

# Duality violations in hadronic tau decays

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## Theory:

$$\int_0^{s_0} ds w(s) \rho_{V,A}(s) = -\frac{1}{2\pi i} \oint_{|s|=s_0} ds w(s) \Pi_{V,A}(s) , \quad \rho(s) = \frac{1}{\pi} \operatorname{Im} \Pi(s)$$

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Take  $w(s) = (1 - s/s_0)^2 w'(s)$  ( $w'(s)$  polynomial) **doubly pinched**; replace

$$\Pi_{V,A}(s) \rightarrow \Pi_{V,A}^{OPE}(s) = \Pi_{V,A}^{PT}(s) + \frac{c_4}{s^2} - \frac{c_6}{s^3} + \dots \quad (\text{Braaten, Narison, Pich, '92})$$

and fit  $\alpha_s, c_4, c_6 \dots$  at  $s_0 = m_\tau^2$  using a collection of weights  $w'(s)$ .

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and fit  $\alpha_s, c_4, c_6 \dots$  at  $s_0 = m_\tau^2$  using a collection of weights  $w'(s)$ .  
Pinching assumed to suppress

$$-\frac{1}{2\pi i} \oint_{|s|=s_0} ds w(s) \left[ \Pi_{V,A}(s) - \Pi_{V,A}^{OPE}(s) \right] = - \int_{s_0}^{\infty} ds w(s) \rho_{V,A}^{DV}(s)$$

where  $\rho_{V,A}^{DV}(s)$  is the **“duality-violating”** part of the spectral function.

## Theoretical errors for precision $\alpha_s$ :

(Pivovarov, '92, Le Diberder, Pich, '92)

- 1) Resummation of perturbation theory ("CIPT" vs. "FOPT")

Still significant difference even with availability of  $O(\alpha_s^4)$

(Baikov, Chetyrkin, Kuhn, 08; Beneke, Jamin, '08, Menke, '09, Caprini, Fischer, '09  
Descotes-Genon, Malaescu, '10)

- 2) Truncation of the OPE

Important: given a weight  $w(s)$  of degree  $n$ ,

include dominant OPE terms to order  $1/s^{n+1}$  (Maltman, Yavin, '08)

- 3) Duality violations: (exponentially) suppressed, but not necessarily negligible at or below the tau mass (Catà, Golterman, Peris, '08)

Ignoring any of these leads to uncontrolled systematics in value of  $\alpha_s$  !

## Assumption for DVs: *ansatz*

(Catà, Golterman, Peris, '05)

Take  $\rho_{V,A}^{DV}(s) = \theta(s - s_{min}) [\kappa_{V,A} e^{-\gamma_{V,A}s} \sin(\alpha_{V,A} + \beta_{V,A}s)]$

- based on Regge-like meson spectrum in each channel with finite widths  
asymptotic behavior of spectral function consistent with a model with the  
correct analytical properties (Blok *et al.*, Bigi *et al.*, '98-'99)
- four new parameters in each channel: need to vary  $s_0$  in order to fit data;  
setting  $\kappa_{V,A} = 0$  also a -- probably very poor! -- model
- can use unpinched or singly-pinched weights, like  $w(s) = 1$  ,  $1 - s/s_0$

$$\int_0^{s_0} ds w(s) \rho_{V,A}(s) = -\frac{1}{2\pi i} \oint_{|s|=s_0} ds w(s) \Pi_{V,A}^{OPE}(s) - \int_{s_0}^{\infty} ds w(s) \rho_{V,A}^{DV}(s)$$

sum rules probe DVs on interval  $[s_0, \infty)$

Fitting strategies:

$c_4$



$c_6$



Use weights  $w_{n=0,1,\dots} = 1, 1 - s/s_0, (1 - s/s_0)^2, \dots$

Moments  $M_n(s_0) = \int_0^{s_0} \frac{ds}{s_0} w_n(s) \rho(s), s_0 \in [s_{min}, m_\tau^2]$  strongly correlated,

hence correlation matrices for any combination of moments poorly conditioned:  
machine-precision zero eigenvalues with combination of two or more moments

Strategies:

- 0) Use only simplest moment,  $M_0(s_0)$  : no issues with correlations
- 1) Combine moments in “uncorrelated” fits; find errors by propagation or MC
- 2) Fit  $\alpha_s, \kappa, \gamma, \alpha, \beta$  to  $M_0(s_0)$ , use results as priors for  
(re)fit  $\alpha_s, c_4, \kappa, \gamma, \alpha, \beta$  to  $M_1(s_0)$ , use results as priors for  
(re)fit  $\alpha_s, c_4, c_6, \kappa, \gamma, \alpha, \beta$  to  $M_2(s_0)$ , etc.  
each step is a correlated fit

## Data and fits:

Two sets of experimental data: ALEPH and OPAL

ALEPH: publicly available correlation matrices miss correlations due to unfolding  
and thus are incomplete  $\Rightarrow$  these data cannot be safely used until fixed

Therefore, we are at the moment limited to OPAL data (which have strong  
experimental correlations reflected in available covariance matrix).

Plots: similar fits for both CIPT and FOPT, non-strange vector channel

Strategy 0  $w(s) = 1$  moments with DVs and without DVs

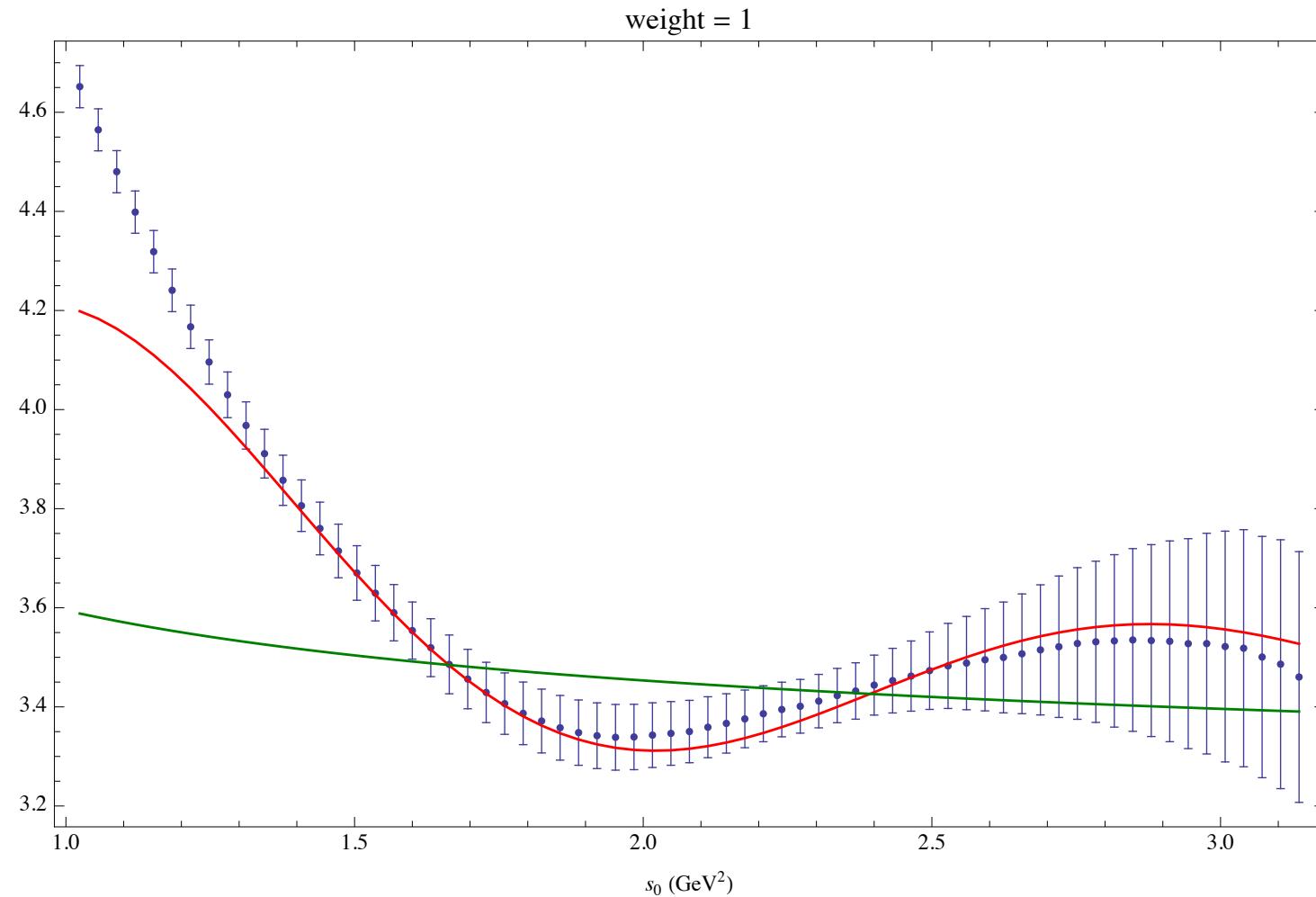
Strategy 1 (uncorr., etc.)  $w(s) = 1$  and  $w(s) = (1 - s/s_0)^2$  with/without DVs

