

# Halo-independent interpretation of dark matter searches with CRESST

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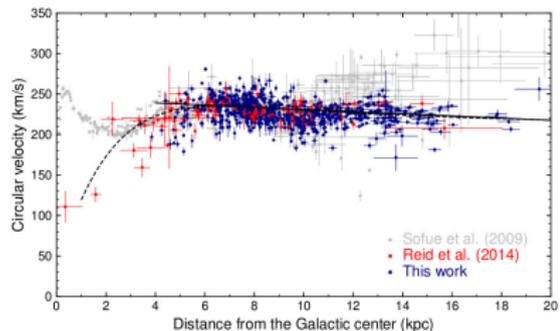
*Technische Universität München*  
*Max Planck Institute for Physics*

Under the supervision of Alejandro Ibarra,  
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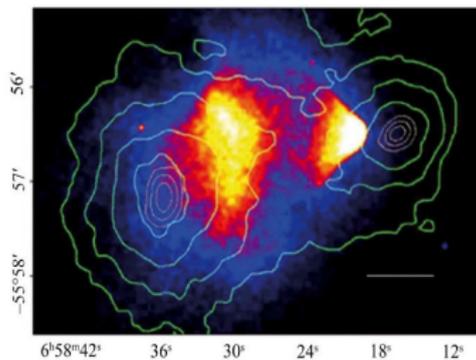


# Evidence for dark matter

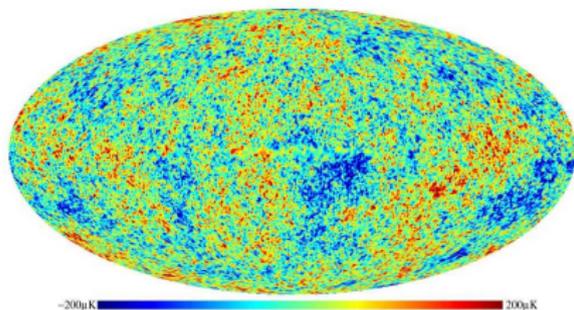
## Galaxy rotation curve



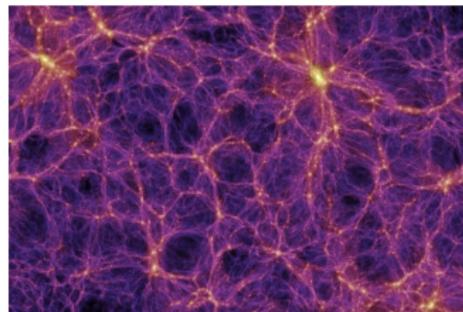
## Colliding galaxy clusters



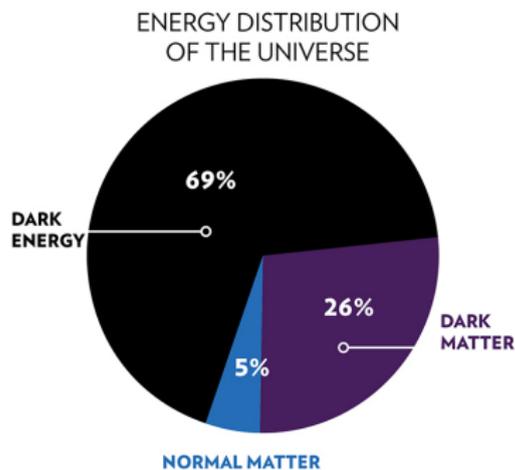
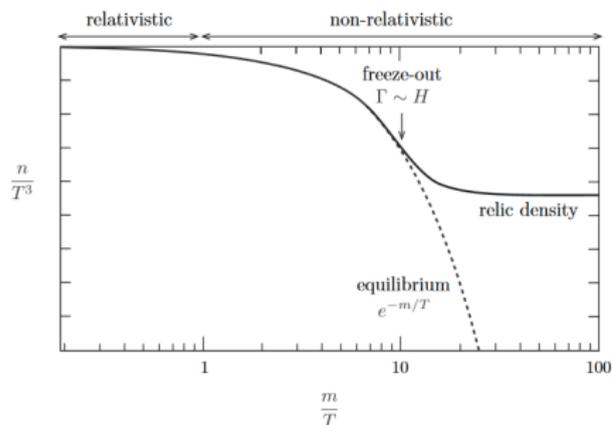
## Cosmic Microwave Background



