

# FOPT [fixed-order perturbation theory] Analysis <sup>\*)</sup>

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Workshop on Precision Measurements of  $\alpha_s$   
Max-Planck-Institut für Physik, München, 9 February 2011

\*) FOPT Analysis is trivial. Not so trivial: why this is (most likely) the right thing to do.

References:

MB, Matthias Jamin, JHEP 0809 (2008) 044, arXiv:0806.3156 [hep-ph];  
MB, Diogo Boito, Matthias Jamin, work in progress

## Adler function

$$D_V^{(1+0)}(s) = \frac{N_c}{12\pi^2} \sum_{n=0}^{\infty} a_\mu^n \sum_{k=1}^{n+1} k c_{n,k} \ln^{k-1} \frac{-s}{\mu^2} = \frac{N_c}{12\pi^2} \sum_{n=0}^{\infty} c_{n,1} a_Q^n$$

$c_{n,1}$  known to  $n = 4$  [Baikov, Chetyrkin, Kühn; 2008].

**FOPT**  $\delta_{\text{FO}}^{(0)} = \sum_{n=1}^{\infty} a(M_\tau^2)^n \sum_{k=1}^n k c_{n,k} J_{k-1} \quad J_l \equiv \frac{1}{2\pi i} \oint_{|x|=1} \frac{dx}{x} (1-x)^3 (1+x) \ln^l(-x)$

**CIPT**  $\delta_{\text{CI}}^{(0)} = \sum_{n=1}^{\infty} c_{n,1} J_n^a(M_\tau^2) \quad J_n^a(M_\tau^2) \equiv \frac{1}{2\pi i} \oint_{|x|=1} \frac{dx}{x} (1-x)^3 (1+x) a^n(-M_\tau^2 x)$

Series expansions for  $\alpha_s(M_\tau^2) = 0.34$ :

$$\alpha_s^1 \quad \alpha_s^2 \quad \alpha_s^3 \quad \alpha_s^4 \quad \alpha_s^5$$

$$\delta_{\text{FO}}^{(0)} = 0.1082 + 0.0609 + 0.0334 + 0.0174 (+ 0.0088) = 0.2200 (0.2288)$$

$$\delta_{\text{CI}}^{(0)} = 0.1479 + 0.0297 + 0.0122 + 0.0086 (+ 0.0038) = 0.1984 (0.2021)$$

[We will often use the estimate  $c_{5,1} = 283$ .]

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**FO/CI difference *increases* by adding more orders.  
Systematic problem.**

- Better convergence, smaller scale dependence

Scale error on  $\alpha_s(M_\tau^2)$  from variation of  $\mu$  in [1,2.5] GeV is  ${}^{+0.010}_{-0.005}$  for FO and  ${}^{+0.005}_{-0.002}$  for CI.

- Expansion of the running coupling on the circle as used in FO has only a finite radius of convergence [Le Diberder, Pich; 1992]

$$\alpha_s(M_\tau^2 e^{i\pi}) = \frac{\alpha_s(M_\tau^2)}{1 + \frac{\beta_0}{4\pi} i\pi \alpha_s(M_\tau^2)}$$

Actual  $\alpha_s(M_\tau^2)$  is close.

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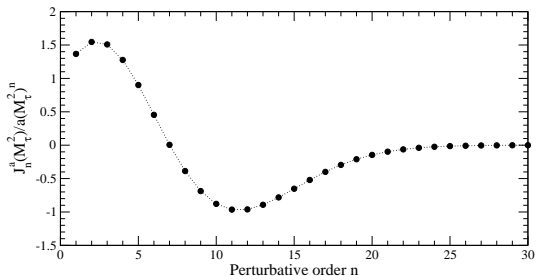
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**Not very compelling, because ...**

## Standard arguments for CIPT are not compelling (1/2)

$$\delta_{\text{CI}}^{(0)} = \sum_{n=1}^{\infty} c_{n,1} a^n(M_\tau^2) \times [J_n^a(M_\tau^2)/a^n(M_\tau^2)]$$



Series must exhibit small terms at intermediate orders, because the effective coupling goes through zero. Small scale dependence and good convergence may be a spurious effect.

- QCD perturbation expansions are only asymptotic anyway.  
*Zero* radius of convergence.

Why then bother about a subset of terms that has finite radius of convergence?



