

# Quantum phase transitions in holographic superfluids

Hai Ngo (MPI für Physik)

Particle Physics School Munich Colloquium  
Max Planck Institut für Physik, January 14th, 2011

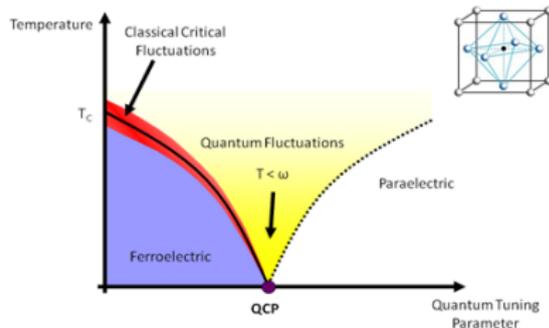
In collaboration with: J. Erdmenger, V. Grass and P. Kerner, arXiv:1101.xxxx

# Motivations

Question 1: What are **quantum phase transitions** in condense matter physics?

- **phase transitions at zero temperature** which are driven by **quantum fluctuations** instead of thermal fluctuations
- realized by varying physical parameters (pressure, magnetic field, **chemical composition**,...)

- quantum critical point, region and **finite temperature** quantum criticality
- effects quantum criticality  
→ first observed in ferroelectrics
- "non-Fermi liquid" or a "strange metal" behavior in the QCR
- **strongly interacting** region



Ferroelectric quantum critical point  
[[www.phy.cam.ac.uk/research/qm/ferroelectrics.php](http://www.phy.cam.ac.uk/research/qm/ferroelectrics.php)]

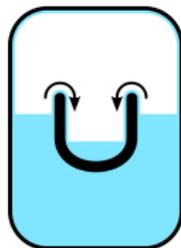
## Motivations

Question 2: What are **superconductors/ superfluids**?

- superconductivity: electrical **resistivity** drops suddenly to zero below  $T_c$
- superfluidity: **viscosity** vanishes and thermal conductivity becomes infinite below  $T_c$

### History

- SC and SF first observed in the 1930's
- 1935 London's equations
- 1950 Landau- Ginzburg theory
- 1957 Bardeen- Cooper- Schrieffer theory



Creeping Helium II  
[Aarchiba, wikipedia.org/wiki/Superfluid]

### New classes of superconductors

- 1986 cuprates as a new class of high- $T_c$  superconductors ( $T_c \sim 160K$ )
- 2008 iron pnictides as a new class of high- $T_c$  superconductors ( $T_c \sim 56K$ )

Unlike BCS theory, the **pairing mechanism** of high- $T_c$  superconductors at **strong coupling** is not well understood!

## Motivations

### Question 3: Why **holographic**?

- conventional methods provide very few tools to study physical systems at **strong coupling**
- **holographic method** (gauge/gravity duality) is a powerful **tool** to study **strongly coupled** field theories, in particular for computing dynamical transport properties of strongly coupled systems at finite temperature

## Motivations

### Question 3: Why **holographic**?

- conventional methods provide very few tools to study physical systems at **strong coupling**
- **holographic method** (gauge/gravity duality) is a powerful **tool** to study **strongly coupled** field theories, in particular for computing dynamical transport properties of strongly coupled systems at finite temperature

### The gauge/gravity duality as a tool

Classical gravitational theory  
in  $d + 1$  spacetime dimensions

↔

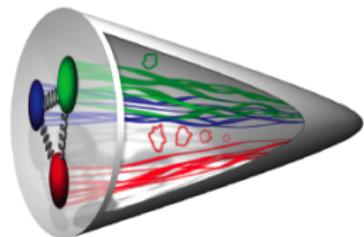
Large  $N_c$  gauge theory  
in  $d$  spacetime dimensions

Duality realized by the matching

- of the parameters of the two theories;
- the partition function (**gravity**) with generating functional for correlation functions (**gauge**).

