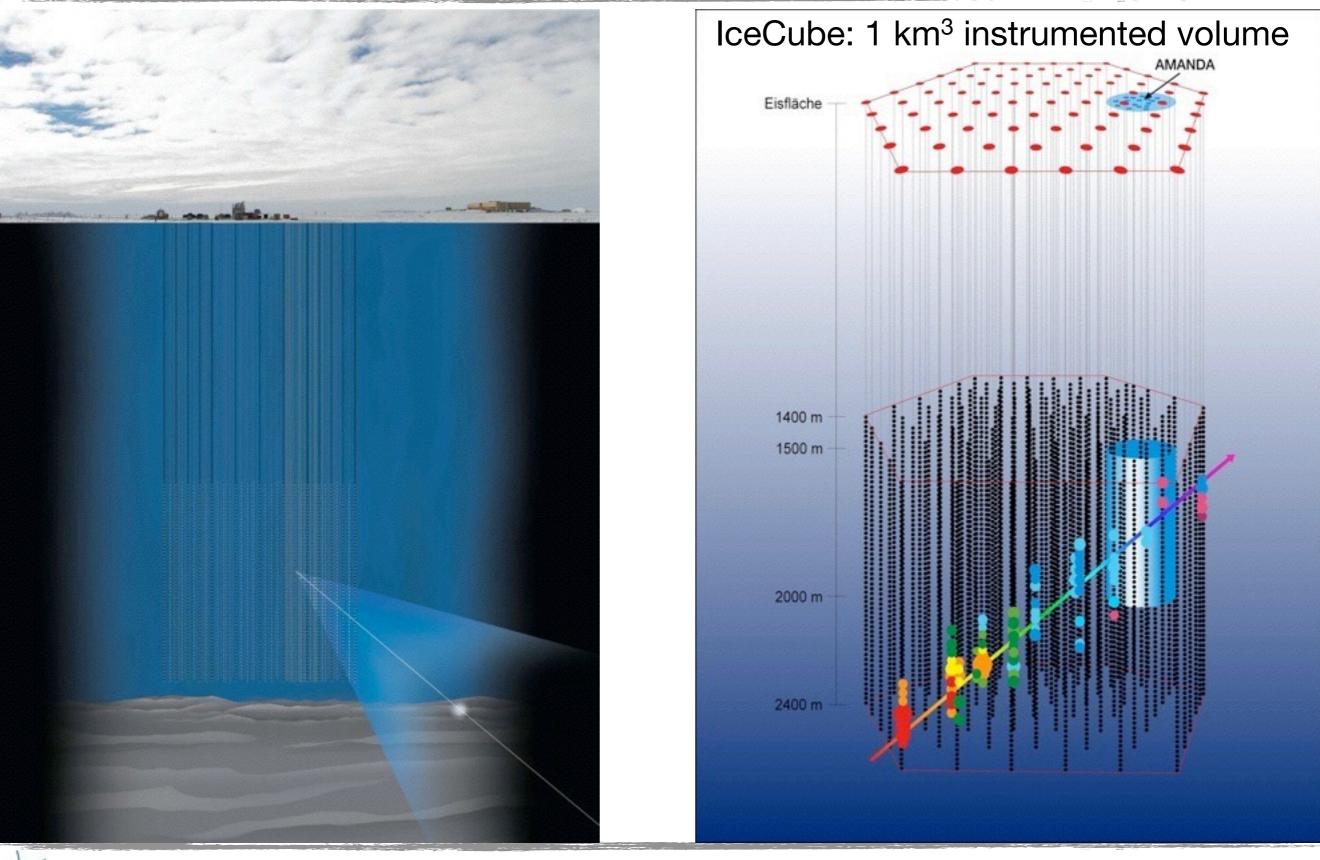
Antares



• 2.5 km deep off the southern coast of France (Toulon, between Marseille and Saint Tropez)



