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Can instantons induce a cosmological bounce?

Finite volume effects in the early universe

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IMPRS Young Scientist Workshop 2023



Tur Uhrenturm





1 Bouncing cosmology

- What is a cosmological bounce?
- What do we need for a cosmological bounce?
- Introducing the model
- 3 Finite volume effects
- 4 Conclusions and outlook

What is a cosmological bounce?



- bouncing cosmology is an alternative to inflationary cosmology
- idea: the universe begins in a contracting phase, reaches a minimum size, then bounces back and starts expanding
- attempts to address some problems related to inflations, like the Big Bang singularity or the fine tuning needed for "good inflation"

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metric for a flat FLRW universe:

$$ds^2 = -dt^2 + a(t)^2 d\vec{r}^2$$
(1.1)

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a bounce requires [1]

$$\exists t^* \text{ s.t. } \dot{a}(t^*) = 0 \land \ddot{a}(t^*) > 0$$
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from Friedmann equations

$$\rho + p = \frac{1}{4\pi G} \left(-\frac{\ddot{a}}{a} + \frac{\dot{a}^2}{a^2} \right) \tag{1.3}$$

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in the vicinity of the bounce one needs

$$\rho + p < 0 \tag{1.4}$$

\implies the Null Energy Condition (NEC) must be violated!

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Outline



Bouncing cosmology

Introducing the model

- The scalar potential
- Our assumptions

3 Finite volume effects

4 Conclusions and outlook

The scalar potential

Idea: can we violate the NEC via instantons? [2]

consider a single real scalar field

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} \phi \partial^{\mu} \phi - U(\phi) - \frac{1}{2} \xi R \phi^2 - \frac{\Lambda}{8\pi G}$$

symmetric double-well potential

$$U(\phi) = \frac{\lambda}{4!} \left(\phi^2 - v^2\right)^2$$
 (2.1)

in finite volume V there is no spontaneous symmetry breaking

$$\Rightarrow \langle \phi \rangle = 0$$







Our assumptions



A the metric is changing slowly

$$\left(\frac{\dot{a}}{a}\right)^2 = H^2 \ll m^2 = \frac{\lambda v^2}{3} \tag{2.2}$$

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B a temporal slice of the universe is a flat three-torus of linear size L

$$R = 0$$
 and $V = L^3$ (2.3)

C the universe is empty and "cold" near the bounce

$$T \ll m \tag{2.4}$$







Bouncing cosmology

Introducing the model

3 Finite volume effects

- The ground state energy
- The saddle point approximation
- The dilute instanton gas approximation
- Instantons vs Casimir effect
- Is the NEC violated?

4 Conclusions and outlook



from thermodynamics

$$\rho + p = \frac{E_0}{V} - \frac{dE_0}{dV}$$
(3.1)



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the thermal partition function

$$Z = \sum_{n=0}^{\infty} e^{-\beta E_n} = e^{-\beta E_0} + \sum_{n=1}^{\infty} e^{-\beta \Delta E_n}$$
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the thermal partition function from the Euclidean path-integral

$$Z = \int \mathcal{D}\phi e^{-S_E[\phi]} \tag{3.4}$$

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We need to evaluate the partition function from the Euclidean path-integral

$$Z = \int \mathcal{D}\phi e^{-S_E[\phi]} \approx \sum_{\overline{\phi}} e^{-S_E[\overline{\phi}]} \int \mathcal{D}\eta e^{-\int \eta S_E''[\overline{\phi}]\eta} = \sum_{\overline{\phi}} [\overline{\phi}]$$
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Saddle points solve the eom and have finite Euclidean action ${\cal S}_{\cal E}$

$$\left(-\partial_{\tau}^2 - \nabla^2\right)\overline{\phi} + V'(\overline{\phi}) = 0$$
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- 1. trivial saddle points $\phi_v \equiv \pm v$
- 2. instanton $\phi_I = \pm v \tanh \omega \tau$

Euclidean



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1. trivial saddle points $\phi_v \equiv \pm v$
2. instanton $\phi_I = \pm v \tanh \omega \tau$
3. multi-instantons ϕ_{N-I}

$$-\mathbf{v}$$
Multiple Carcial Carcial

The dilute instanton gas approximation



write $[\overline{\phi}]$ for multi-instantons as a product of one-instanton contributions

$$\left[K_1\overline{K}_2\dots K_{N-1}\overline{K}_N\right] \approx \frac{T^N}{N!} [I]^N [v] \quad (3.7)$$

