

Black Holes and the Swampland

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String Theory at MPP

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- **Secretary:** Annette Sturm.
- **+ Master Students**

Introduction

- Black Holes (BHs) are physical objects
Nobel prizes '17, '20; First ever picture of event horizon '19
- Important window on quantum gravity
- We do not know the theory behind quantum gravity
- Can we use BHs as a tool to understand it?



Figure: Event Horizon Telescope

Dual nature of Black Holes

- BHs are spacetime geometries.

Solution of General Relativity: metric with horizon

- BHs are thermodynamical objects. They have

Temperature = Surface gravity

Entropy = Area of the horizon

Black Holes, microscopically

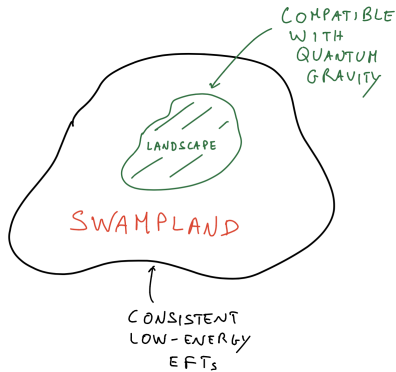
- We know how to describe BHs in string theory.
However, few and very unrealistic.
- For those, precise matching with Hawking's semiclassical picture in the appropriate limit. [Strominger, Vafa '96]
- Given our limited understanding of string theory, how to go beyond?

The swampland approach to quantum gravity

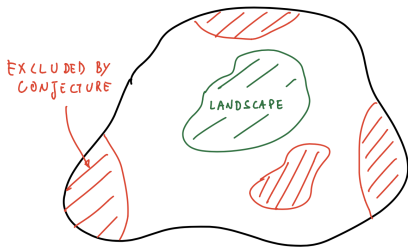
Swampland program

[Vafa '05]

- Not everything goes in quantum gravity
- Encode defining properties of quantum gravity into sharp statements/principles: **swampland conjectures**
- Theories not obeying them are in the swampland. They are **NOT** good effective field theories.
- Complementary to derivation from string theory (top-down)



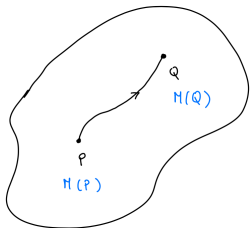
Ideally



In practice

The moduli space

- In a given effective field theory, scalar fields are coordinates of a manifold called **moduli space**.
- This can be endowed with a metric, which can be used to calculate distances.
- Physical quantities are functions of the scalars
E.g. masses, couplings, . . . , **temperature**, **entropy**



The distance conjecture

[Ooguri, Vafa '06]

Conjecture:

As the distance between two points in moduli space $d(P, Q) \rightarrow \infty$, an infinite tower of states with mass

$$M \sim M_0 e^{-\lambda d(P, Q)}, \quad \lambda > 0$$

enters the effective theory.

- The EFT **breaks down** since an infinite number of external light states enter.

Q: What happens to BHs solutions when temperature and entropy are very small/large?

A: Not clear yet [NC, Dierigl, Gnecci, Lüst, Scalisi, in progress], but it seems that large/small temperature limits can be **dual**.

This **duality** would be a general property of quantum gravity.

It might be a (remnant of a) string duality seen with EFT glasses.

Black holes and the swampland:
large/small temperature and entropy regimes

Black holes: geometry

- Solutions of General Relativity

$$ds^2 = -f(r, \alpha)dt^2 + f(r, \alpha)^{-1}dr^2 + r^2 dS_2^2, \quad \alpha = S, T, \dots$$

with horizon r_H , such that $f(r_H) = 0$.

- Add **scalars**: warped geometry

$$f(r, \alpha) \rightarrow e^{2U(\phi)} f(r, \alpha)$$

Black holes: thermodynamics

- Entropy given by the horizon Area

$$S = \frac{A}{4}$$

- Temperature given by the surface gravity

$$T = \frac{\kappa}{2\pi} = \frac{1}{4\pi} f'(r_H)$$

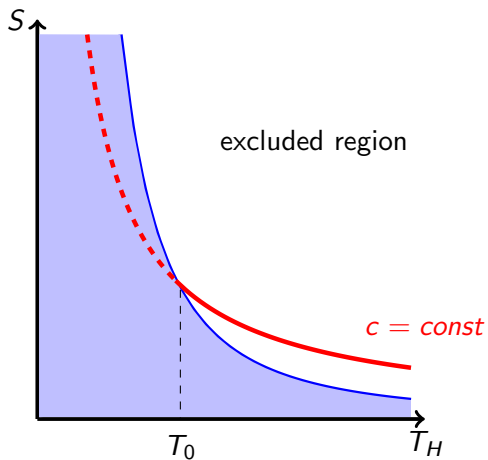
- Extremality parameter

$$c = 2ST$$

Extremal BH: $c = 0$

The strategy

- We look at BH solutions in supergravity, since they include scalars and have a moduli space
- We are particularly interested in non-extremal solutions
- We study limits in S, T parameter space by mapping them into limits in moduli space



One special scalar

In EFTs from string compactifications, one scalar field governs the size of the compact manifold.

It is called **volume** and is related to the Kaluza-Klein scale.

For 4D EFTs, we have

$$M_{KK} \sim \frac{1}{Vol^{\frac{1}{6}}}$$

In a BH solution, we invert $T = T(Vol)$ and $S = S(Vol)$, to get

$$Vol = Vol(T, S)$$

We can map large/small T, S limit into limit in moduli space, where swampland conjectures apply.

Limits and duality

Two interesting limits are:

- $T \rightarrow \infty$ (and $S \rightarrow 0$)

$$\text{Vol} \rightarrow \infty, \quad m_{KK} \rightarrow 0$$

- $T \rightarrow 0$ (then $S \rightarrow \infty$)

$$\text{Vol} \rightarrow 0, \quad m_{KK} \rightarrow \infty$$

Related by

$$T \rightarrow 1/T \quad \text{temperature duality}$$

In each direction we have a light tower of states: KK or winding.

Related works [[Agrawal, Gukov, Obied, Vafa, '20](#); [Blumenhagen, Kneißl, Makridou '21](#)].

Conclusion

- Black holes teach lessons about quantum gravity.
- The swampland program is a systematic bottom-up approach to quantum gravity.
- We can apply it to BH solutions to learn new properties of quantum gravity.
- These properties should be general and can improve our understanding.

Thank you!

Extra slides

An argument: Circle compactification

$$ds^2 = e^{2\alpha\phi} g_{\mu\nu} dx^\mu dx^\nu + e^{2\beta\phi} dy^2, \quad R \sim e^{\beta\phi}$$

Field theory

$$M^2 = \left(\frac{n}{R}\right)^2$$

- As $\phi \rightarrow \pm\infty$, different behaviour.

An argument: Circle compactification

$$ds^2 = e^{2\alpha\phi} g_{\mu\nu} dx^\mu dx^\nu + e^{2\beta\phi} dy^2, \quad R \sim e^{\beta\phi}$$

String theory

$$M^2 = \left(\frac{n}{R}\right)^2 + \left(\frac{wR}{\alpha'}\right)^2$$

- As $\phi \rightarrow \pm\infty$, there is **always** one exponentially light tower.
- Genuinely stringy. No analogous in field theory.
- Suggesting distance conjecture

$$M(\phi + \Delta\phi) \sim M(\phi) e^{-\alpha|\Delta\phi|}$$