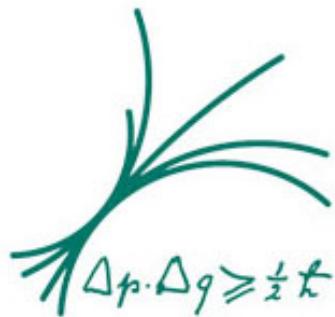


Flavored Axions

Frank Steffen

Theory Group
Max Planck Institute for Physics
Munich, Germany



MAX-PLANCK-GESELLSCHAFT

MADMAX Meeting
University of Zaragoza
Zaragoza, Oct 8, 2018

Recent theoretical motivation

[Flaxion: a minimal extension to solve puzzles in the standard model](#)

Yohei Ema ([Tokyo U.](#)), Koichi Hamaguchi, Takeo Moroi, Kazunori Nakayama ([Tokyo U.](#) & [Tokyo U., IPMU](#)). Dec 16, 2016. 23 pp.

Published in **JHEP 1701 (2017) 096**

UT-16-36, IPMU16-0189

DOI: [10.1007/JHEP01\(2017\)096](https://doi.org/10.1007/JHEP01(2017)096)

e-Print: [arXiv:1612.05492 \[hep-ph\]](https://arxiv.org/abs/1612.05492) | [PDF](#)

[Minimal axion model from flavor](#)

Lorenzo Calibbi ([Beijing, Inst. Theor. Phys.](#)), Florian Goertz ([CERN](#)), Diego Redigolo ([Tel Aviv U.](#) & [Weizmann Inst.](#)),

Robert Ziegler ([Karlsruhe U., TTP](#)), Jure Zupan ([CERN](#) & [Cincinnati U.](#)). Dec 23, 2016. 6 pp.

Published in **Phys.Rev. D95 (2017) no.9, 095009**

TTP16-058, CERN-TH-2016-261

DOI: [10.1103/PhysRevD.95.095009](https://doi.org/10.1103/PhysRevD.95.095009)

e-Print: [arXiv:1612.08040 \[hep-ph\]](https://arxiv.org/abs/1612.08040) | [PDF](#)

[The Minimal Flavour Violating Axion](#)

F. Arias-Aragon, L. Merlo ([Madrid, Autonoma U.](#) & [Madrid, IFT](#)). Sep 20, 2017. 11 pp.

Published in **JHEP 1710 (2017) 168**

DOI: [10.1007/JHEP10\(2017\)168](https://doi.org/10.1007/JHEP10(2017)168)

e-Print: [arXiv:1709.07039 \[hep-ph\]](https://arxiv.org/abs/1709.07039) | [PDF](#)

[Supersymmetric Flaxion](#)

Yohei Ema, Daisuke Hagihara ([Tokyo U.](#)), Koichi Hamaguchi, Takeo Moroi ([Tokyo U., IPMU](#) & [Tokyo U.](#)),

Kazunori Nakayama ([Tokyo U., IPMU](#) & [Department of Physics, The University of Tokyo, Tokyo 113-0033, Japan](#) & [Tokyo U.](#)). Feb 21, 2018. 31 pp.

Published in **JHEP 1804 (2018) 094**

UT-18-1, IPMU18-0036

DOI: [10.1007/JHEP04\(2018\)094](https://doi.org/10.1007/JHEP04(2018)094)

e-Print: [arXiv:1802.07739 \[hep-ph\]](https://arxiv.org/abs/1802.07739) | [PDF](#)

[A Common Source for Scalars: Axiflavor-Higgs Unification](#)

Tommi Alanne, Simone Blasi, Florian Goertz ([Heidelberg, Max Planck Inst.](#)). Jul 26, 2018. 8 pp.

e-Print: [arXiv:1807.10156 \[hep-ph\]](https://arxiv.org/abs/1807.10156) | [PDF](#)

Phenomenological motivation

Astrophobic Axions

Luca Di Luzio (Durham U., IPPP), Federico Mescia (ICC, Barcelona U.), Enrico Nardi (Frascati), Paolo Panci, Robert Ziegler (CERN). Dec 13, 2017. 6 pp.
Published in **Phys.Rev.Lett.** **120** (2018) no.26, 261803
IPPP-17-102, CERN-TH-2017-256
DOI: [10.1103/PhysRevLett.120.261803](https://doi.org/10.1103/PhysRevLett.120.261803)
e-Print: [arXiv:1712.04940 \[hep-ph\]](https://arxiv.org/abs/1712.04940) | [PDF](#)

Note that these are the invisible version of the VARIANT axion models discussed to avoid some experimental constraints on the original PQ axion... is a fun story here to tell ;-)

The photo-philic QCD axion

Marco Farina (Rutgers U., Piscataway), Duccio Pappadopulo (New York U., CCPP & New York U.),
Fabrizio Rompineve (New York U., CCPP & U. Heidelberg, ITP & New York U.), Andrea Tesi (Chicago U., EFI & Chicago U.). Nov 29, 2016. 21 pp.
Published in **JHEP** **1701** (2017) 095
DOI: [10.1007/JHEP01\(2017\)095](https://doi.org/10.1007/JHEP01(2017)095)
e-Print: [arXiv:1611.09855 \[hep-ph\]](https://arxiv.org/abs/1611.09855) | [PDF](#)

Experimental Targets for Photon Couplings of the QCD Axion

Prateek Agrawal (Harvard U., Phys. Dept.), Jiji Fan (Brown U.), Matthew Reece (Harvard U., Phys. Dept.),
Lian-Tao Wang (Chicago U., EFI & Chicago U., KICP). Sep 18, 2017. 23 pp.
Published in **JHEP** **1802** (2018) 006
DOI: [10.1007/JHEP02\(2018\)006](https://doi.org/10.1007/JHEP02(2018)006)
e-Print: [arXiv:1709.06085 \[hep-ph\]](https://arxiv.org/abs/1709.06085) | [PDF](#)

B. Doebrich from NA62 gave a recent talk where she shows some slides and axion-flavon sensitivity

https://indico.in2p3.fr/event/17826/attachments/49465/62902/marseille_2018.pdf

The Axion

- Motivation: Strong CP Problem
- Peccei-Quinn (PQ) mechanism [Peccei, Quinn, '77]
- spontaneous breaking of the global $U(1)_{\text{PQ}}$ at PQ scale f_a (or f_{PQ})
- pseudo-Nambu Goldstone boson [Weinberg '78, Wilczek '78]
- axion mass: $m_a = 5.70(6)(4) \mu\text{eV} \left(\frac{10^{12} \text{ GeV}}{f_a} \right)$
- Lagrangian:

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu a \partial^\mu a - \frac{\alpha_s}{8\pi} \left(\bar{\theta} + \frac{a}{f_a} \right) G_{\mu\nu}^b \tilde{G}^{b,\mu\nu} - \frac{\alpha}{8\pi} C_{a\gamma} \frac{a}{f_a} F_{\mu\nu} \tilde{F}^{\mu\nu} + \dots$$

solves strong CP problem

axion searches

allows for axion dark matter

Axion Models

$$U(1)_{\text{PQ}} \times SU(3)_c^2$$

SM fermions

BSM fermions

2Higgs

2Higgs+Singlet

Higgs+Singlet

PQWW

[Peccei, Quinn,
Wilczek, Weinberg '78]

DFSZ

[Dine, Fischler,
Srednicki,
Zhitnitsky '80]

KSVZ

[Kim, Shifman,
Vainshtein,
Zakharov '80]

origin of
QCD anomaly

[from Robert Ziegler's Talk]

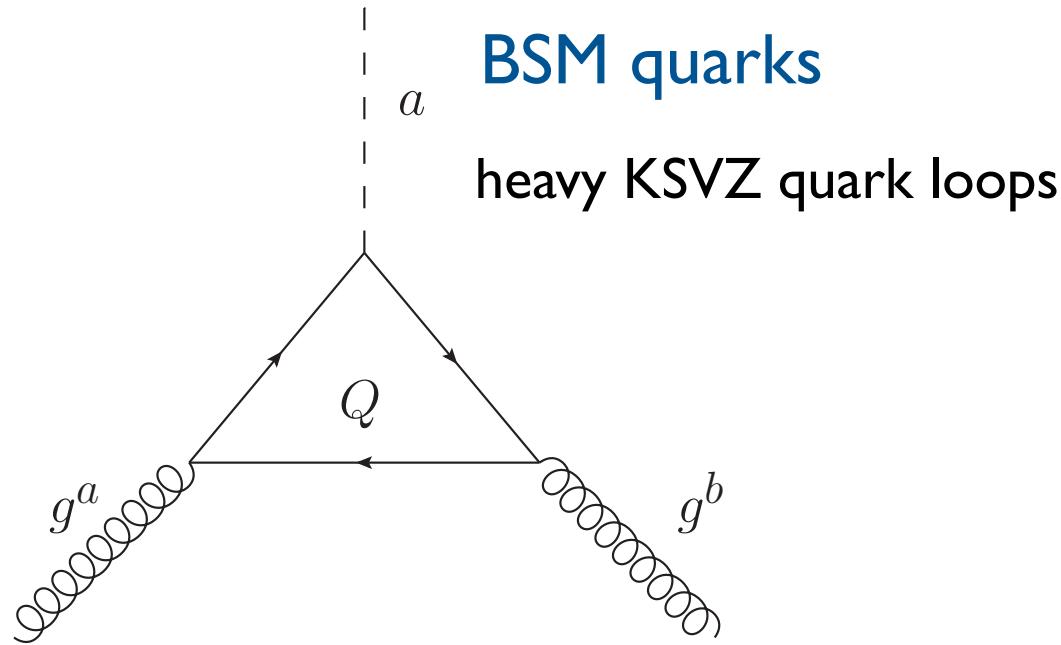
Original Axion

“Invisible” Axion

$$\langle \Phi \rangle = v_{\text{PQ}} \gg v$$

flavor-universal U(1) charges

Hadronic (KSVZ) Axion Model



KSVZ [Kim '79; Shifman, Vainshtein, Zakharov '80]

Axion Models

$$U(1)_{\text{PQ}} \times SU(3)_c^2$$

SM fermions

BSM fermions

2Higgs

2Higgs+Singlet

Higgs+Singlet

PQWW

[Peccei, Quinn,
Wilczek, Weinberg '78]

DFSZ

[Dine, Fischler,
Srednicki,
Zhitnitsky '80]

KSVZ

[Kim, Shifman,
Vainshtein,
Zakharov '80]

origin of
QCD anomaly

[from Robert Ziegler's Talk]