## Large-scale structure

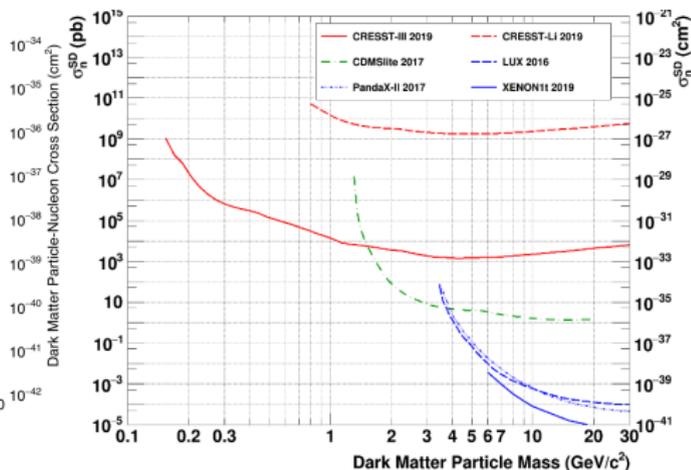
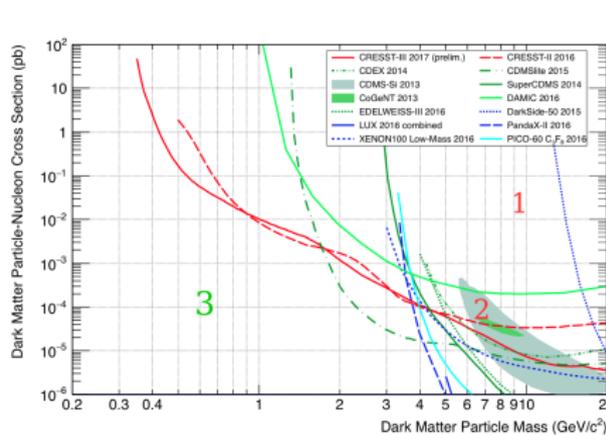


# Cold Dark Matter : WIMPS



- **Dark Matter** → **WIMPS (Weakly Interacting Massive Particles)** produced thermally → Relic abundance determined by freeze-out
- **WIMP miracle** → A  $\sigma_{DM}$  of the weak interaction range leads to the correct DM relic abundance

# Direct detection : CRESST



DD set **strong bounds** in the  $\sigma_{DM} - m_{DM}$  parameter space

- ✗ 1: Ruled out by several experiments
- ✗ 2: "Islands" not compatible with other experiments results.
- ✓ 3: Unexplored region, and CRESST is the world leading experiment below  $1.6 GeV$ !

## Direct Detection

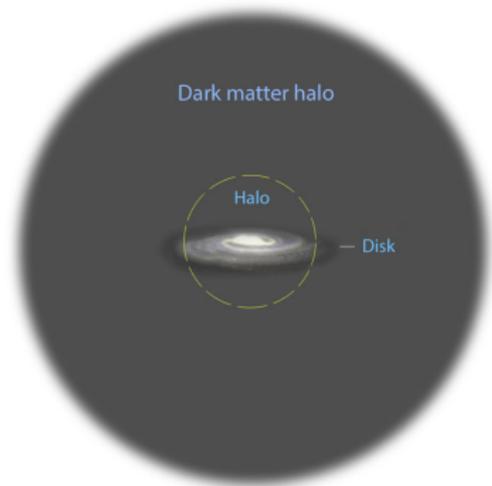
- Differential rate of DM-induced scattering :

$$\frac{dR}{dE_R} = \frac{\rho_{dm}}{m_A m_{DM}} \int_{v \geq v_{min}(E_R)} d^3v v f(\vec{v} + \vec{v}_{obs}(t)) \frac{d\sigma}{dE_R}$$

- **Astrophysical uncertainties**
- **Particle/nuclear physics uncertainties**

# The Standard Halo Model : Isothermal Sphere

- The equilibrium distribution of a gas of self-gravitating particles is an **isothermal sphere with density profile**  $\rho \propto r^{-2}$
- The velocity distribution  $f(\vec{v})$  arises as the solution to the collisionless Boltzmann-equation
- The Maxwell Boltzmann distribution is truncated at the local escape velocity of the Milky Way  $v_{esc} \approx 544 \text{ km/s}$



$$\rho(r) = \frac{\sigma_v^2}{2\pi Gr^2}$$

$$f(v) \propto v^2 \exp(-v^2/2\sigma_v^2)$$

$$\sigma_v \approx 156 \text{ km/s}$$

# Astrophysical uncertainties : The local Dark Matter density

- **Local measures** : Vertical kinematics of stars near the sun (tracers)

