

Instantons in Supersymmetric Gauge Theories and D-brane Models

Stefano Massai

Università di Pisa



14th IMPRS Workshop
Munich, November 2, 2009

Foreword

Very extensive literature:

- Earlier work: ADHM, Khoze, Dorey, Mattis, Moore, Nekrasov, Witten, Douglas, Green, Gutperle, . . .
- Recent developments: Turin and Rome group, Munich group, Madrid group, Penn, Stanford, . . .

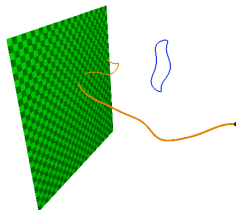
For a review see e.g.

[R. Blumenhagen, M. Cvetič, S. Kachru, T. Weigand, *arXiv:0902.3251*]



Outline

- Short introduction
 - ▶ Multi-instanton calculus
 - ▶ Instantons and D-branes (Type IIB, orbifold background)
- Current work
 - ▶ Brane model to study instantons on the Higgs branch of $\mathcal{N} = 2$ SYM theory.
- I will focus on theories with $\mathcal{N} = 2$ supersymmetry
 - ▶ They are a good theoretical laboratory for testing instanton calculus techniques
 - ▶ We can obtain exact results based on the constraints imposed by supersymmetry (e.g. Seiberg-Witten).



Multi-instanton Calculus

- **Problem:** General approach to multi-instanton contributions
- Atiyah-Drinfeld-Hitchin-Manin (ADHM): construction of the solutions of the self-dual equation

$$F = \star F$$

- ADHM can be generalized to study instantons in supersymmetric theories.

Multi-instanton calculus:

- 1 Construct the ADHM supersymmetric multiplets
- 2 Perform the semi-classical approximation with the path integral
- 3 Calculate the instanton effective action $\tilde{S}$
- 4 Calculate instanton corrections to the correlation functions.

Multi-instanton Calculus

- The result for the partition function is:

$$Z_k \sim e^{2\pi i k \tau} \int d\mathcal{M}_k e^{-\tilde{S}};$$

- Instanton effective action for pure $\mathcal{N} = 2$ theory:

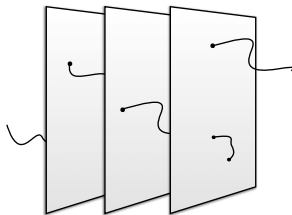
$$\tilde{S} = 4\pi^2 \text{Tr} [\bar{\mu} \langle z \rangle \nu - \bar{\nu} \langle z \rangle \mu + \bar{\omega}^{\dot{\alpha}} \langle z \rangle^2 \omega_{\dot{\alpha}} - \varphi \mathbf{L} \varphi].$$

- These ingredients + powerful integration techniques [N. Nekrasov et al.]: explicit calculation of instanton contributions to the prepotential.

These results have to be compared to the results obtained from the study of the Seiberg-Witten curves. This has been done and there is a perfect agreement.

Dirichlet Branes

- Fundamental object for Gauge/Gravity: **Dirichlet brane**
- Dynamic at low energy is described by massless excitations of strings attached to the brane
 - ▶ **Abelian supersymmetric gauge theory with 16 supercharges on the worldvolume**
- Stack of N branes: non-abelian gauge theory with gauge group $U(N)$.



Non-trivial Backgrounds

- Stack of $D3$ branes

Space-time (10D) = Worldvolume (4D) + Transverse space (6D)

$$\mathcal{M}_{10D} = \text{[Stack of 4D branes]} \otimes \text{[6D Transverse space]}$$

- One simple choice that permits to engineer the $\mathcal{N} = 2$ theory on the $D3$ branes worldvolume is the following orbifold:

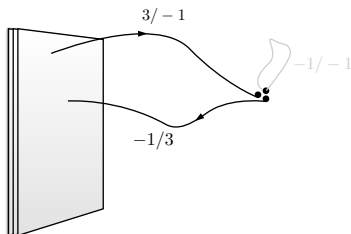
$$\mathbb{C} \times \mathbb{C}^2 / \mathbb{Z}_2.$$

Instantons

- Instantons can be described with brane systems
- **$D3/D(-1)$ brane system**: the $D(-1)$ brane is an instanton of the gauge theory living on the worldvolume of the $D3$ brane
[E. Witten, M. Douglas]
- Which is the interpretation of string states suspended between a $D3$ and a $D(-1)$ brane and with both extremities on the $D(-1)$ brane?
- They are in correspondence with the **ADHM supersymmetric multiplets** of the gauge theory on the $D3$ brane.