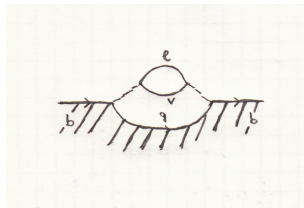
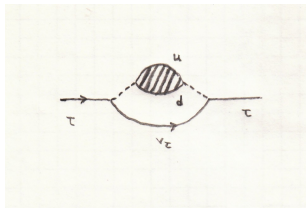
## Standard arguments for CIPT are not compelling (2/2)

- QCD perturbation expansions are only asymptotic anyway.  
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Why then bother about a subset of terms that has finite radius of convergence?

- We don't use running couplings in CI-type prescriptions in related problems.  
Cf. semileptonic heavy quark decay, which may also be expressed as

$$\Gamma = \int^{m_Q^2} ds w(s) \Pi_{HQ}(s)$$

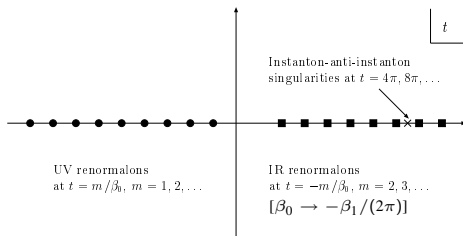


**The FOPT/CIPT discrepancy *is* a problem.  
What else do we know about the perturbation series?**

General structure of large-order behaviour is (believed to be) known.

$$B[F](t) = \sum_{n=0}^{\infty} r_n \frac{t^n}{n!}$$

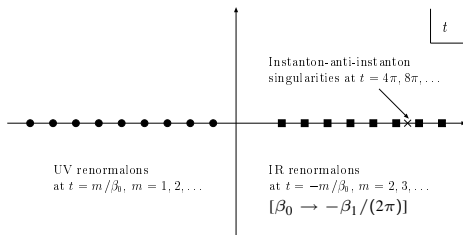
$$F(\alpha) = \int_0^{\infty} dt e^{-t/\alpha} B[F](t)$$



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$$B[F_p](u) = \frac{c_p}{(p-u)^{1+\gamma}} \left[ 1 + \tilde{b}_1(p-u) + \dots \right] \quad (u \equiv \beta_1 t / (2\pi)) \quad \Leftrightarrow$$

$$F_p(a) = \frac{\pi c_p}{p^{1+\gamma} \Gamma(1+\gamma)} \sum_{n=0}^{\infty} \Gamma(n+1+\gamma) \left( \frac{\beta_1}{2p} \right)^n a^{n+1} \times \left[ 1 + \frac{p\gamma\tilde{b}_1}{(n+\gamma)} + \mathcal{O}\left(\frac{1}{n^2}\right) \right]$$

In principle the renormalon singularity structure is calculable, *except for*  $c_p$ .

## UV renormalons

- Sign-alternating, singularity structure related to higher-dim operators in the cut-off QCD Lagrangian [Parisi, 1977; MB, Kivel, Braun 1997]
- Leading singularity for Adler function and  $R_\tau$ ,  $u = -1$
- No sign-alternation seen. [In fact, no factorial behaviour of any form.]  
 $c_{-1}$  must be small in the  $\overline{\text{MS}}$  scheme – true for fermion loops (“large- $\beta_0$ ”).

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## IR renormalons

- Fixed-sign, singularity structure related to higher-dim operators in the OPE [Mueller, 1985; Zakharov, 1992; MB, 1993]
- No  $u = 1$  singularity,  $u = 2, 3, \dots$  related to dim-4, 6, ... condensates.
- $u = 2$  especially simple, only one operator (gluon condensate), presumably numerically leading in intermediate orders;  $u = 3$  complicated.
- *$1/n^2$  suppression of the  $u = 2$  singularity in going from Adler function to  $R_\tau$ , none for  $u = 3$ . [Related to weight function and anomalous dimension of the gluon condensate.] [MB, 1993]*

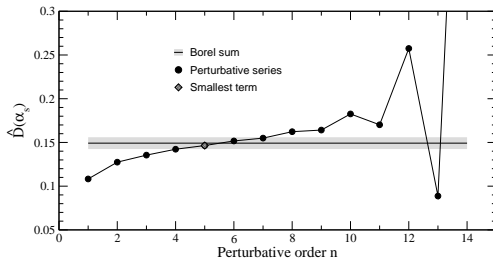
**Incorporate this into an Ansatz for  $c_{n,1}$ ,  $n > 5$ .  
Then compare FOPT/CIPT.**

**Assumption: series is asymptotic, sum to minimal term should be the  
Borel sum  $\pm$  size of power corrections (small).**

