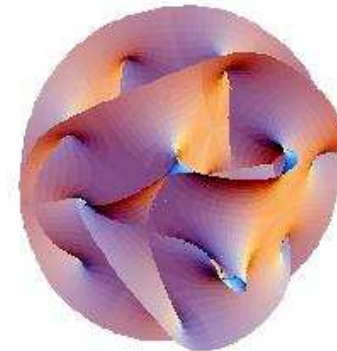


GUTs from F-Theory

(Particle Physics meets Algebraic Geometry)

Ralph Blumenhagen

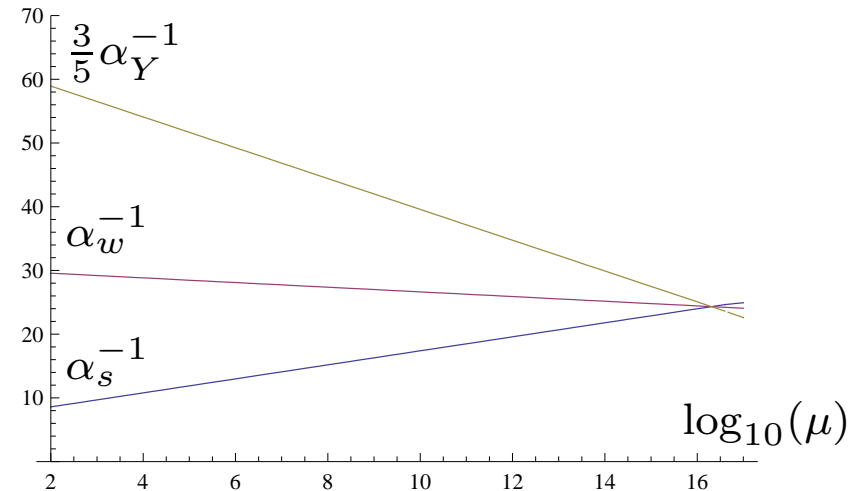
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Grand Unification

Grand Unification

- One-loop running of the three Standard Model gauge couplings with MSSM matter spectrum above the TeV scale, (Ellis, Kelley, Nanopoulos), (Amaldi, de Boer, Fürstenau), (Langacker, Luo)
- Evidence for a supersymmetric Grand Unification at $M_X = 2.1 \cdot 10^{16}$ GeV, as for instance:
 - Gauge group: $SU(5)$
 - chiral matter in $\mathbf{10} + \bar{\mathbf{5}} + \mathbf{1}$
 - Higgs field: $\mathbf{5}_H + \bar{\mathbf{5}}_H$
 - Yukawa couplings: $\mathbf{10} \mathbf{10} \mathbf{5}_H, \mathbf{10} \bar{\mathbf{5}} \bar{\mathbf{5}}_H, \bar{\mathbf{5}} \mathbf{1} \mathbf{5}_H$



Beyond CFT: F-Theory

Beyond CFT: F-Theory

Consider Type IIB: $SL(2, \mathbb{Z})$ symmetry acting as

$$\tau' = \frac{a\tau + b}{c\tau + d}, \quad \begin{pmatrix} F'_3 \\ H'_3 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} F_3 \\ H_3 \end{pmatrix}$$

- magnetically charged (p, q) 7-branes
- fundamental string can end on a D7-brane $\rightarrow (p, q)$
string can end on a (p, q) 7-brane
- backreaction of D7-brane $\tau = \frac{1}{2\pi i} \log z$ induce an
 $SL(2, \mathbb{Z})$ monodromy $M_{D7} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$
- general (p, q) 7-brane induces a monodromy