Strategy 2 (priors)  $w(s) = 1$  and  $w(s) = (1 - s/s_0)^2$  with/without DVs

Fits without DVs for strategies 1 and 2 use only  $w(s) = (1 - s/s_0)^2$

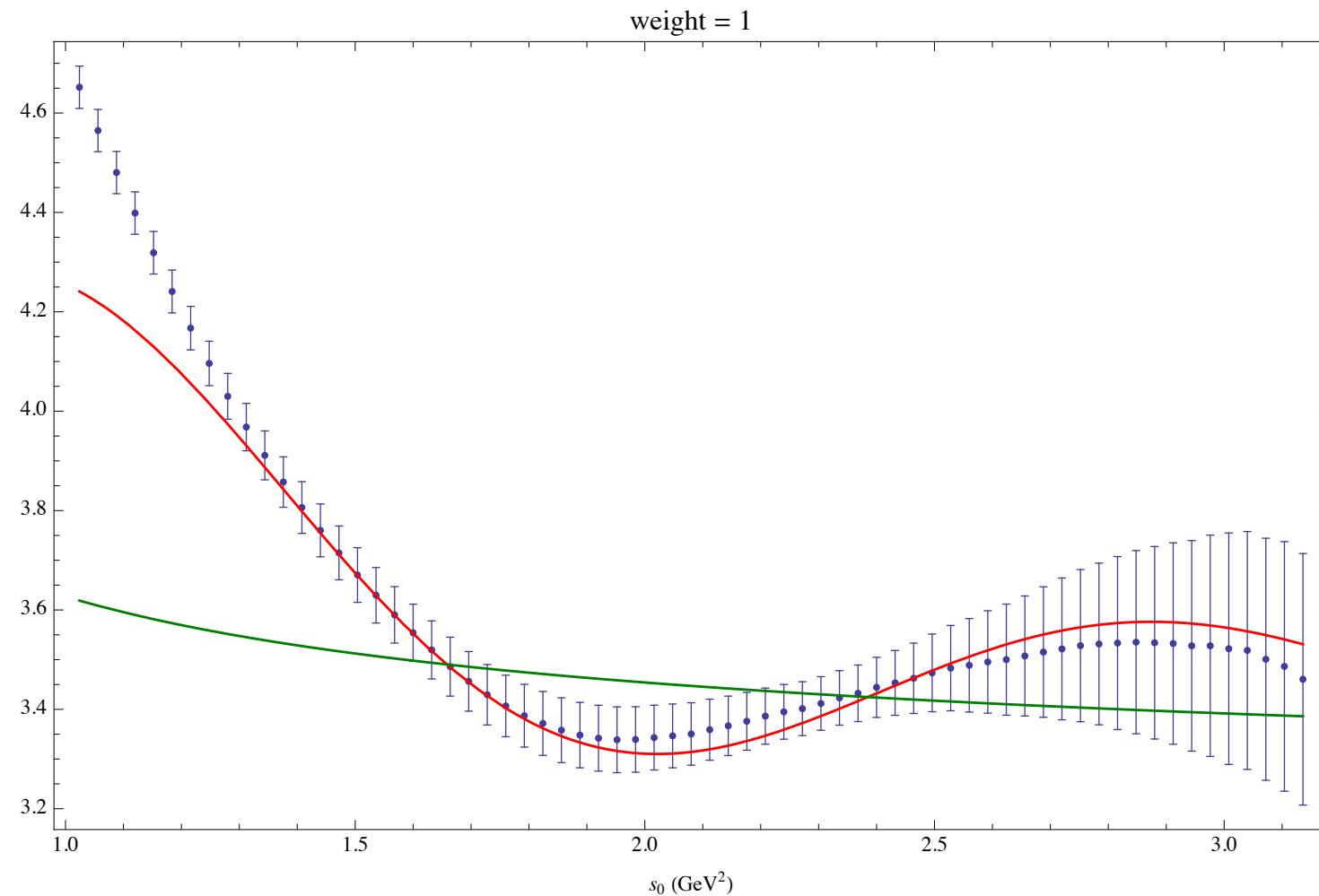
check spectral functions for all

$w(s) = 1$ , CIPT, DVs  $s_{min} = 1.5 \text{ GeV}^2$  (red), no DVs  $s_{min} = 1.8 \text{ GeV}^2$  (green)



Strategy 0 using moment  $w(s) = 1$

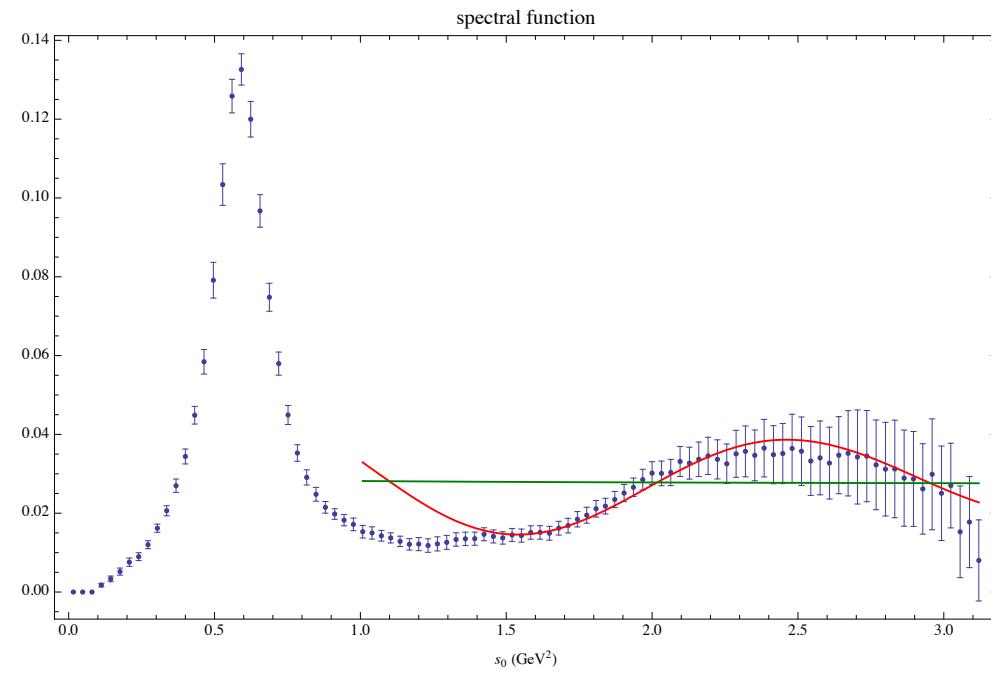
$w(s) = 1$ , FOPT, DVs  $s_{min} = 1.5 \text{ GeV}^2$  (red), no DVs  $s_{min} = 1.8 \text{ GeV}^2$  (green)