$$\rho_{dm} \approx 0 - 0.85 \text{ GeV}/\text{cm}^3$$

- **Global measures** : Extrapolate  $\rho_{dm}$  from the rotation curve

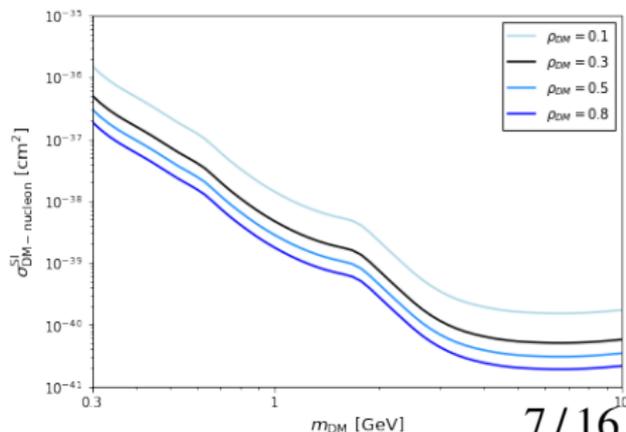
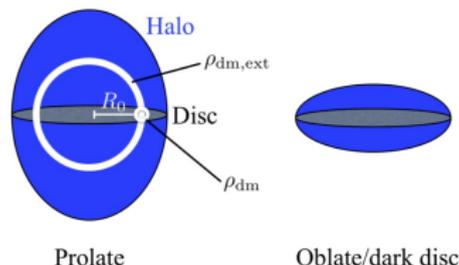
$$\rho_{dm} \approx 0.2 - 0.4 \text{ GeV}/\text{cm}^3$$

- Common choice :

$$\rho_{dm} = 0.3 \text{ GeV}/\text{cm}^3$$

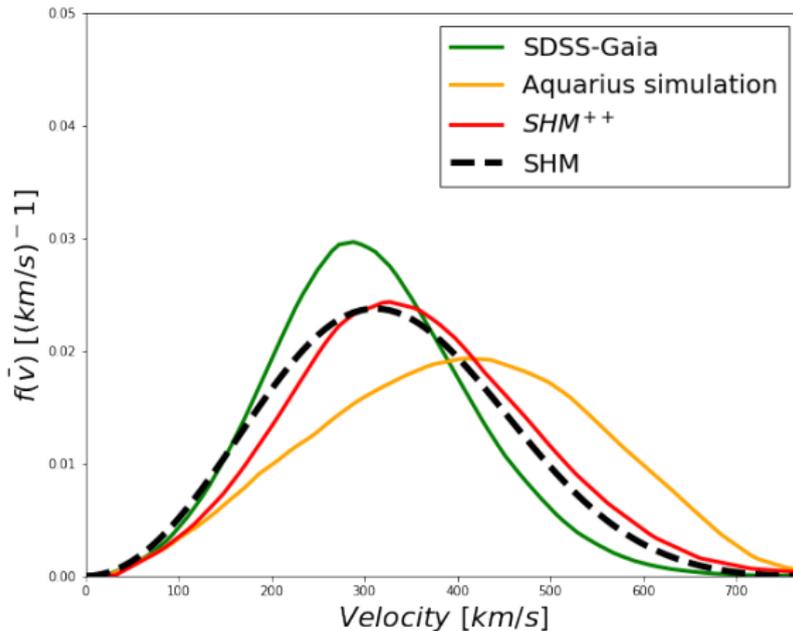
- $\rho_{dm}$  linear in the DM scattering rate : **Uncertainties can be treated by rescaling the signal normalization**

a)  $\rho_{dm} < \rho_{dm,ext}$       b)  $\rho_{dm} > \rho_{dm,ext}$



# Astrophysical uncertainties: Velocity distribution

- **Dark matter substructure** in the Milky Way → subhalos, streams, debris flow...
- **SHM** is neither a good fit to **observations** nor to **simulations**



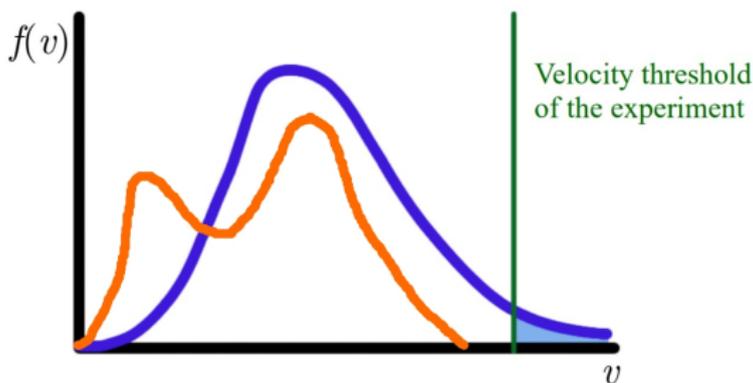
**THE SHM MIGHT NOT  
BE A CORRECT  
DESCRIPTION OF THE  
DM HALO!**

# Halo-independent approach

- A certain dark matter mass and cross section ( $\sigma, m_{DM}$ ) is ruled out independently of the velocity distribution if

$$\min_{f(\vec{v})} \{R(\sigma, m_{DM})\} > R_{max}$$

- A single direct detection experiment is not sufficient to probe a dark matter model in a fully halo independent way, **Why?**



Some velocity distributions could escape detection by the experiment

# How to parameterize the deviation from the SHM?

- The velocity distribution can be expressed as a **superposition of streams**  $\rightarrow f(\vec{v}) = \sum_i c_{v_i} \delta(\vec{v} - v_0)$
- ✓ **Information divergences** : Rich and varied library of distance measures  $\rightarrow$  Useful to parameterize different physics phenomena!