Amanda/IceCube



Ta+ Dy>tt

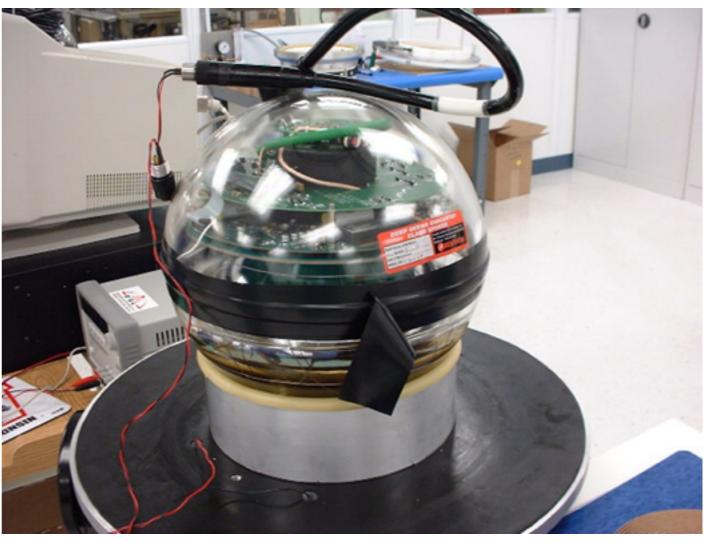
Amanda/IceCube: Neutrinos at the South Pole





Amanda/IceCube: Neutrinos at the South Pole

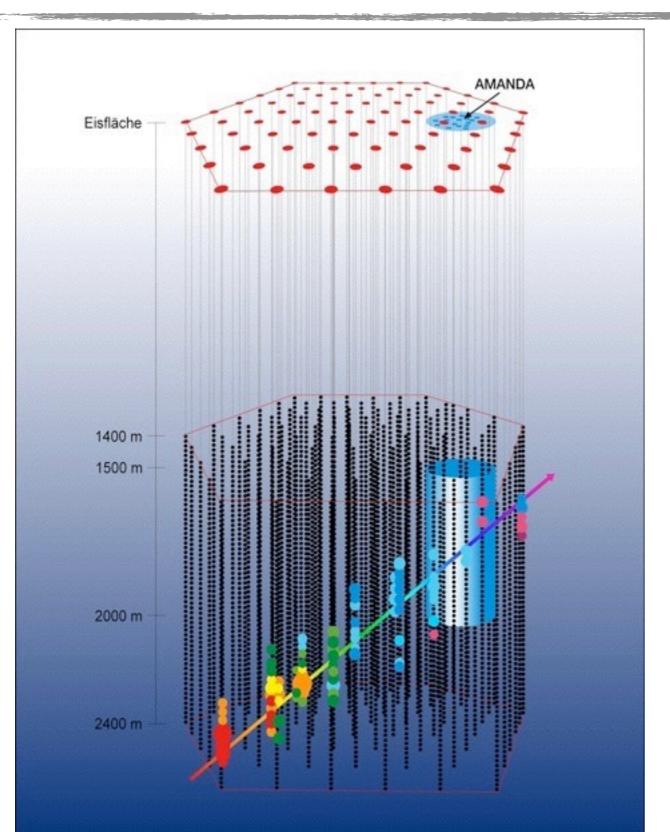




- Detectors for Cherenkov light: DOM (Digital-Optical Module)
- Total: 80 strings with 60 DOMs each



IceCube Event

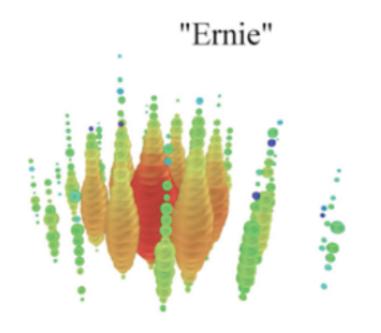


 Arrival time of light at individual detectors allows the determination of the muon direction and with that the direction of the neutrino



Highest Energies - First Observation 2012

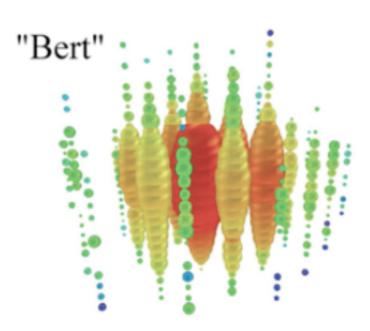
IceCube has observed two events:



1.14±0.17 PeV



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1.04±0.16 PeV

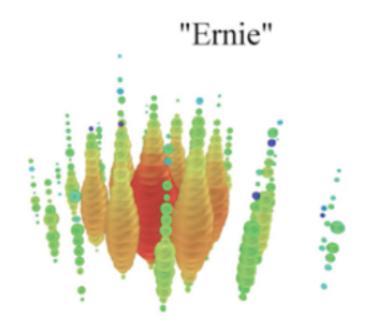
(visible energy in the detector, neutrino energy higher)

