

Quantum Black Holes and Global Symmetries

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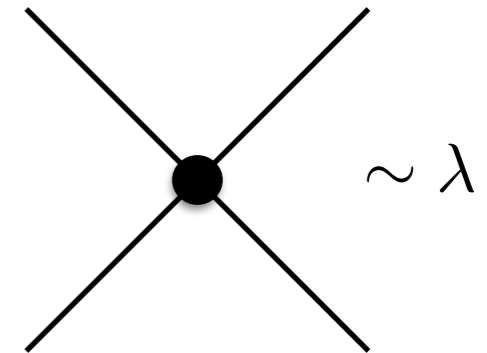
Outline

- 1) **Quantum fields in curved spacetime**
- 2) **The Unruh effect**
- 3) **Quantum black holes and Hawking radiation**
- 4) **Problems with global symmetries**

Quantum Gravity?

- ▶ Collider physics described by (perturbative) **quantum field theory**
- ▶ Prototype: scalar field theory

$$S = \int d^4x \left(\underbrace{\frac{1}{2} \eta_{\mu\nu} \partial^\mu \phi \partial^\nu \phi - \frac{1}{2} m^2 \phi^2}_{\mathcal{L}_{\text{free}}} - \underbrace{\lambda \phi^4}_{\mathcal{L}_{\text{int}}} \right)$$



- ▶ Dictated by unification of **quantum mechanics**

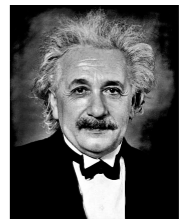
$$i\hbar \partial_t |\psi\rangle = \hat{H} |\psi\rangle$$



- ▶ With **special relativity**

$$d\tau^2 = \eta_{\mu\nu} x^\mu x^\nu = (ct)^2 - \vec{x}^2$$

$$\eta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$



Quantum Gravity?

- ▶ General relativity described by highly non-linear, complicated Einstein field equations for the **metric** $g_{\mu\nu}$ (spacetime geometry)

$$\eta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \rightarrow g_{\mu\nu} = \begin{pmatrix} g_{00} & g_{01} & g_{02} & g_{03} \\ g_{10} & g_{11} & g_{12} & g_{13} \\ g_{20} & g_{21} & g_{22} & g_{23} \\ g_{30} & g_{31} & g_{32} & g_{33} \end{pmatrix}$$

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = \frac{8\pi G}{c^4}T_{\mu\nu}$$

$$R \sim d(g^{-1}dg) + (g^{-1}dg)^2$$

- ▶ Naive treatment as perturbative QFT runs into **big problems** (non-renormalizability)

Gravity has to be quantized - we just don't know for sure how!

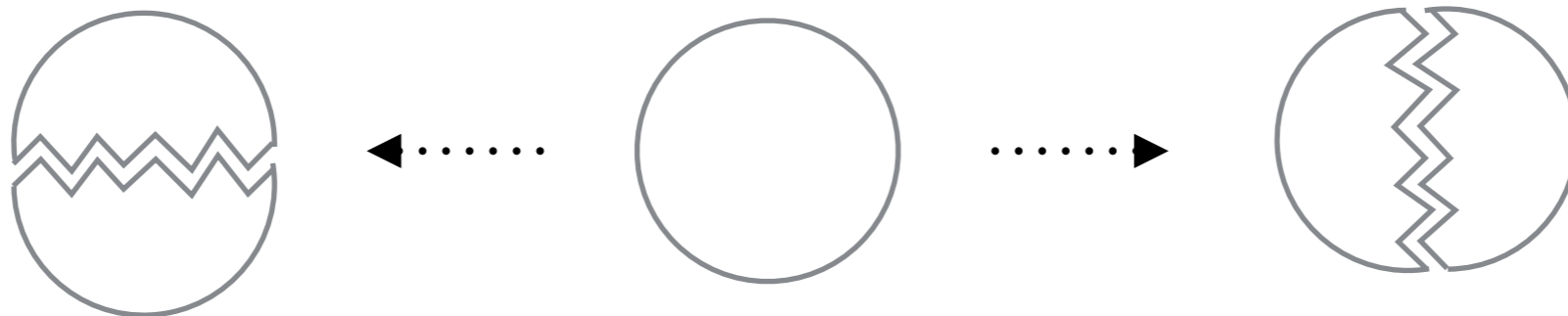


For this talk we will remain ignorant!