Minimize  $N_{expected}(\sigma, m_{DM})$

Subject to:  $D(f_{MB} || f) \leq const.$

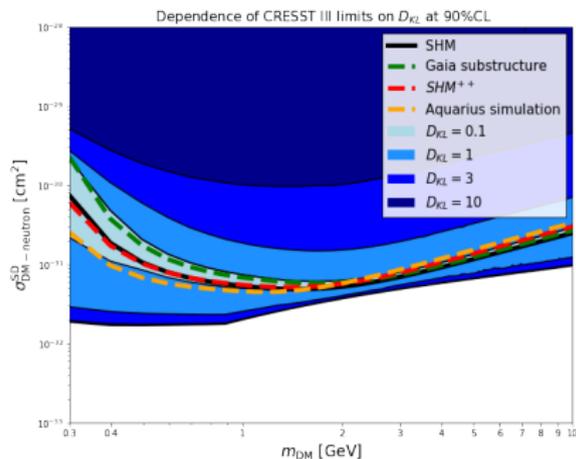
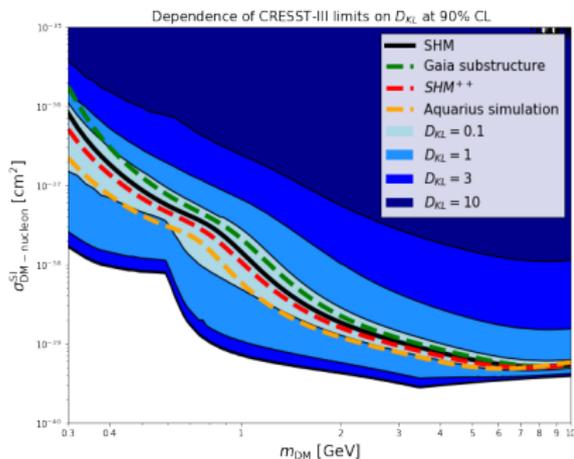
$$c_{v_i} \geq 0$$

$$\sum_i c_{v_i} = 1$$

- **Maxwell Boltzmann velocity distribution**
- **True velocity distribution**

- The **Kullback-Leibler divergence** is physically motivated and popular in Information theory → It represents the relative entropy between two distributions

$$D_{KL} = \int f_{MB}(v) \log \left( \frac{f_{MB}(v)}{f(v)} \right) dv$$

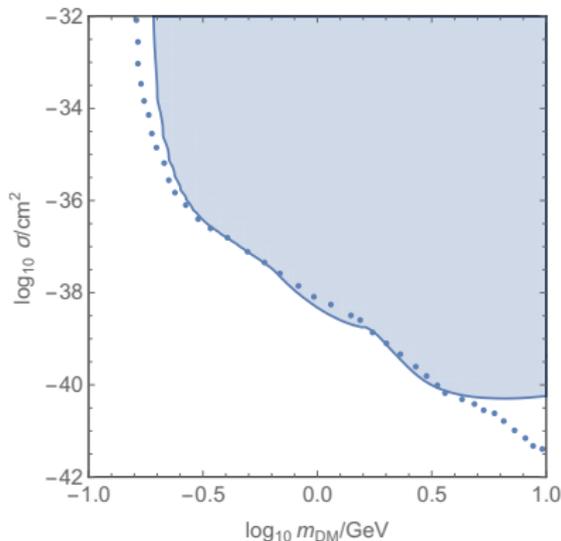


- Specific **dark matter models** could be rescued when **considering astrophysical uncertainties**

✓ Parameterized **model-independent** analysis!

