

Magnetic Monopoles and the Callan-Rubakov Effect

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A dissertation for the Master of Science in Mathematical and
Theoretical Physics under the supervision of Prateek Agrawal

- ▶ magnetic monopoles usually excluded from electromagnetism
- ▶ 1974, 't Hooft¹ and Polyakov²: automatically included in certain non-abelian gauge theories
- ▶ 1982, Callan³ and Rubakov⁴: GUT monopoles induces baryon number non-conservation and proton decay without a GUT suppression

¹G.'t Hooft. "Magnetic monopoles in unified gauge theories". In: *Nuclear Physics B* 79.2 (1974), pp. 276–284.

²Alexander M. Polyakov. "Particle Spectrum in Quantum Field Theory". In: *JETP Lett.* 20 (1974). Ed. by J. C. Taylor, pp. 194–195.

³Curtis G. Callan. "Monopole catalysis of baryon decay". In: *Nuclear Physics B* 212.3 (1983), pp. 391–400.

⁴V.A. Rubakov. "Adler-Bell-Jackiw anomaly and fermion-number breaking in the presence of a magnetic monopole". In: *Nuclear Physics B* 203.2 (1982), pp. 311–348.

The 't Hooft-Polyakov monopole

Solitons and Topology



field theory of n scalar fields ϕ
 n -dimensional representation of a gauge symmetry with global group G

$$G \xrightarrow{V(\phi)} H$$

vacuum-manifold \mathcal{M}

$$\mathcal{M} \cong G/H$$

finite energy classical solution

$$\phi(\vec{x}) \in \mathcal{M} \quad \text{for } |\vec{x}| \rightarrow \infty$$

ϕ induced map

$$\phi_\infty = \phi(\vec{x})|_{|\vec{x}|=\infty} : \mathcal{S}_\infty^{n-1} \rightarrow \mathcal{M}$$

solitons described by ϕ_∞ modulo homotopies
 \implies classified by the $(n-1)$ th homotopy class $\pi_{n-1}(\mathcal{M})$.

Theorem:

$$\pi_2(G/H) = \pi_1(H) \quad (1)$$

and

$$\pi_1(U(1)) = \mathbb{Z}$$

define a winding number

$$N = \frac{1}{2\pi} \int_0^{2\pi} d\theta \frac{d\alpha}{d\theta} \quad (2)$$

The 't Hooft Polyakov Monopole



SU(2) non-abelian gauge theory, with an adjoint Higgs field

$$\phi = \phi^a \tau^a.$$

$$\mathcal{L} = \text{Tr}((D_\mu \phi)^2) - \frac{1}{2} \text{Tr}(F^{\mu\nu} F_{\mu\nu}) - V(\phi) \quad (3)$$

$$V(\phi) = \frac{\lambda}{4} \left(\text{Tr}(\phi^2) - \frac{v^2}{2} \right)^2 \quad (4)$$

minimum of the potential at $\phi^a \phi^a = v^2$

soliton solutions are possible:

$$\pi_2(SU(2)/U(1)) = \pi_1(U(1)) = \mathbb{Z}$$

The 't Hooft Polyakov Monopole



solution:

$$\phi = \tau^a \hat{r}^a h(r)$$

$$A^i = \tau^a \epsilon^{aim} \hat{r}^m \frac{1 - u(r)}{er}$$

$$A_0 = 0$$

Monopoles in Higher Gauge Groups

SU(5) GUT Monopoles



embed 't Hooft-Polyakov

$$T^a = \frac{1}{2} \text{diag} (0, 0, \sigma^a, 0)$$

SU(2) doublets

$$\begin{pmatrix} u_1 \\ u_2^C \end{pmatrix} \quad \begin{pmatrix} u_2 \\ u_1^C \end{pmatrix} \quad \begin{pmatrix} e^+ \\ d_3 \end{pmatrix} \quad \begin{pmatrix} d_3^C \\ e^- \end{pmatrix}$$

The Callan-Rubakov effect

Angular Momentum in Fermion Monopole Scattering and the $J=0$ Approximation



changed angular momentum

$$J = L + S + T \quad (5)$$

in monopole background:

$$\begin{aligned} \phi &= \tau^a \hat{r}^a h(r) \\ A^i &= \tau^a \epsilon^{aim} \hat{r}^m \frac{1 - u(r)}{er} \quad A_0 = 0 \end{aligned}$$

