## Conjectures from Quantum Gravity

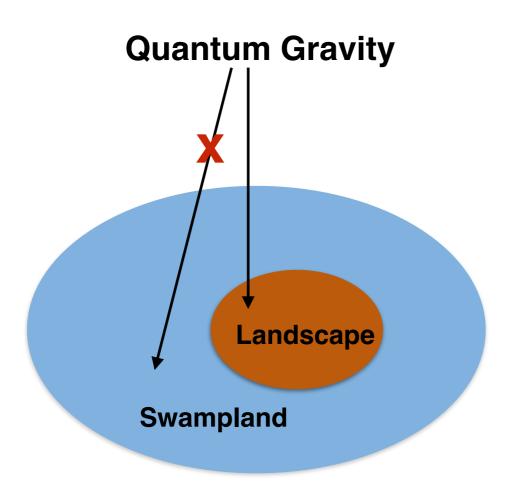
- Exploring the Landscape inside the Swampland -

#### Florian Wolf



Young Scientists Workshop at Castle Ringberg on July 19, 2017

## Swampland vs. Landscape

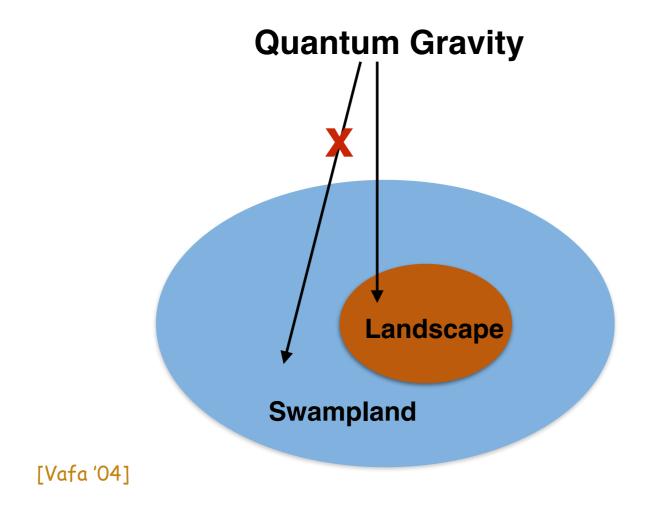


High energy, more dimensions, e.g. String Theory

Consistent 4 dim low energy effective theory

[Vafa '04]

## Swampland vs. Landscape



High energy, more dimensions, e.g. String Theory

Consistent 4 dim low energy effective theory

#### What should 4 dim EFT look like if and only if it arises from Quantum Gravity?

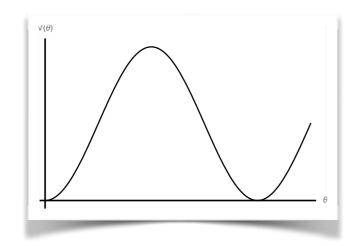
There are (so far) two conjectures deciding between landscape and swampland.

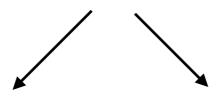
### Application to Stringy Large-Field Inflation

Inflaton = axionic modulus from String Theoy

#### Periodic potential

Trans-planckian axion decay constant:  $f>1M_{\rm Pl}$ 

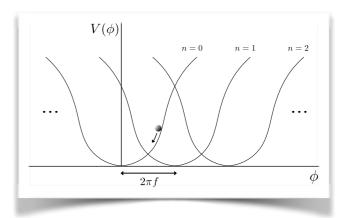




#### Polynomial potential

Trans-planckian field movement:

$$\Delta \phi > 1 M_{\rm Pl}$$



What are axions?

ightharpoonup Scalars equipped with discrete shift symmetry  $\phi 
ightarrow \phi + 2\pi f$ 

