## Physics with the HERA Collider



- Introduction to Electron-Proton Deep Inelastic Scattering

Quark-Parton-Model, Structure Functions, Quantum Chromodynamics

- Measurements of the Structure Functions
- Gluon density and the Strong Coupling Constant

- Testing the Electroweak Model in the Space-like Region
- Open questions
- Conclusions


HERA - the world's largest electron microscope (Deutsches Elektronen-Synchrotron DESY, Hamburg, Germany)

HERA start: 1992 upgraded in 2001: "HERA II


## From Rutherford to Deep Inelastic Scattering (DIS)

$$
\begin{aligned}
& \left(k-k^{\prime}\right)^{2}=q^{2} \underbrace{E^{\prime}}{ }^{k^{\prime}} \text { Coulomb force: } F=\frac{1}{4 \pi \varepsilon_{0}} \frac{e Z e}{r^{2}} \quad \begin{array}{l}
\text { scattering of } \\
\text { spinless objects }
\end{array} \\
& =-Q^{2} \\
& \left(\frac{d \sigma}{d \Omega}\right)_{\text {Ruth }}=\frac{\alpha^{2} Z^{2}}{4 E^{2} \sin ^{4}(\theta / 2)} \quad \alpha=\frac{e^{2}}{4 \pi \varepsilon_{0} \hbar c}
\end{aligned}
$$

- include spin of beam electron: $\frac{d \sigma}{d \Omega}=\left(\frac{d \sigma}{d \Omega}\right)_{\text {Ruth }}\left(1-\sin ^{2}(\theta / 2)\right)$
(no backscattering)
- proton spin + target mass correction ( $Z=1$ ):

$$
\begin{gathered}
\frac{d \sigma}{d Q^{2}}=\frac{\pi \alpha^{2}}{4 E^{2} \sin ^{4}(\theta / 2)} \frac{1}{E E^{\prime}}\left(\cos ^{2}(\theta / 2)+2 \tau \sin ^{2}(\theta / 2)\right) \\
d \Omega=\left(\pi / E^{\prime 2}\right) d Q^{2} \quad \tau=Q^{2} /\left(4 M^{2}\right)
\end{gathered}
$$

## Deep Inelastic Scattering (DIS)



QPM


$$
\begin{aligned}
& Q^{2}=-\left(k-k^{\prime}\right)^{2} \quad(\text { momentum transfer })^{2} \\
& =-q^{2} \quad \text { virtuality of } \gamma^{*}, Z^{0}, W^{ \pm} \\
& \rightarrow(\text {.size" of the probe })^{-1} \\
& \text { fraction of the proton } \\
& \text { momentum carried by } \\
& \text { the charged parton } \\
& \text { fraction of the electron } \\
& \text { energy carried by the } \\
& \text { virtual photon } \\
& \text { (.,inelasticity") } \\
& s=(k+P)^{2} \quad \text { center of mass energy } \\
& \text { of ep system } \\
& \text { (mass) }{ }^{2} \text { of } \gamma^{*} p \text { system } \\
& Q^{2}=s x y
\end{aligned}
$$

## The Kinematic Reach of HERA



Determination of kinematics („e"-method):


$$
Q^{2}=4 E E^{\prime} \cos ^{2}\left(\frac{\theta}{2}\right)
$$

$$
y=1-\frac{E^{\prime}}{E} \sin ^{2}\left(\frac{\theta}{2}\right)
$$

$$
x=\frac{Q^{2}}{s y}
$$

Determination of cross sections :
$\frac{d^{2} \sigma}{d x d Q^{2}} \sim \frac{N-B}{\mathcal{L} \varepsilon} \overbrace{\text { luminosity }} \geqslant$ efficiency

## Cross Section and Structure Functions

 most general tensor satisfying charge conservation


## Structure Functions within the Quark-Parton-Model



- electron scatters off a charged constituent (parton) of the proton (= elastic scattering)
- identify the charged partons with QUARKS (= spin $1 / 2$ fermions)
$\longrightarrow$ Quark-Parton-Model (QPM)