### Some properties

- The field theory lives on the boundary of the gravity theory
- The gauge/gravity duality describes **weak/strong** coupling duality



# Layout

- 1 Introduction
  - Motivations
  - Constructing holographic superfluids
- 2 QPT in holographic superfluids at finite baryon and isospin chemical potential
  - Holographic superfluids in Einstein Yang-Mills theory
  - Holographic superfluids with D3/D7 model
- 3 Summary

## Ingredients for constructing holographic superfluids

### Landau- Ginzburg- Theory

- second order phase transition with complex scalar field  $\psi$  as order parameter
- the **density** of the superconducting charges is given by  $|\psi(x)|^2$

The contribution of  $\psi$  to free energy is *assumed* to take the form

$$F = \alpha(T - T_c)|\psi|^2 + \frac{\beta}{2}|\psi|^4 + \dots$$

with positive constants  $\alpha$  and  $\beta$ . Minimizing the free energy  $F$

$$\begin{cases} T > T_c & F_{min} = F(\psi=0) \\ T < T_c & F_{min} = F(\psi \neq 0) \end{cases} \rightarrow \text{associated with spontaneous breaking of U(1)}$$

local U(1)  $\rightarrow$  superconductivity, global U(1)  $\rightarrow$  superfluidity

# Ingredients for constructing holographic superfluids

## Landau- Ginzburg- Theory

- second order phase transition with complex scalar field  $\psi$  as order parameter
- the **density** of the superconducting charges is given by  $|\psi(x)|^2$

The contribution of  $\psi$  to free energy is *assumed* to take the form

$$F = \alpha(T - T_c)|\psi|^2 + \frac{\beta}{2}|\psi|^4 + \dots$$

with positive constants  $\alpha$  and  $\beta$ . Minimizing the free energy  $F$

$$\begin{cases} T > T_c & F_{min} = F(\psi=0) \\ T < T_c & F_{min} = F(\psi \neq 0) \end{cases} \rightarrow \text{associated with spontaneous breaking of U(1)}$$

local U(1)  $\rightarrow$  superconductivity, global U(1)  $\rightarrow$  superfluidity

## List of ingredients

- temperature
- charged field which can condense
- spontaneous breaking of an global U(1) symmetry
- *tuning parameters (magnetic field, chemical potentials,...)*

## Constructing holographic superfluids

**Minimal ingredients:** (i) temperature;  
 (ii) field which can condense and breaks  $U(1)$  symmetry spont.;

---

The original AdS/CFT correspondence [Maldacena, 1997]

Type IIB superstring theory  
 in  $AdS_5 \times S^5$  spacetime

$\leftrightarrow$

$\mathcal{N} = 4$  SYM on the 4d  
 conformal boundary of  $AdS$

## Constructing holographic superfluids

**Minimal ingredients:** (i) temperature;  
 (ii) field which can condense and breaks  $U(1)$  symmetry spont.;

---

The original AdS/CFT correspondence [Maldacena, 1997]

Type IIB superstring theory  
 in  $AdS_5 \times S^5$  spacetime  $\leftrightarrow$   $\mathcal{N} = 4$  SYM on the 4d  
 conformal boundary of AdS

**Extension to finite temperature** [Witten, 1998] at large  $N_c$  and large  $\lambda 't$  Hoofit-coupling

classical gravity theory  
 in AdS black hole spacetime  $\leftrightarrow$  finite temperature field theory  
 on the boundary of AdS black hole

The black hole horizon radius is directly related to the temperature on the FT side.

## Constructing holographic superfluids

**Minimal ingredients:** (i) temperature;  
(ii) field which can condense and breaks  $U(1)$  symmetry spont.;

---

The original AdS/CFT correspondence [Maldacena, 1997]

Type IIB superstring theory  
in  $AdS_5 \times S^5$  spacetime

$\leftrightarrow$

$\mathcal{N} = 4$  SYM on the 4d  
conformal boundary of  $AdS$

**Extension to finite temperature** [Witten, 1998] at large  $N_c$  and large  $\lambda 't$  't Hooft-coupling

classical gravity theory  
in  $AdS$  black hole spacetime

$\leftrightarrow$

finite temperature field theory  
on the boundary of  $AdS$  black hole

The black hole horizon radius is directly related to the temperature on the FT side.

**One possibility for realizing (ii)** is using the AdS/CFT dictionary

$$\mathcal{Z}_{string} [\phi(\vec{x}, r)|_{r \rightarrow \infty} = \phi_0(\vec{x})] \equiv \left\langle e^{\int d^4x \phi_0(\vec{x}) \mathcal{O}(\vec{x})} \right\rangle_{FT}$$

to relate the operator  $\mathcal{O}(\vec{x})$  describing the condensate with some field  $\phi(\vec{x}, r)$  coupled to gravity in the bulk, and the task is finding stable  $AdS$  black hole with  $\phi$  condensing at low temperature [Gubser, 2008], [Hartnoll, Herzog, Horowitz, 2008].