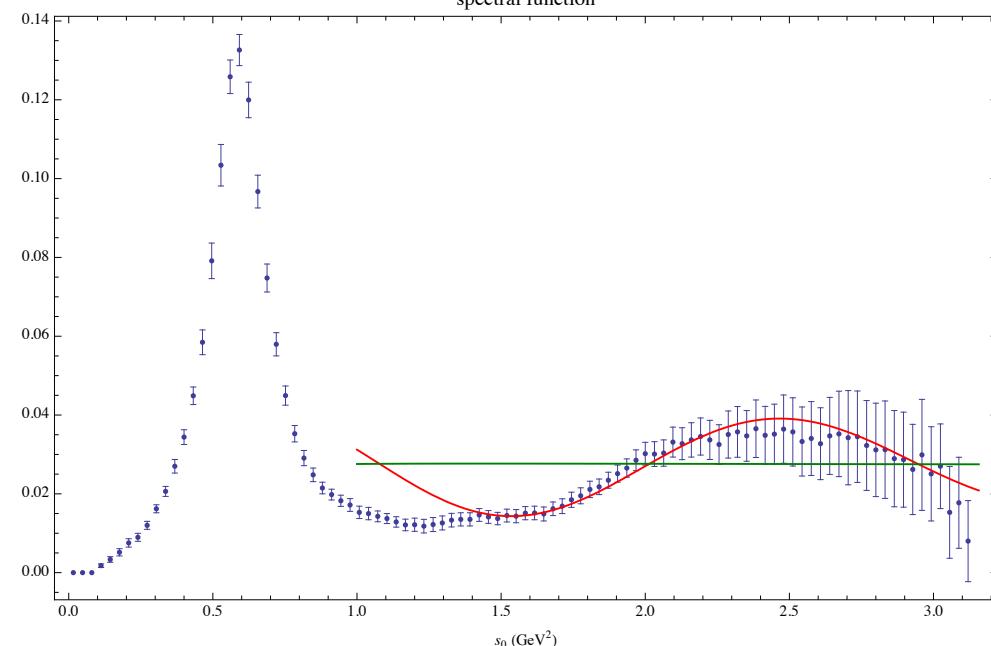
Strategy 0 using moment  $w(s) = 1$

spectral functions:

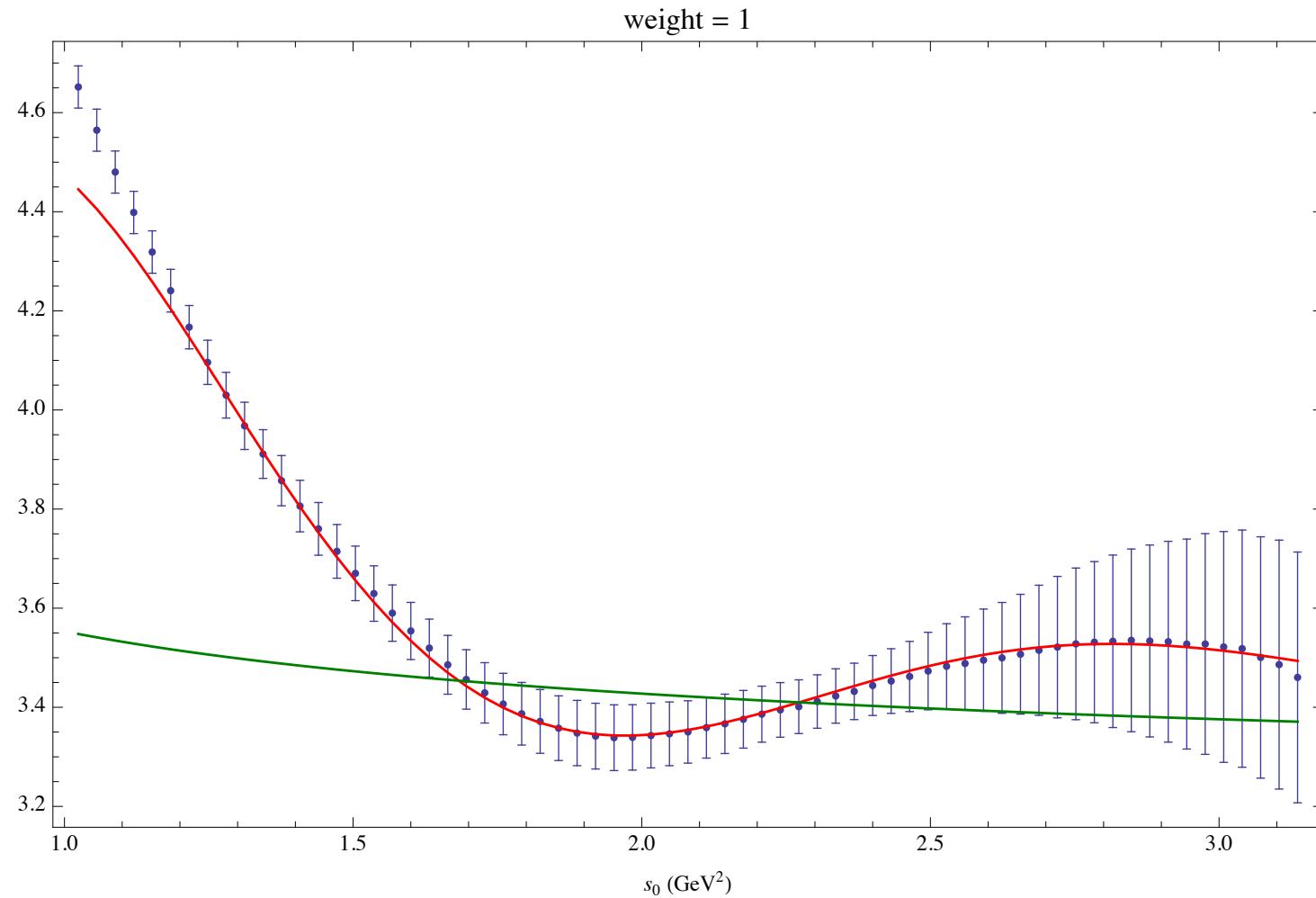
CIPT



FOPT

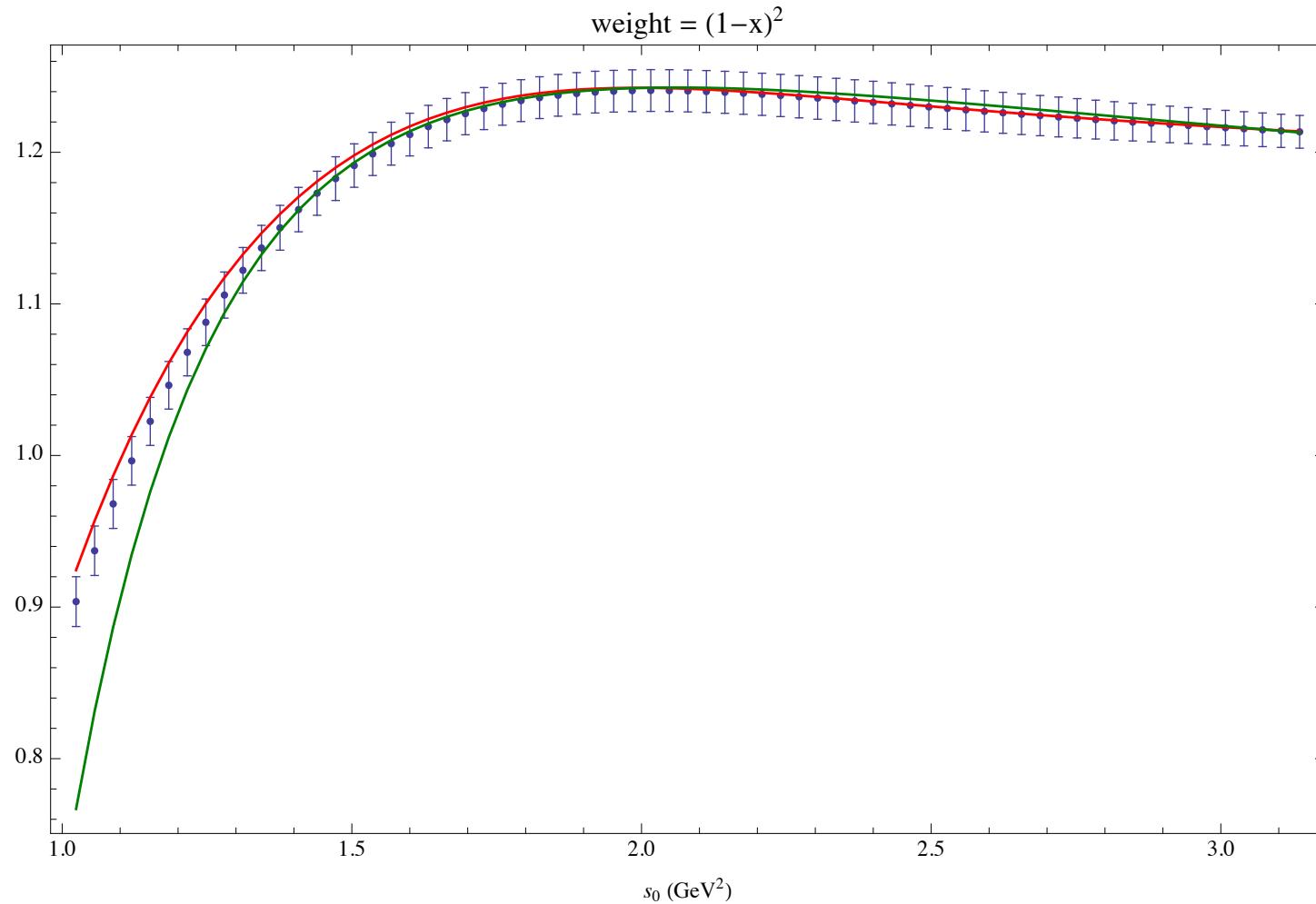


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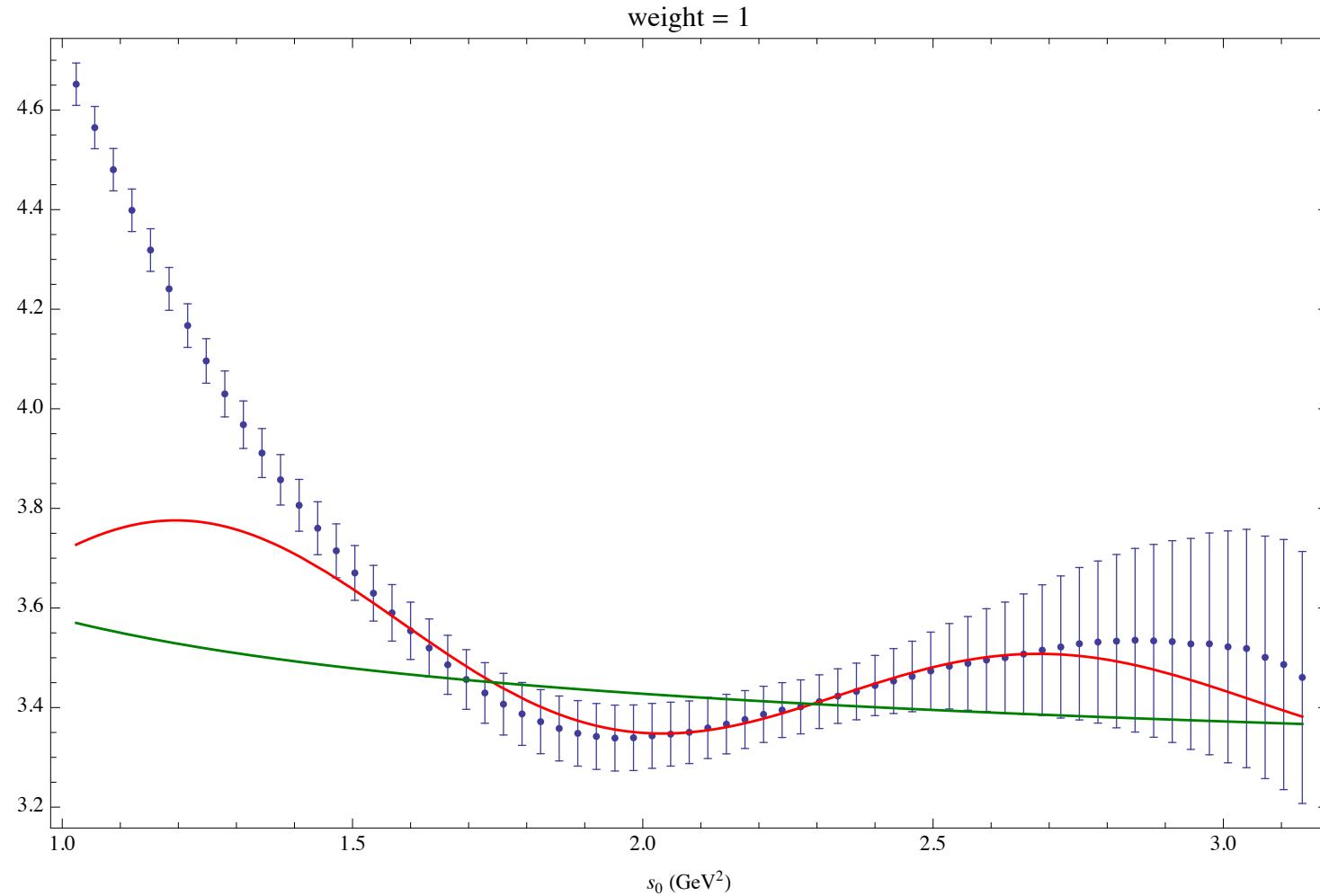
Strategy 1 using moments  $1$ ,  $1 - s/s_0$ ,  $(1 - s/s_0)^2$

$(1-x)^2$ , CIPT, DVs  $s_{min} = 1.5 \text{ GeV}^2$  (red), no DVs  $s_{min} = 1.8 \text{ GeV}^2$  (green)