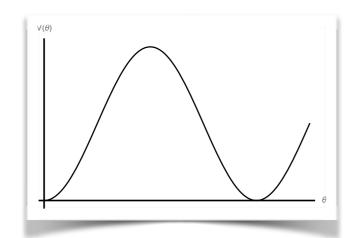
Some moduli of String Theory are axions

### Application to Stringy Large-Field Inflation

Inflaton = axionic modulus from String Theoy

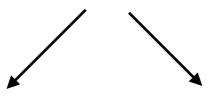
#### Periodic potential

Trans-planckian axion decay constant:  $f>1M_{\rm Pl}$ 



## Constraints from Weak Gravity Conjecture

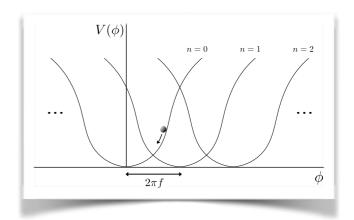
[Arkani-Hamed, Motl, Nicolis, Vafa, ...many more]



#### Polynomial potential

Trans-planckian field movement:

$$\Delta \phi > 1 M_{\rm Pl}$$



#### Constraints from Swampland Conjecture

[Vafa, Ooguri, Palti, Baume, Kläwer, Blumenhagen, Valenzuela, FW]

What are axions?

 $\longrightarrow$  Scalars equipped with discrete shift symmetry  $\phi o \phi + 2\pi f$ 

Some moduli of String Theory are axions

### Outline



- 1. Introduction
- 2. Weak Gravity Conjecture
  - Electric and Magnetic Versions
  - Application to Periodic Inflation
- 3. Swampland Conjecture
  - Extension to Axions via Backreaction
  - Critical Distance and Polynomial Inflation
- 4. Conclusion

## The Weak Gravity Conjecture (WGC)

A simple observation of our world (and all consistent string compactifications):

#### Gravity is the weakest force

Promote to general principle

## The Weak Gravity Conjecture (WGC)

A simple observation of our world (and all consistent string compactifications):

#### Gravity is the weakest force

-> Promote to general principle

Consider 4 dim theory with gravity and U(1) gauge field with coupling  $g_{
m el}$ :

**Electric WGC:** There must exist a light charged particle Q with

[Arkani-Hamed, Motl, Nicolis, Vafa '06]

$$m_{\rm el} \le g_{\rm el} M_{\rm Pl}$$

## Magnetic Weak Gravity Conjecture

WGC formula should also hold for magnetic monopoles.

## Magnetic Weak Gravity Conjecture

WGC formula should also hold for magnetic monopoles.

What are magnetic monopoles?

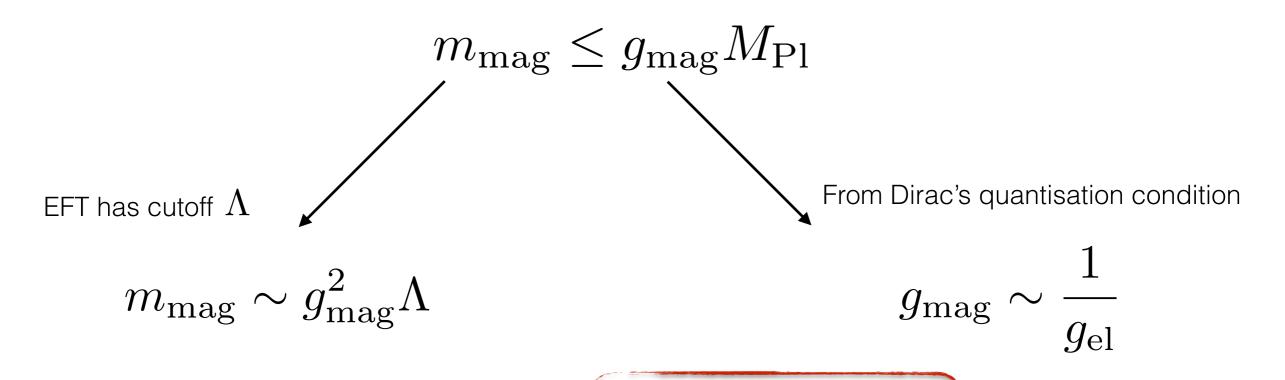
Motivated by electric-magnetic symmetry of Maxwell's Eq.,

Dirac studied particles with net magnetic charge  $g_{
m mag}$ 

Dirac quantisation condition:  $g_{\mathrm{el}} \cdot g_{\mathrm{mag}} \in \mathbb{Z}$ 

## Magnetic Weak Gravity Conjecture

WGC formula should also hold for magnetic monopoles.