## Constructing holographic superfluids

**Minimal ingredients:** (i) temperature;  
 (ii) field which can condense and breaks  $U(1)$  symmetry spont.;

---

The original AdS/CFT correspondence [Maldacena, 1997]

Type IIB superstring theory  
 in  $AdS_5 \times S^5$  spacetime  $\leftrightarrow$   $\mathcal{N} = 4$  CFT on the 4d  
 conformal boundary of  $AdS$

**Extension to finite temperature** [Witten, 1998] at large  $N_c$  and large  $\lambda_{t \text{ Hooft}}$ -coupling

classical gravity theory  
 in  $AdS$  black hole spacetime  $\leftrightarrow$  finite temperature field theory  
 on the boundary of  $AdS$  black hole

The black hole **horizon radius** is directly related to the **temperature** of the dual FT.

**Another possibility for realizing (ii)** is using flavor D-branes to introduce fundamental matter which condenses at low temperature. This so called *top down* approach (dual field theory is known) using D3/D7 model is the first stringy realization of holographic  $p$ -wave superfluid [Ammon, Erdmenger, Kerner and Kaminski, 2008].

## Constructing holographic superfluids

**Minimal ingredients:** (i) temperature;  
(ii) field which can condense and breaks  $U(1)$  symmetry spont.;

---

The original AdS/CFT correspondence [Maldacena, 1997]

Type IIB superstring theory  
in  $AdS_5 \times S^5$  spacetime

$\leftrightarrow$

$\mathcal{N} = 4$  CFT on the 4d  
conformal boundary of  $AdS$

**Extension to finite temperature** [Witten, 1998] at large  $N_c$  and large  $\lambda_{t \text{ Hooft}}$ -coupling

classical gravity theory  
in  $AdS$  black hole spacetime

$\leftrightarrow$

finite temperature field theory  
on the boundary of  $AdS$  black hole

The black hole **horizon radius** is directly related to the **temperature** of the dual FT.

**Another possibility for realizing (ii)** is using flavor D-branes to introduce fundamental matter which condenses at low temperature. This so called *top down* approach (dual field theory is known) using D3/D7 model is the first stringy realization of holographic  $p$ -wave superfluid [Ammon, Erdmenger, Kerner and Kaminski, 2008].

---

**Extra ingredients:** (iii) magnetic field;  
(iv) chemical composition (isospin, baryon chemical potential);

## Superfluids in Einstein Yang-Mills theory

- Minimal ingredients:** (i) **temperature**;  
(ii) **field** condenses at low T and breaks U(1) spontaneously;
- Extra ingredients:** (iii) isospin and (iv) baryon **chemical potential**;
- 

Consider the  $U(2)$  Einstein-YM theory in  $(4+1)$ -dimensional asymptotically AdS space

$$S = \int d^5x \frac{\sqrt{-g}}{\kappa_5^2} \left[ \frac{1}{2}(\mathcal{R} - \Lambda) - \frac{\hat{\alpha}_{\text{YM}}^2}{4} F_{\mu\nu}^a F^{a\mu\nu} - \frac{\hat{\alpha}_{\text{MW}}^2}{4} \mathcal{F}_{\mu\nu} \mathcal{F}^{\mu\nu} \right]$$

$SU(2)$ -field strength :  $F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + \epsilon^{abc} A_\mu^b A_\nu^c$ ,

$U(1)$ -field strength :  $\mathcal{F}_{\mu\nu} = \partial_\mu \mathcal{A}_\nu - \partial_\nu \mathcal{A}_\mu$ .

## Superfluids in Einstein Yang-Mills theory

- Minimal ingredients:** (i) **temperature**;  
 (ii) **field** condenses at low T and breaks U(1) spontaneously;  
**Extra ingredients:** (iii) isospin and (iv) baryon **chemical potential**;
- 

Consider the  $U(2)$  Einstein-YM theory in  $(4+1)$ -dimensional asymptotically AdS space

$$S = \int d^5x \frac{\sqrt{-g}}{\kappa_5^2} \left[ \frac{1}{2}(\mathcal{R} - \Lambda) - \frac{\hat{\alpha}_{\text{YM}}^2}{4} F_{\mu\nu}^a F^{a\mu\nu} - \frac{\hat{\alpha}_{\text{MW}}^2}{4} \mathcal{F}_{\mu\nu} \mathcal{F}^{\mu\nu} \right]$$

$SU(2)$ -field strength :  $F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + \epsilon^{abc} A_\mu^b A_\nu^c$  ,  
 $U(1)$ -field strength :  $\mathcal{F}_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  .