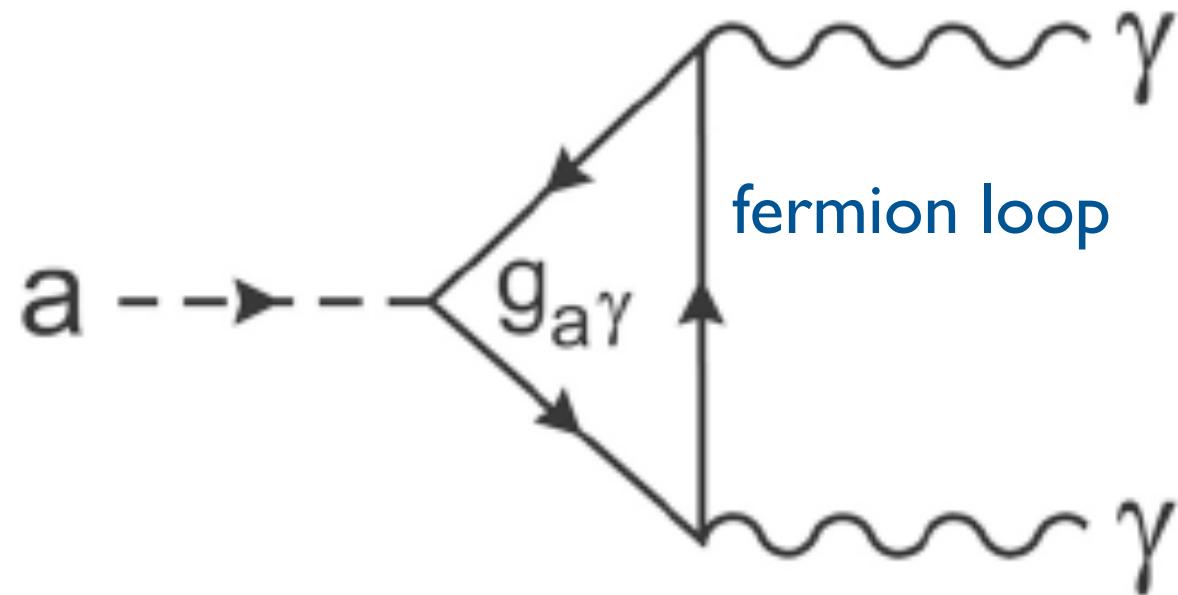
Original Axion

“Invisible” Axion

$$\langle \Phi \rangle = v_{\text{PQ}} \gg v$$

flavor-universal U(1) charges

Axion-Photon Interaction: Primakoff



→ “see” the “invisible” axion

Axion-Photon Interaction: Effective Lagrangian

photon	axion	interaction
$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - J^\mu A_\mu + \frac{1}{2}\partial_\mu a\partial^\mu a - \frac{1}{2}m_a^2 a^2$		$-\frac{g_{a\gamma}}{4}F_{\mu\nu}\tilde{F}^{\mu\nu}a$

- EM field-strength tensor $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$
- Dual EM field-strength tensor $\tilde{F}^{\mu\nu} = \frac{1}{2}\varepsilon^{\mu\nu\alpha\beta}F_{\alpha\beta}$
- Vector Potential $A^\mu = (A_0, \mathbf{A})$
- 4-Current $J^\mu = (\rho, \mathbf{J})$

- Electric Field

$$\mathbf{E} = -\nabla A_0 - \dot{\mathbf{A}}$$

- Magnetic Field

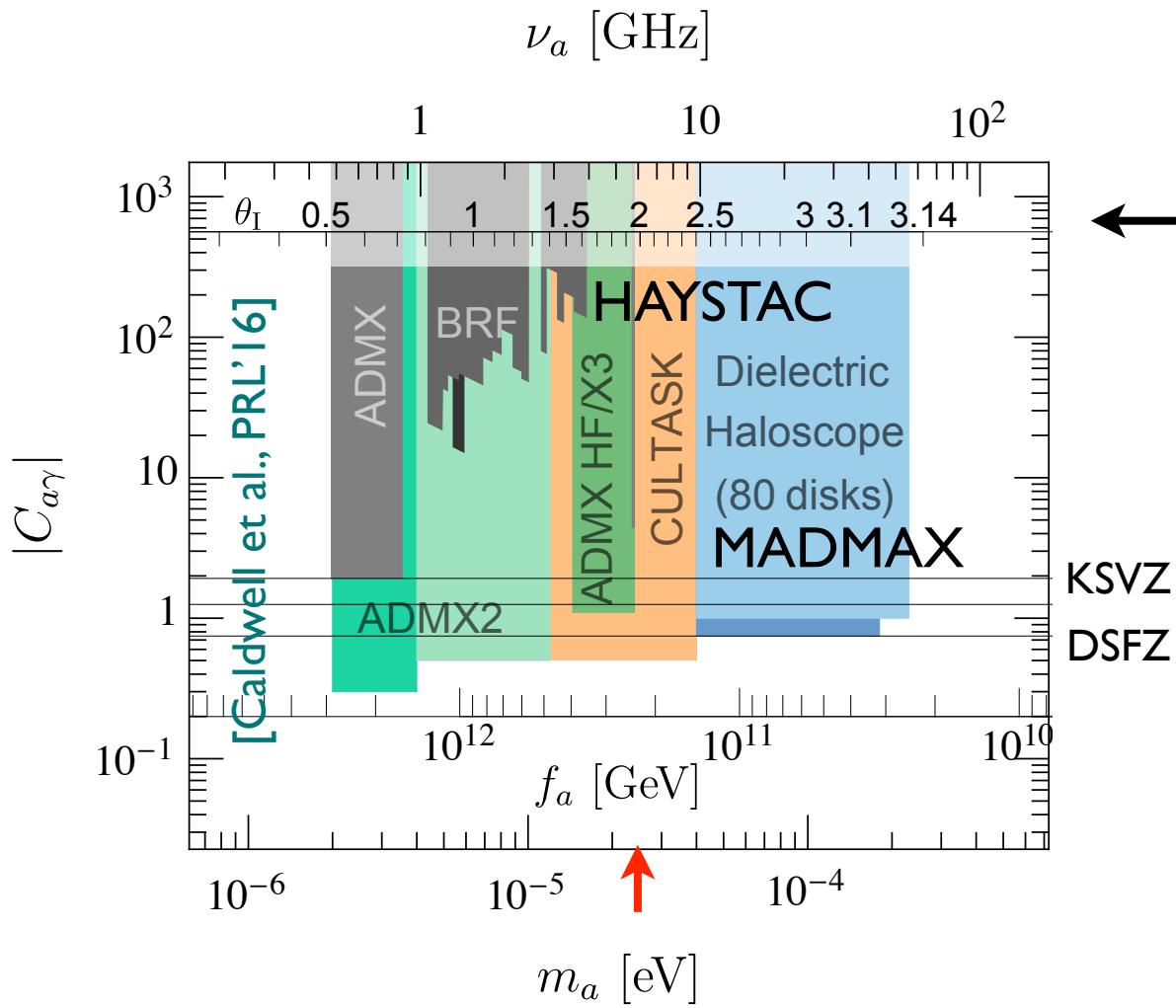
$$\mathbf{B} = \nabla \times \mathbf{A}$$

- Axion Properties

$$g_{a\gamma} = -\frac{\alpha}{2\pi f_a} C_{a\gamma} = -2.04(3) \times 10^{-16} \text{ GeV}^{-1} \left(\frac{m_a}{1 \mu\text{eV}} \right) C_{a\gamma}$$