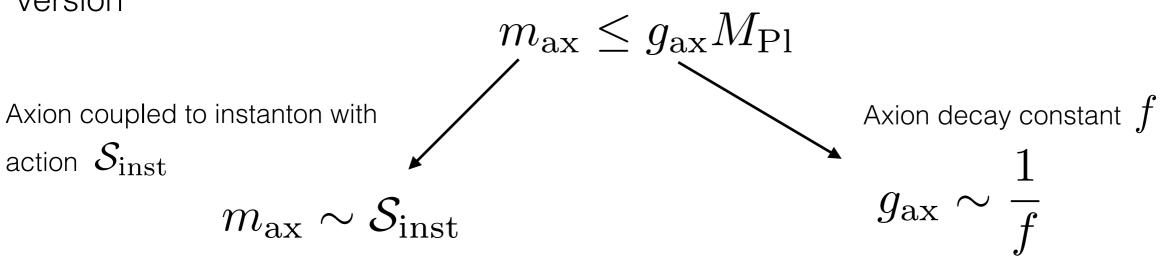


Magnetic WGC: 
$$\Lambda \leq g_{
m el} M_{
m Pl}$$

- For small gauge coupling EFT breaks down at low scale!
- Unexpected from 4 dim EFT point of view

### WGC for Axions and Inflation

Generalising WGC to p-form gauge fields in arbitrary dimensions leads to axion version

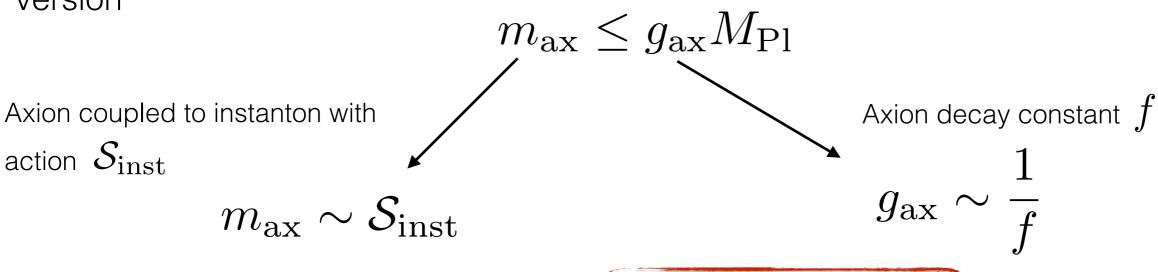


Axionic WGC:

$$f \cdot S_{\text{inst}} \leq M_{\text{Pl}}$$

### WGC for Axions and Inflation

Generalising WGC to p-form gauge fields in arbitrary dimensions leads to axion version



Axionic WGC:

$$f \cdot \mathcal{S}_{\text{inst}} \leq M_{\text{Pl}}$$

#### Consequence for inflation:

in inflaton potential:

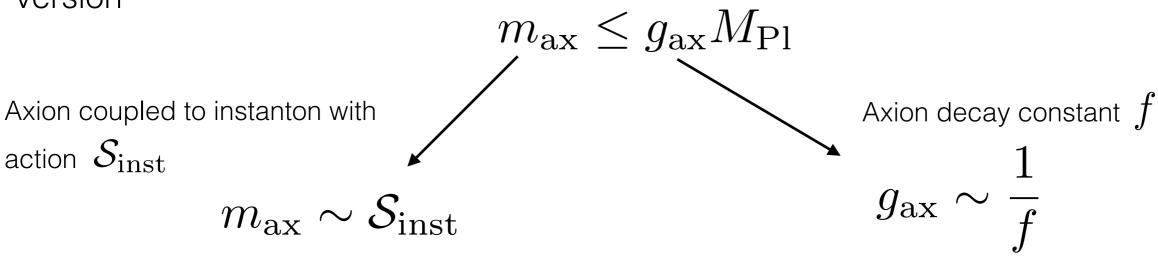
$$V(\theta) \sim e^{-S_{\text{inst}}} \cos\left(\frac{\theta}{f}\right) + \dots$$