Taking following ansatz for constructing charged black hole solutions with a vector hair

$$A = \phi(r)\tau^3 dt + w(r)\tau^1 dx, \quad \mathcal{A} = \psi(r)dt,$$

$$ds^2 = -N(r)\sigma(r)^2 dt^2 + \frac{1}{N(r)} dr^2 + r^2 f(r)^{-4} dx^2 + r^2 f(r)^2 (dy^2 + dz^2).$$

---

The **gauge/gravity dictionary** relates quantities from both sides.

## Superfluids in Einstein Yang-Mills theory

- Minimal ingredients:** (i) **temperature**;  
 (ii) **field** condenses at low T and breaks U(1) spontaneously;  
**Extra ingredients:** (iii) isospin and (iv) baryon **chemical potential**;
- 

The ansatz

$$A = \phi(r)\tau^3 dt + w(r)\tau^1 dx, \quad \mathcal{A} = \psi(r)dt,$$

$$ds^2 = -N(r)\sigma(r)^2 dt^2 + \frac{1}{N(r)} dr^2 + r^2 f(r)^{-4} dx^2 + r^2 f(r)^2 (dy^2 + dz^2).$$


---

The **gauge/gravity dictionary** relates quantities from both sides:

- **temperature T**  $\leftrightarrow r_h$  with  $N(r_h)\sigma(r_h)^2 = 0$
- conserved current  $J_\mu \leftrightarrow A_\mu$  in the sense  $A_\mu(r_\infty) = s_\mu + e_\mu r^{-1} + \mathcal{O}(r^{-2})$   
 The time-component of the gauge field  
 $\psi(r)$  gives the  $U(1)_B$  baryon chemical potential  $\mu_B$  and the density  $\langle J_t^0 \rangle$   
 $\phi(r)$  gives the  $SU(2)_I$  isospin chemical potential  $\mu_I$  and the density  $\langle J_t^3 \rangle$
- demanding the boundary condition  $w(r_\infty) = 0 + \langle J_x^1 \rangle r^{-1} + \mathcal{O}(r^{-2})$   
 $\rightarrow$  condensate with no source term, i.e. spontaneous symmetry breaking
- gauge symmetries in the bulk correspond to **global** symmetries in the dual FT  
 $\rightarrow$  holographic superfluid

## Superfluids in Einstein Yang-Mills theory

**Results for the fully back-reacted case  $\alpha_{YM} \neq 0$ ,  $\alpha_{MW} \neq 0$**

- For  $w(r) = 0$  the AdS Reissner Nordström black hole solves the EoM  
→ dual to the normal conducting state

$$ds^2 = -N(r)dt^2 + \frac{1}{N(r)}dr^2 + r^2d\vec{x}^2, \quad \phi(r) = \mu_I - \frac{q_I}{r^2}, \quad \psi = \mu_B - \frac{q_B}{r^2},$$
$$N(r) = r^2 - \frac{2}{r^2} \left( \frac{r_h^4}{2} + \frac{\alpha_{YM}^2 q_I^2 + \alpha_{MW}^2 q_B^2}{3r_h^2} \right) + \frac{2(\alpha_{YM}^2 q_I^2 + \alpha_{MW}^2 q_B^2)}{3r^4},$$

# Superfluids in Einstein Yang-Mills theory

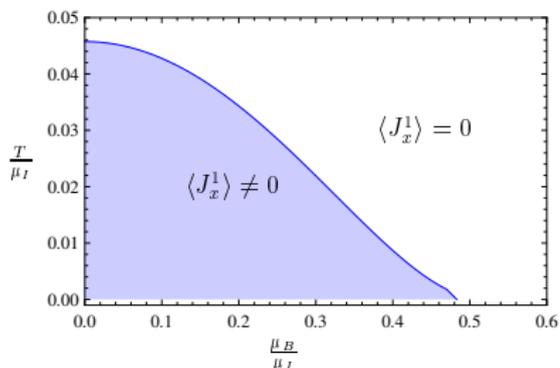
Results for the fully back-reacted case  $\alpha_{YM} \neq 0$ ,  $\alpha_{MW} \neq 0$