The dilute instanton gas approximation

$$\left[K_1\overline{K}_2\dots K_{N-1}\overline{K}_N\right] \approx \frac{T^N}{N!} [I]^N [v] \quad (3.7)$$

sum over the number of instantons

$$Z \approx 2 \sum_{N=0}^{\infty} \frac{T^N}{N!} [I]^N [v] = 2[v] e^{T[I]} \quad (3.8)$$



The dilute instanton gas approximation





Instantons vs. Casimir effect



We split the energy as the sum of the vacuum energy and the instantons contribution

$$E_0 = E_{\rm cas} + E_{\rm inst} \tag{3.10}$$

The instantons effect is suppressed exponentially

$$E_{\mathrm{inst}} \sim \sqrt{\frac{K}{2\pi}} e^{-K}, \quad K = \frac{16\omega^3 L^3}{\lambda}$$
 (3.1)

It is only relevant for

$$\frac{16\omega^3 L^3}{\lambda} \quad \textbf{(3.11)} \quad \textbf{(3.11)}$$





(**b**) $\lambda = 0.01$.





 $\omega L \sim \left(rac{\lambda}{32}
ight)^{rac{1}{3}} \sim 0.3 \, \lambda^{rac{1}{3}}$ (3.12) (c) $\lambda = 0.1.$

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Figure 2

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Is the NEC violated?





- yes! for small values of the coupling λ and for a narrow window of values of ωL [3]
- it is also violated for large ωL since the Casimir energy on a torus is negative for large ωL
- is the dilute instanton gas approximation valid where the NEC is violated?

Outline



Bouncing cosmology

- Introducing the model
- Image: Second second
- 4 Conclusions and outlook





We conclude

the instantons can induce a strong violation of the NEC when the universe is sufficiently small and may thus induce a cosmological bounce



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- the dilute instanton gas approximation might be only marginally valid

What next?

- take into account the competing effect of temperature
- include the slow variation of the metric and back-reaction
- calculate the effect for different spatial topologies

References



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THANK YOU FOR THE ATTENTION

When is the instanton gas really dilute?



the DIGA is valid if the instantons are widely separated

$$\Delta \tau \rangle \gg \omega^{-1} \tag{5.1}$$

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the average separation

$$\left< \Delta \tau \right> = \frac{T}{\left< N \right>}$$

 $\langle \Delta \tau \rangle \gg \omega^{-1}$

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(5.1)

(5.2)

When is the instanton gas really dilute?

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 $\langle \Delta \tau \rangle \gg \omega^{-1}$

 $\langle \Delta \tau \rangle = \frac{T}{\langle N \rangle}$

the average separation

the average instanton number

$$\langle N \rangle = \frac{\sum_{N=0}^{\infty} N \frac{\langle T[I] \rangle^N}{N!}}{\sum_{N=0}^{\infty} \frac{\langle T\kappa \rangle^N}{N!}} = T[I]$$
(5.3)

(5.2)

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the average separation

$$\langle N \rangle = \frac{\sum_{N=0}^{\infty} N \frac{(I[I])^{N}}{N!}}{\sum_{N=0}^{\infty} \frac{(T\kappa)^{N}}{N!}} = T[I]$$
(5.3)

the approximation holds if

$$[I] \ll \omega \tag{5.4}$$

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When is the instanton gas really dilute?

the DIGA is valid if the instantons are widely separated

 $\langle \Delta \tau \rangle \gg \omega^{-1}$

[7]

 $(T \cap T)$

 $\langle \Delta \tau \rangle = \frac{T}{\langle N \rangle}$ (5.2)

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(5.1)

13

Is the instanton gas dilute in the violation window?