$$M_{(p,q)} = \begin{pmatrix} 1-pq & p^2 \\ -q^2 & 1+pq \end{pmatrix}$$

F-Theory

F-Theory

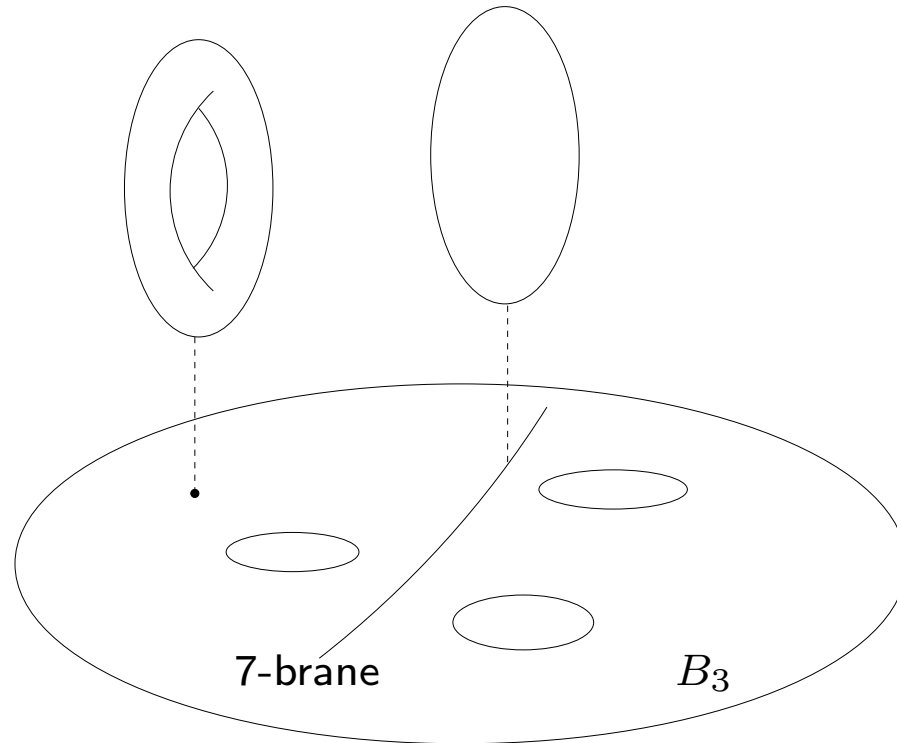
F-theory is a way of book-keeping of the positions of more general (p, q) -7-branes in Type IIB $\mathcal{N} = 1$ compactifications

elliptic fibration: $Y \rightarrow B_3$

Susy $\rightarrow Y$ Calabi-Yau
4-fold. Elliptic curve
 $y^2 = x^3 + f(u)x + g(u)$
with complex structure:

$$\tau = C_0 + i e^{-\varphi}$$

with $j(\tau) = \frac{4(24)f^3}{4f^3 + 27g^2}$



(Vafa, Nucl. Phys. B469:403, 1996), (Beasley, Heckman, Vafa,
arXiv:0802.3391+0806.0102), (Donagi, Wijnholt. arXiv:0802.2969)

F-Theory

F-Theory

- Consistency condition: Degeneration loci can be described by a **compact** Calabi-Yau fourfold Y

- D3-tadpole:

$$N_{D3} + \frac{1}{2} \int_Y G_4 \wedge G_4 = \frac{\chi(Y)}{24}$$

- Gauge symmetry on co-dimension one loci in B :
Degeneration of elliptic curve, ADE Kodaira classification
- Matter localized on further enhancements over co-dimension two loci. Example: $SO(10)$ enhances to E_6 : **16** or to $SO(12)$: **10**
- Yukawa couplings localized over triple intersection on co-dimension 3 loci
- 4D chirality only for $G_4 \neq 0$