$\frac{d^{2} \sigma\left(e^{ \pm} p\right)}{d x d Q^{2}}=\frac{2 \pi \alpha^{2}}{x Q^{4}}\left[Y_{+} F_{2}-y^{2} F_{L}\right]$
QPM: $\quad F_{2}(x)=\sum_{i=u, d} e_{i}^{2} x q_{i}(x) \quad \begin{gathered}\text { parton densities } \\ x q_{i}(x) \quad(\mathrm{pdf})\end{gathered}$


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## HERA's discovery:

strong rise of the parton densities at low momentum fraction

The new picture of the proton


## Quantum Chromodynamics (QCD)



Basic ingredients of QCD:

3. Evolution:

Parton densities become functions of $Q^{2}$

$$
\begin{aligned}
& x q_{i}(x) \rightarrow x q_{i}\left(x, Q^{2}\right) \\
& x \bar{q}_{i}\left(x, Q^{2}\right) \text { quarks } \\
& \text { antiquarks }
\end{aligned}
$$



## Quantum Chromodynamics (cont.)

Parton evolution according to Altarelli-Parisi (DGLAP) integro-differential equations:

$$
\begin{aligned}
\frac{d}{d \ln Q^{2}}\binom{g}{q_{S}} & =\frac{\alpha_{S}\left(Q^{2}\right)}{2 \pi}\left[\begin{array}{ll}
P_{g g} & P_{g q} \\
P_{q g} & P_{q q}
\end{array}\right] \otimes\binom{g}{q_{S}} \\
\frac{d}{d \ln Q^{2}} q_{N S} & =\frac{\alpha_{S}\left(Q^{2}\right)}{2 \pi} P_{q q}^{N S} \otimes q_{N S}
\end{aligned}
$$

$$
q_{S}\left(x, Q^{2}\right)=\sum_{i}\left(q_{i}+\bar{q}_{i}\right)
$$

$$
q_{N S}\left(x, Q^{2}\right)=\sum_{i}\left(q_{i}-\bar{q}_{i}\right)
$$

$$
P_{i j}: \text { splitting functions }
$$

$$
\begin{gathered}
\frac{1}{x} F_{2}\left(x, Q^{2}\right)=\sum_{i=1}^{n_{f}} e_{i}^{2} C_{i}\left(x, Q^{2}\right) \otimes(q+\bar{q})\left(x, Q^{2}\right)+ \\
C_{g}\left(x, Q^{2}\right) \otimes g\left(x, Q^{2}\right)
\end{gathered}
$$

$C_{i}\left(x, Q^{2}\right), C_{g}\left(x, Q^{2}\right), P_{i j}$ calculable in QCD $\sim O\left(\alpha_{\mathrm{S}}\left(Q^{2}\right)\right)+\ldots$
Theoretical approach: $\quad$ QCD fits to $F_{2}$ using gluon and quark densities

## Test of the QCD evolution

$\longrightarrow$ input: parton densities at some (low) $Q_{0}{ }^{2}$
$\longrightarrow$ fit $F_{2}$ for $Q^{2}>Q_{0}{ }^{2}$

## Quantitative Picture of the DGLAP Evolution

Ansatz for parton densities (non-pertubative):

$$
x q\left(x, Q_{0}\right)=A x^{B}(1-x)^{C}[1+D \sqrt{x}+E x+\ldots]
$$

QCD evolution (perturbative):



Quarks carry only about 1/2 of the nucleon momentum:


Electron Proton Scattering in Real Detectors ....

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## ZEUS+H1

$\frac{d^{2} \sigma\left(e^{ \pm} p\right)}{d x d Q^{2}}=\frac{2 \pi \alpha^{2}}{x Q^{4}}\left[Y_{+} F_{2}-y^{2} F_{L}\right]$
( measured cross section in bins of $x$ and $Q^{2}$
$\longrightarrow$ to measure $F_{2}$ need to get rid of $F_{L}$ !