$$C_{a\gamma} = \frac{\mathcal{E}}{\mathcal{N}} - 1.92(4)$$

MADMAX Goal - probe QCD axion DM scenarios



← **Scenario 1**

accidental initial misalignment

$m_A < 100 \mu\text{eV}$

← **Scenario 2**

average initial misalignment
but computational challenge

$m_A = 26.2 \pm 3.4 \mu\text{eV}$

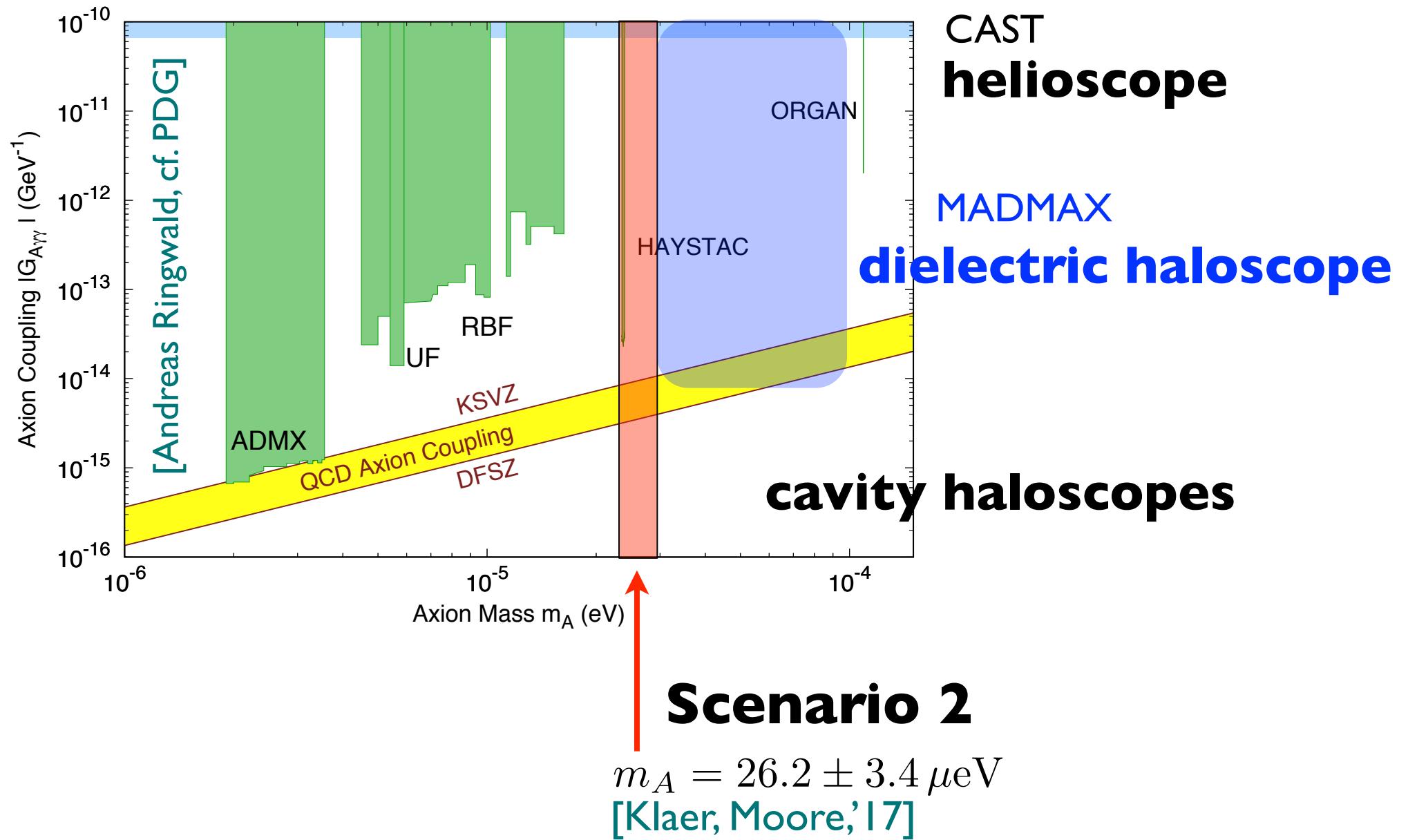
[Klaer, Moore,'17]

$$g_{a\gamma} = -\frac{\alpha}{2\pi f_a} C_{a\gamma} = -2.04(3) \times 10^{-16} \text{ GeV}^{-1} \left(\frac{m_a}{1 \mu\text{eV}} \right) C_{a\gamma}$$

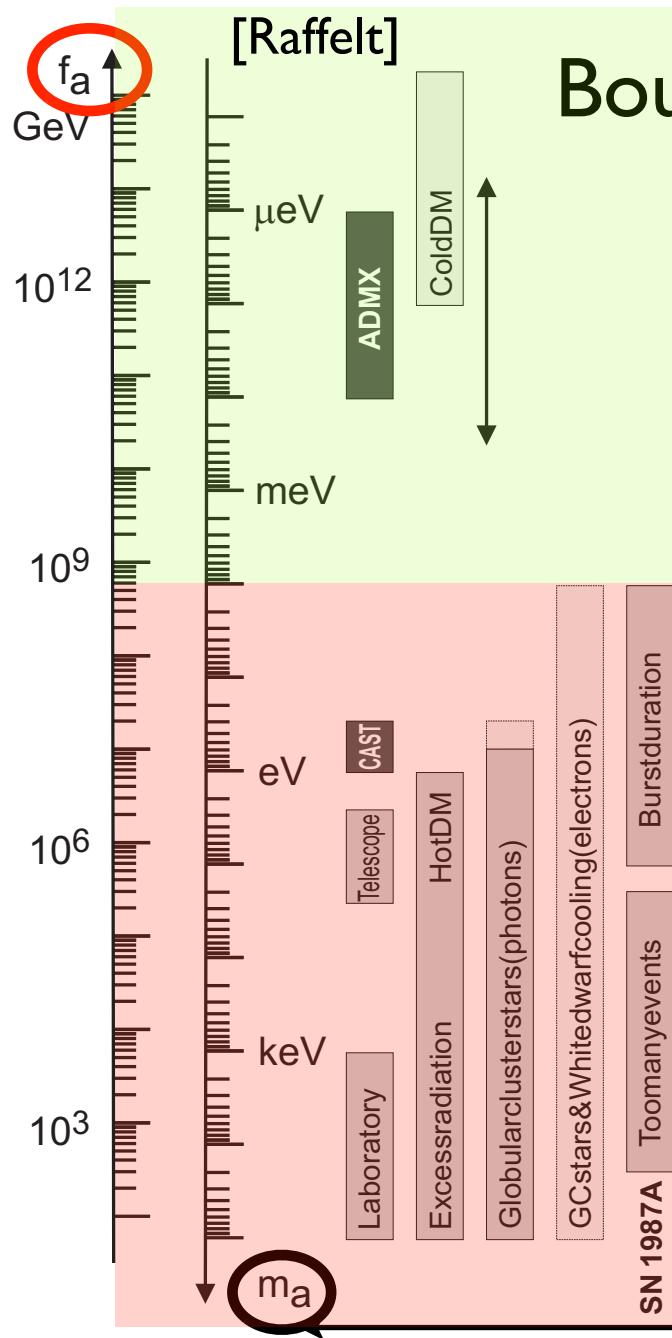
$$C_{a\gamma} = \frac{\mathcal{E}}{\mathcal{N}} - 1.92(4) \quad \leftarrow \text{axion model dependent}$$