Massive Fermions and Bosonization



(1+1) dimensional fermionic fields in terms of scalars ϕ :

$$(e^+, e^-) \rightarrow \phi_e^+ \quad (d_3^C, d_3) \rightarrow \phi_{d_3^C} \quad (u_{1/2}, u_{1/2}^C) \rightarrow \phi_{u_{1/2}}$$

boundary condition:

$$\begin{aligned} \Phi_{e^+}(0) &= \Phi_{d_3^C}(0) & \Phi'_{e^+}(0) &= -\Phi'_{d_3^C}(0) \\ \Phi_{u_1}(0) &= \Phi_{u_2}(0) & \Phi'_{u_1}(0) &= -\Phi'_{u_2}(0) \end{aligned}$$

Lagrangian:

$$L = \int_0^\infty dr (\mathcal{L}_K + \mathcal{L}_M + \mathcal{L}_C)$$

Massive Fermions and Bosonization



Lagrangian:

$$L = \int_0^\infty dr (\mathcal{L}_K + \mathcal{L}_M + \mathcal{L}_C)$$

$$\mathcal{L}_K = \sum \frac{1}{2} (\partial_\mu \Phi_i)^2$$

$$\mathcal{L}_M = \sum \mu_i^2 \cos(2\sqrt{\pi}\Phi_i)$$

$$\begin{aligned} \mathcal{L}_C = & \frac{e^2}{32\pi^2 r^2} \left(\Phi_{e^+} + \frac{1}{3}\Phi_{d_3^c} + \frac{2}{3}\Phi_{u_1} + \frac{2}{3}\Phi_{u_2} \right)^2 \\ & + \frac{g^2}{32\pi^2 r^2} \left(\sqrt{\frac{2}{3}}\Phi_{d_3^c} + \sqrt{\frac{1}{6}}\Phi_{u_1} + \sqrt{\frac{1}{6}}\Phi_{u_2} \right)^2 \\ & + \frac{g^2}{32\pi^2 r^2} \left(\frac{1}{2}\Phi_{u_1} - \frac{1}{2}\Phi_{u_2} \right)^2 \end{aligned}$$

Massive Fermions and Bosonization



fermions occur as solitons:

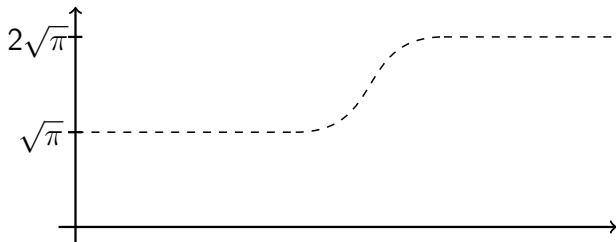


Figure: A kink like soliton in the bosonic theory equivalent to fermions in the original theory.

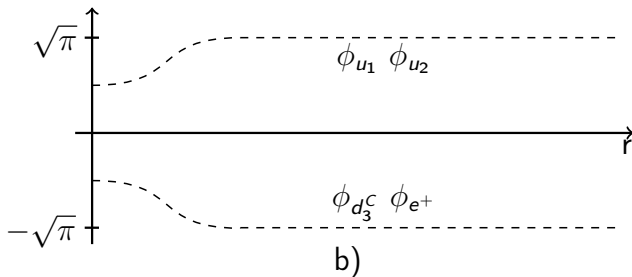
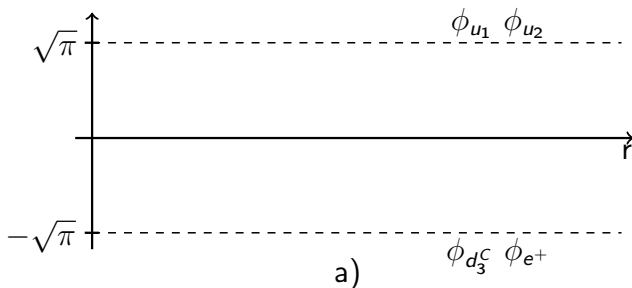
Massive Fermions and Bosonization



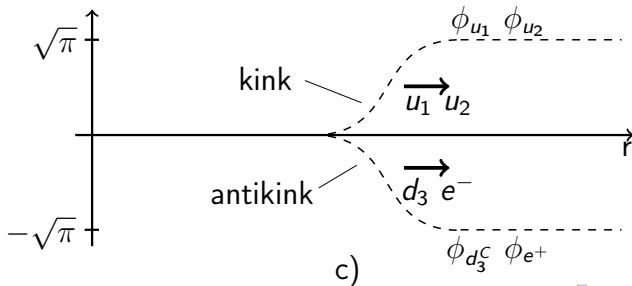
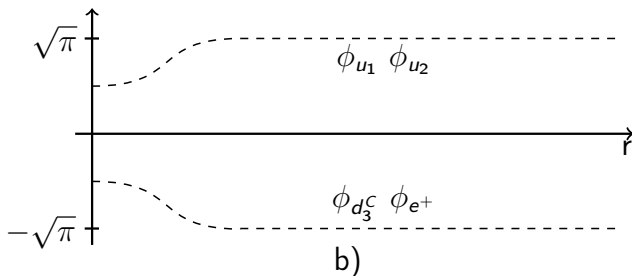
ground state $|N\rangle$:

$$\Phi_{u_1} = \Phi_{u_2} = N\sqrt{\pi} \quad \Phi_{e^+} = \Phi_{d_3^c} = -N\sqrt{\pi}$$