- Both events are "down-going" (as expected)
- Requires specialized event selection to exclude atmospheric neutrinos



Highest Energies - First Observation 2012

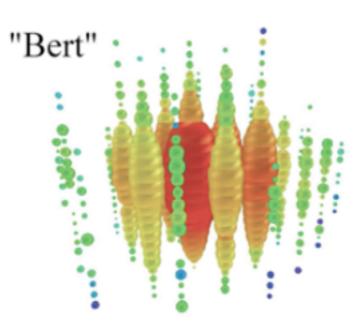
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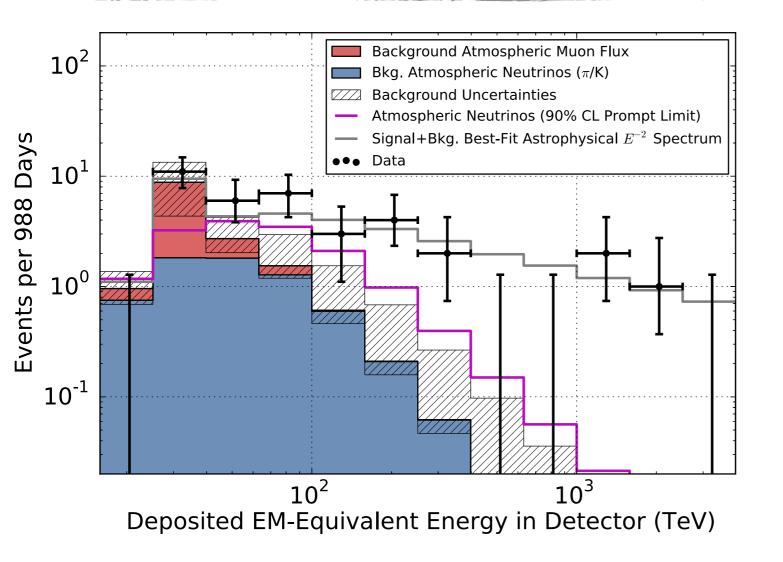
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Now even an event at 2 PeV, in total 37 events > 30 TeV