$$m_a = 5.70(6)(4) \mu\text{eV} \left(\frac{10^{12} \text{ GeV}}{f_a} \right)$$

Existing exclusion limits today



Constraints on the Peccei-Quinn (PQ) scale f_{PQ}



Bounds from Axion Searches

Cosmological Axion Bounds

Astrophysical Axion Bounds

Peccei-Quinn Scale

$$f_a \gtrsim 6 \times 10^8 \text{ GeV}$$

Axion Mass

$$m_a \simeq 0.6 \text{ meV} (10^{10} \text{ GeV}/f_{\text{PQ}})$$

Astrophysical Bounds - Coupling Dependent

- axion-photon coupling - evolution of Horizontal Branch stars

$$g_{a\gamma\gamma} < \frac{6.6 \cdot 10^{-11}}{\text{GeV}}$$

- axion-electron coupling - white dwarf cooling

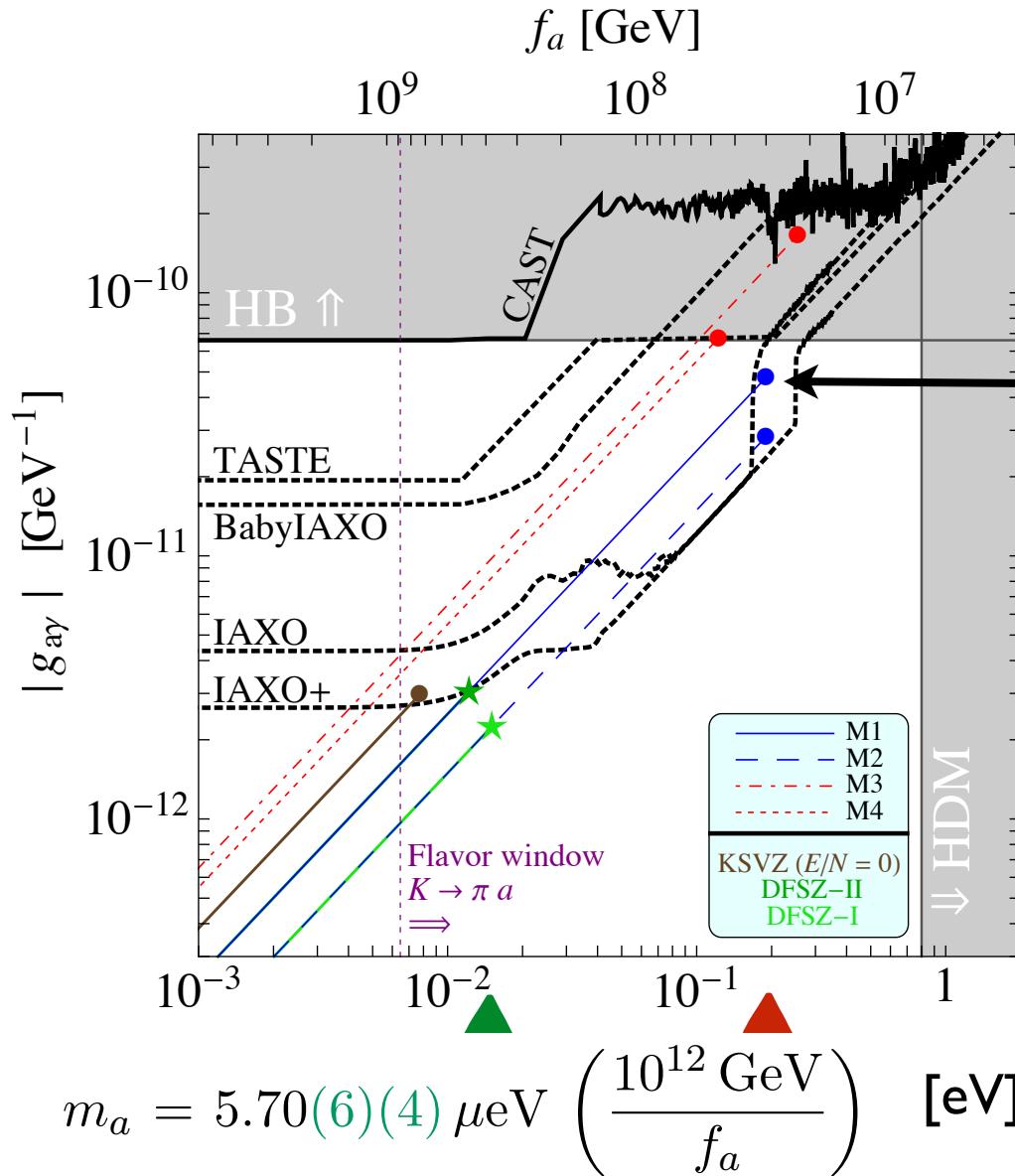
$$C_e < \frac{0.003 \text{ eV}}{m_a}$$

- axion-nucleon coupling - supernova neutrino burst duration

$$C_N < \frac{0.004 \text{ eV}}{m_a}$$

Astrophobic Axions

[Luzig et al.,'17]