- cut: use only events with $y<y_{\text {cut }}$ (typically $y_{\text {cut }}=0.6$ )
- Correct for remaining contribution using QCD

Big surprise in the early HERA running:

$\longrightarrow$| $F_{2} \begin{array}{l}\text { rising much faster with falling } x \\ \text { than expected in Regge picture }\end{array}$ |
| :---: |



## ZEUS+H1

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HERA data overlap and agree with fixed target data, similar in precision

Data well described by QCD evolution


## Precise SF data from HERA


rich possibilities to determine pdfs, test QCD (DGLAP, BFKL, ...), transition from DIS to $\gamma \mathrm{p}$,

Strong Rise of $F_{2}$ Towards low $x$

QCD fits: rise is driven by the gluons
Parameterize low $x$ part of $F_{2} \sim x^{-\lambda}$



At low $Q^{2}$ the slope $\lambda$ is approaching the "soft" Regge limit

2004 Nobel Prize in Physics for the Discovery of Asymptotic Freedom David Gross, David Politzer, Frank Wilczek

Frank Wilczek: ... The most dramatic of these (experimental consequences), that protons viewed at ever higher resolution would appear more and more as field energy (soft glue), was only clearly verified at HERA twenty years later. ...



## PDFs from HERA

Parton distributions (NLO): unfolded using the HERA $e^{ \pm} p$ data only


$$
H 1: \quad \mathrm{NC}+\mathrm{CC} \quad U, \bar{U}, D, \bar{D}, x g \leftrightarrow V, A, x g, \alpha_{s}
$$

ZEUS: NC+CC \& jets $u_{v}, d_{v}, \bar{u} \pm \bar{d}, x g, \alpha_{s}$
treatment of systematics, parameterisation forms and other details are subject to conventions
$\rightarrow$ PDFs from the H 1, ZEUS and global fits

## Gluon:

are in agreement

- dominant at low $x$
- Note: the scale for $x g$ distr. is 10 times larger
$\rightarrow$ scaling violations
- $x g$ is not an observable
- at $Q^{2}$ of a few $\mathrm{GeV}^{2}$ gluons become valence-like
$\rightarrow$ jets, heavy flavours, $F_{L}\left(x, Q^{2}\right)$
- directly sensitive to xg
- jets constrain $x g$ at $x \sim 0.1$
- $F_{L}$ can pin down $x g$ at low $x$


## The Longitudinal Structure Function $F_{L}$

## LO QCD :



$$
\frac{d^{2} \sigma\left(e^{ \pm} p\right)}{d x d Q^{2}}=\frac{2 \pi \alpha^{2}}{x Q^{4}}\left[Y_{+} F_{2}-y^{2} F_{L}\right] \quad \begin{aligned}
& \text { in principle need } \\
& 2 \text { measurements at } \\
& \text { different } \sqrt{s}
\end{aligned}
$$

$$
F_{L} \text { important at high } y(=\operatorname{low} x)
$$


"Subtraction method"

## Longitudinal Structure Function (cont.)



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## QCD: Strong Coupling Constant



Spectacular multi-jet events (here: di-jet event)
$\Rightarrow$ hadronic final state

## (multi) jet events: <br> 




## ZEUS NLO QCD fit (inclusive \& jets)

Include jets in direct yp and DIS into a QCD fit


Boson Gluon Fusion : depends on $x g(x)$ $\rightarrow$ constrains gluon at medium \& high $\times(0.01-0.4)$

QCD-Compton:
depends on $q(x)$ and $a_{s}$


Gluon uncertainty (with/wo jets)


Strong coupling:

$$
\begin{aligned}
& \alpha_{s}\left(M_{Z}^{2}\right)=0.1183 \pm 0.0028(\exp ) \\
& \pm 0.0008(\text { model }) \pm 0.0050(\text { scales })
\end{aligned}
$$

## Summary for Strong Coupling at HERA



- small experimental error ~1\%
- theory error dominates (NLO)
- call for NNLO


## Charm Production

-> dominated by Boson Gluon Fusion (BGF)
$p / g$ pdf's $\otimes \mathrm{pQCD} \otimes$ fragmentation
-> resolved photon plays important role in $\gamma p$


Fractional rates of charmed hadrons


Fractional momentum of
$D^{*}$ from c-quark


Perturbative QCD:

- hard scale $m_{c}{ }^{2}$.
- multi-scale problem

$$
m_{c}{ }^{2}, Q^{2}, p_{t}^{2}
$$

PDFs:

- directly sensitive to xg
- photon structure

Fragmentation:
Hi hemighere method HERA ep results are
 measurements, supporting universality of fragmentation
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## Charm Structure Function $F_{2}{ }^{c c}(x, Q 2)$