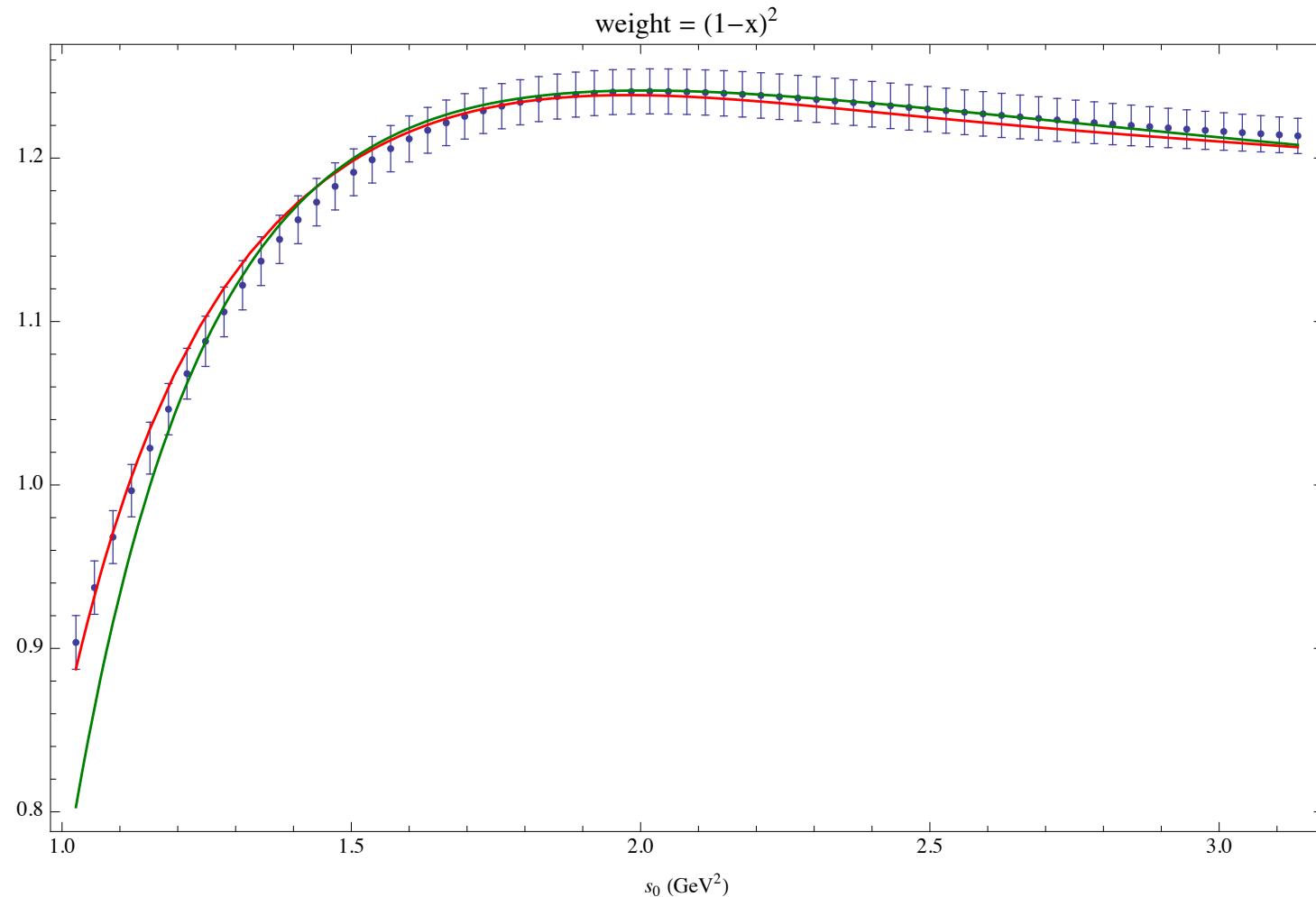
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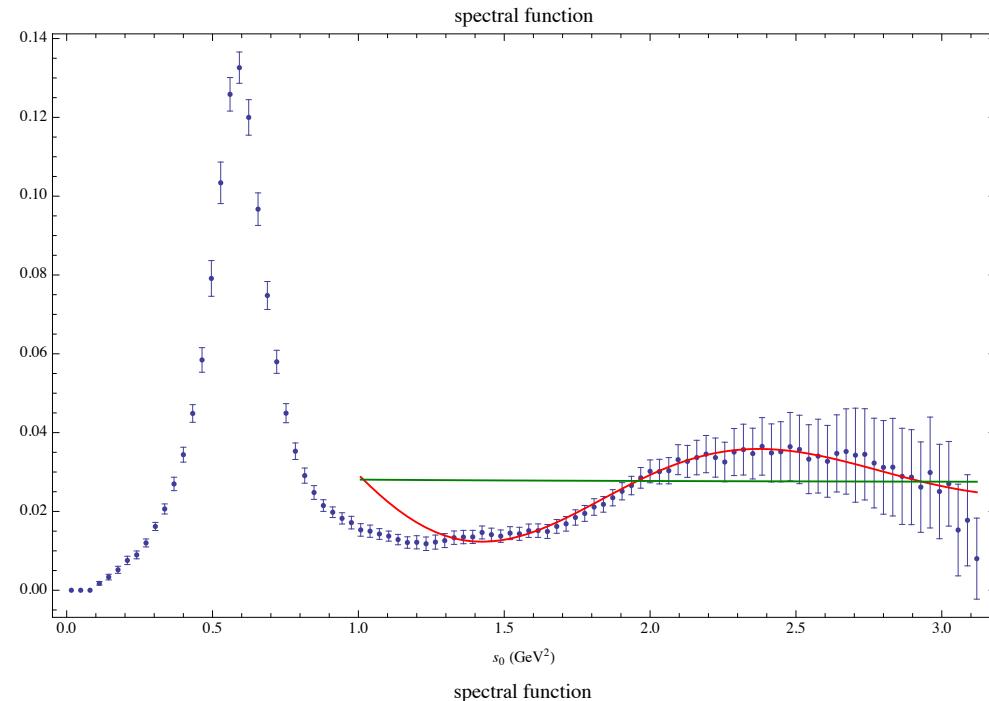
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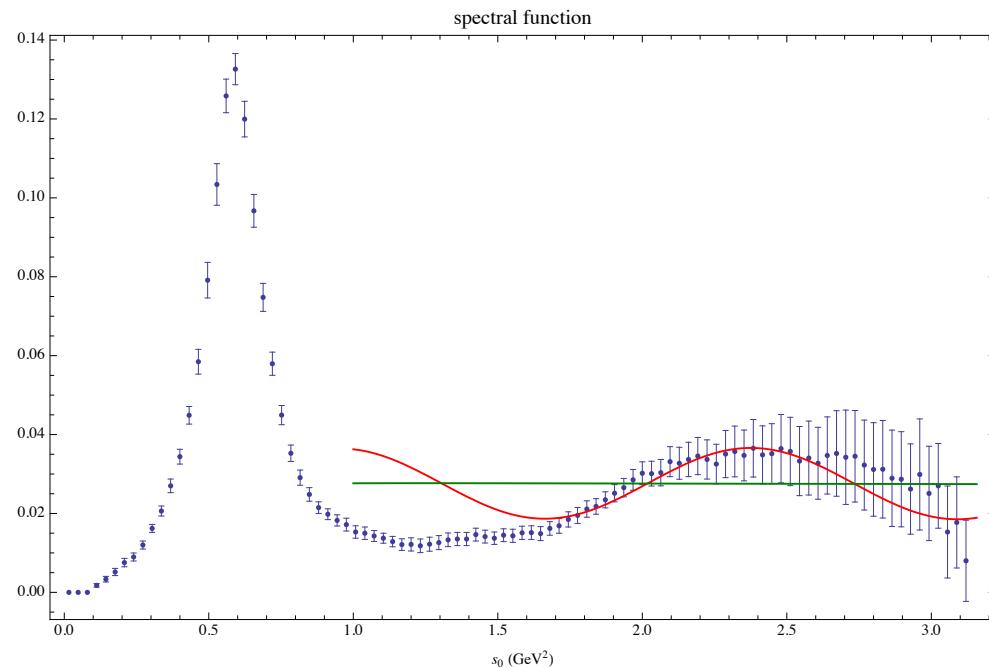
Strategy 1 using moments  $1$  ,  $1 - s/s_0$  ,  $(1 - s/s_0)^2$

spectral functions:

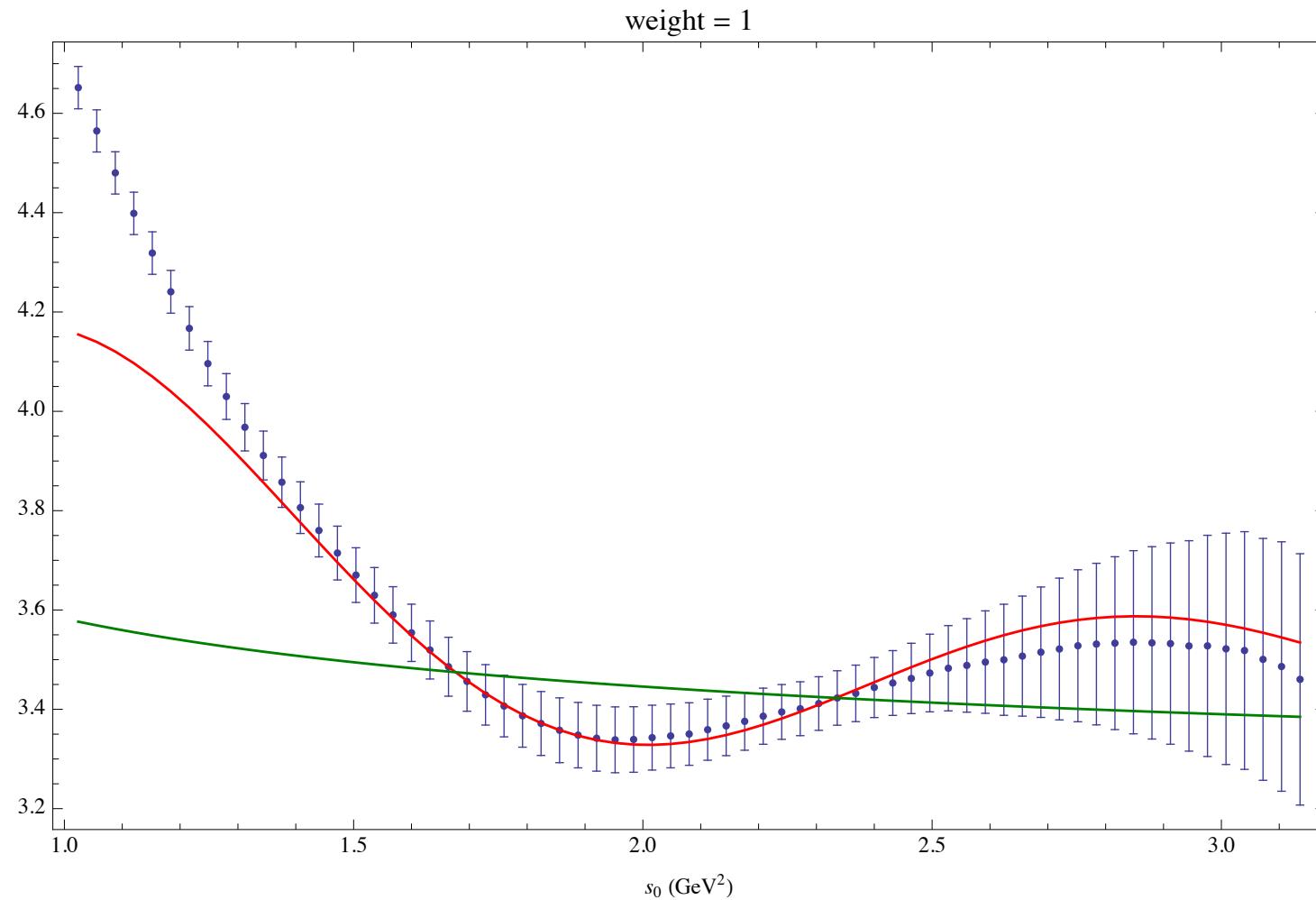
CIPT



FOPT

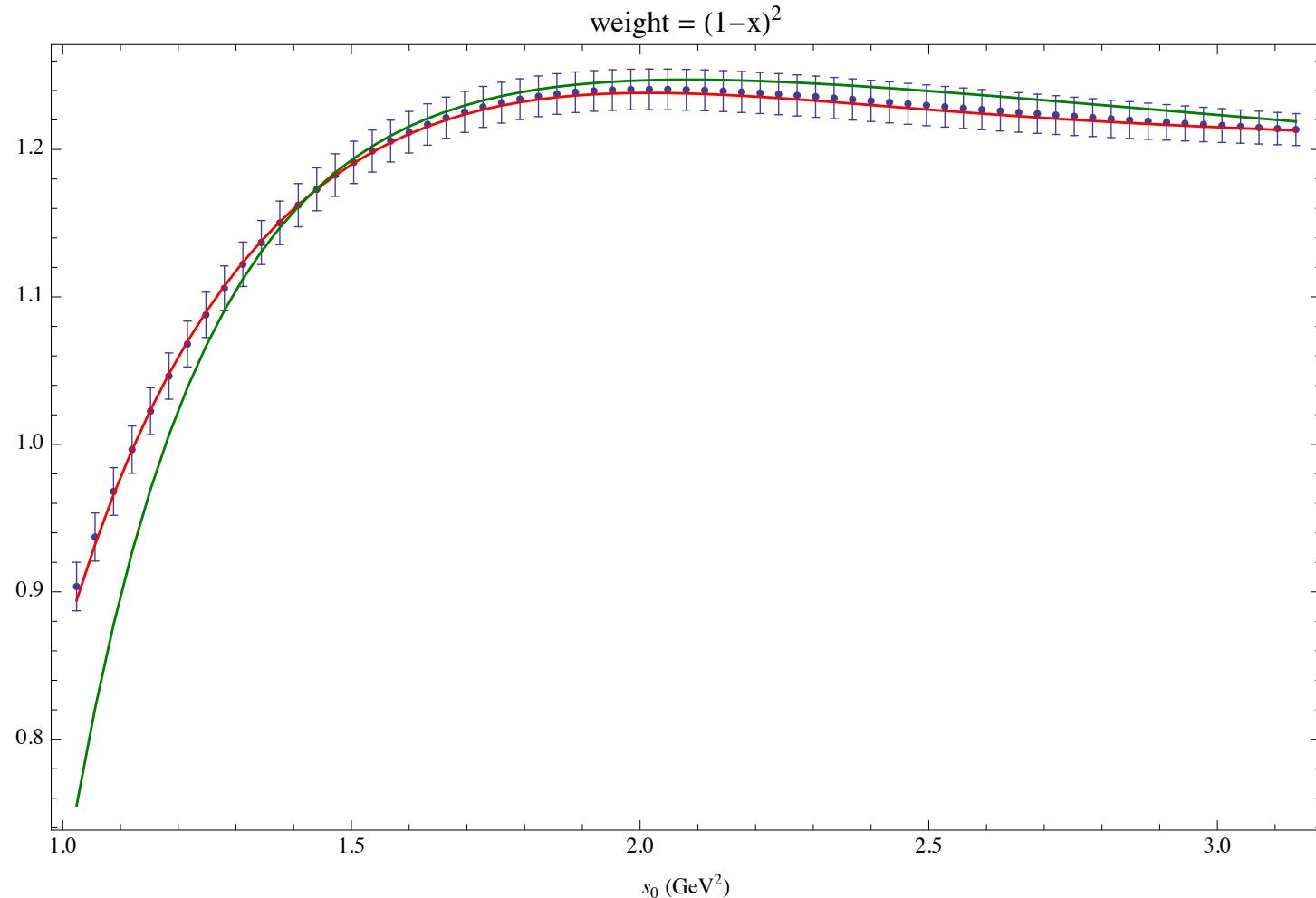


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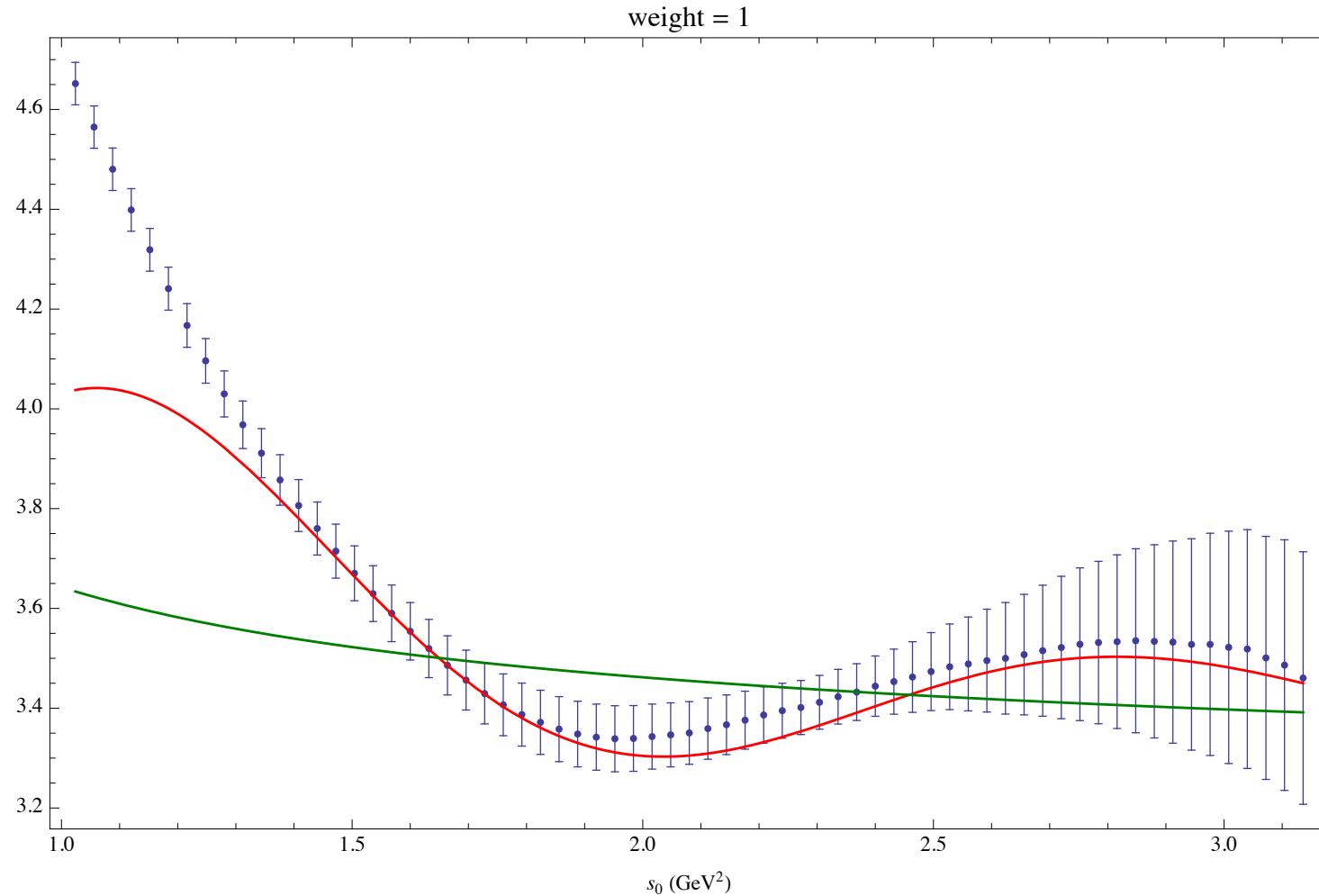
Strategy 2 using moment  $(1 - s/s_0)^2$  with priors from 1,  $1 - s/s_0$

$(1-x)^2$ , CIPT, DVs  $s_{min} = 1.5 \text{ GeV}^2$  (red), no DVs  $s_{min} = 1.8 \text{ GeV}^2$  (green)