Neutrinos at Highest Energies

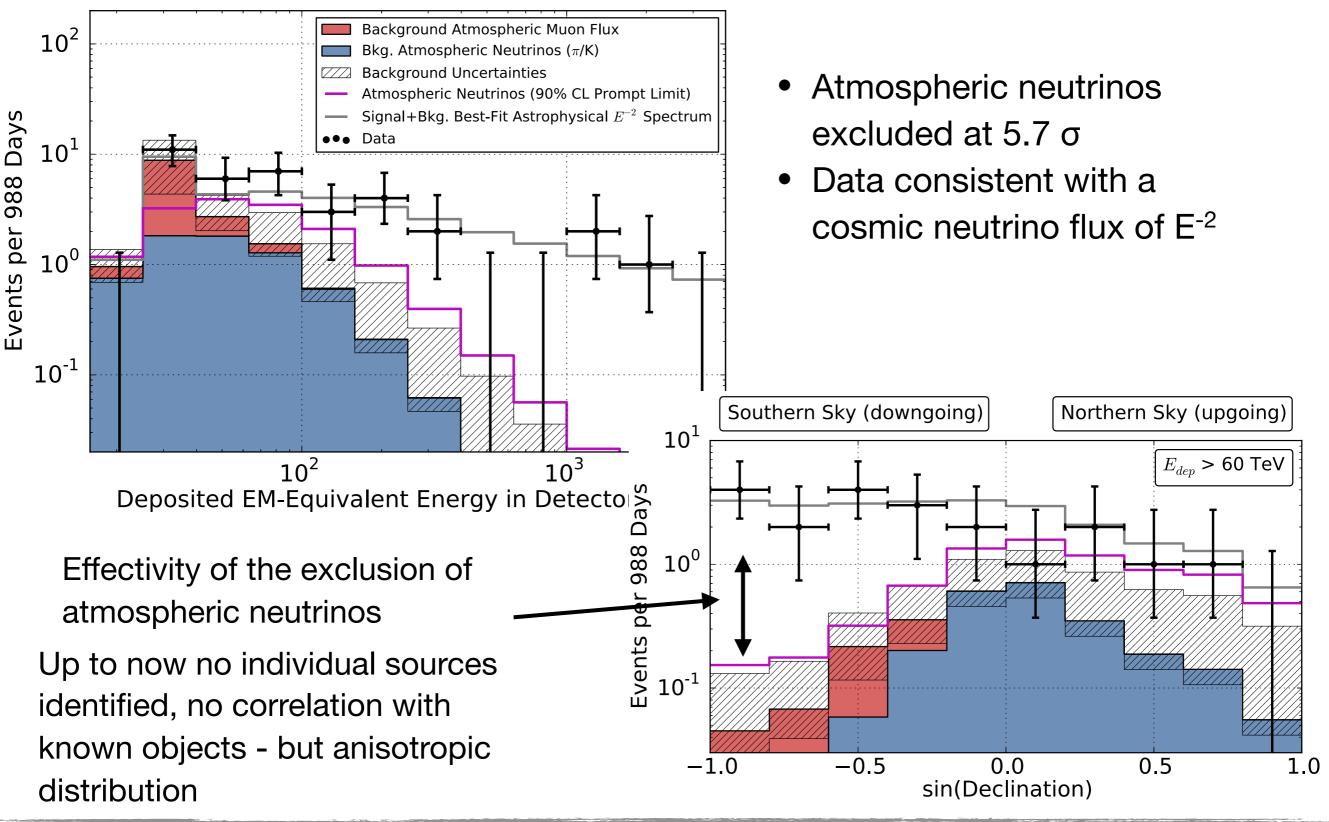


- Atmospheric neutrinos excluded at 5.7 σ
- Data consistent with a cosmic neutrino flux of E⁻²

Up to now no individual sources identified, no correlation with known objects - but anisotropic distribution