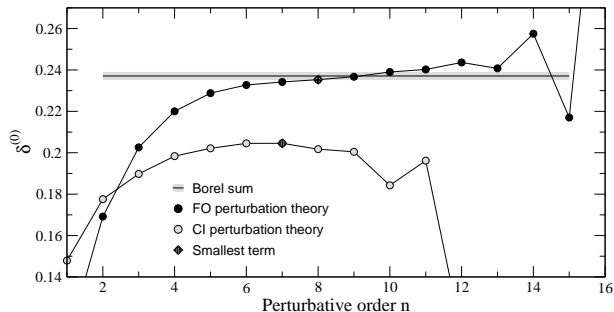
**Note: Borel sum is the same in the FO and CI approach.**

$$B[D](u) = B[D_1^{\text{UV}}](u) + B[D_2^{\text{IR}}](u) + B[D_3^{\text{IR}}](u) + d_0^{\text{PO}} + d_1^{\text{PO}}u,$$

- Ansatz for the Adler function that reproduces known  $c_{4,1}$  and  $c_{5,1} = 283$ .
- Fit constants  $c_p$  for  $u = -1, 2, 3$  to  $c_{3,1}$ ,  $c_{4,1}$  and  $c_{5,1}$ , and adjust  $d_{0,1}^{\text{PO}}$  to reproduce  $c_{1,1}$  and  $c_{2,1}$ .
- Find  $d_1^{\text{UV}} = -1.56 \cdot 10^{-2}$ ,  $d_2^{\text{IR}} = 3.16$ ,  $d_3^{\text{IR}} = -13.5$ ,  $d_0^{\text{PO}} = 0.781$ ,  $d_1^{\text{PO}} = 7.66 \cdot 10^{-3}$ .
- Pole ansatz works well already at  $n = 2$  ( $d_1^{\text{PO}}$  small).







- FO converges to Borel sum
- CI smoother at low orders (better convergence, smaller scale dependence), but never reaches the Borel sum (vanishing of  $J_n^a$ ).
- At  $n = 4, 5$  FO is close to the true result, CI too small  $\Rightarrow \alpha_s$  from CI too large.  
(A similar observation has been made in the large- $\beta_0$  approximation [Ball, MB, Braun, 1995].)

**Explanation?**  
**Model dependence?**

$$\delta_{\text{FO}}^{(0)} = \sum_{n=1}^{\infty} [c_{n,1} + g_n] a(M_\tau^2)^n \quad g_n = \sum_{k=2}^n k c_{n,k} J_{k-1}$$

- $g_n$  from integration on the circle,  $c_{n,1}$  from Adler function
- For the leading IR contribution ( $u = 2$ ) there are *important cancellations*:

$$\frac{c_{n,1} + g_n}{c_{n,1}} \propto 1/n^2$$

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- $c_{n,k}$  depends on  $c_{m,1}$ ,  $\beta_m$  up to  $m = n - k + 1$ , e.g.  $c_{4,2} = -\frac{1}{4} (\beta_3 c_{1,1} + 2\beta_2 c_{2,1} + 3\beta_1 c_{3,1})$

$\alpha_s^n$	$c_{1,1}$	$c_{2,1}$	$c_{3,1}$	$c_{4,1}$	$c_{5,1}$	$c_{6,1}$	$c_{7,1}$	$c_{8,1}$	$g_n$	$c_{n,1} + g_n$
2	3.56	1.64							3.56	5.20
4	-20.6	30.5	68.1	49.1					78.0	127.1
6	-2924	-2858	-2280	2214	5041	3275			-807	2468
8	14652	-29552	-145846	-502719	-393887	260511	467787	388442	-329054	59388

CI at order  $n$  sums the first  $n$  columns to all orders. Destroys cancellations, running coupling effects are only dominant at  $n < 5$ , then factorial behaviour is more important.

[MB, Jamin, 2008; Descotes-Genon, Malaescu, 2010]

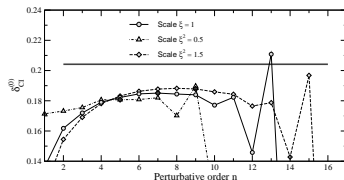
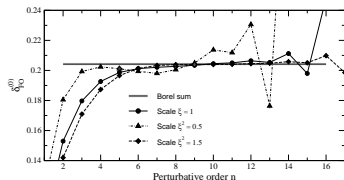
- Qualitative features independent of
  - reference scale choice  $\mu = \xi M_\tau$
  - including estimate of  $c_{5,1}$ , or adding  $u = 4$  instead of  $d_1^{\text{PO}}$  in the fit.
  - variation of  $\delta c_5 = (c_{5,1} - 283)/283$  between -1 and 1.
- Significant differences are obtained if  $d_2^{\text{PO}} \sim 1$  is added and the remaining parameters fit as before.

$$d_2^{\text{IR}} = 3.16 - 4.66 d_2^{\text{PO}} + 0.44 \delta c_5$$

$$d_3^{\text{IR}} = -13.5 + 163.3 d_2^{\text{PO}} + 21.7 \delta c_5$$

$$d_1^{\text{PO}} = 0.007 + 3.84 d_2^{\text{PO}} + 0.75 \delta c_5$$

But  $d_2^{\text{PO}} \sim 1$  produces a model with large intrinsic cancellations between  $u = 2$  and  $u = 3$  and in low orders.