Necessity of Quantization

$$\underbrace{R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}}_{\text{gravity}} = \underbrace{\frac{8\pi G}{c^4}T_{\mu\nu}}_{\text{matter}}$$

- ▶ Why not just quantize RHS? $R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = \frac{8\pi G}{c^4}\langle\hat{T}_{\mu\nu}\rangle$
- ▶ Superposition states: gravitational field is “average” over possible outcomes. Upon measurement we have collapse and **discontinuous change of the gravitational field**.
- ▶ Violates locality, Lorentz invariance, also $\nabla^\mu\langle\hat{T}_{\mu\nu}\rangle \neq 0$, which is inconsistent with the proposed equation.



Quantum Fields in Curved Spacetime!

- ▶ Study quantum fields in **classical gravity background** (e.g. black hole)

$$\int d^4x \left(\frac{1}{2} \eta_{\mu\nu} \partial^\mu \phi \partial^\nu \phi - \frac{1}{2} m^2 \phi^2 - \lambda \phi^4 \right)$$



$$\int d^4x \sqrt{\det(g)} \left(\frac{1}{2} g_{\mu\nu} \partial^\mu \phi \partial^\nu \phi - \frac{1}{2} m^2 \phi^2 - \lambda \phi^4 \right)$$

- ▶ Surprisingly, leads to **non-trivial, robust insights** about quantum gravity
- ▶ Works as long as curvature is not too strong (black hole singularity)

The Vacuum State

- ▶ QFT vacuum: **state of lowest energy** $\hat{H}|\Omega\rangle = E_{min}|\Omega\rangle$
- ▶ Equivalently killed by annihilation operators for every particle $\hat{a}_I|\Omega\rangle = 0$
- ▶ Lorentz symmetry: **inertial observers agree on vacuum** $[H, \Lambda_{\mu\nu}] = 0$
- ▶ In fact **only true for inertial observers**
- ▶ In general relativity: no privileged class of observers!

The definition of “vacuum” or “particle” in GR is inherently ambiguous

- ▶ Mathematically: creation/annihilation operators of two observers related by **Bogolyubov transformation**

$$\hat{b}_I = \sum_J \left(\alpha_{IJ} \hat{a}_J + \beta_{IJ} \hat{a}_J^\dagger \right) \quad \longrightarrow \quad \hat{b}_I |\Omega_A\rangle = \sum_J \beta_{IJ} \hat{a}_J^\dagger |\Omega_A\rangle \neq 0$$

The Unruh Effect

- ▶ **Equivalence Principle**: gravity is locally equivalent to accelerated frame of reference
- ▶ For a qualitative picture, we thus consider **constantly accelerated observer** (constant proper acceleration)
- ▶ Calculate expectation value of number density operator of the accelerated observer A in Minkowski vacuum