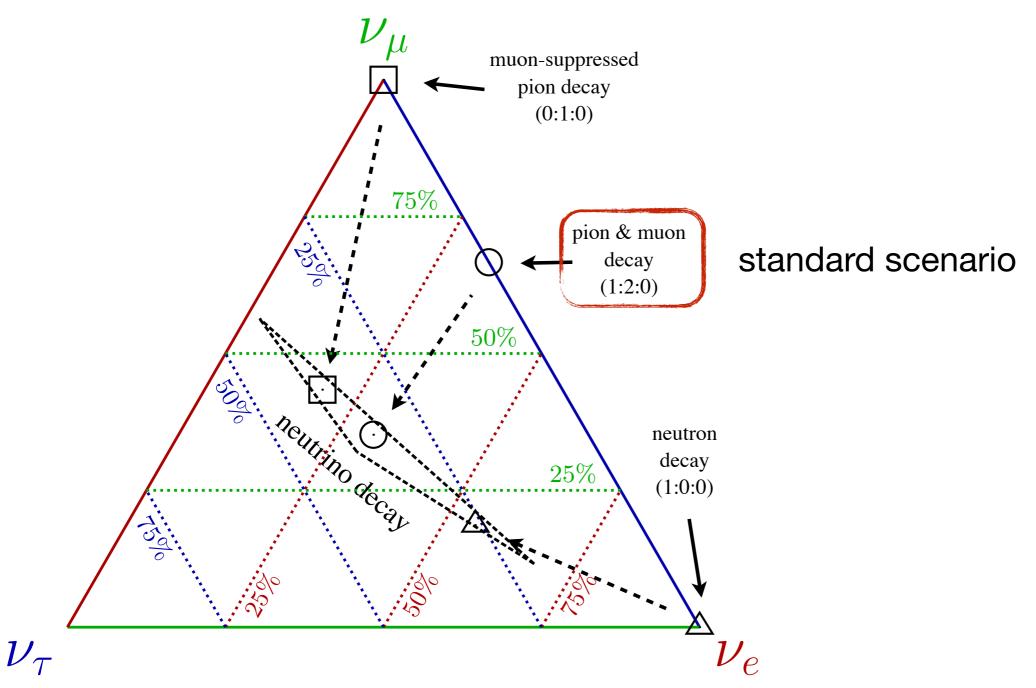
Neutrinos at Highest Energies





Cosmic Neutrino Sources

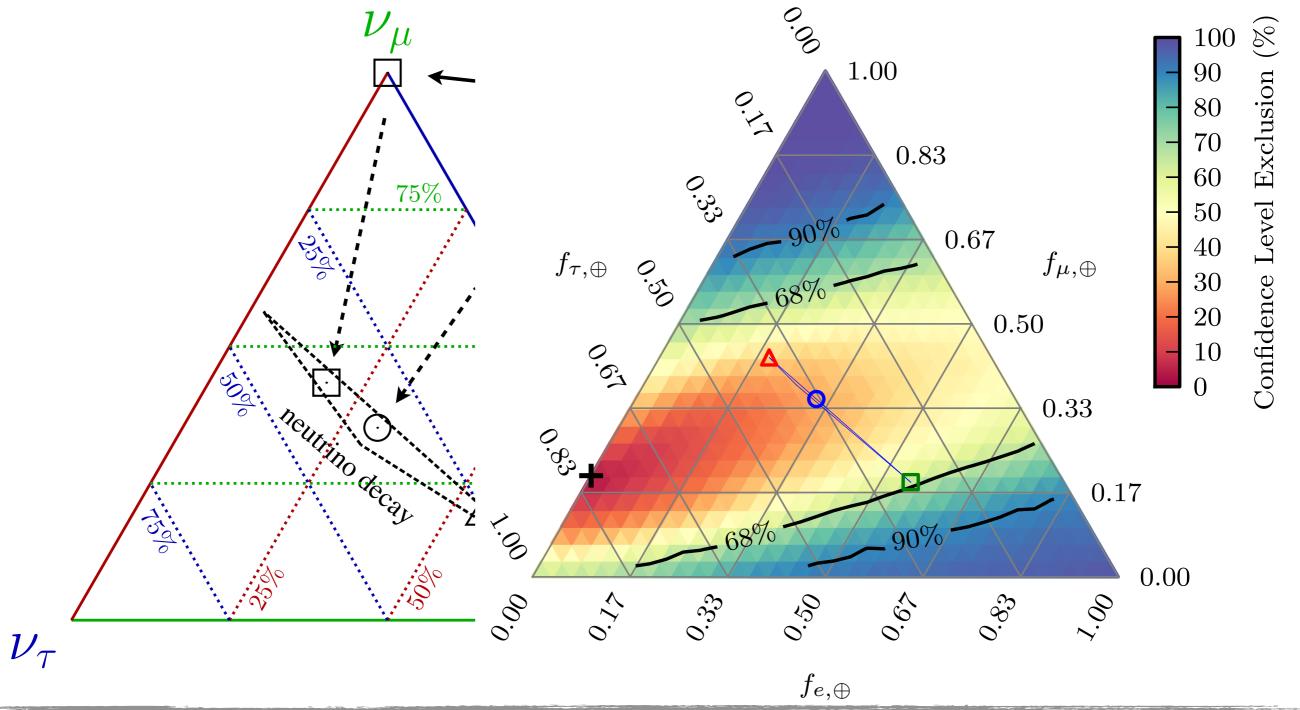
• Standard scenario: pion decay (v_{μ}), then muon decay ($v_{\mu} + v_e$): Source composition (1:2:1) - evolves due to neutrino oscillations





Cosmic Neutrino Sources

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Summary

- Neutrinos are the lightest particles in the Standard Models
- Neutrinos have mass: they oscillate There are (at least) three different mass eigenstates, that are not identical with the flavor eigenstates
- Neutrino oscillations have been observed with atmospheric and solar Neutrinos
- Accelerator experiments have confirmed the atmospheric measurements, reactor experiments have confirmed the solar measurements
- Accelerator measurements of the angle θ_{13} agree with reactor results θ_{13} is surprisingly large: Offers the possibility to search for CP violation with new experiments
- First extraterrestrial signal: SN1987A
- Up to now no sources identified for highly energetic cosmic neutrinos, but first intriguing events have been observed
- Currently a very active field, improvements and new results expected!



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Next (and last) Lecture: 11.07., "Neutrinos II", S. Bethke



Themenübersicht

11.04.	Einführung / Introduction
18.04.	Erdgebundene Beschleuniger / Accelerators
25.04.	Detektoren in der Nicht-Beschleuniger-Physik / Detectors
02.05.	Kosmische Beschleuniger / Cosmic Accelerators
09.05.	Das Standardmodell / The Standard Model
16.05.	Pfingsten - Keine Vorlesung! No Lecture
23.05.	QCD und Jet Physik an Lepton Beschleunigern / QCD and Jets
30.05.	Präzisionsexperimente (g-2) / Precision Experiments
06.06.	Gravitationswellen / Gravitational Waves
13.06.	Kosmische Strahlung I / Cosmic Rays I
20.06.	Kosmische Strahlung II / Cosmic Rays II
27.06.	Dunkle Materie & Dunkle Energie / Dark Matter & Dark Energy
04.07.	Neutrinos I
11.07.	Neutrinos II