D3/D(-1) System on $\mathbb{R}^4 \times \mathbb{C} \times \mathbb{C}^2/\mathbb{Z}_2$

- ADHM moduli for $\mathcal{N} = 2$
- Essential role of $3/(-1)$ strings: twisted states



Twisted NS sector

$$\omega_{\dot{\alpha}} \quad \bar{\omega}_{\dot{\alpha}}$$

Twisted R sector

$$\mu^A \quad \bar{\mu}^A$$

NS sector

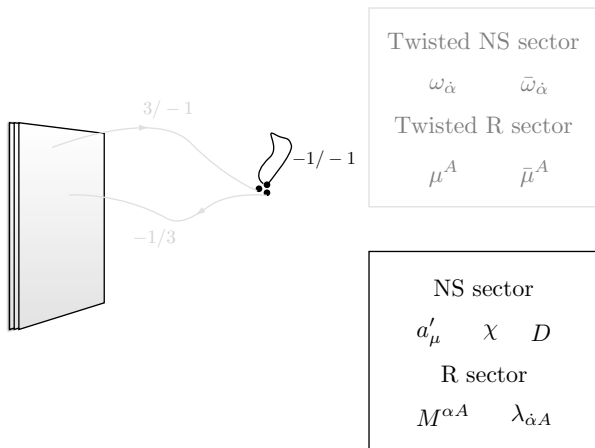
$$a'_\mu \quad \chi \quad D$$

R sector

$$M^{\alpha A} \quad \lambda_{\dot{\alpha} A}$$

D3/D(-1) System on $\mathbb{R}^4 \times \mathbb{C} \times \mathbb{C}^2/\mathbb{Z}_2$

- ADHM moduli for $\mathcal{N} = 2$
- Essential role of $3/(-1)$ strings: twisted states

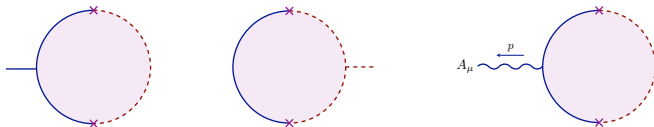


Instanton Calculus and Open Strings

Open strings $\rightarrow$ multi-instanton calculus:

- **Instanton effective action** $\tilde{S}$
 - ▶ Computation of scattering amplitudes between open string states with mixed boundary conditions: mixed disk diagrams
- **Instanton profiles**
 - ▶ Mixed disks with emission of a gauge field
- Prescription for the integration: $S_{\text{eff}}^{(k)} \sim e^{2\pi i k \tau} \int d\mathcal{M}_k e^{-\tilde{S}}$.

[M. Billó, M. Frau, I. Pesando, F. Fucito, A. Lerda, A. Liccardo]



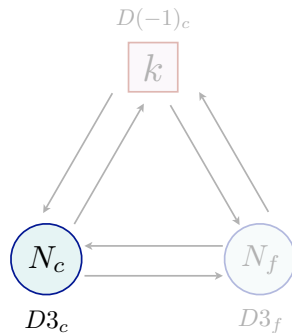
Instantons on the Higgs Branch

As an application of the methods described above I will show how to calculate the **instanton effective action** for instantons on the **Higgs branch** of the $\mathcal{N} = 2$ SYM theory with matter.

- It would be interesting to see if there is an instanton contribution in this case
- The instanton effective action calculated with the instanton calculus techniques in field theory coincide with the string theory result (Gauge/Gravity checked).

Brane model analysis

The model: $D3_c/D3_f/D(-1)_c$ on $\mathbb{R}^4 \times \mathbb{C} \times \mathbb{C}^2/\mathbb{Z}_2$



$D3_c/D3_c \longrightarrow$ Chiral vector multiplet Φ

$D3_c/D3_f \longrightarrow$ Hypermultiplet $\mathcal{Q}$

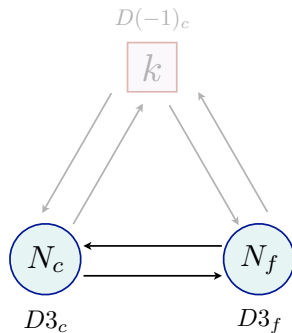
$D(-1)_c/D(-1)_c$

$D3_c/D(-1)_c \longrightarrow$ ADHM moduli

$D3_f/D(-1)_c$

Brane model analysis

The model: $D3_c/D3_f/D(-1)_c$ on $\mathbb{R}^4 \times \mathbb{C} \times \mathbb{C}^2/\mathbb{Z}_2$



$D3_c/D3_c \longrightarrow$ Chiral vector multiplet Φ

$D3_c/D3_f \longrightarrow$ Hypermultiplet $\mathcal{Q}$

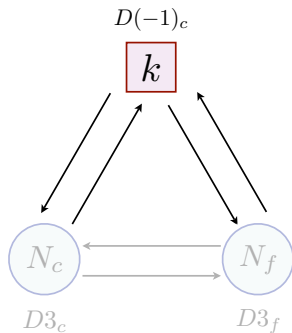
$D(-1)_c/D(-1)_c$

$D3_c/D(-1)_c \longrightarrow$ ADHM moduli

$D3_f/D(-1)_c$

Brane model analysis

The model: $D3_c/D3_f/D(-1)_c$ on $\mathbb{R}^4 \times \mathbb{C} \times \mathbb{C}^2/\mathbb{Z}_2$