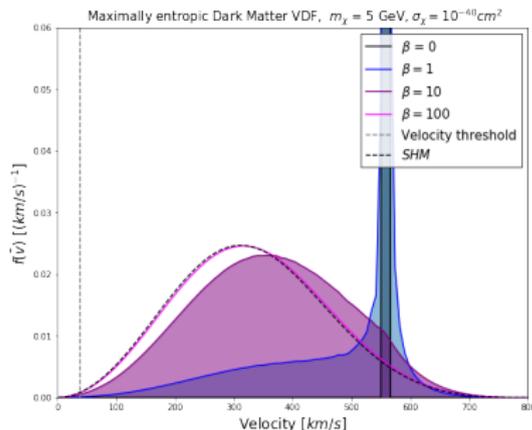
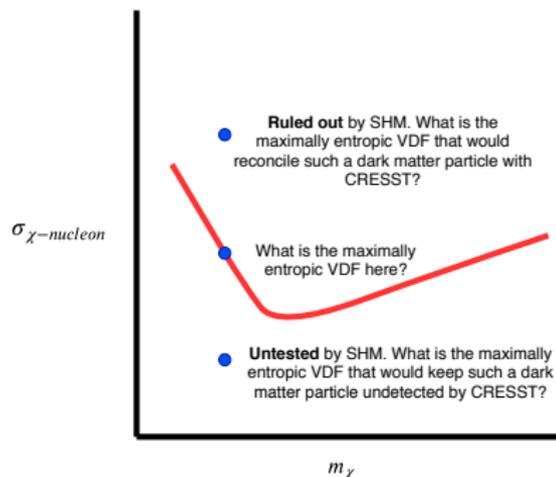
# DDCalc 2.2.0 : Implementing CRESST III

- **DDCalc** is the standard dark matter direct detection phenomenology software
- I implemented with the help of Felix Kahlhoefer and Andreas Rappelt the CRESST III experiment, available in the latest version 2.2.0.
- ✓ **Good agreement** with the official CRESST collaboration limit



# Bayesian estimate of the Dark Matter VDF

- The **principle of maximum entropy** constructs the maximally uninformative VDF given some constraints
- CRESST data can be used as a restriction in the set of possible VDF's  $\rightarrow \beta D_{KL}(f, f_{MB}) - \frac{1}{2}\chi^2(f)$
- The parameter  $\beta$  **quantifies** our knowledge of the prior distribution



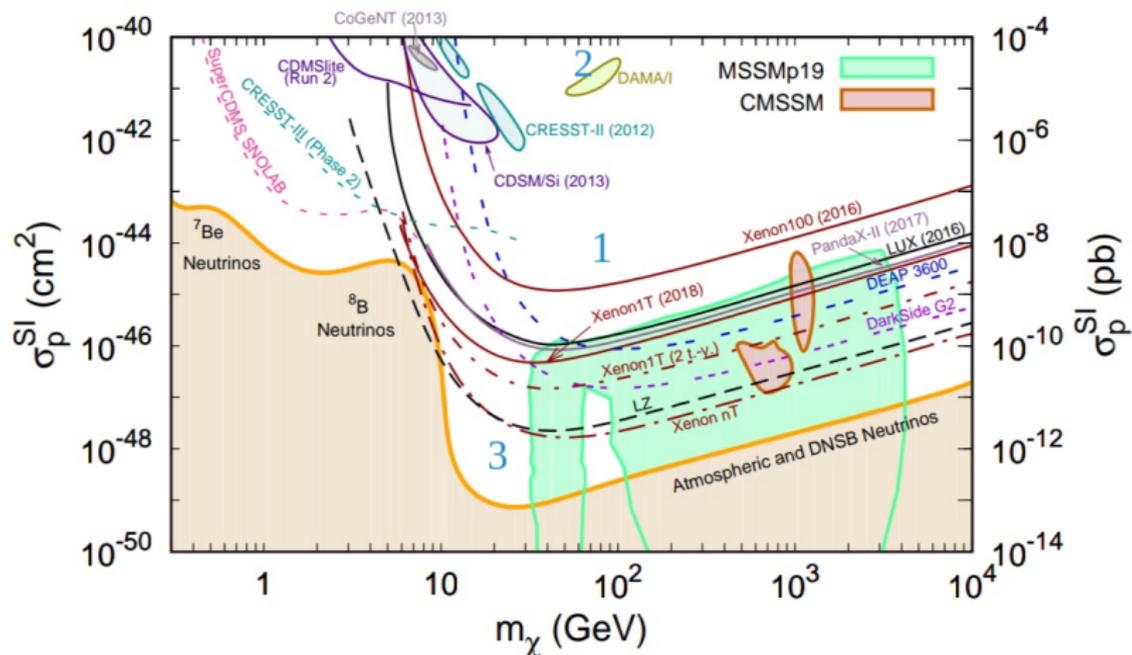
# Conclusions

- The DM velocity distribution inside the Solar System is unknown. Hints from simulations and observations disfavor the Standard Halo Model.
- We have developed a method to quantify the impact of astrophysical uncertainties in a direct detection experiment, based on tools from information theory.
- We have derived upper limits on the DM scattering cross-section using CRESST data, including uncertainties from the velocity distribution.