- For  $w(r) = 0$  the AdS Reissner Nordström black hole solves the EoM  
→ dual to the normal conducting state

$$ds^2 = -N(r)dt^2 + \frac{1}{N(r)}dr^2 + r^2 d\vec{x}^2, \quad \phi(r) = \mu_I - \frac{q_I}{r^2}, \quad \psi = \mu_B - \frac{q_B}{r^2},$$

$$N(r) = r^2 - \frac{2}{r^2} \left( \frac{r_h^4}{2} + \frac{\alpha_{YM}^2 q_I^2 + \alpha_{MW}^2 q_B^2}{3r_h^2} \right) + \frac{2(\alpha_{YM}^2 q_I^2 + \alpha_{MW}^2 q_B^2)}{3r^4},$$

- For  $w(r) \neq 0$  there is no analytical solution for the metric,  $\phi$  and  $\psi$  → **numerics**



Phase diagram for  $\alpha_{MW} = 1$  and  $\alpha_{YM} = 0.1$

- Instability of the RN solution

$$\left( \frac{\mu_B}{\mu_I} \right)_c = \frac{\sqrt{1 - 3\alpha_{YM}^2}}{\sqrt{3} \alpha_{MW}}$$

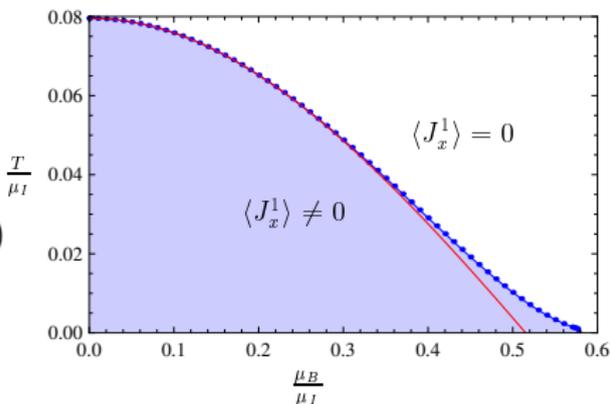
- Semi back-reacted case  $\alpha_{YM} = 0$

# Superfluids in Einstein Yang-Mills theory

## Results for the semi back-reacted case $\alpha_{YM} = 0$ , $\alpha_{MW} \neq 0$

- For  $w(r) \neq 0$ , analytical solutions can be obtained via expansion in small  $\delta \equiv \mu_B$  and  $\epsilon \propto \langle J_x^1 \rangle$

$$\begin{aligned} \phi(r) &= \phi_{0,0}(r) + \delta^2 \phi_{2,0}(r) + \delta^4 \phi_{4,0}(r) + \dots \\ &\quad + \epsilon^2 \left( \phi_{0,2}(r) + \delta^2 \phi_{2,2}(r) + \dots \right) \\ &\quad + \epsilon^4 \phi_{0,4}(r) + \dots \\ w(r) &= \epsilon \left( w_{0,1}(r) + \delta^2 w_{2,1}(r) + \delta^4 w_{4,1}(r) + \dots \right) \\ &\quad + \epsilon^3 \left( w_{0,3}(r) + \delta^2 w_{2,3}(r) + \dots \right) \\ &\quad + \dots \end{aligned}$$



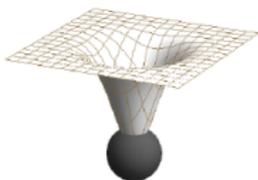
- Difference in free energy

$$F_{\text{sf}} - F_{\text{nf}} = \frac{r_H^4}{4} \left( -\frac{71}{53,760} \epsilon^4 + \mathcal{O}(\delta^p \epsilon^q) \right) \sim (T_c(\delta) - T)^2$$

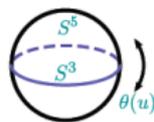
## Holographic superfluids with D3/D7 model

- Two description of  $D_p$ -branes
  - $p$ -spatial extended objects where open strings can end
  - massive objects as solution of supergravity
- Extending the AdS/CFT by flavor **probe**  $D_p$ -branes [Karch, Katz, 2002]

	$X_0$	$X_1$	$X_2$	$X_3$	$X_4$	$X_5$	$X_6$	$X_7$	$X_8$	$X_9$
$N_c$ D3 branes:	X	X	X	X						
$N_f$ D7 branes:	X	X	X	X	X	X	X	X		