suppression of axion-related energy transfer away from astrophysical objects

combined SN/WD bound

Note: outside of the natural axion dark matter region

$$10^{-7} \text{ eV} \lesssim m_a \lesssim 10^{-4} \text{ eV}$$

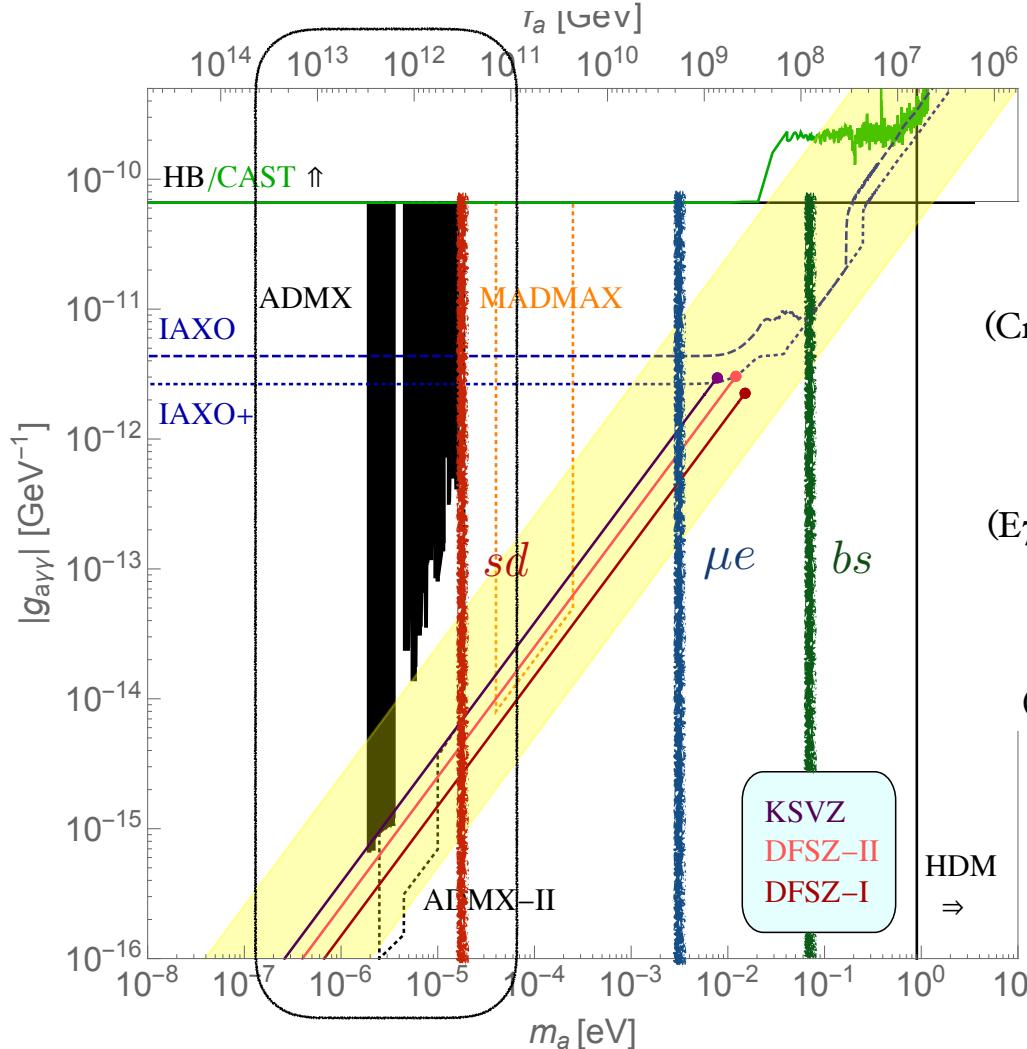
Non-Universal DFSZ Axion Models

Flavored Axion Bounds

derivative axion-fermion couplings

axion dark matter region

$$10^{-7} \text{ eV} \lesssim m_a \lesssim 10^{-4} \text{ eV}$$



$$\frac{\partial_\mu a}{2f_a} \bar{f}_i \gamma^\mu (C_{ij}^V + C_{ij}^A \gamma_5) f_j$$

$$C_{i \neq j} = 1$$

Note: tree level flavor violation
is strongly constrained

precision flavor experiments

$$\mu \rightarrow e a \gamma \quad m_a < \frac{2.6 \cdot 10^{-3} \text{ eV}}{|C_{\mu e}|} \xrightarrow{?} \text{MEG, Mu3e}$$

(Crystal Box, '88)

$$K \rightarrow \pi a \quad m_a < \frac{1.7 \cdot 10^{-5} \text{ eV}}{|C_{sd}^V|} \xrightarrow{\times 1/8} \text{NA62}$$

(E787+E949, '08)

$$B \rightarrow K a \quad m_a < \frac{9.4 \cdot 10^{-2} \text{ eV}}{|C_{bs}^V|} \xrightarrow{\times 1/10} \text{BELLE II}$$

(CLEO, '01)

DFSZ: loop & CKM suppressed

$$m_a \lesssim 0.6 \text{ eV}$$

Flavored Axion Models

- Minimal DFSZ - universal PQ charges for all generations
- Next-to-minimal DFSZ - universal PQ charges for two generations

allows for nucleophobic axion models - relaxed SN bound

allows for electrophobic axion models - relaxed WD bound

- PQ = Froggatt-Nielsen (FN) - Flaxion/Axiflavor

$$\cancel{\hat{y}_{ij}^u \overline{Q}_i H u_{Rj}} \rightarrow \text{flavon} \quad y_{ij}^u \left(\frac{\phi}{M} \right)^{q_{Q_i} - q_{u_j}} \overline{Q}_i H u_{Rj}$$

explains hierarchical flavor structure of quarks and leptons

solves strong CP problem

$$\frac{E}{N} = \frac{8}{3} - 2\delta \quad \in [2.4, 3.0]$$

[arXiv:1612.05492](#) [[pdf](#), [ps](#), [other](#)]

Flaxion: a minimal extension to solve puzzles in the standard model

[Yohei Ema](#), [Koichi Hamaguchi](#), [Takeo Moroi](#), [Kazunori Nakayama](#)

Comments: 23 pages, 1 figure; v2: version published in JHEP

Subjects: High Energy Physics – Phenomenology (hep-ph)

[arXiv:1612.08040](#) [[pdf](#), [other](#)]

The Axiflavor

[Lorenzo Calibbi](#), [Florian Goertz](#), [Diego Redigolo](#), [Robert Ziegler](#), [Jure Zupan](#)

Comments: 6 pages, typos corrected, references added

Subjects: High Energy Physics – Phenomenology (hep-ph)

Summary

Flaxion Scenario

Quark and lepton mass hierarchy and mixings.

Neutrino masses and mixings. seesaw

Baryon asymmetry of the Universe.