Massive Fermions and Bosonization



Massive Fermions and Bosonization



ground state $|N\rangle$:

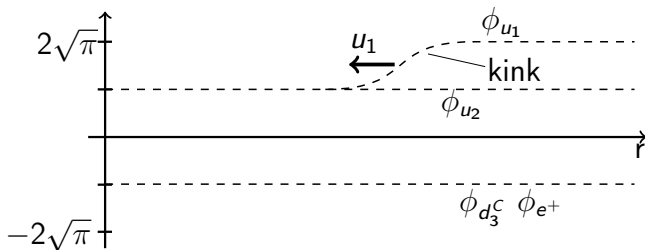
$$\Phi_{u_1} = \Phi_{u_2} = N\sqrt{\pi} \quad \Phi_{e^+} = \Phi_{d_3^c} = -N\sqrt{\pi}$$

transitions

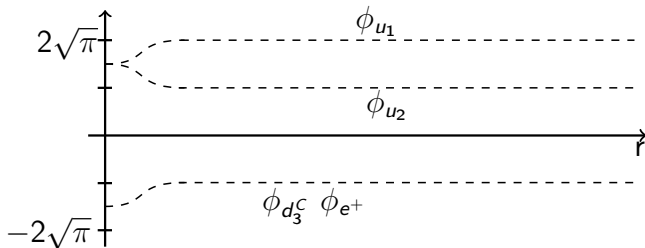
$$|N\rangle + \gamma \rightarrow |N-1\rangle + u_1 + u_2 + d_3 + e^- = |N-1\rangle + p + e^- \quad (6)$$

true vacuum: $\sum |N\rangle$.

Massive Fermions and Bosonization

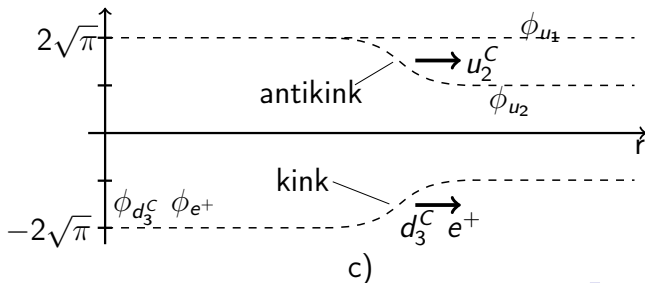
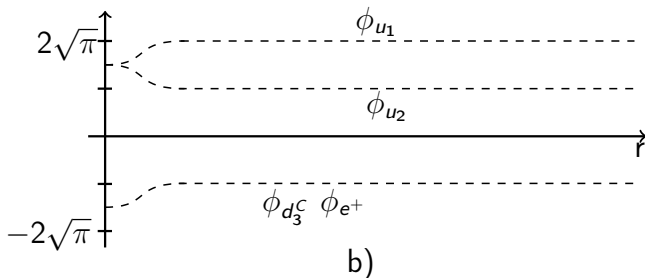


a)



b)

Massive Fermions and Bosonization



decays are possible:

$$u_1 + |N\rangle \rightarrow |N + 1\rangle + u_2^C + d_3^C + e^+$$

vacuum leads to catalyzation:

$$p + M \rightarrow M + e^+ + \pi + \pi$$

cross section at typical QCD scales:

$$\sigma = CE^{-2}$$

with an order one constant C

Thank you!

Questions?