F-Theory

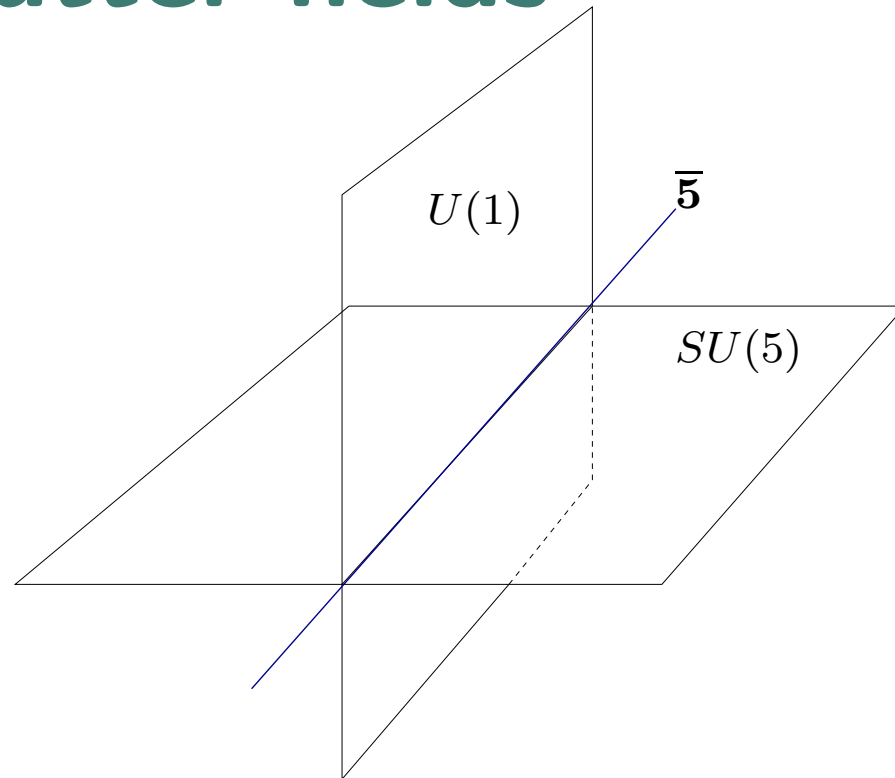
F-Theory

$ord(f)$	$ord(g)$	$ord(\Delta)$	fiber	singularity	comp.	monod.
≥ 0	≥ 0	0	I_0	smooth	1	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
0	0	1	I_1	dbl. point	1	$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$
0	0	n	I_n	A_{n-1}	n	$\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}$
2	≥ 3	$n + 6$	I_n^*	D_{n+4}	$n + 5$	$\begin{pmatrix} -1 & -n \\ 0 & -1 \end{pmatrix}$
≥ 2	3					
≥ 3	4	8	IV^*	E_6	7	$\begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}$
3	≥ 5	9	III^*	E_7	8	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$
≥ 4	5	10	II^*	E_8	9	$\begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$

Matter fields

Matter fields

Matter fields are generally localised on curves: $C = D_a \cap D_b$



F-theory: Enhancement of the singularity over the intersection: $SU(5) \times U(1) \rightarrow SU(6)$

$$\mathbf{35} = \mathbf{24}_0 + \mathbf{1}_0 + \mathbf{5}_1 + \bar{\mathbf{5}}_{-1}$$

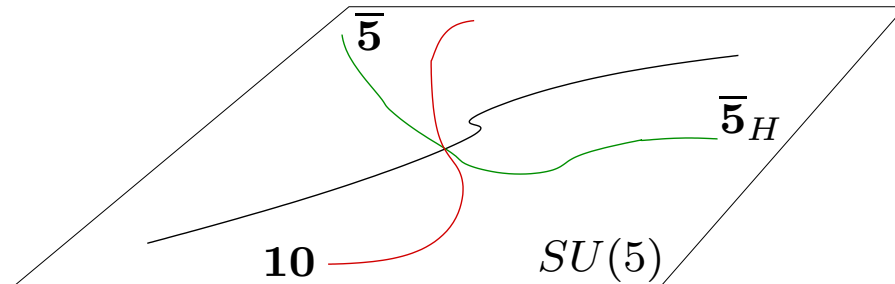
resp. $SU(5) \times U(1) \rightarrow SO(10)$

$$\mathbf{45} = \mathbf{24}_0 + \mathbf{1}_0 + \mathbf{10}_2 + \bar{\mathbf{10}}_{-2}$$

Yukawa couplings

Yukawa couplings

Yukawa couplings:



The **Yukawa** couplings which give masses to the MSSM fields after GUT and electroweak symmetry breaking are

$$\mathbf{10}^{(2,0)} \mathbf{10}^{(2,0)} \mathbf{5}_H^{(1,-1)}, \quad \mathbf{10}^{(2,0)} \bar{\mathbf{5}}^{(-1,-1)} \bar{\mathbf{5}}_H^{(-1,1)},$$

$$\mathbf{1}_N^{(0,2)} \bar{\mathbf{5}}^{(-1,-1)} \mathbf{5}_H^{(1,-1)}$$

F-theory: **Enhancement** to $SU(5) \times U(1) \times U(1) \rightarrow E_6$

$$\mathbf{78} \rightarrow \mathbf{adj.} + \mathbf{10}_{(-1,3)} + \mathbf{10}_{(4,0)} + \mathbf{5}_{(-3,-3)} + \mathbf{1}_{(5,3)} + c.c.$$

Yukawa: $\mathbf{10105}_H$ of $O(1)$ possible in F-theory!