Standard Model

+ one complex scalar with $U(1)_F = U(1)_{PQ}$

$$\phi = \frac{1}{\sqrt{2}} (\varphi + i a)$$

flavon

inflaton

axion

+ 2 (or 3) right-handed neutrinos.

Leptogenesis

High enough reheating

P_ξ & (n_s, r)
in the Planck best-fit region

Inflation.

characteristic signal:
 $K \rightarrow \pi a$

Strong CP problem.

Dark Matter.

solves DW and isocurvature problems

[from Koichi Hamaguchi's talk @ PACIFIC 2018]

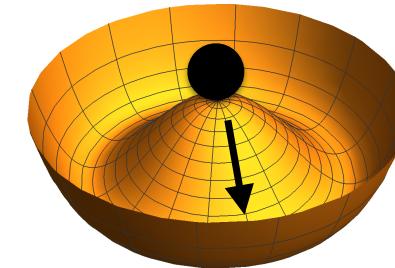
Puzzles of the SM explained by a simple extension

Flaxion Dark Matter

Case 1: U(1) is broken after inflation.

-> **Domain Wall !**

In the flaxion scenario, typically $N_{DW} \neq 1$,
and this possibility is **excluded**.

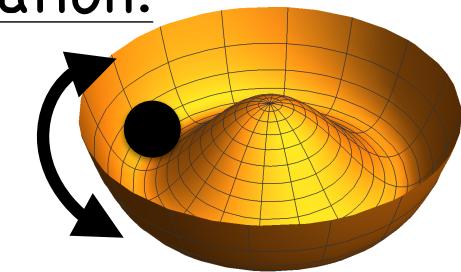


Case 2: U(1) was already broken during inflation.

Quantum fluctuation during inflation
leads to **DM isocurvature** perturbation,
which is severely constrained [Planck,'15].

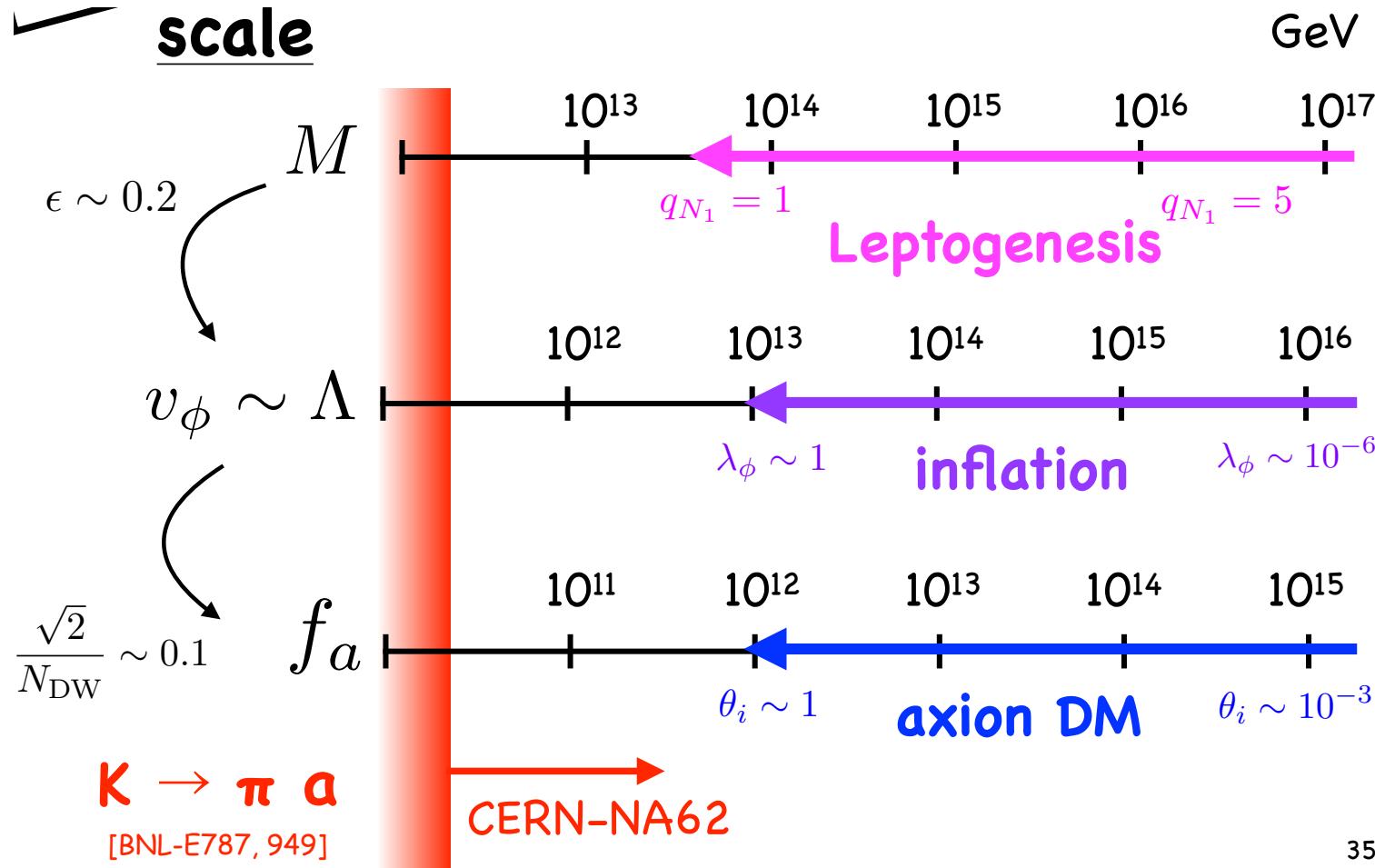
-> **Strong bound on inflation scale.**

$$H_{\text{inf}} \lesssim 3 \times 10^7 \text{ GeV} \theta_i^{-1} \left(\frac{10^{12} \text{ GeV}}{f_a} \right)^{0.19}.$$



$$\delta a \sim H_{\text{inf}}$$

[from Koichi Hamaguchi's talk @ PACIFIC 2018]