Erick J. Weinberg. *Classical solutions in quantum field theory : solitons and instantons in high energy physics*. Cambridge monographs on mathematical physics. Cambridge, 2012

M. Shifman. *Advanced Topics in Quantum Field Theory: A Lecture Course*. Cambridge University Press, 2012. DOI:
[10.1017/CB09781139013352](https://doi.org/10.1017/CB09781139013352)

The 't Hooft Polyakov Monopole



winding number:

$$\nu = \frac{1}{8\pi} \int_{S^2_\infty} d^2 S_i \epsilon^{ijk} \epsilon_{abc} \hat{\phi}^a \partial_j \hat{\phi}^b \partial_k \hat{\phi}^c$$

asymptotic behaviour: $D_i \phi \rightarrow 0$ faster than $r^{-\frac{3}{2}}$, so that $\int dr r^2 (D_i^a \phi)^2$

$$0 = \frac{1}{8\pi} \epsilon^{ijk} \int_{S^2_\infty} d^2 S_i \left[\epsilon_{abc} \hat{\phi}^a \partial_j \hat{\phi}^b \partial_k \hat{\phi}^c + e \hat{\phi}^a F_{jk}^a \right]$$

$$Q_M = \int_{S^2_\infty} d^2 S_i \mathcal{B}^i = \int_{S^2_\infty} d^2 S_i \hat{\phi}^a \mathcal{B}^{i,a} = \frac{4\pi}{e} \nu$$

Zero Modes and Dyons



zero mode: zero energy excitation around the monopole solution

define gauge fixing:

$$0 = \langle \delta\Lambda | \delta \rangle \quad \text{with} \quad \delta\Lambda = \{D_i\Lambda, ie[\Lambda, \phi]\}$$

zero modes are related to broken symmetries, e.g.
translational zero modes

$$\delta_i A_j = F_{ij} \quad \delta_i \phi = D_i \phi \quad (7)$$

Zero Modes and Dyons

U(1) Zero Mode and Dyons



global $U(1)_{EM}$

$$U = \exp(i\alpha \cdot \phi(\mathbf{r})/v) \quad (8)$$

action of U on fields:

$$\begin{aligned} A_\mu &\rightarrow UA_\mu U^{-1} + \frac{i}{e} U(\partial_\mu U^{-1}) \\ \phi &\rightarrow U\phi U^{-1} = \phi \end{aligned}$$

symmetry is broken in the monopole case:

$$\delta A_\mu = i\frac{\alpha}{v} [\phi, A_\mu] + \frac{\alpha}{ve} \partial_\mu \phi = \frac{\alpha}{ve} D_\mu \phi = \begin{cases} \frac{\alpha}{ve} D_i \phi & \text{if } \mu = i \\ 0 & \text{if } \mu = 0 \end{cases}$$

Zero Modes and Dyons

U(1) Zero Mode and Dyons



movement along this coordinate has measurable effect

In analytic limit:

$$E_i^a = F_{0i}^a = \partial_0 A_i^a - \partial_i A_0^a - ie[A_0, A_i]^a = \partial_0 \delta A_i^a = \frac{\dot{\alpha}}{ve} D_i \phi^a = \frac{\dot{\alpha}}{ve} B_i^a$$

\implies electric field with charge

$$Q_E = \frac{\dot{\alpha}}{ev} Q_M \quad (9)$$

Lagrangian description:

$$L_\alpha = \int d^3x \left[\frac{1}{2} F_{0i}^a F_{0i}^a + \frac{1}{2} (D_0 \phi^a)^2 \right] = \frac{1}{2} \frac{M_M}{e^2 v^2} \dot{\alpha}^2$$

Zero Modes and Dyons

U(1) Zero Mode and Dyons



quantization:

$$H_\alpha = \frac{1}{2} \frac{m_W^2}{M_M} \pi_\alpha^2$$

$$\dot{\alpha} = \frac{e^2 v^2}{M_M} k$$

$$Q_E = \frac{\dot{\alpha}}{ev} Q_M = k \frac{e^2 v^2}{v Q_M \cdot ev} Q_M = ke \quad k \in \mathbb{Z}$$

\implies dyon solution with magnetic charge $Q_M = \frac{4\pi}{e}$ and electric charge $Q_E = k \cdot e$.

Zero Modes and Dyons

Witten Effect and the θ -Term



$$\mathcal{L}_\theta = -\frac{\theta e^2}{32\pi^2} F_{\mu\nu}^a \tilde{F}^{a\mu\nu} = \frac{\theta e^2}{8\pi^2} \mathbf{E}^a \cdot \mathbf{B}^a$$

with dual field strength tensor $\tilde{F}^{\mu\nu} = \frac{1}{2}\epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$
using the 't Hooft Polyakov solution

$$L_\theta = \frac{\theta}{2\pi} \dot{\alpha} \quad L_\alpha = \frac{1}{2} \frac{M_M}{e^2 v^2} \dot{\alpha}^2 + \frac{\theta}{2\pi} \dot{\alpha}$$

and so the Hamiltonian is

$$H_\alpha = p_\alpha \dot{\alpha} - L = H_\alpha = \frac{1}{2} \frac{e^2 v^2}{M_M} \left(p_\alpha - \frac{\theta}{2\pi} \right)^2$$

p_α is quantized

$$Q_E = ke - \frac{\theta e}{2\pi} \quad \text{with } k \in \mathbb{Z}$$

Fermionic Zero Modes



Lagrangian

$$\mathcal{L} = -\frac{1}{4}F^{a,\mu\nu}F_{\mu\nu}^a + \frac{1}{2}(D_\mu\phi)^a(D^\mu\phi)^a - V(\phi) + i\bar{\psi}_n\gamma^\mu(D_\mu\psi)_n - G\bar{\psi}T_{nm}^a\psi_m\phi_a$$