- charm contribution up to 25-30\%
- consistent with gluon from scaling violations

- scaling violations of $\mathrm{F}_{2}{ }^{c c}$ are increasing with decreasing of $x$ (similar to $F_{2}$ )


## Beauty identification techniques


$\mu$ - transverse momentum and impact parameter



Two-quark correlations
$D^{\star} \mu, \mu \mu(Q, m, \varphi)$


- heavy mass
- long lifetime
- decay channels ( $\mu, D$ )
- production (correlations)

Inclusive lifetime tag



- all tracks with $p_{\dagger}>500 \mathrm{MeV}$
- subtract the contents of negative bins
- both $c$ and $b$ are defined from the fit
- small extrapolation to the full phase space

Beauty Structure Function $F_{2}{ }^{\text {bb }}(x, Q 2)$


- measured for the first time
- compared with NLO and NNLO

Cross section fractions


- charm roughly constant ~ $24 \%$
- beauty changes from $\sim 0.3 \%$ to $\sim 3 \%$


## Summary for beauty at HERA




HERA collider experiments

- NLO is consistent both with DIS and yp data (although systematically higher)


## HERA-B

- close to kinematic threshold
- old and new results are compatible within $1.5 \sigma$


## Electroweak Sector: Scattering at high $Q^{2}$

$\operatorname{High} Q^{2} \approx M_{Z}^{2}, M_{W}^{2}$



NC Cross Section at high $Q^{2}$ and $x F_{3}$


$$
\begin{aligned}
\tilde{\sigma}_{N C}\left(e^{ \pm} p\right) & \sim \tilde{F}_{2} \mp \frac{Y_{-}}{Y_{+}} x \tilde{F}_{3} \\
Y_{ \pm} & =1 \pm(1-y)^{2}
\end{aligned}
$$

New structure function $x F_{3}$

$$
\begin{aligned}
& x F_{3}\left(x, Q^{2}\right)= \\
& \sum_{i} e_{i}^{2}\left(x q\left(x, Q^{2}\right)-x \bar{q}\left(x, Q^{2}\right)\right)
\end{aligned}
$$

$F_{L}$ can be safely neglected at high $Q^{2}$

Difference between $e^{ \pm} p$ is due to $\gamma Z$ interference

## NC Cross Section at high $x$ and $x F_{3}$

$$
\tilde{\sigma}_{N C}^{ \pm}=F_{2} \mp \frac{Y_{-}}{Y_{+}} x F_{3}
$$

HERA Neutral Current at high $\mathbf{x}$


mostly due to $\gamma Z$ interferentice:

$$
\mathrm{XF}_{3}^{\gamma Z}=\mathrm{XF}_{3} /\left[-\mathfrak{a}_{\mathrm{e}} \mathrm{~K}_{\mathrm{w}} /\left(\mathrm{O}^{2}+\mathrm{M}_{\mathrm{Z}}^{2}\right)\right]
$$


sensitive to EW param. \& polarisation
$x F_{3}$ constrains $u_{v}, d_{v}$ at high $x$
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## CC Cross Section at high $Q^{2}$ and the valence quarks



## Charged Currents \& flavour separation

## HERA Charged Current



## Light Quark Couplings to the $Z^{0}$


first coherent EW +PDF analysis at HERA (NC+CC data)

$$
\begin{array}{ll}
a_{q}=I_{q}^{3} \rightarrow\left(a_{u}=+1 / 2 ; a_{d}=-1 / 2\right) & F_{2} \approx F_{2}^{e m}+\left(v_{e}^{2}+a_{e}^{2}\right) K_{z}^{2} \cdot x \sum\left(v_{q}^{2}+a_{q}^{2}\right)(q+\bar{q}) \\
v_{q}=I_{q}^{3}-2 e_{q} \sin ^{2} \theta_{W} & x F_{3}^{N C} \approx-a_{e} K_{Z} \cdot 2 x \sum e_{q} a_{q}(q-\bar{q})
\end{array}
$$



TeVatron: qq $\rightarrow$ ee Drell-Yan, $A_{F B}$ LEP: $e e \rightarrow q q(g)\left(a^{2}{ }_{q}+v^{2}{ }_{q}\right)$