**Thanks for your attention**

# **BACK-UP SLIDES**

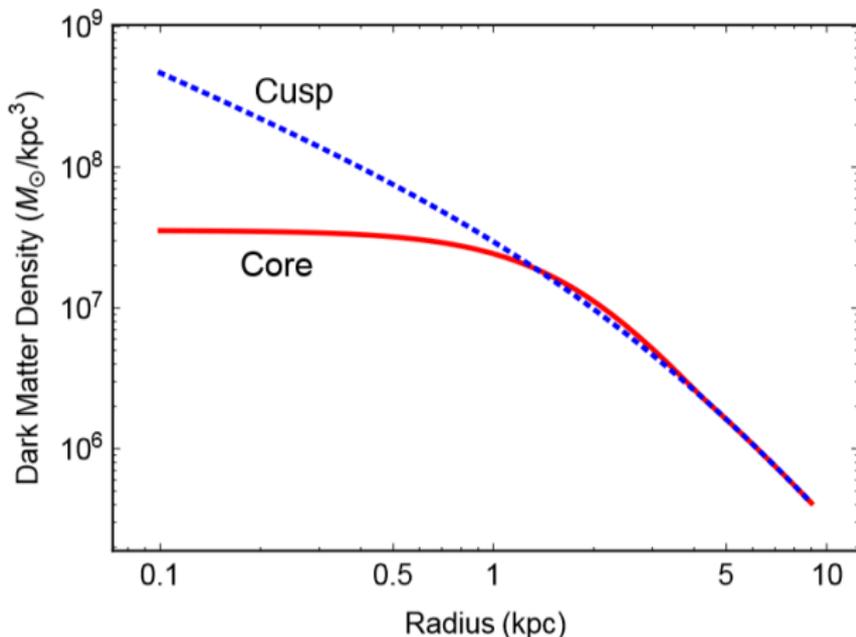
# Direct detection limits: High masses



- What is the impact of astrophysical uncertainties?
- How do these conclusions depend on  $f(\vec{v})$ ?

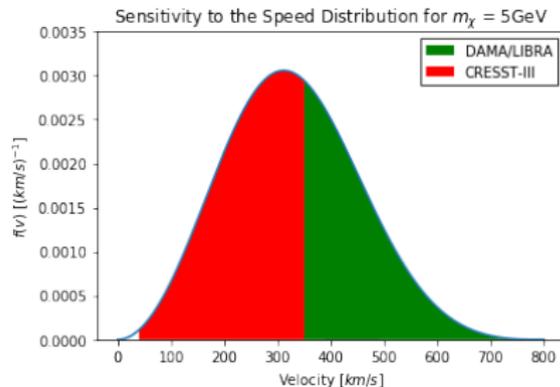
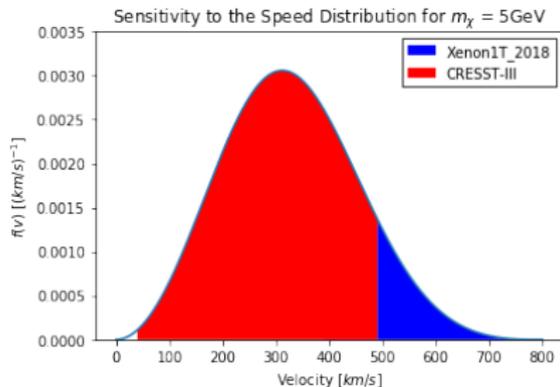
# Density profile: Core-cusp problem

Discrepancy between inferred dark matter density profile  $\rho(r)$  from rotation curves and cosmological N-body simulations predictions  
(core-cusp problem)



# Elastic kinematics: Velocity spectrum

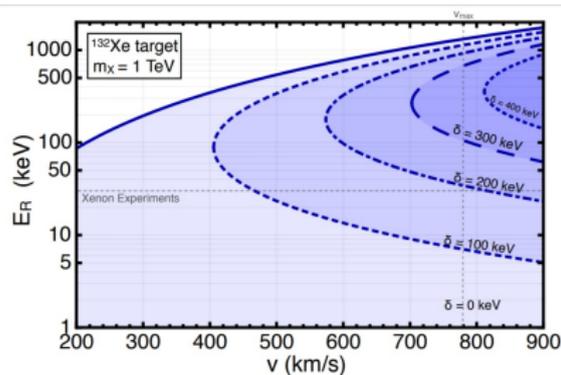
- Minimal velocity to induce a recoil:  $v_{min}(E_R) = \sqrt{\frac{E_R m_N}{2\mu^2}}$
- Most experiments are only sensitive to the high velocity tail for  $m_\chi < 10 \text{ GeV}$