ightharpoonup Flat potential for slow-roll inflation requires:  $\mathcal{S}_{\mathrm{inst}} > 1$ 

WGC implies: no trans-planckian axion decay constants

### WGC for Axions and Inflation

Generalising WGC to p-form gauge fields in arbitrary dimensions leads to axion version



Axionic WGC:

$$f \cdot S_{\mathrm{inst}} \leq M_{\mathrm{Pl}}$$

#### Consequence for inflation:

instanton generates dangerous terms in inflaton potential:

$$V(\theta) \sim e^{-S_{\text{inst}}} \cos\left(\frac{\theta}{f}\right) + \dots$$

ightharpoonup Flat potential for slow-roll inflation requires:  $\mathcal{S}_{\mathrm{inst}} > 1$ 

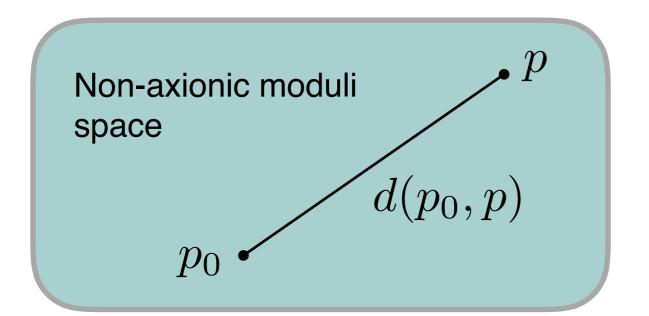
WGC implies: no trans-planckian axion decay constants

### Outline

- ✓ 1. Introduction
- 2. Weak Gravity Conjecture
  - Electric and Magnetic Versions
  - Application to Periodic Inflation
  - 3. Swampland Conjecture
    - Extension to Axions via Backreaction
    - Critical Distance and Polynomial Inflation
  - 4. Conclusion

## The Swampland Conjecture

Moduli = free parameter emerging during compactification



For  $d(p_0,p) \to \infty$  an infinite tower of massive states becomes exponentially light: [Ooguri, Vafa '04]

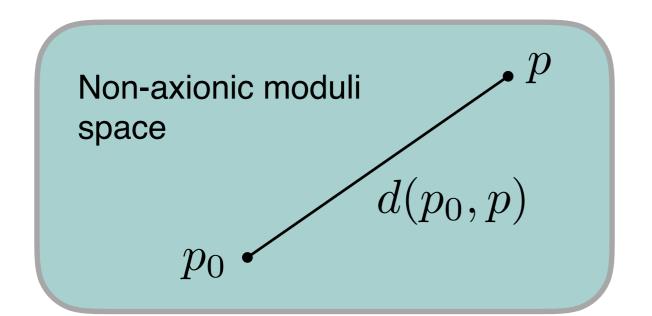
$$M \sim M_0 e^{-\alpha d(p_0, p)}$$

for theories in the landscape

ightharpoonup Parameter lpha a priori undetermined

## The Swampland Conjecture

Moduli = free parameter emerging during compactification



For  $d(p_0,p) \to \infty$  an infinite tower of massive states becomes exponentially light: [Ooguri, Vafa '04]

$$M \sim M_0 e^{-\alpha d(p_0, p)}$$

for theories in the landscape

 $\rightarrow$  Parameter  $\alpha$  a priori undetermined

#### Consequence:

**EFT** invalid if traversing distance  $d(p_0, p) > \frac{1}{\alpha}$  in non-axionic moduli space!

Generate a potential for moduli  $(s, \theta)$  by turning on background fluxes. Move one axionic modulus  $\theta$  - called inflaton - from minimum.