Figure 4 $\lambda = 0.02$

• $\rho + p$ is plotted together with the rescaled instanton rate $[I]/\omega$

we highlight the region of NEC violation in blue and the corresponding region of values of $[I]/\omega$ in orange

[I]/ ω ranges from ~ 0.35 to ~ 0.75 in the region of interest

Functional determinants



Computing the saddle point approximation requires computing a functional determinant

$$[I] = e^{-K} \sqrt{\frac{K}{2\pi}} \left(\frac{\det'\left(-\partial_{\tau}^2 - \nabla^2 + 2\omega^2 \left(\frac{3\phi_k^2}{v^2} - 1\right)\right)}{\det\left(-\partial_{\tau}^2 - \nabla^2 + 4\omega^2\right)} \right)^{-\frac{1}{2}}$$
(5.5)

There are various ways to compute the ratio of determinants:

- the resolvent method, for which we need to solve analytically for the Green's function of both operators
- computing directly the spectrum of the operators. After Fourier transforming the space variable, we can recognise the Pöschl-Teller operator for each Fourier mode

The resolvent method



the logarithm of the ratio of determinants is given by a sum

$$\log\left(\frac{\det'\hat{\mathcal{O}}}{\det\hat{\mathcal{O}}_0}\right)^{-\frac{1}{2}} = -\frac{1}{2}\left(\sum_{\lambda}'\log\lambda - \sum_{\lambda_0}\log\lambda_0\right)$$
(5.6)

this can be written in terms of the resolvent function, defined by

$$\left(\hat{\mathcal{O}}+s\right)G(s;x,x') = \delta^{(4)}(x-x') \Rightarrow G(s;x,x') = \sum_{\lambda} \frac{\phi_{\lambda}(x)\phi_{\lambda}^*(x')}{\lambda+s}$$
(5.7)

thus we can write

$$\log\left(\frac{\det'\hat{\mathcal{O}}}{\det\hat{\mathcal{O}}_0}\right)^{-\frac{1}{2}} = \frac{1}{2}\int_0^\infty ds \int d^4x \left(G^{\perp}(s;x,x) - G_0(s;x,x)\right)$$
(5.8)

Renormalisation



the 1-loop effective action of the one-instanton solution will read

$$\Gamma[\phi_{\text{kink}}] = K + \frac{1}{2} \log \det' \left(-\partial_{\tau}^2 - \nabla^2 + 2\omega^2 \left(\frac{3\phi_k^2}{v^2} - 1 \right) \right)$$
(5.9)

we renormalise this by adding counter terms

$$\Gamma_{\rm ren}[\phi_{\rm kink}] = \Gamma[\phi_{\rm kink}] + \int d^4x \left(V_0 + \frac{\delta m^2}{2} (\phi_{\rm kink} - v^2) + \frac{\lambda}{4!} (\phi_{\rm kink}^4 - v^4) \right)$$
(5.10)

with renormalisation conditions

$$U_{\rm eff}(\phi)|_{\phi=\pm v} = 0, \qquad \frac{d^2}{d\phi^2} U_{\rm eff}(\phi)|_{\phi=\pm v} = m^2, \qquad \frac{d^4}{d\phi^4} U_{\rm eff}(\phi)|_{\phi=\pm v} = \lambda$$
(5.11)

with $U_{\rm eff}$ being the 1-loop Coleman-Weinberg potential in infinite-volume around the minimum $\pm v$

Some explicit expressions



$$E_{\rm cas} = \frac{1}{2} \sum_{n^2=0}^{N_{\rm max}^2} r_3(n^2) \left(-k_n + \sqrt{k_n^2 + 4\omega^2} \right) - L^3 \frac{\omega^4}{4\pi^2} \left(\frac{\Lambda^2}{\omega^2} + \frac{1}{2} - \log \frac{\Lambda^2}{\omega^2} \right) , \qquad (5.12)$$

$$E_{\rm inst} = -\sqrt{48\omega^2} \sqrt{\frac{8\omega^3 L^3}{\pi\lambda}} \exp\left\{ -\frac{16\omega^3 L^3}{\lambda} + \sum_{n^2=1}^{N_{\rm max}^2} r_3(n^2) \left(\operatorname{arcsech} \sqrt{\frac{k_n^2 + 3\omega^2}{k_n^2 + 4\omega^2}} + \operatorname{arcsinh} \frac{2\omega}{k_n} \right) \\ - \frac{L^3 \omega^3}{2\pi^2} \left(\frac{3}{2} \frac{\Lambda^2}{\omega^2} - \frac{3}{2} \log \frac{\Lambda^2}{\omega^2} - \frac{63}{2} \right) \right\}, \qquad k_n = \frac{2\pi n}{L} , \qquad \Lambda = k_{N_{\rm max}} \qquad (5.14)$$

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