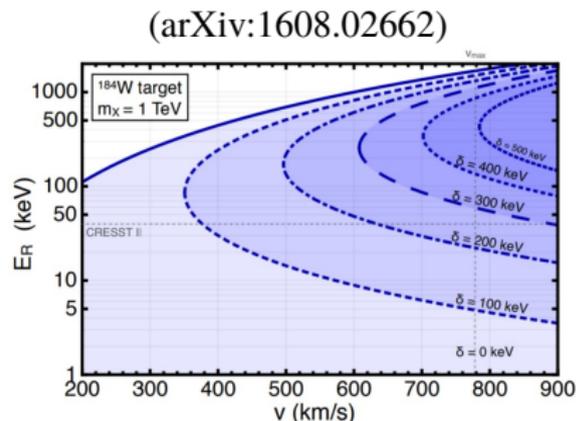
**CRESST-III** is (almost) able to probe the whole velocity spectrum for light WIMPS

# Different kinematics : Inelastic DM

- In some models Dark Matter can interact inelastically with nuclei accessing an excited state with mass splitting  $\delta$
- Minimal velocity to induce a recoil:  $v_{min} = \sqrt{\frac{2\delta}{\mu}}$



$$v_{min,Xe}(E_R) = 392 \text{ km/s}$$



$$v_{min,W}(E_R) = 340 \text{ km/s}$$

Experiments are only sensitive to the high velocity tail for IDM

# Information theory: Entropy

Proposed by Claude Shannon in 1948 in his article "*A Mathematical Theory of Communication*"

Suppose that you receive a message that consists of a string of symbols  $a$  or  $b$ , say

*ababbabaabbabaaaab....*

where  $a$  occurs with probability  $p$  and  $b$  with probability  $1 - p$

How much information can one extract from a long message of this kind, say  $N$  letters?

How is this information *measured*?

For large  $N$ , the message will consist approximately of  $pN$  occurrences of  $a$  and  $(1 - p)N$  occurrences of  $b$ . The number of such messages is

$$\frac{N!}{(pN)!((1-p)N)!} \approx \frac{1}{(p)^{pN} (1-p)^{(1-p)N}} = 2^{NH} \quad (1)$$

where  $H$  is the **entropy** per letter

$$H = -p \log p - (1-p) \log(1-p) \quad (2)$$

More generally

$$H(p) = - \sum_i p_i \log(p_i) \quad (3)$$

Information is measured in bits (base 2), nats (base e)...

The information gain in observing such a message is  $NH$

# KL divergence

$Q$  predicts  $x_i$  with probability  $q_i$ .  $P$  predicts  $x_i$  with  $p_i$ . After observing  $N$  times  $x$ , how sure could we be that  $Q$  is wrong?

If  $P$  is the correct distribution, we'll observe outcome  $i \approx p_i N$  times. We will judge the probability of what we have seen to be

$$\mathcal{P} = \prod_{i=1}^N q_i^{p_i N} \frac{N!}{\prod_{j=1}^N (p_j N)!} \quad (4)$$

for large  $N$

$$\frac{N!}{\prod_{j=1}^N (p_j N)!} \approx 2^{-NH} \quad (5)$$

and

$$\mathcal{P} \approx 2^{-N \sum_i p_i \log(\frac{p_i}{q_i})} \quad (6)$$

The relative entropy (per observation) or KL divergence is

$$D_{KL}(p, q) = \sum_i p_i \log\left(\frac{p_i}{q_i}\right) \quad (7)$$

# Shannon entropy vs Boltzmann entropy

- **Information theory**

- Entropy :  $H(p) = - \sum_i p_i \log(p_i)$
- $p_i$  : Probability of a discrete random variable
- **Entropy** quantifies the average amount of information conveyed by a message (event), when considering all possible outcomes.

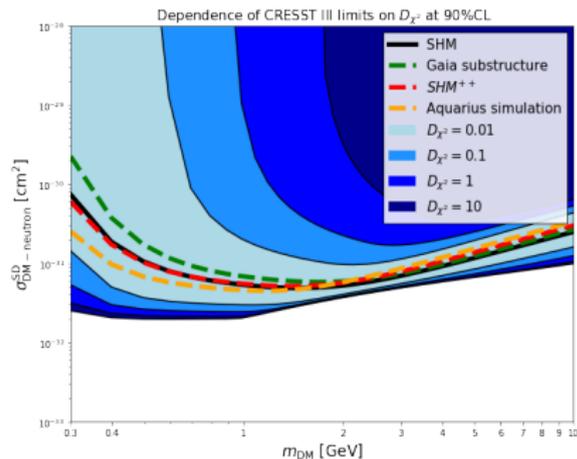
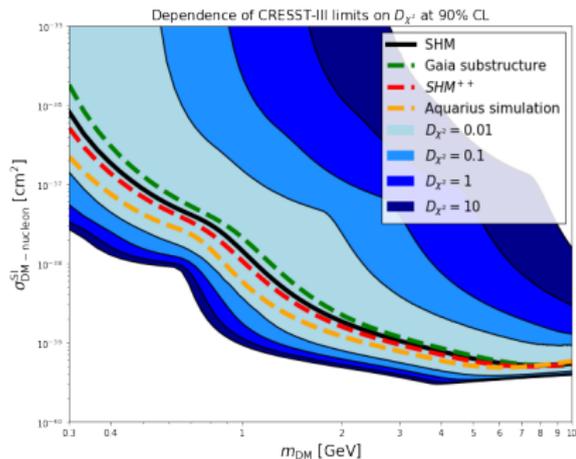
**Useful connection?** : The MB distribution can be obtained from both theories independently.