#### Backreaction:

other moduli vev  $s_{Min}$  adjust according to inflaton movement.

Generate a potential for moduli  $(s, \theta)$  by turning on background fluxes. Move one axionic modulus  $\theta$  - called inflaton - from minimum.

#### Backreaction:

other moduli vev  $s_{Min}$  adjust according to inflaton movement.

$$s_{\rm Min}(\theta) = s_{\rm Min} + \lambda \theta$$

Generate a potential for moduli  $(s, \theta)$  by turning on background fluxes. Move one axionic modulus  $\theta$  - called inflaton - from minimum.

#### Backreaction:

other moduli vev  $s_{Min}$  adjust according to inflaton movement.

$$s_{\mathrm{Min}}(\theta) = s_{\mathrm{Min}} + \lambda \, \theta \qquad \xrightarrow{\mathrm{Strong}} \qquad s_{\mathrm{Min}}(\theta) \, pprox \, \lambda \, \theta$$

Generate a potential for moduli  $(s, \theta)$  by turning on background fluxes. Move one axionic modulus  $\theta$  - called inflaton - from minimum.

#### Backreaction:

other moduli vev  $s_{
m Min}$  adjust according to inflaton movement.

$$s_{\mathrm{Min}}(\theta) = s_{\mathrm{Min}} + \lambda \, \theta \qquad \xrightarrow{\mathrm{Strong}} \qquad s_{\mathrm{Min}}(\theta) \approx \lambda \, \theta$$

Kinetic term for axion derived from String Theory:

$$\mathcal{L}_{\rm kin}^{\theta} \sim \frac{1}{s_{\rm Min}^2} (\partial \theta)^2$$

Generate a potential for moduli  $(s, \theta)$  by turning on background fluxes. Move one axionic modulus  $\theta$  - called inflaton - from minimum.

#### Backreaction:

other moduli vev  $s_{\rm Min}$  adjust according to inflaton movement.

$$s_{\mathrm{Min}}(\theta) = s_{\mathrm{Min}} + \lambda \, \theta \qquad \xrightarrow{\mathrm{Strong}} \qquad s_{\mathrm{Min}}(\theta) \, pprox \, \lambda \, \theta$$

Kinetic term for axion derived from String Theory:

$$\mathcal{L}_{\mathrm{kin}}^{\theta} \sim \frac{1}{s_{\mathrm{Min}}^2} (\partial \theta)^2 \quad \xrightarrow{\text{Strong}} \quad \mathcal{L}_{\mathrm{kin}}^{\theta} pprox \frac{1}{(\lambda \theta)^2} (\partial \theta)^2$$

Canonical normalisation: 
$$\mathcal{L}_{\rm kin}^{\theta} \sim \frac{1}{2} \, (\partial \Theta)^2$$
 implies 
$$\Theta \sim \exp(\lambda \theta)$$

Canonical normalisation:

$$\mathcal{L}_{\rm kin}^{\theta} \sim \frac{1}{2} (\partial \Theta)^2$$

implies

$$\Theta \sim \exp(\lambda \theta)$$

#### Consequence:

Some heavy modes (e.g. KK- or string modes) which have been integrated out in EFT, become light:

$$M_{
m heavy} \sim rac{1}{s_{
m Min}( heta)} \quad \stackrel{
m Strong}{\longrightarrow} \quad rac{1}{ heta} \sim e^{-\lambda \Theta}$$

- $\longrightarrow$  EFT invalid above critical distance  $\Theta_c \sim rac{1}{\lambda}$
- → Swampland Conjecture for axions [Palti, Baume/Kläwer '16]

# What is the Critical Field Range? - An Illustrative Model -

Model on isotropic 6-torus with one D7-brane position modulus.