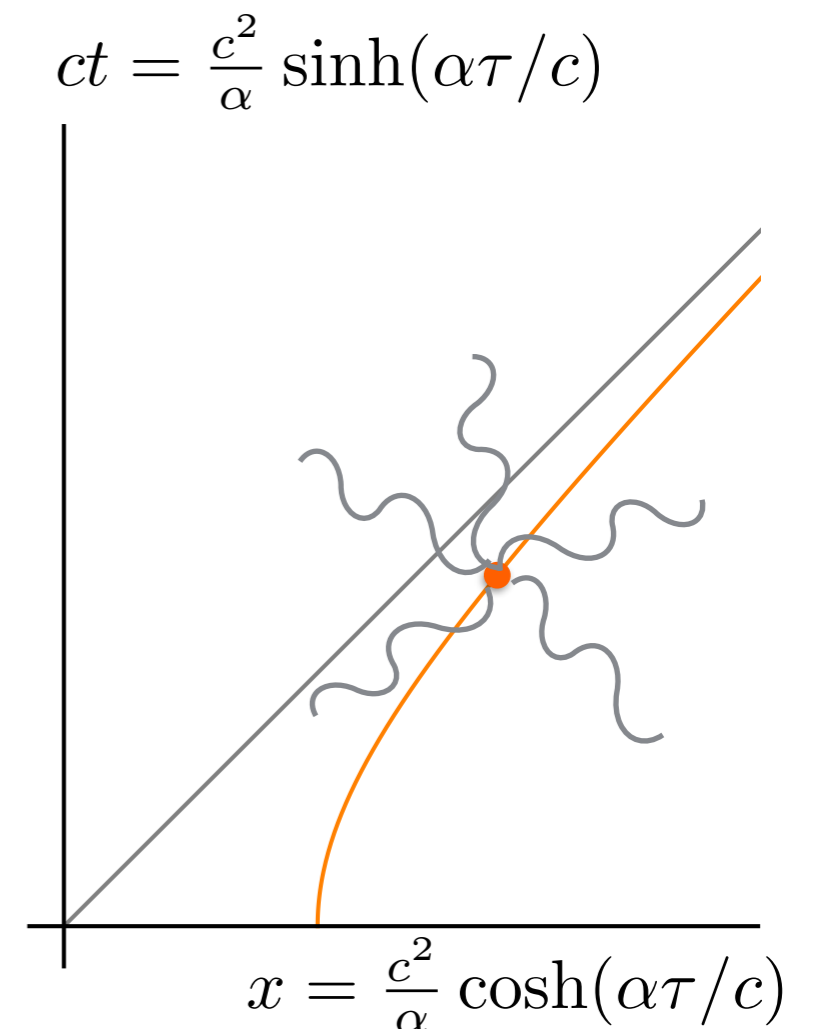
$$\langle \Omega_M | \hat{n}_A(E) | \Omega_M \rangle = \frac{1}{\exp\left(\frac{2\pi c E}{\hbar \alpha}\right) - 1}$$

- ▶ This is precisely **Planck's law**! A sees radiation!



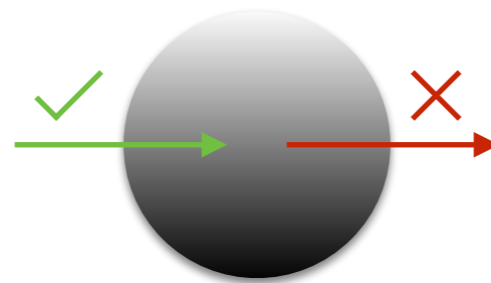
Unruh Effect

$$T = \frac{\hbar k_B}{c} \frac{\alpha}{2\pi}$$



Quantum Black Holes

- ▶ Classical black hole: nothing can escape from within the horizon



- ▶ Hawking showed: vacuum of collapse that of free falling observer
- ▶ Observer at infinity has relative acceleration and sees **Hawking radiation**
- ▶ **Black holes lose mass and evaporate** after all!
- ▶ Black hole thermodynamics:

$$T = \frac{\hbar c^3}{8\pi G k_B} \frac{1}{M} \quad dM = T dS \quad S = k_B \frac{4\pi G}{\hbar c^3} M^2 = \frac{A}{4\ell_P^2}$$

Some Ballpark Figures

- ▶ Both Unruh and Hawking radiation are hard to measure
- ▶ Measuring Hawking radiation requires getting close to small black holes - only option: micro black holes at accelerators
- ▶ For a 1K black hole we are looking at

$$M \simeq 10^{-8} M_{\odot}$$

- ▶ Unruh radiation is in principle easy to measure but the amount of acceleration is huge, again for 1K we need

$$\alpha \simeq 10^{20} \frac{m}{s^2}$$

Global Symmetries and Black Holes

- ▶ Imagine a world with gravity, matter and a **continuous global symmetry** (no gauge symmetry! no associated force!)
- ▶ Noether's theorem guarantees **associated charge**, e.g. baryon number