- Case 1:  $u = 2$  singularity is dominant (as expected)

Cancellations are important

FOPT preferred

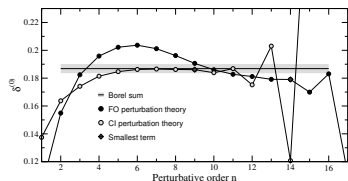
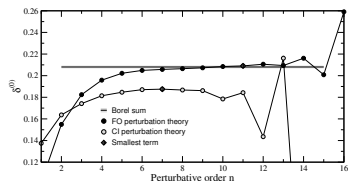
- Case 2: Models with  $u = 2$  singularity artificially set to zero or suppressed

No cancellations, factorial behaviour suppressed relative to running coupling-effects [cf. Jamin. 2005]

CIPT preferred

- Case 3: Models with (unnaturally) large cancellations between  $u = 2$  and  $u = 3$  and/or polynomial coefficients.

Anything can happen.

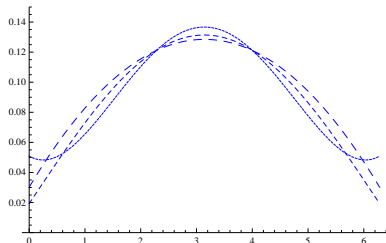


(Plots for  $\alpha_s(M_\tau) = 0.3186$ ,  
from Jamin, arXiv:1101.0681 [hep-ph].)

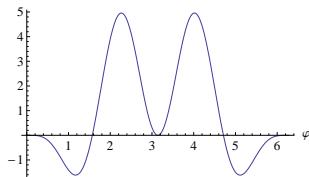
# The Adler function along the circle in the complex plane (1/3)

$$\delta^{(0)} \propto \oint_{|x|=1} \frac{dx}{x} (1-x)^3 3(1+x) D^{(1+0)}(M_r^2 x)$$

Adler function on the circle at 4th, 5th, 7th (decreasing dashes) order for FO (blue, left) and CI (black, right).



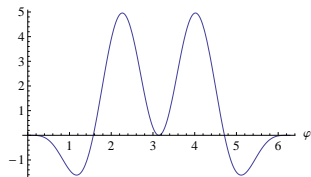
$$f(x) = (1 - e^{i\phi})^3 (1 + e^{i\phi})$$



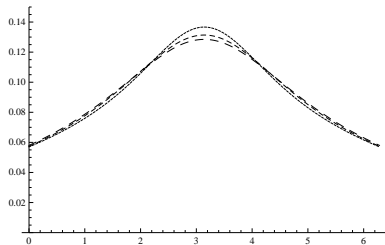
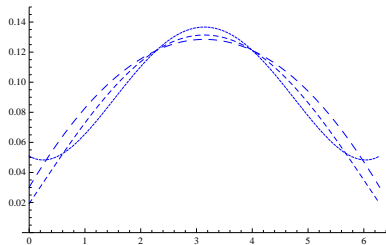
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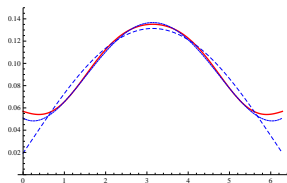
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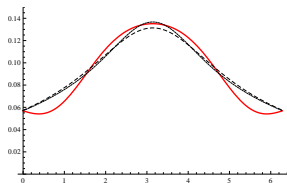


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Case 1 (left): Ansatz from [MB, Jamin, 2008]



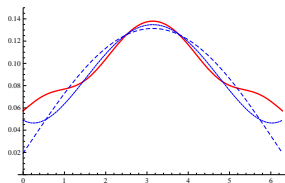
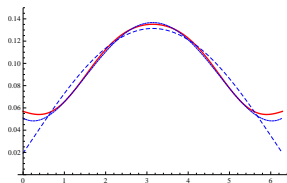
solid red: Borel sum