$D3_c/D3_c \longrightarrow$ Chiral vector multiplet Φ

$D3_c/D3_f \longrightarrow$ Hypermultiplet $\mathcal{Q}$

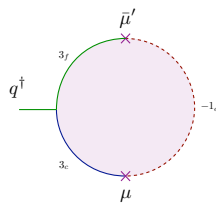
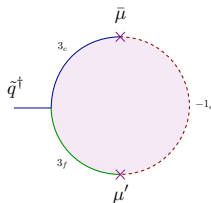
$D(-1)_c/D(-1)_c$

$D3_c/D(-1)_c \longrightarrow$ ADHM moduli

$D3_f/D(-1)_c$

Disk Amplitudes

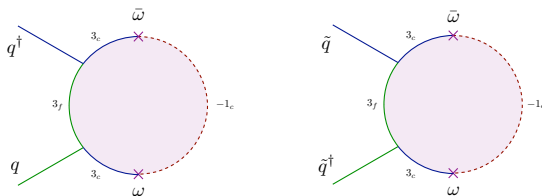
- The instanton effective action $\tilde{S}$ is obtained from scattering amplitudes on disks between open strings attached to the branes.
- Let us consider amplitudes with insertions of ADHM moduli and hypermultiplet. **Three-point amplitudes:**



$$\begin{aligned}\tilde{S}_3 &= - \langle\langle \mathcal{V}_{\bar{\mu}} \mathcal{V}_{\tilde{q}^\dagger} \mathcal{V}_{\mu'} \rangle\rangle = - \frac{8\pi^2}{g^2} \int \frac{\prod_i dz_i}{dV_{CKV}} \langle \mathcal{V}_{\bar{\mu}}(z_1) \mathcal{V}_{\tilde{q}^\dagger}(z_2) \mathcal{V}_{\mu'}(z_3) \rangle \\ &= \dots = -i \bar{\mu}(\tilde{q})^\dagger \mu' .\end{aligned}$$

Disk Amplitudes

Four-point amplitudes:



$$\tilde{S}_4 = - \langle\langle \mathcal{V}_{\bar{\omega}} \mathcal{V}_{q^\dagger} \mathcal{V}_q \mathcal{V}_\omega \rangle\rangle = \dots = \bar{\omega}^{\dot{\alpha}} q^\dagger q \omega_{\dot{\alpha}}$$

The scalars can be promoted to the whole hypermultiplet by supersymmetry:

- $q \rightarrow q(x) \rightarrow Q(x, \theta)$
- $\tilde{q} \rightarrow \tilde{q}(x) \rightarrow \tilde{Q}(x, \theta)$

Result

Instanton effective action:

$$\begin{aligned}
 \tilde{S} = & S_{ADHM} + \\
 & - 2[\chi^\dagger, a'_\mu][\chi, a'^\mu] + (\omega_{\dot{\alpha}}\chi - \Phi\omega_{\dot{\alpha}})(\chi^\dagger\bar{\omega}^{\dot{\alpha}} - \bar{\omega}^{\dot{\alpha}}\bar{\Phi}) \\
 & + (\omega_{\dot{\alpha}}\chi^\dagger - \bar{\Phi}\omega_{\dot{\alpha}})(\chi\bar{\omega}^{\dot{\alpha}} - \bar{\omega}^{\dot{\alpha}}\Phi) \\
 & + i\bar{\mu}^A\epsilon_{AB}(\mu^B\chi^\dagger - \bar{\Phi}\mu^B) - iM^{\alpha A}\epsilon_{AB}[\chi^\dagger, M_\alpha^B] \\
 & + \bar{\omega}^{\dot{\alpha}}(Q)^\dagger Q\omega_{\dot{\alpha}} + \bar{\omega}^{\dot{\alpha}}\tilde{Q}\tilde{Q}^\dagger\omega_{\dot{\alpha}} - i\bar{\mu}\tilde{Q}^\dagger\mu' - i\bar{\mu}'Q^\dagger\mu.
 \end{aligned}$$

Summary

- **Brane models** permit to clarify instanton aspects of supersymmetric gauge theories; stringy instantons lead to new phenomena important for phenomenological models.
- I showed a model that permits to engineer the $\mathcal{N} = 2$ theory with matter and to study instantons on the **Higgs branch**. The instanton effective action is computed from mixed disk diagrams.
- Current work
 - ▶ Perform the integration over instanton moduli space:

$$S_{\text{eff}}^{(k)}(\Phi, \mathcal{Q}) \sim e^{2\pi i k \tau} \int d\mathcal{M}_k e^{-\tilde{S}(\mathcal{M}, \Phi, \mathcal{Q})}$$

- ▶ Analyze other solitons in field theory (monopoles, vortices) with type IIB brane models on orbifolds; stringy interpretation of Nahm construction, ...

Summary

Thank you!