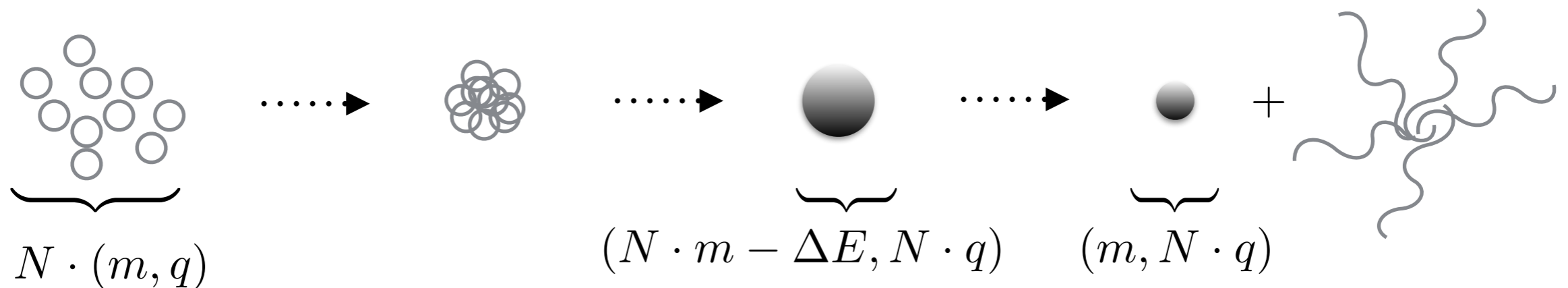
$$|q\rangle \rightarrow e^{\epsilon/3}|q\rangle \quad |\bar{q}\rangle \rightarrow e^{-\epsilon/3}|\bar{q}\rangle \quad \longrightarrow \quad B = \frac{1}{3} (N_q - N_{\bar{q}})$$

- ▶ To avoid subtleties, assume single particle species $|p\rangle$ interacting only through gravity and with charge p under such $U(1)$ symmetry

$$|p\rangle \rightarrow e^{\epsilon p}|p\rangle$$

- ▶ By collapsing N of these to a black hole with mass $N \cdot m$ and waiting until it evaporates to mass m we get black holes with **arbitrary charge**, all of the **same mass/energy**

No Global Symmetries



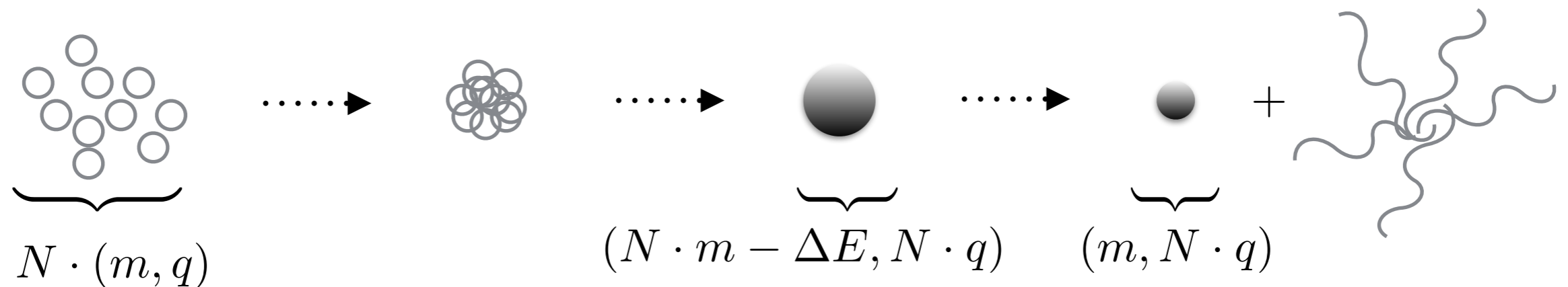
- ▶ Important: Hawking radiation contains same number of + and - charged particles, so **black hole cannot lose charge**
- ▶ If we let the black hole completely evaporate, **charge is gone!**
- ▶ We have created a process