[Blumenhagen, Valenzuela, FW]

Superpotential:

$$W = \mathfrak{f}_0 + 3\mathfrak{f}_2\,U^2 - h\,S\,U - q\,T\,U - \mu\,\Phi^2$$
 complex structure axio-dilaton Kähler open string modulus

with quantised fluxes  $\mathfrak{f},\mathfrak{f}_2,h,q,\mu$ 

# What is the Critical Field Range? - An Illustrative Model -

Model on isotropic 6-torus with one D7-brane position modulus.

[Blumenhagen, Valenzuela, FW]

Superpotential:

$$W = \mathfrak{f}_0 + 3\mathfrak{f}_2\,U^2 - h\,S\,U - q\,T\,U - \mu\,\Phi^2$$
 complex structure axio-dilaton Kähler open string modulus

with quantised fluxes  $\mathfrak{f},\mathfrak{f}_2,h,q,\mu$ 

Kähler potential:

$$K = -3\log(T + \overline{T}) - 2\log(U + \overline{U}) - \log\left[(S + \overline{S})(U + \overline{U}) - \frac{1}{2}(\Phi + \overline{\Phi})^2\right]$$

# What is the Critical Field Range? - An Illustrative Model -

Model on isotropic 6-torus with one D7-brane position modulus.

[Blumenhagen, Valenzuela, FW]

Superpotential:

$$W = \mathfrak{f}_0 + 3\mathfrak{f}_2\,U^2 - h\,S\,U - q\,T\,U - \mu\,\Phi^2$$
 complex structure axio-dilaton Kähler open string modulus

with quantised fluxes  $\mathfrak{f},\mathfrak{f}_2,h,q,\mu$ 

Kähler potential:

$$K = -3\log(T + \overline{T}) - 2\log(U + \overline{U}) - \log\left[(S + \overline{S})(U + \overline{U}) - \frac{1}{2}(\Phi + \overline{\Phi})^2\right]$$

Compute the F-term scalar potential for moduli:

$$V_F = \frac{M_{\text{Pl}}^4}{4\pi} e^K \left( K^{I\overline{J}} D_I W D_{\overline{J}} \overline{W} - 3 \left| W \right|^2 \right)$$

## What is the Critical Field Range?

- Refined Swampland Conjecture -

Moduli are stabilised at non-susy AdS minimum of the scalar potential with tuneable light axion.

Mass hierarchy reveals contradiction for quantised flux parameters:

$$\Theta_c \sim \frac{M_{\mathrm{mod}}}{M_{\Theta}} \sim \sqrt{\frac{h}{\mu}}$$

$$\longrightarrow \frac{M_{\mathrm{KK,light}}^2}{M_{\mathrm{mod}}^2} \sim \frac{1}{h \, q}$$

with inflaton mass  $\,M_{\Theta}$  and average mass of other moduli  $\,M_{
m mod}$  and light Kaluza-Klein modes  $\,M_{
m KK,light}$ 

# What is the Critical Field Range? - Refined Swampland Conjecture -

Moduli are stabilised at non-susy AdS minimum of the scalar potential with tuneable light axion.

Mass hierarchy reveals contradiction for quantised flux parameters:

$$\Theta_c \sim \frac{M_{\mathrm{mod}}}{M_{\Theta}} \sim \sqrt{\frac{h}{\mu}}$$

$$\longrightarrow \frac{M_{\mathrm{KK,light}}^2}{M_{\mathrm{mod}}^2} \sim \frac{1}{h \, q}$$

with inflaton mass  $\,M_{\Theta}$  and average mass of other moduli  $\,M_{
m mod}$  and light Kaluza-Klein modes  $\,M_{
m KK,light}$ 

 $\longrightarrow$  in agreement with Refined Swampland Conjecture  $\ \Theta_c \sim \mathcal{O}(1)$ 

[Palti, Kläwer '16]