GUT symmetry breaking

GUT symmetry breaking

Symmetry breaking via gauge flux F_Y : $c_1(L_Y) \in H^2(D)$ has to be trivial in $H^2(X)$, i.e. $\iota_*(L_Y) = 0$.

$$24 \rightarrow (\mathbf{8}, \mathbf{1})_0 + (\mathbf{1}, \mathbf{3})_0 + (\mathbf{3}, \mathbf{2})_5 + (\bar{\mathbf{3}}, \mathbf{2})_{-5}$$

To avoid exotic states, need to change embedding to

$$F = \sum_{a=1}^8 F_{SU(3)}^a \begin{pmatrix} \lambda_a/2 & 0 \\ 0 & 0 \end{pmatrix} + \sum_{i=1}^3 F_{SU(2)}^i \begin{pmatrix} 0 & 0 \\ 0 & \sigma_i/2 \end{pmatrix} +$$

$$\frac{1}{6} F_Y \begin{pmatrix} -2_{3 \times 3} & 0 \\ 0 & 3_{2 \times 2} \end{pmatrix} +$$

$$\left(\bar{f}_a + \frac{2}{5} \bar{f}_Y \right) \begin{pmatrix} 1_{3 \times 3} & 0 \\ 0 & 1_{2 \times 2} \end{pmatrix} + \frac{1}{5} \bar{f}_Y \begin{pmatrix} -2_{3 \times 3} & 0 \\ 0 & 3_{2 \times 2} \end{pmatrix},$$

Compact models

Compact models

Problem: Realisations of all these local features in genuine compact F-theory

First step: Type IIB orientifolds compactified on Calabi-Yau 3-folds containing appropriate del-Pezzo surfaces (Bhg, Braun, Grimm, Weigand)

- del-Pezzo transitions of the Quintic $\mathbb{P}^4[5]$: sing.
 $x_5^2 P_3(x_1, x_2, x_3, x_4) = 0 \rightarrow dP_6$
- uplift to F-theory (Bhg, Grimm, Jurke, Weigand)
- study degenerations of the elliptic fiber with $SU(5)$ enhancement and appropriate matter curves and Yukawa couplings
- choice of G_4 -form flux resp. gauge flux with 3 generations, spectral cover construction (Bhg, Grimm, Jurke, Weigand)

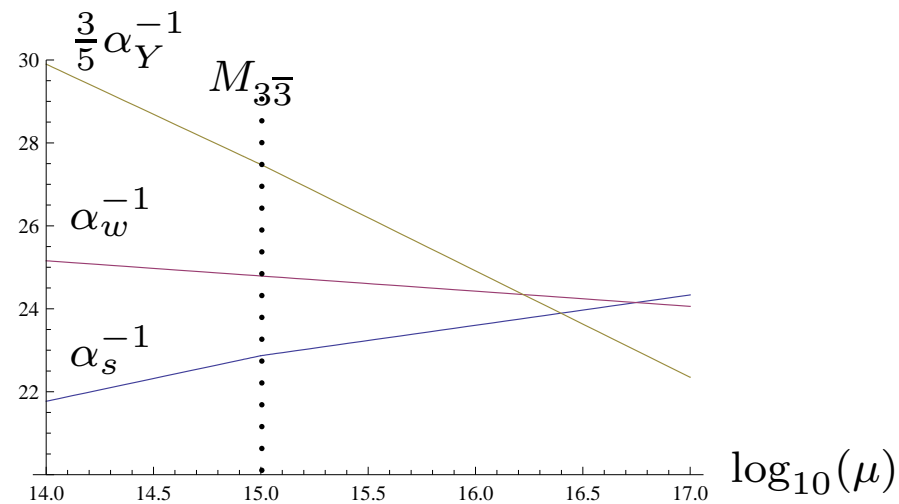
Gauge coupling unification

Gauge coupling unification

The gauge couplings at the **string/GUT scale** are changed due to the **$U(1)_Y$ flux** and satisfy the relation (Bhg, arXiv:0812.0248)

$$\frac{1}{\alpha_Y(M_s)} = \frac{1}{\alpha_w(M_s)} + \frac{2}{3\alpha_s(M_s)}.$$