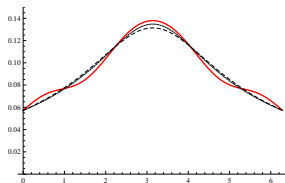
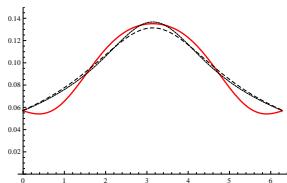
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Case 2 (middle): Model with  $u = 2$  singularity set to zero by hand



solid red: Borel sum



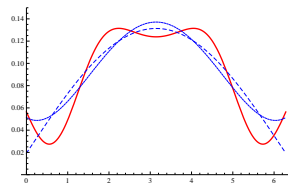
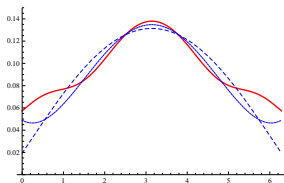
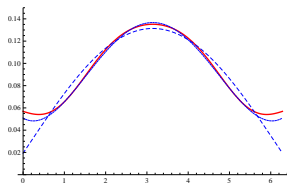
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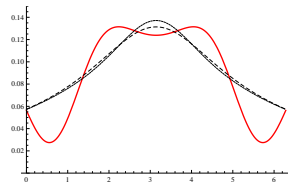
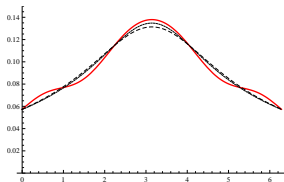
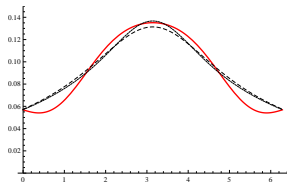
Case 2 (middle): Model with  $u = 2$  singularity set to zero by hand

Case 3 (right): Model with large residues and cancellations between  $u = 2$  and  $u = 3$ .

( $d_2^{\text{PO}} = -1$  from [Descotes-Genon, Malaescu, 2010])



solid red: Borel sum



- Did not find models where the shape of CI is close to the Borel sum on the circle.
- Models with large cancellations yield shapes of the Borel sum that cannot be reproduced by FO or CI in orders where the series is asymptotic.
- Implications for moments!  
ALEPH moments are strongly oscillating along the circle.

**Finally:**  $\alpha_s$

- $R_{\tau, V+A}^{ud} = 3.4678 \pm 0.0090$  (HFAG),  $|V_{ud}| = 0.97425 \pm 0.00022$ ,  
(updated to HFAG compared to [MB, Jamin, 2008]), standard estimates of EW corrections
- $\delta_{\text{PC}} = (-6.8 \pm 3.5) \cdot 10^{-3}$  (slightly updated compared to [MB, Jamin, 2008])

$$\delta_{\text{phen}}^{(0)} = 0.2000 \pm 0.0032_{\text{exp}} \pm 0.0037_{\text{PC}} = 0.2000 \pm 0.0049$$

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	$\alpha_s(M_\tau)$
<b>FOPT</b>	<b><math>0.3167 \pm 0.0027_{\text{exp}} \pm 0.0032_{\text{PC}} \pm 0.0026_{c_{5,1}} +0.0100 -0.0049</math> (scale)</b>
BS	$0.3122 \pm 0.0025_{\text{exp}} \pm 0.0030_{\text{PC}} \pm 0.0024_{c_{5,1}} \pm 0.0011_{\beta_4=0} +0.0032 -0.0027$ (scale)
CIPT	$0.3373 \pm 0.0039_{\text{exp}} \pm 0.0046_{\text{PC}} \pm 0.0047_{c_{5,1}} +0.0049 -0.0020$ (scale)
	$\alpha_s(M_Z)$
<b>FOPT</b>	<b><math>0.1181 \pm 0.0003_{\text{exp}} +0.0012 -0.0007</math> (th) <math>\pm 0.0002_{\text{evol}}</math></b>
BS	$0.1175 \pm 0.0003_{\text{exp}} \pm 0.0006$ (th) $\pm 0.0002_{\text{evol}}$
CIPT	$0.1205 \pm 0.0004_{\text{exp}} +0.0009 -0.0008$ (th) $\pm 0.0002_{\text{evol}}$

**Recommendation: FOPT**

- FOPT/CIPT plausibly resolved in favour of FOPT by including generic information on large orders
- Preference for FOPT due to specific properties of the inclusive width. May be different for moments.
- Strong coupling:

$$\alpha_s(M_Z) = 0.1181 \pm 0.0003_{\text{exp}} \pm 0.0012_{\text{(th)}} \pm 0.0002_{\text{evol}}$$

Standard FO analysis. th error reduced if one takes large-order information at face value. Probably more conservative not to.