- more sensitive to u (pdfs)
- compatible precision with the TeVatron
- helps to resolve LEP ambiguities


## New Hadronic States: Pentaquarks

"The nucleon is made from 3 quarks"

$$
\theta^{+}(1530) \rightarrow n K^{+}
$$

uudds

$$
\rightarrow p K_{S}^{0}
$$

ddssū

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narrow state, seen recently in several experiments

## ZEUS



## $\theta^{+}$search at HERA



NO


H1 ( $\mathrm{pK}_{\mathrm{s}}^{0}, \overline{\mathrm{p}} \mathrm{S}_{\mathrm{s}}^{0}$ )

- limits at $95 \%$ CL
- for $Q^{2}>20 \mathrm{GeV}^{2}$ : $\sigma<100-120 \mathrm{pb}$ (ZEUS o~120 pb)

HERA-B ( $\mathrm{PK}_{s}{ }^{0}$ ) limits a+ $95 \% \mathrm{cL}$ :


## Observation of an Anti-charm Pentaquark

New state seen in H1: a charmed pentaquark $\quad \theta_{C} \rightarrow D^{*-} p\left(D^{*+} \bar{p}\right) \quad u u d d \bar{c}$


Mass: $3099 \pm 3$ (stat.) $\pm 5$ (syst.) MeV Width: $12 \pm 3$ (stat.) MeV

Background fluctuation prob. $4 \times 10^{-8}$

$$
=5.4 \sigma
$$



ZEUS/CDF do not confirm the signal ...

## Charmed pentaquarks ?

 .

## HERA II: High Lumi \& Polarization of Leptons



## HERA II performance


data taking until mid 2007:
$\sim O(0.7) \mathrm{fb}^{-1}$ per experiment in total

## HERA II:

- detectors and luminosity upgrade

|  | $e^{+} \mathrm{p}$ | $e^{-\mathrm{p}}$ |
| :--- | :---: | :---: |
| HERA I: | $100 \mathrm{pb}^{-1}$ | $20 \mathrm{pb}^{-1}$ |
| HERA II: | $50 \mathrm{pb}^{-1}$ | $100 \mathrm{pb}^{-1}$ |

- longitudinally polarized e beam in the colliding experiments


First results from HERA II


## Isolated leptons with PTmiss at HERA



Double luminosity:
e - excess persists at HERA II
$\mu$ - excess comes only from HERA I

## Isolated leptons with PTmiss at HERA (cont.)



H1 $e^{+} p:$ excess over SM both in $e$ and $\mu$ channels no excess in $e^{-p}$ data

ZEUS in agreement with SM

| $H 11994-2005$ | $e^{+} p\left(158 \mathrm{pb}^{-1}\right)$ |  | $e^{-p}\left(53 \mathrm{pb}^{-1}\right)$ |  |
| :--- | :---: | :---: | :---: | :--- |
|  | $e($ prel $)$ | $\mu($ prel $)$ | $e($ prel $)$ | $\mu($ prel $)$ |
| All $P_{T} \times$ | $19 / 14.6$ | $9 / 3.9$ | $6 / 5.8$ | $0 / 1.5$ |
| $P_{T} \times>25 \mathrm{GeV}$ | $9 / 2.3$ | $6 / 2.3$ | $2 / 0.9$ | $0 / 0.9$ |

$\tau$-lepton channel ( $P_{T} \times>25 \mathrm{GeV}$ )
ZEUS ( $130 \mathrm{pb}^{-1}$ ) $2 / 0.20$
H1 ( $108 \mathrm{pb}^{-1}$ ) $0 / 0.53$

## Summary \& Outlook

## Rich physics output from HERA:

- centered around QCD, but also EW, searches, ...
- key word: precision
- investigate implications of QCD (evolution, scales, ...)
- provide information essential for the LHC collider, see HERA-LHC workshop: http://www.desy.de/~heralhc/
- very often data are more precise than theory
- theory should catch up (... and it happens, e.g. NNLO in DIS)


## HERA II:

- lumi is a main issue !!! $\sim O(0.7 \mathrm{fb}-1)$ per experiment in total, exploit new detectors/triggers
- clarify isolated leptons, pdfs, HF, penta quarks, FL, ...
- less than 2 years of running time left (until mid of 2007) ...