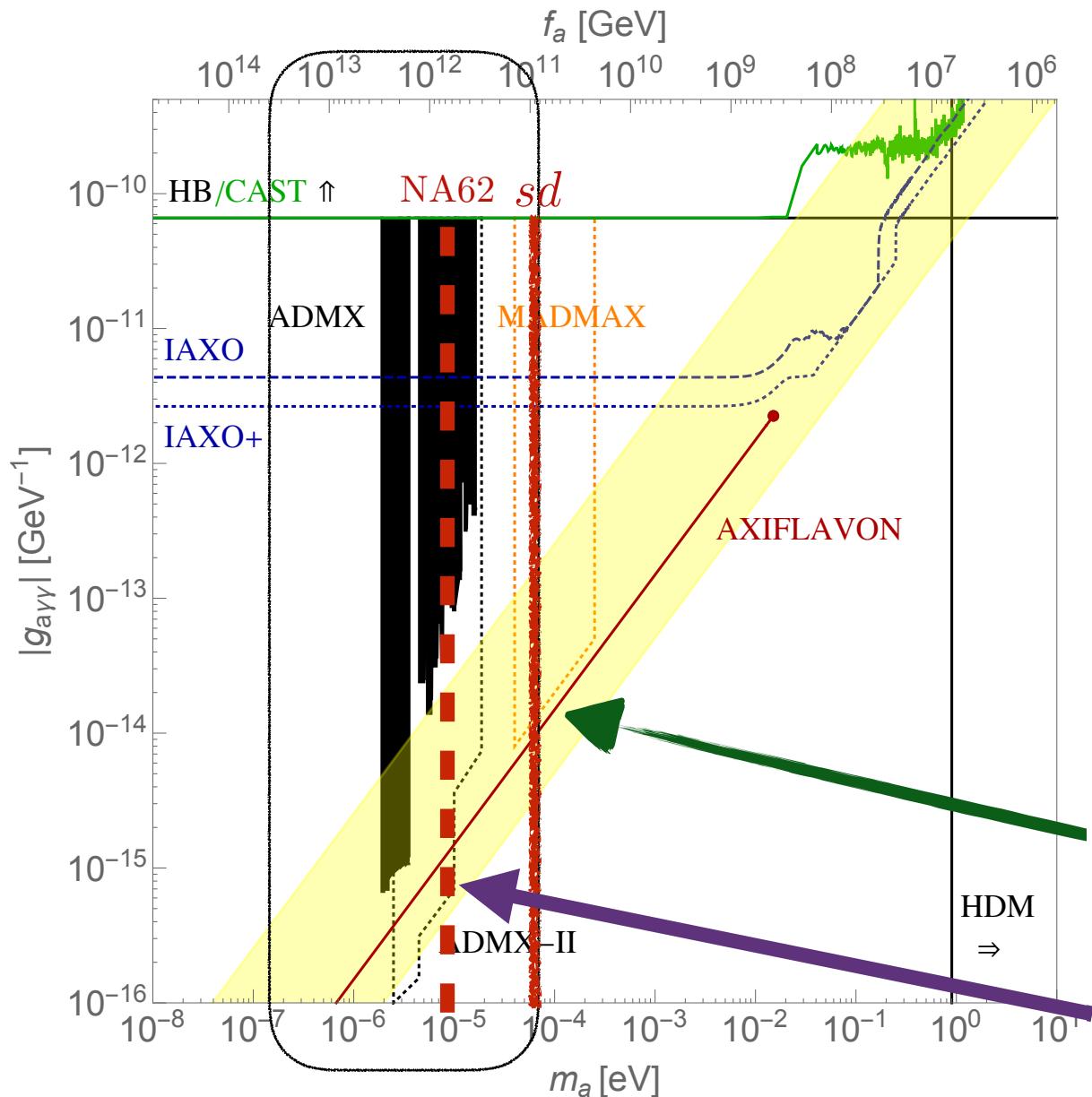
35

[from Koichi Hamaguchi's talk @ PACIFIC 2018]

Lagrangian

$$\begin{aligned}\mathcal{L} = & -\frac{|\partial\phi|^2}{(1-|\phi|^2/\Lambda^2)^2} - \lambda_\phi \left(|\phi|^2 - v_\phi^2\right)^2 \\ & + y_{ij}^d \left(\frac{\phi}{M}\right)^{n_{ij}^d} \overline{Q}_i H d_{Rj} + y_{ij}^u \left(\frac{\phi}{M}\right)^{n_{ij}^u} \overline{Q}_i \tilde{H} u_{Rj} \\ & + y_{ij}^l \left(\frac{\phi}{M}\right)^{n_{ij}^l} \overline{L}_i H l_{Rj} + y_{i\alpha}^\nu \left(\frac{\phi}{M}\right)^{n_{i\alpha}^\nu} \overline{L}_i \tilde{H} N_{R\alpha} \\ & + \frac{1}{2} y_{\alpha\beta}^N \left(\frac{\phi}{M}\right)^{n_{\alpha\beta}^N} M \overline{N}_{R\alpha}^c N_{R\beta} + \text{h.c.}\end{aligned}$$

The Axiflavor



Present bound
from E787+E949

Expected future
bound from NA62

Conclusions

- flavored axion models - non-universal axion-fermion couplings
- astrophysical constraints can be relaxed - new (mini)IAXO opportunities
- but: there a non-axion dark matter explanation will be required
- flavor precision experiments provide stringent tests of such models
- flaxion/axiflaviton models - allow for solutions of many SM puzzles
- but: hierarchy problem may require SUSY in a somewhat intrigued way
- CERN NA62: $K \rightarrow \pi$ will test such models soon
- MADMAX may test such models independently from NA62

Fundamental Constituents of Matter

interactions	standard particles	superpartners
<p>strong & electroweak</p> $\propto (p/M_w)^n$ $M_w \sim 10^2 \text{ GeV}$	<p>Standard Model</p> <p>Gravity</p> <p>graviton</p> <p>Peccei-Quinn (PQ) Symmetry</p> <p>axion EWIP</p>	<p>Supersymmetry</p> <p>neutralino WIMP</p> <p>Supergravity</p> <p>gravitino EWIP</p> <p>Axions → Extremely Weakly Interacting Particles (EWIPs)</p> <p>axino EWIP</p>
<p>extremely weak</p> $\propto (p/M_{Pl})^n$ $M_{Pl} = 2.4 \times 10^{18} \text{ GeV}$		
$\propto (p/f_{PQ})^n$ $f_{PQ} > 10^9 \text{ GeV}$		

Axions → Extremely Weakly Interacting Particles (EWIPs)