$$Q = Nq \quad \dots \rightarrow \quad Q = 0$$



which explicitly **violates charge conservation**

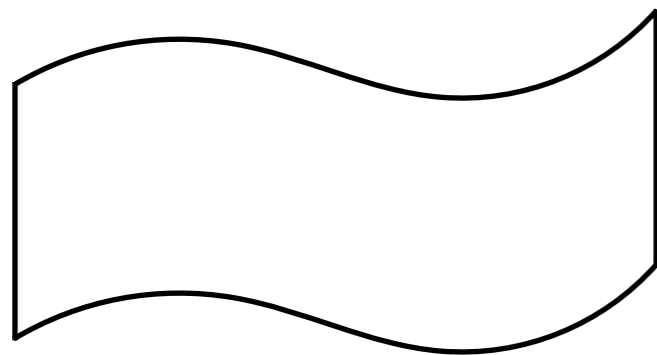
No Global Symmetries: Loophole



- ▶ Hawking calculation only valid until $M_{\text{BH}} \simeq M_p$
- ▶ What if evaporation stops and **remnant** forms?
- ▶ **No-Hair theorem**: black holes with different Q but same M are **indistinguishable** from outside
- ▶ Since we can construct BH with arbitrary Q for a fixed M and thus energy, we see that black holes in the theory have **infinite microcanonical entropy!**
- ▶ Leads to various **inconsistencies**, violates entropy bounds!

Bonus: The Stringy Version

- ▶ We believe string theory is a consistent theory of quantum gravity
- ▶ Should rather forbid global symmetries then
- ▶ Explicit mechanism: perturbative string theory is described by two dimensional field theory on the string world sheet



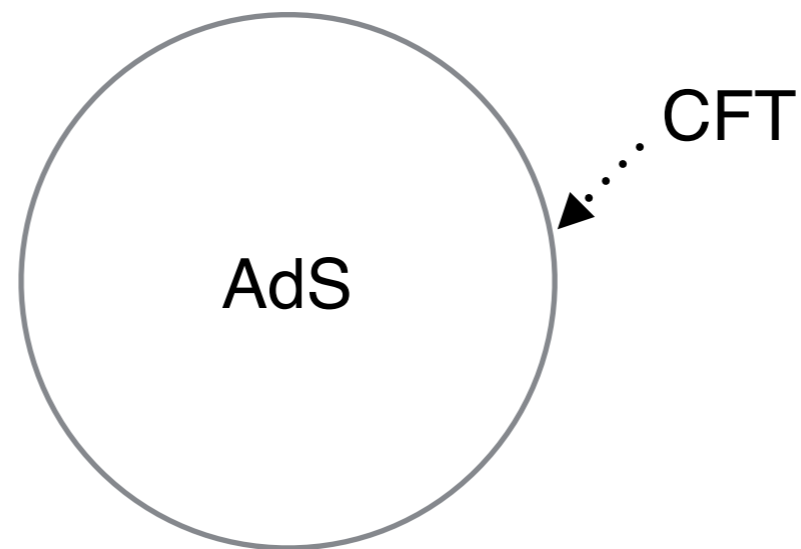
$$S = \int dt dl (\eta_{MN} g^{ab} \partial_a X^M \partial_b X^N + \dots)$$

$X^M(t, l)$ string position

- ▶ Introducing a global symmetry on the world-sheet magically gives rise to a gauge symmetry with associated gauge bosons in the spacetime!

Bonus: The AdS/CFT version

- ▶ Reminder: AdS/CFT is an isomorphism of two very different theories
 - 1) Quantum gravity in Anti-de-Sitter (AdS) space
 - 2) Conformal field theory (non-gravitational) on the AdS boundary



global symmetry in CFT



gauge symmetry in AdS

Contradiction! ⚡



global symmetry in AdS

Conclusion

- ▶ Even if ignorant about the details of quantum gravity, we can gain non-trivial insights by using usual QFT techniques in curved backgrounds
- ▶ Accelerated observers experience Unruh radiation
- ▶ A different manifestation of this is Hawking radiation of black holes
- ▶ Combining global symmetries with these expectations leads to paradoxes
- ▶ Hence global symmetries are not allowed in quantum gravity and thus nature!
- ▶ String theory seems to obey this!

Thank You