ψ_n , also transforming under the SU(2) gauge group

The Euler-Lagrange equations

$$-i\gamma^0\partial_0\psi = i\gamma^i\partial_i\psi + \left(\gamma_i\epsilon^{aim}\hat{r}^m e^{\frac{1-u(r)}{er}} - G\hat{r}^a h(r) \right) T^a\psi$$

fundamental fermion where $T^a = \frac{1}{2}\sigma^a$

$$(\psi_0)_n = \begin{pmatrix} N \exp\left(\int_0^r dr' \left[\frac{1-u(r')}{r'} - \frac{1}{2}Gh(r')\right]\right) \sigma_{\alpha n}^2 \\ 0 \end{pmatrix}$$

Monopoles in Higher Gauge Groups

SU(5) GUT Monopoles



$$SU(5) \xrightarrow{\Lambda_{\text{GUT}}} SU(3) \times SU(2) \times U(1) \xrightarrow{\Lambda_{\text{EW}}} SU(3) \times U(1)$$

monopole from first breaking:

$$\pi_2(SU(5)/(SU(3) \times SU(2) \times U(1))) = \pi_1(SU(3) \times SU(2) \times U(1)) = \mathbb{Z}$$

Massive Fermions and Bosonization



details of the scattering process: temporary go back to the massless limit.

$$e_L^+ + M \rightarrow M + \frac{1}{2}e_R^+ + \frac{1}{2}u_{1,R} + \frac{1}{2}u_{2,R} + \frac{1}{2}d_{3,L} \quad (10)$$

asymptotically need well defined states

Problem for positron scattering Csáki, Shirman et al.⁵

limitation of the $J = 0$ approximation

extra U(1) factor under Lorenz transformation⁶ with charge

$$q_{ij} = e_i g_j - e_j g_i \quad (11)$$

⁵Csaba Csáki et al. "Monopoles Entangle Fermions". In: (2021). arXiv: 2109.01145 [hep-th].

⁶Csaba Csáki et al. "Scattering amplitudes for monopoles: pairwise little group and pairwise helicity". In: *Journal of High Energy Physics* 2021.8 (Aug 2021).

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Fermion states in the $J=0$ Approximation



Table: $J = 0$ fermion states in a monopole background

Fermion number	Direction	Charge	Helicity
+1	in	-1/2	left
+1	out	+1/2	left
+1	out	-1/2	right
+1	in	+1/2	right
-1	out	-1/2	left
-1	in	+1/2	left
-1	in	-1/2	right
-1	out	+1/2	right

Dirac Charge Quantization Condition



magnetic monopole with charge Q_M : has magnetic field B

$$B = \frac{Q_M}{4\pi r^2} \hat{r} = \frac{g}{r^2} \hat{r} \quad (12)$$

vector potential:

Poincaré-Lemma: total magnetic charge $\int dS \cdot B$ zero.

consider only the space outside of the monopole:

$$A^{li} = -\epsilon^{ij3} \hat{r}^j \frac{g}{z+r}$$
$$A^{lli} = -\epsilon^{ij3} \hat{r}^j \frac{g}{z-r}$$

Dirac Charge Quantization Condition



Difference on the overlap region:

$$\begin{aligned} A^{li} - A^{li} &= -\epsilon^{ij3} \hat{r}^j g \left(\frac{1}{z-r} - \frac{1}{z+r} \right) = -g \epsilon^{ij3} \hat{r}^j \left(\frac{2r}{z^2 - r^2} \right) \\ &= -2g \epsilon^{ij3} \frac{r^j}{x^2 + y^2} = -\partial_i (2g\phi) = \partial_i \Lambda \end{aligned}$$

Transformation of matter fields:

$$\psi \xrightarrow{U(1) \text{ EM}} e^{-iq\Lambda} \psi = e^{2iqg\phi} \psi$$

Dirac quantization condition:

$$g \cdot q = \frac{n}{2} \quad n \in \mathbb{N}$$