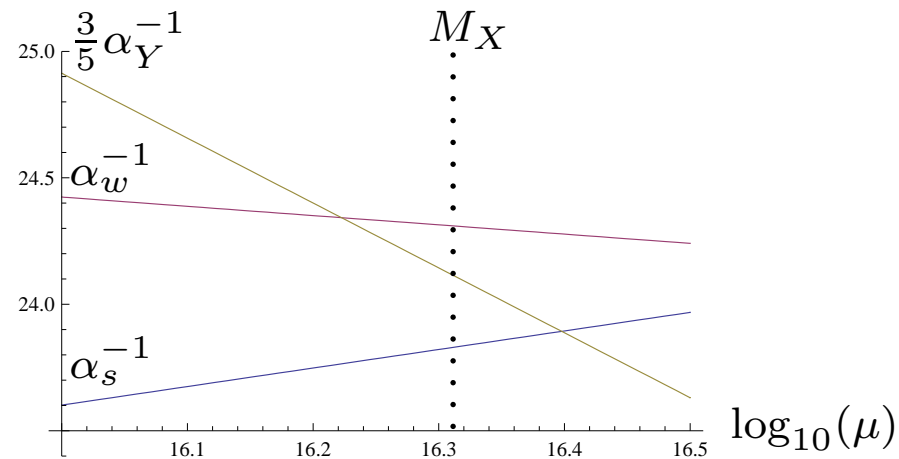
Include the **Higgs triplet** above a scale $1\text{TeV} < M_{3\bar{3}} < M_X$ in the running:



Gauge coupling unification

Gauge coupling unification

zooming further in



The three MSSM gauge couplings satisfy the **F-theory GUT relation** at

$$M_X = 2.1 \cdot 10^{16} \text{ GeV}$$

independent of the triplet mass scale $M_{\mathbf{3}\bar{\mathbf{3}}}$.

Work in progress

Work in progress

F-theory/IIB orientifold compactifications provide new and very promising string theory realisations of $SU(5)$ GUTs:

- Better understanding of **CY 4-folds** and its degenerations
- Instanton effects in F-theory, in particular with **charged zero modes**.
- Include **moduli stabilisation** in the construction, susy breaking (improved LARGE volume scenario, gauge mediated), soft terms, collider signatures (**Bhg, Conlon, Krippendorf, Moster, Quevedo**)
- Implications for the so-called **landscape** of string vacua
→ landscape deconstruction?

Workshop: *GUTs and Strings*, MPI, February, 2010

Structure of the group at MPI

Structure of the group at MPI

Research topics in the string theory group in 2009:

- Low string scale signatures at LHC: D. Lüst, S. Stieberger + D. Härtl, O. Schlotterer
- Flux compactifications and generalized geometry: D. Lüst + J. Held
- F-theory GUTs: R. Blumenhagen + B. Jurke, T. Rahn, H. Roschny
- AdS-CFT at finite T and Quark-Gluon plasma : J. Erdmenger + M. Ammon, V. Graß , C. Greubel, S. Halter, P. Kerner, H. Ngo Thanh
- M. Zagermann (Emmy Noether research group leader) moved to University of Hannover
- PhDs: C. Caviezel, S. Körs, R. Meyer, E. Plauschinn, F. Rust, M. Schmidt-Sommerfeld

Structure of the group at MPI

Structure of the group at MPI

Book projects

- *String Cosmology*, Erdmenger (ed.), Wiley VCH
- *Introduction to Conformal Field Theory*, Blumenhagen, Plauschinn, Lecture Notes in Physics, Springer
- *Basic Concepts of String Theory*, Blumenhagen, Lüst, Theisen, in preparation, Springer