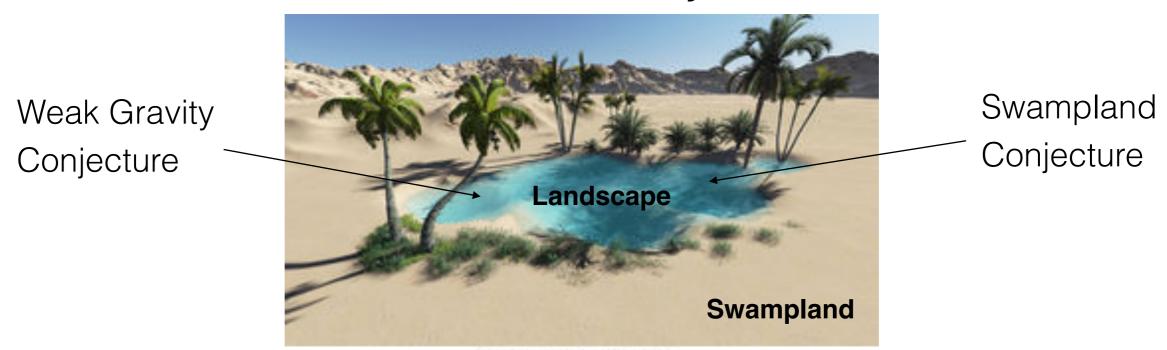
No polynomial large-field inflation 4

### Outline

- ✓ 1. Introduction
- 2. Weak Gravity Conjecture
  - Electric and Magnetic Versions
  - Application to Periodic Inflation
- ✓ 3. Swampland Conjecture
  - Extension to Axions via Backreaction
  - Critical Distance and Polynomial Inflation
  - 4. Conclusion

### Conclusion

## Not every EFT consistent in 4 dim can be consistently uplifted to Quantum Gravity.

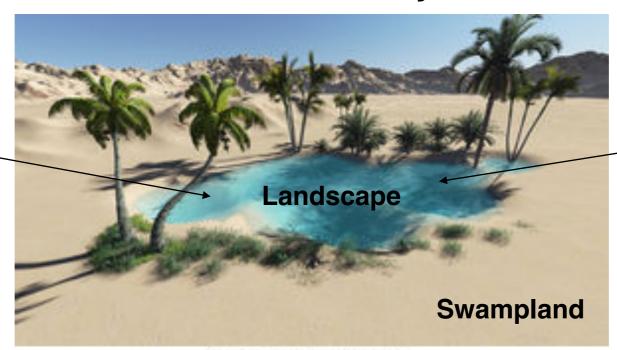


→ Strong constraints on possible models on large-field inflation in String Theory

### Conclusion

## Not every EFT consistent in 4 dim can be consistently uplifted to Quantum Gravity.





Swampland Conjecture

Strong constraints on possible models on large-field inflation in String Theory

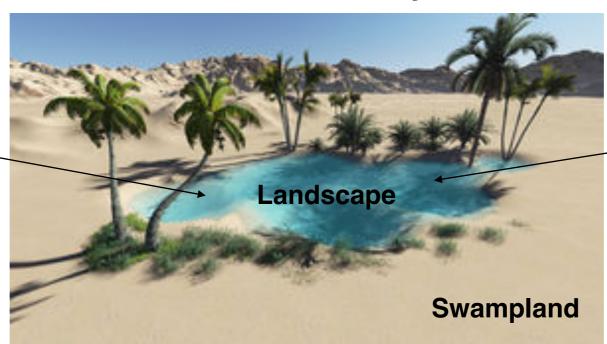
#### Outlook:

- Proof of conjectures
- Can one rule out large-field inflation in String Theory?
- Multi-axion scenario

### Conclusion

## Not every EFT consistent in 4 dim can be consistently uplifted to Quantum Gravity.

Weak Gravity Conjecture



Swampland Conjecture

→ Strong constraints on possible models on large-field inflation in String Theory

#### Outlook:

- Proof of conjectures
- Can one rule out large-field inflation in String Theory?
- Multi-axion scenario