×



- Field theory at the probe limit  $N_f \ll N_c$ ,  $N_c \gg 1$ 
  - $\mathcal{N} = 4$  gauge multiplet  $\rightarrow$  massless open string modes on the D3 branes
  - $\mathcal{N} = 2$  SYM hypermultiplets  $\rightarrow$  strings between the D3 and D7 branes
- The dynamics of the flavor branes are described by the DBI action

$$S_{D7} = -N_f T_{D7} \int d^8 \xi \sqrt{-\det(g_{ab} + (2\pi\alpha') F_{ab})}$$

# Holographic superfluids with D3/D7 model

- Minimal ingredients:** (i) **temperature**;  
 (ii) **field** condenses at low T and breaks U(1) spontaneously;  
**Extra ingredients:** (iii) isospin and (iv) baryon **chemical potential**;
- 

- Stack of D3 and temperature

$$ds^2 = \frac{\varrho^2}{2R^2} \left( -\frac{f^2}{\tilde{f}} dt^2 + \tilde{f} d\vec{x}^2 \right) + \left( \frac{R}{\varrho} \right)^2 (d\varrho^2 + \varrho^2 d\Omega_5^2),$$

$$f(\varrho) = 1 - \frac{\varrho_H^4}{\varrho^4}, \quad \tilde{f}(\varrho) = 1 + \frac{\varrho_H^4}{\varrho^4}$$

- Introducing two coincident flavor probe D7-branes  
 → world volume field theory  $U(2) = U(1)_B \times SU(2)_I$
- Introducing baryon and isospin chemical potential with  $A_t^0(\varrho)\tau^0 dt$  and  $A_t^3(\varrho)\tau^3 dt$

$$\mu_B = \lim_{\varrho \rightarrow \infty} A_t^0(\varrho), \quad \mu_I = \lim_{\varrho \rightarrow \infty} A_t^3(\varrho)$$

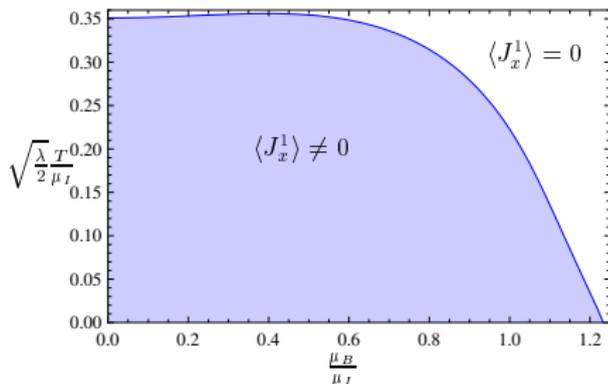
- Introducing the field  $A_x^1 \tau^1 dx$  which condenses and breaks the  $U(1)_3$
- Solving the non-Abelian DBI action

## Holographic superfluids with D3/D7 model

## Results for the D3/D7 model

## Phase transition and phase diagram

- critical temperature first increases with increasing  $\mu_B/\mu_I$
- transition temperature is zero at  $\mu_B/\mu_I \approx 1.23$
- second order phase transition

Phase diagram for D3/D7 model at finite  $\mu_B$  and  $\mu_I$

# Summary

## Conclusion

- Constructed holographic superfluids using back-reacted Einstein-Yang-Mills theory and D3/D7 model at finite baryon and isospin chemical potential
- In both cases there are quantum phase transitions
- The quantum phase transitions in the two models may belong to different classes
- Still no analytical proof that such models might provide descriptions for quantum critical points

## Summary

## Conclusion

- Constructed holographic superfluids using back-reacted Einstein-Yang-Mills theory and D3/D7 model at finite baryon and isospin chemical potential
- In both cases there are quantum phase transitions
- The quantum phase transitions in the two models may belong to different classes
- Still no analytical proof that such models might provide descriptions for quantum critical points
- Gauge/gravity duality serves as a powerful tool to study strongly coupled systems in field theory. Simple gravity models of superconductors/ superfluidity should be seen as some first steps toward applying this tool to better understand high  $T_c$  superconductivity.

## Summary

## Conclusion

- Constructed holographic superfluids using back-reacted Einstein-Yang-Mills theory and D3/D7 model at finite baryon and isospin chemical potential
- In both cases there are quantum phase transitions
- The quantum phase transitions in the two models may belong to different classes
- Still no analytical proof that such models might provide descriptions for quantum critical points
- Gauge/gravity duality serves as a powerful tool to study strongly coupled systems in field theory. Simple gravity models of superconductors/ superfluidity should be seen as some first steps toward applying this tool to better understand high  $T_c$  superconductivity.

Thank you for attention!