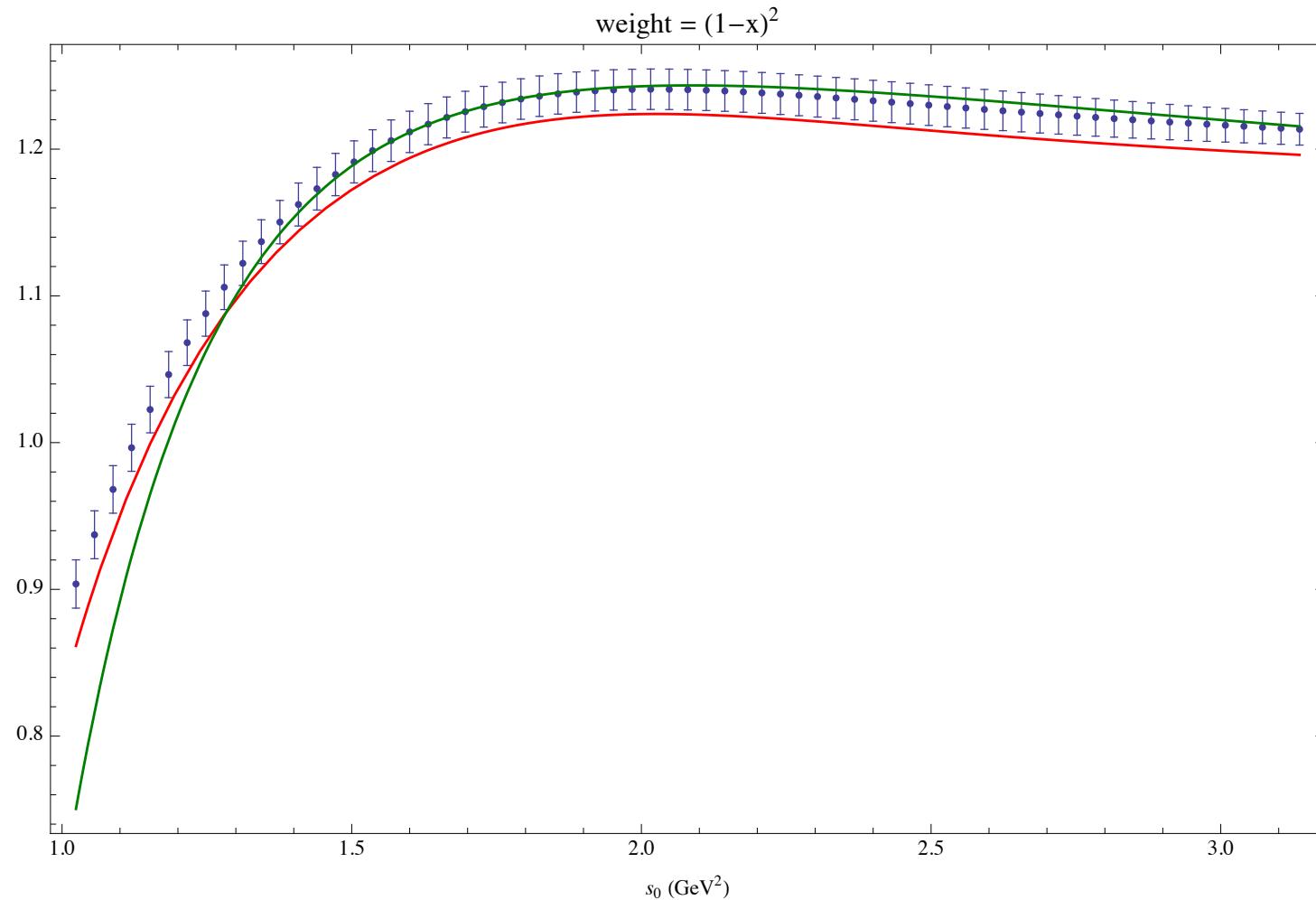
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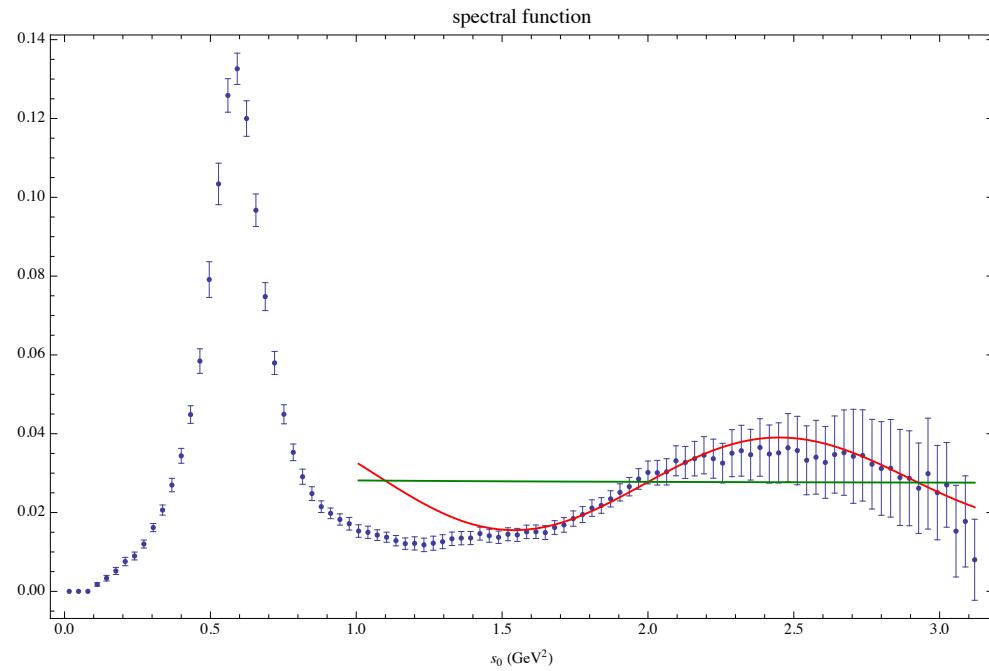
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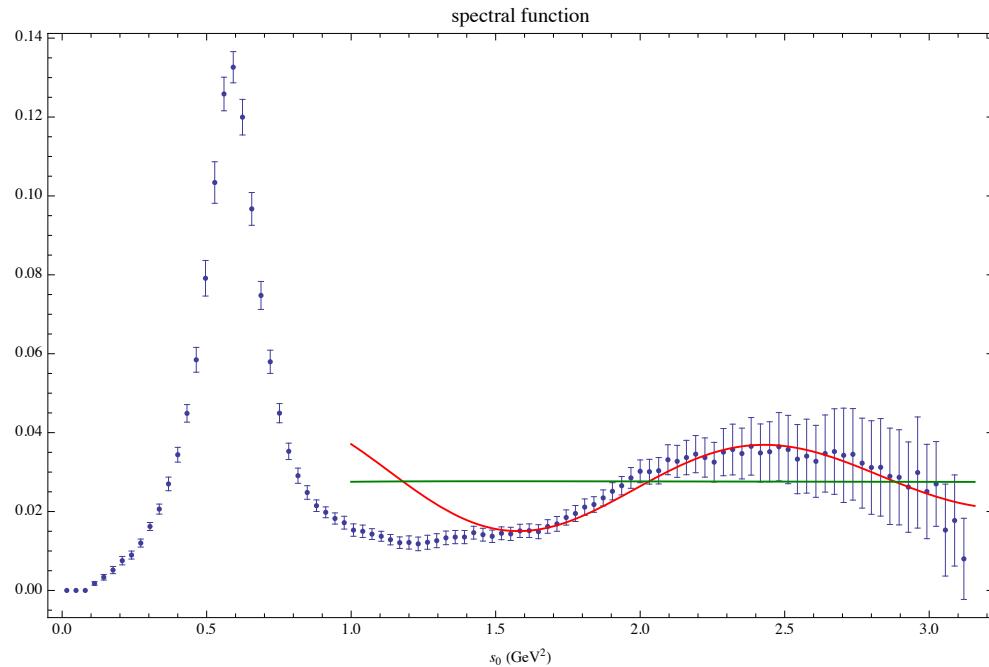
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spectral functions:

CIPT



FOPT



## Some numbers for $\alpha_s$ : (vector channel)

DVs	FOPT	CIPT	Strategy
Yes	0.307(18)	0.322(25)	0
No	0.271	0.279	1
Yes	0.287(19)	0.326(70)	
No	0.284	0.288	2
Yes	0.289(8)	0.327(13)	

(errors with Strategy 2 possibly underestimated)

- omitting DVs appears to have significant effect; need to be modeled in order to check
- fits without DVs very sensitive to  $s_{min}$ , more so than those with DVs

## Fits to vector and axial channels

Use spectral functions, and all  $n$ th degree moments to  $1 - \frac{3}{2} \frac{s}{s_0} + \frac{1}{2} \left( \frac{s}{s_0} \right)^3$  with strategy 1 . No errors yet, but look for stability:

- get OPE coefficients up to  $c_{2n+2}$
- same, but use input for  $\alpha_s$ ,  $c_4$ , and DV parameters from  $n = 1$
- compare

$n = 3$  ,  $s_{min} = 1.6 \text{ GeV}^2$ , CIPT

	values for step 1	values for step 2
$\alpha_s$	0.316	0.316
$c_{6V} (\text{GeV}^6)$	-0.0066	-0.0066
$c_{6A} (\text{GeV}^6)$	0.00014	0.00016
$c_{8V} (\text{GeV}^8)$	0.010	0.010
$c_{8A} (\text{GeV}^8)$	0.0041	0.0041

## Fits to vector and axial channels

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- same, but use input for  $\alpha_s$ ,  $c_4$ , and DV parameters from  $n = 1$
- compare

$$n = 3 , s_{min} = 1.6 \text{ GeV}^2, \text{FOPT}$$

	values for step 1	values for step 2
$\alpha_s$	0.289	0.302
$c_{6V}$ (GeV $^6$ )	-0.0092	-0.0058
$c_{6A}$ (GeV $^6$ )	-0.0023	0.0010
$c_{8V}$ (GeV $^8$ )	0.017	0.0099
$c_{8A}$ (GeV $^8$ )	0.0091	0.0031

## Conclusions

- High precision determination of  $\alpha_s$  from tau decays requires understanding of Duality Violations; pinched weights do **not** suppress DVs sufficiently
- From exploration of OPAL data, fits including about 8 “nuisance” parameters for DVs are possible
- Assuming our *ansatz* for DVs, we obtain, from vector channel with  $w = 1$  preliminary values

$$\alpha_s(M_\tau) = 0.322(25) \Rightarrow \alpha_s(M_Z) = 0.1188(29) \quad (\text{CIPT})$$

$$\alpha_s(M_\tau) = 0.307(18) \Rightarrow \alpha_s(M_Z) = 0.1169(24) \quad (\text{FOPT})$$

- More sophisticated fitting strategies may help reduce the errors (strong correlations!); more weights with **consistent treatment** of OPE