The MB distribution is the *Maximally uninformative distribution* under conservation of energy  $\langle H \rangle = E$

- **Statistical Mechanics**

- Entropy:  $S = -k_B \sum p_i \log(p_i)$
- $p_i$  : Probability of the microstate  $i$
- For a given set of macroscopic variables, the **entropy** measures the degree to which the probability of the system is spread out over different possible microstates

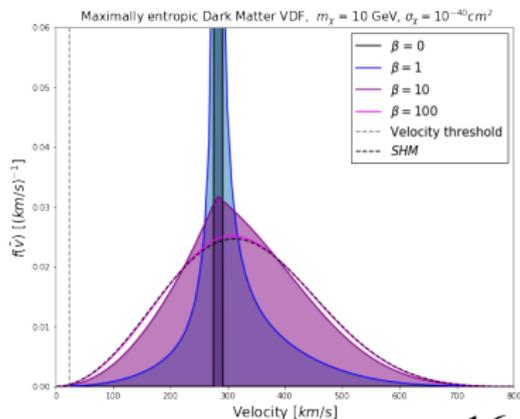
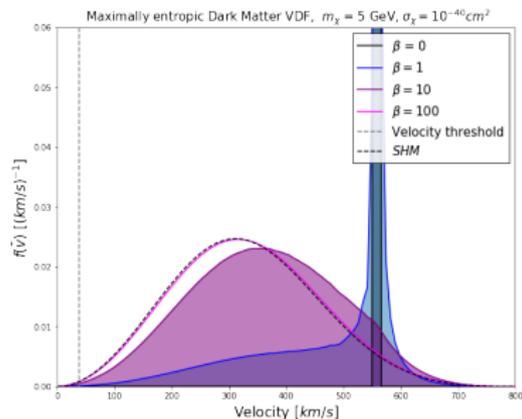
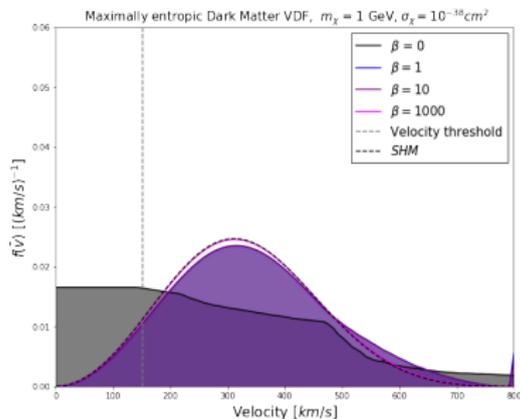
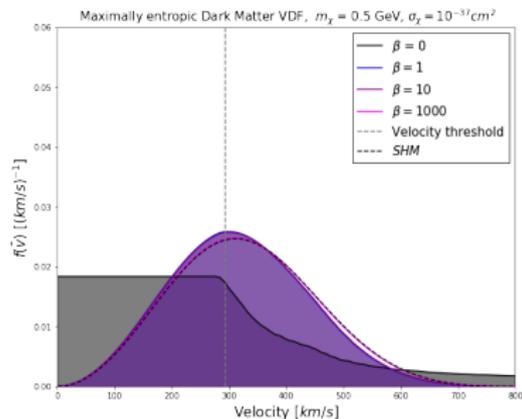
# Results for $\chi^2$ divergence



$$D_{\chi^2} = \int \frac{\left( f(v) - f_{MB}(v) \right)^2}{f_{MB}(v)} dv$$

**Different scaling than  $D_{KL}$**

# Additional plots of bayesian approach



# CRESST Limits: The Yellin Methods

- **Backgrounds are not known a priori**
- **Maximum gap**: A cross section  $\sigma$  is excluded as being too high if most random experiments would give smaller maximum gaps  $\rightarrow$  Function  $C_0(x)$  that equals the desired **confidence**
- Extension to **Optimum interval** method  $\rightarrow$  consider all integrals with  $1, 2, \dots, n_{obs}$  events, new  $C_n(x_n)$  obtained via Monte Carlo
- **Frequentist method !**

